

MINUTES OF GRAND COUNCIL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

January 27, 1991
Columbus, Ohio

13. CALL TO ORDER

Grand Senior President Vohs called the Grand Council meeting to order at 1:00 p.m. on Sunday, January 27, 1991.

14. ROLL CALL

Members of the Grand Council in attendance: Charles J. Vohs, Grand Senior President; Dr. Dennis R. Parks, Grand Junior President; Robert G. Cabello, Grand Secretary; Stan G. Thurston, Grand Treasurer; Dr. Larry E. Spees, Grand Marshal; Mark D. Still, Grand Councilor; and Kevin J. Garvey, Grand Councilor. Also in attendance were: John R. Chaney, Executive Vice President and Steven V. Zizzo, Alpha Sigma Phi Educational Foundation Vice President for Development.

15. APPROVAL OF MINUTES OF MEETINGS OF AUGUST 11, 1990, AUGUST 12, 1990 AND OCTOBER 7, 1990.

It was moved by Brother Garvey, seconded by Brother Thurston and passed unanimously to approve the minutes of the meetings of August 11, 1990, August 12, 1990 and October 7, 1990.

16. MAIL VOTES (Attachment A)

It was moved by Brother Cabello, seconded by Brother Thurston and unanimously passed to approve Mail Votes Nos. 217, 218, 219, 220, 221 and 222.

17. OLD BUSINESS

There was no old business introduced before the Grand Council at this time.

18. NEW BUSINESS: UNDERGRADUATE OPERATIONS (Attachment B)

- A. It was moved by Brother Cabello, seconded by Brother Still and passed unanimously that the Fraternity continue to hold on the revocation proceedings of the Davis and Elkins charter until August 1991 when they will appear before the Grand Council and make an accounting for their actions.

Grand Council
January 27, 1991

- B. It was moved by Brother Cabello, seconded by Brother Spees and unanimously passed that the Grand Council accept the surrender of the Lawrence Technological University's charter, effective this date.
- C. It was moved by Brother Cabello, seconded by Brother Still and unanimously passed that the Tulane chapter be instructed that they must have a minimum of 15 men and a Grand Chapter Advisor or committee serving that same function or the charter will be revoked in August, 1991.
- D. It was moved by Brother Cabello, seconded by Brother Garvey and passed unanimously that the Grand Council continue the suspension of the Iowa State chapter until the August 1991 meeting.
- E. It was moved by Brother Cabello, seconded by Brother Parks and passed unanimously that the Grand Council lift the suspension of the Lehigh and Missouri Valley chapters.
- F. It was moved by Brother Cabello, seconded by Brother Still and passed unanimously that suspension of the Marietta chapter be continued until the August 1991 meeting at which time they will be required to appear before the Grand Council and make an accounting of what they have accomplished.
- G. It was moved by Brother Cabello, seconded by Brother Still and unanimously passed that the suspension of the American chapter be continued until the August 1991 meeting at which time they will be required to make a report to the Grand Council.
- H. It was moved by Brother Cabello, seconded by Brother Still, and unanimously passed that the petitions for charter received from SUNY-Buffalo, Gamma Epsilon Colony and SUNY-Binghamton, Phi Theta Colony be put on hold until such time as they obtain the approximately 50 person requirement.
- I. It was moved by Brother Cabello, seconded by Brother Still and unanimously approved that the Executive Vice President meet with or communicate to SUNY-Buffalo and that they be given a specific time frame in which to obtain chartering standards and that if they do not obtain them by the August meeting, the Grand Council will review their colony status in August.
- J. It was moved by Brother Cabello, seconded by Brother Still and unanimously passed that the Delta Phi Rho local fraternity located at Central Michigan University be allowed to colonize.
- K. It was moved by Brother Cabello, seconded by Brother Parks and unanimously approved that we hold our 1992 National Leadership Conference & Convention in Charlotte, NC.
- L. It was moved by Brother Cabello, seconded by Brother Spees and passed by a 5-1 vote that Grand Council begin charter revocation procedures against the Cal-Berkeley chapter.

- M. It was moved by Brother Cabello, seconded by Brother Still and passed unanimously that we award the Distinguished Merit Award to John Zamparelli, Tufts '47.
- N. It was moved by Brother Cabello, seconded by Brother Still and passed unanimously that we waive the fines for nonattendance at the 1990 National Leadership Conference & Convention for Bowling Green, Davis and Elkins and Ohio State.

19. NEW BUSINESS: PERSONNEL

- A. It was moved by Brother Spees, seconded by Brother Still and passed unanimously that staff job descriptions be accepted as amended.
- B. The Grand Council moved into an Executive Session.
- C. It was moved by Brother Spees, seconded by Brother Thurston and passed unanimously that the compensation increases and adjustments be accepted as discussed in executive session.

20. NEW BUSINESS: ALUMNI OPERATIONS (Attachment C)

- A. It was moved by Brother Parks that we publish one additional issue of The Tomahawk this year. The motion failed for lack of a second.
- B. It was moved by Brother Parks, seconded by Brother Cabello and passed unanimously that the Grand Council, in conjunction with the Trustees, and after one more pledge reminder letter has been mailed, that all delinquent Third Founding pledges be written off effective June 30, 1991.
- C. It was moved by Brother Parks, seconded by Brother Cabello and passed unanimously that the staff investigate the cost of having a portrait of Brother Kleinoeder made and the costs be reported at the August 1991 meeting so they can be forwarded to the Educational Foundation Trustees for payment.
- D. It was moved by Brother Parks, seconded by Brother Garvey and unanimously approved that the Grand Council direct the Educational Foundation Trustees to immediately begin activities and preparations for the next capital campaign.

21. NEW BUSINESS: FINANCE (Attachment D)

- A. It was moved by Brother Thurston, seconded by Brother Still and passed by a vote of 5-0 with one abstention that the current year's budget be amended to reflect the proposed adjusted budget figures which were distributed with additional changes reflecting the deletion of \$16,000 for the increased publication cost of The

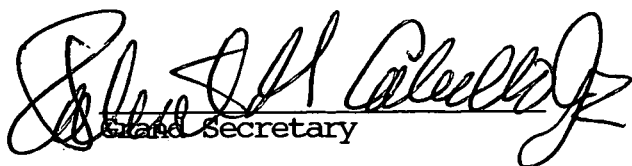
Tomahawk and adding \$1,500 for one additional Foundation For Excellence which would be mailed to the full membership; and adding compensation adjustments to reflect a balanced budget. (Attachment E.)

- B. It was moved by Brother Thurston, seconded by Brother Cabello and unanimously passed that the Grand Council authorize payment of legal bills associated with the Foundation up to a maximum of the budgeted amount.
- C. It was moved by Brother Spees, seconded by Brother Parks and unanimously passed that the revised grant request, service agreement and reimbursement of expenses be forwarded to the Educational Foundation for their approval.

22. COMMENTS FOR THE GOOD OF THE SOCIETY

- A. Brother Chaney reported that we should anticipate at least a 25 percent increase in our liability insurance premium which must be renewed on March 12, 1991. Discussion ensued about how much to invoice the chapters. It was stated that we have a need for setting aside a pool of money in a reserve account because of the strong possibility of having a deductible included in future insurance premiums. It was decided that the Grand Council finance committee would study the insurance issue when firm premium amounts are known and make appropriate recommendations to the Grand Council by conference call or mail vote.
- B. Brother Chaney reported that the A-S-P Corporation held a telephone conference call on December 6, 1990 with Dave Mason representing the Gamma Xi chapter housing corporation. It was agreed that Gamma Xi alumni would pay \$1667 per month to the A-S-P Corporation. Of this amount, one half would be credited as rent and one half credited toward reducing the balance owed. The desire of both parties is to eliminate the debt within approximately four years.
- C. The next meeting of the Grand Council committees will be at Pennsylvania State University in conjunction with the 1991 National Leadership Conference. The first committee meeting will be on August 6th.
- D. It was moved by Brother Spees, seconded by Brother Parks and unanimously passed that the meeting be adjourned at 2:40 p.m.

Respectfully submitted,


Grand Secretary


Executive Vice President

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

SERVICE AGREEMENT

The Alpha Sigma Phi Educational Foundation agrees to make available its Computer System to Alpha Sigma Phi Fraternity, Inc., during the time period of 7/1/90 to 6/30/91 for the purpose of:

1. Membership record storage and updating
2. Production of membership reports, including labels, printouts and letters
3. Word Processing

Alpha Sigma Phi Fraternity, Inc., agrees to pay the Alpha Sigma Phi Educational Foundation a usage fee of \$850.00 for these services in equal quarterly installments of \$212.50.

A handwritten signature in cursive script, reading "Charles J. Vohs".

Charles J. Vohs
Grand Senior President
Alpha Sigma Phi Fraternity, Inc.

A handwritten signature in cursive script, reading "February 19, 1991".

Date

A handwritten signature in cursive script, reading "Dr. Robert E. Miller".

Dr. Robert E. Miller, Chairman
The Alpha Sigma Phi
Educational Foundation

A handwritten signature in cursive script, reading "February 22, 1991".

Date

Approval of Mail Votes 217, 218, 219, 220, 221 and 222

- Mail Vote 217 Approval of the request from the Education Foundation Trustees that they be authorized to expend up to \$30,000 for a budget that includes the proper public relations handling of the Kleinoeder bequest.
- Mail Vote 218 Approval of the request from the Education Foundation Trustees that they be authorized to expend \$34,901.75 to upgrade the computer system that is leased by Alpha Sigma Phi, Inc.
- Mail Vote 219 Approval that the Delta Chapter administrative suspension for 30 days commencing October 19, 1990 be continued by the Grand Council on our Delta Chapter until August 11, 1991.
- Mail Vote 220 Approval that the Grand Council ratify the appointment of Milton Cerny, American '47, an attorney with the firm of Caplin & Drysdale in Washington, DC, to the Educational Foundation Board of Trustees effective November 1, 1990.
- Mail Vote 221 Approval to authorize the Educational Foundation Trustees to pay the law offices of Caplin & Drysdale Chartered in the amount of \$5,522.08 for legal fees as detailed on their invoice dated November 20, 1990.
- Mail Vote 222 Approval to authorize the Educational Foundation Trustees to pay Sumner Rahr & Company, Inc. in the amount up to \$2,240.50 for Annual Loyalty Fund counsel as detailed on their invoice dated November 21, 1990.

1. Mid-Year Statistics

53 Chapters
 2 Colonies
 3 Interest Groups

Pledges:

1985-86	1,049	
1986-87	969	(-8%)
1987-88	994	(+1%)
1988-89	981	(-1%)
1989-90	951	(-3%)
12/31/88	521	
12/31/89	551	(+6%)
12/31/90	456	(-18%)

Initiates:

1985-86	810	
1986-87	751	(-7%)
1987-88	904	(+24%)
1988-89	749	(-17%)
1989-90	808	(+8%)
12/31/88	304	
12/31/89	374	(+23%)
12/31/90	232	(-38%)

The decrease in pledges/initiates for this fall cannot be fully explained. Pledge and initiate totals are received from several sources. Primarily, these come directly from the chapters. These are also reported from the Consultants and visit reports. We monitor and cross reference Chapter newsletters and staff telephone discussions with chapter officers for information that reflects membership, pledging and initiation activities. Chapters are then directed to account for any deviations uncovered. In the past several years, our Director of Chapter Services worked with the Comptroller and monitored this situation on a monthly basis and made calls to chapters inquiring about any apparent deviations from the previous year's figures. The Chapter Leadership Consultants have been instructed to call all chapters which have significant changes in initiation figures from last year's totals and discern the cause. Recently they have been instructed to also inquire about the decrease in pledge figures. Correspondence from the National Headquarters to the various Grand Province Chiefs, Grand Chapter Advisors and Alumni Corporation Board Officers has also solicited their input regarding this irregular situation. Budget income figures for membership dues and pledge fees reflected an increase through December 31. Therefore, chapter membership figures reported were thought to be increased over the same period last year. Unfortunately, the budget income increase from pledge fees was a function of increased charges for pledge fees and not from aggregate numbers of pledges at the former fee rate. The increase in membership dues as

reflected on the previously passed and adjusted budgets are a function of invoices prepared based on best information at the National Headquarters. As chapters adjust their rosters, the staff believes that undergraduate membership totals overall will be down.

2. Chapters of Concern

Davis & Elkins. At the August 11, 1990 Grand Council meeting, it was unanimously voted to continue the procedures to revoke Gamma Delta Chapter's charter. This was initiated on February 6, 1988. Prior to the start of school, several chapter members had kegs at a party without prior college authority. When the kegs were confiscated and drained, members burned a college mattress in an apparent act of defiance. They were placed on an additional 30 day administrative probation sanction. It was felt that continuing this sanction was unnecessary since our original intent in revoking their charter covered their actions. That ended their difficulties. New officer leadership emerged and solicited Headquarters, administration and alumni assistance in saving their chapter.

Other positive signs also began to appear. Their membership began to show financial responsibility and improved behavior. Current financial obligations have been met. The chapter has made payments on delinquent debts. They were the first chapter to sign up for and publish a chapter newsletter this year. They were the first chapter to turn in their Standards of Excellence workbook. They have been responsive to our Chapter Leadership Consultants and to me when we made our fall visits.

The administration agrees that they have had a dramatic turn around. The college feels the chapter is in a position to take a large winter pledge class, but feel that they could return to the "dead head" image by pledging the wrong men. The chapter has been advised of this possibility in visits, telephone calls and letters. The college is even interested in improving the quality of support to their three remaining fraternities. To that end, I have arranged for representatives of Tau Kappa Epsilon to join me in a presentation to the administration and Greek members on campus in mid-February in an effort to make D&E an FIPG campus. One particular limitation is our current Grand Chapter Advisor, Max Morgan. Max is of the "old school" and has difficulties in accepting the FIPG risk management policies. I met with an additional chapter advisor, Phil Graziani and feel he might make a better GCA. I did not get the opportunity to meet with Brother Roy Teter, the other designated chapter advisor (see Attachment A, pages 11-20).

Lawrence Tech. The chapter has had no known presence or activity on this campus this fall. Correspondence with alumni brothers Dave Goetz, Richard DeBin and Paul Krutsch indicated that they would make an appearance before the Undergraduate Operations Committee during this weekend's session. Actions taken at the August 11, 1990 Grand Council meeting began the Gamma Psi Chapter charter revocation process (see Attachment B, pages 21-26).

Tulane. The chapter has only two undergraduates returning to campus this semester. The alumni have been quite active in their efforts to have a last chance "Golden Opportunity" rush in early February. Undergraduate Brother Jeff Olson returned to campus this semester after an internship in

Washington, DC. During that time, he was actively involved with our American chapter. Brothers Olson and HSP Berman plus numerous Gamma Omicron alumni will be conducting rush events during the next two weeks. I plan to be in New Orleans on the weekend of February 1-2 in conjunction with other business and will assist in their efforts to save the chapter. Actions taken at the August 11, 1990 Grand Council meeting began the Gamma Omicron Chapter charter revocation process. I discouraged several alumni from attending the Grand Council Undergraduate Operations Committee meeting because I felt I could explain their situation adequately and would make a strong request that the vote be delayed until the summer meeting in order to give the chapter a last opportunity to survive (see Attachment C, pages 27-34).

California-Berkeley. No real change. Membership continues to be low and the chapter continues to house boarders. We made an early chapter visit and plan to return for a long chapter visit to assist their rush efforts this semester. Their attendance at the Province I Conclave in November and newly elected officers should be of assistance to them.

Ohio State. Membership continues to be low. The alumni sponsored a unique summer rush and did all of the work themselves with additional manpower coming from the National Headquarters staff. They returned with one member and one pledge from last year. In addition, they added four pledges during the summer and fall. Of those five, two or three are scheduled for initiation soon. Our Chapter Leadership Consultants are planning to give substantial staff time to Zeta Chapter during the upcoming months. Chapter alumni are still committed to the reorganization and realize it will be a long process. They are committed to relooking that long term plan if the chapter live-in projection for next fall is not eight or nine members. A huge amount of the survival success for Zeta Chapter must be given to Bowling Green alum Bob Wade, their advisor. He has been a real success story.

Tufts. No real change. Low membership continues to plague the chapter. They face possible loss of their recognition if their upcoming rush does not bring the membership to above 15. Discussions with chapter officers have led me to believe that they will get a huge pledge class. We have already had two Chapter Leadership Consultant visits to Tufts. The alumni met with the undergraduates early in the year and turned the group's goals and long term survival expectations around (see Attachment D, pages 35-36).

Wake Forest. The chapter needs a strong rush to keep their housing situation intact. At present they are borderline. They have had a Chapter Leadership Consultant visit already this year and Jeff Owens has been in the process of coordinating rush assistance from Province VII chapters.

Iowa State and Michigan. Both chapters called after having had consultant visits and asked for assistance in reorganizing their membership. Both chapters saw huge colonization efforts take place and believe they were hurt by the efforts. Each have an additional Chapter Leadership Consultant visit scheduled to coincide with upcoming rush efforts. Michigan is carrying the extra burden of having the vast majority of their chapter as graduating seniors.

Missouri. Membership remains down. Debts remain up (see Finance Report, page 7).

3. Chapters with Violations/Suspensions

Iowa State. They have not had a successful rush in the last several years but did report 15 pledges earlier this year. They continue to be in debt to their co-op for services in past years. They feel the current location of their chapter facility is a liability in rush. This is the same campus that put a 90 plus man colonization together this fall without the promise of a chapter house. The campus is going to be adding several additional Greek chapters in the next few years. Last year, they were placed on a one year sanction for an alcohol incident. They were required to provide input information related to the sanction by December 1, 1990, but that information has not been received. On November 16, 1990, members of the chapter were involved in a deliberate firearms discharge incident in the chapter house. For this, the chapter received an additional 30 day administrative sanction from the Grand Senior President. The chapter urgently needs a Grand Chapter Advisor and more involvement from our Grand Province Chief (see Attachment E, pages 37-43).

Lehigh. The chapter has worked on its problems and has made written request for relief from the current Grand Council administrative suspension. They have followed all of the originally imposed sanctions (see Attachment F, pages 44-50).

Missouri Valley. The chapter has complied with all sanctions imposed by the Grand Council since the alcohol incident occurred. They have verbally requested to be removed from the sanctions imposed by the Grand Council (see Attachment G, pages 51-53).

Marietta. Information has been provided in correspondence as events have unfolded. The chapter currently has an involved alumni team working with the chapter and their problems. A motivational and goal setting retreat was held on January 19th by members of the alumni team and the National Headquarters staff. A verbal update report will be presented (see Attachment H, pages 54-64).

Westminster. A strong chapter that had a lapse in leadership which resulted in very serious problems with the college. The chapter allowed a punch to get spiked during rush and also held an "unofficial" party late into the night in which some members and guests showed up for class the next day hungover. The chapter received a fine of \$1,000 from the college administration. Additional incidents in the near future would result in the loss of a pledge class (see Attachment I, pages 65-67).

Washington. A very strong chapter that had a lapse in leadership which resulted in the collective purchase of champagne for a brunch hosting an FIPG sorority and Province I members. Unfortunately for the chapter, Grand Senior President Vohs was also in attendance. Washington is a very lax campus in FIPG compliance. There is no attempt to control alcohol use in the fraternity houses, all of which are considered off campus. Efforts are underway to target the campus by FIPG officials to ensure there is a "level playing field" (see Attachment J, pages 68-69).

Stockton State. The chapter held a Halloween party, openly sold tickets, provided alcohol to minors and got caught. Two pledges, designated drivers for the event, were caught lying to campus security officials about an inebriated female guest they were escorting back to her dormitory. The chapter was faced with the threat of a six month loss of recognition on campus and no rush. Because of their honesty and candor, this was finally negotiated down to an administrative sanction. The Grand Chapter Advisor, John Luckenbill, has taken responsibility for past chapter problems with the administration. The administration believes that John is the problem with our chapter and not the solution (see Attachment K, pages 70-71).

Ohio Northern. The chapter had a membership review and sanctions imposed by both the Grand Council and the university in the past year. After being released from these sanctions, they were involved in an incident on December 8, 1990, in which they were accused of having three pledges, three alumni and one non-Alpha Sig member appear at a co-ed Christmas party nude. The chapter has a great faculty advisor (Kappa Sig), a well meaning alumni corporation officer in Jack West, and outstanding administration support from Ron Kuhlman. Without Ron, this chapter might not have survived the incident last year. They do need a Grand Chapter Advisor and will be requesting Randy Van Dyne, one of their alumni on the faculty at Findlay who works with our interest group there (see Attachment L, pages 72-82).

American. A loud party in the early morning of January 18th resulted in a prominent neighbor complaining to police. Police attempted entry and were not able to gain entrance. A fire alarm sounded inside the chapter and police were then able to respond to a possible emergency. When they entered through an open door, they discovered six full and three empty kegs. One student was charged with assault and resisting arrest. The Greek Advisor, Chapter HSP, and Bob Shaw, the outgoing advisor, were informed telephonically that the chapter was on a 30 day administrative sanction pending a full investigation.

4. Chapters with Possible Violations

Bethany. The National Headquarters was notified by another fraternity executive in mid-December that a "hazing" incident involving our Bethany chapter's pre-initiation activities had occurred. The incident involved five pledges marching to a cadence on campus. Bob Sandercox received a copy of this report without mentioning it was the Alpha Sig chapter. When I called Bob Sandercox, he knew our Chapter was involved and it was to be discussed at the college IFC meeting on December 20, 1990. The chapter has been requested to submit a report to the National Headquarters (see Attachment M, page 83).

Illinois State. The chapter pledges and numerous brothers held a "roadtrip" to Purdue and were accused of a tremendous amount of vandalism. I am currently waiting on a report from HSP Bruce Burns of Illinois State and authenticated by Grand Chapter Advisor Bob Denny. Chris Webb, Purdue's Greek Advisor, does not believe the incident was nearly as serious as the accusations. The chapter did have members charged with theft of a fraternity flag and a dormitory shower curtain. No other vandalism or theft charges other than as related to alcohol were filed. Illinois State Greek Advisor, Mike Schermer, does not desire to press for additional sanctions against our chapter or individual members. Ben Russell, Purdue HSP does not have any

additional written information to add other than what Chris Webb reported (see Attachment N, pages 84-85).

5. Colony/Interest Group Update

SUNY Buffalo, Gamma Epsilon Colony. The colony was planning to present a petition for charter at this meeting. The group has a large number of graduating seniors. If the group is not chartered we may want to consider initiating the graduating seniors at the conclusion of their academic term or at the National Leadership Conference. At present, the group hovers at approximately 30 members with the anticipation of a large class this semester. Chapter Leadership Consultants have made two visits to this group so far and I have also visited with the colony officers. An additional consultant visit is scheduled in the future.

SUNY Binghamton, Phi Theta Colony. This colony has forwarded a petition for chartering. A Chapter Leadership Consultant visit has been made this year (see Attachment O, pages 86-151).

University of Delaware. This group is doing quite well. If we ever get them formally colonized, they are probably ready for immediate chartering. The status of their formal recognition frequently changes. The National Headquarters has contacted 17 national fraternity executives with chapters and colonies on the Delaware campus asking them to contact their group and support both open expansion on campus and our recognition efforts with the IFC. Many fraternity executives did exactly that. We anticipated having a presentation to the IFC to be made by Jeff Schwind on November 28, 1990, but that was postponed. Now a new IFC is taking office and they may not desire to be bound by the previous IFC's decisions. There are approximately 10 interest groups on the campus. Indications are that we have the absolute best group and that unlike the others, we have not had any violations which should hinder our colony efforts. However, we still may have to wait until March when our vote was originally scheduled to gain official IFC recognition. We have had chapter consultants visiting with this interest group twice already this year.

Central Michigan University. A strong interest group which developed from a one year old local fraternity, Delta Phi Rho. The group has had one chapter leadership consultant visit so far with at least one additional visit scheduled for February. Bob Cabello and I visited with the group and made the initial visit and presentation to them in October. Bob has been working hard with the group and has assisted in securing chapter housing for next year. They have submitted a petition for colonization (see Attachment P, pages 152-212).

Findlay. The Gamma Pi interest group began and developed much faster than we had anticipated. Our initial plans were to wait until late January to commence our colonization efforts. The strong Findlay alumni group began work at Homecoming and it took off. When the group first attended an informational meeting, we immediately had approximately 15 members. Officers, books and committees were established. The group indicated they could be at 50 almost immediately, but through the intervention of our alumni and Scott Reikofski, Findlay's Greek Advisor, the growth was purposely stopped to give the initial core of men a chance to mature and the organization to gel. The group will

begin rush in earnest in February. In addition to Scott, a Lambda Chi, the interest group has been spearheaded by Ohio Northern alumnus Randy Van Dyne, a Findlay professor, and Findlay alumnus Bob Thoma, who is currently serving as the group's advisor. We have had numerous visits to this campus and this group already this year. Anticipate a request for colonization from this group in the spring (see Attachment Q, page 213).

6. Chapter Visit Plans

By Christmas our Chapter Leadership Consultants visited all but 10 chapters. All colonies and interest groups were visited at least once by the consultants. Many chapters, colonies and interest groups have been visited two or more times already this year. In addition to the Chapter Leadership Consultant visits, I visited 12 chapters and two interest groups and participated in two province conclaves. Two of the chapters I visited, Lock Haven and Penn State, are among the 10 chapters that were not visited by the Chapter Leadership Consultants. All chapters are scheduled to be visited by the end of the school year with additional visits scheduled based on need, request and assets available.

7. Expansion

Due to limitations in staff personnel and time, expansion remains on the back burner. Numerous letters of inquiry have been followed-up and are included in this packet for informational purposes. It should be noted that many of these queries were probably initiated because of the pressure of various college administrators to eliminate local fraternities due to their risk factor. In addition to the 24 letters, the following is updated material on other expansion possibilities (see Attachment R, pages 214-256).

Central Missouri State University. This is currently a dead issue. I was told informally that we were not selected to make an expansion presentation because we did not show enough interest in them.

Ohio University. The university has not officially notified us that we were not selected for a presentation. Other fraternities seem to have been informed that they were selected for the first round of presentations. At other schools, this has meant very expensive "dog and pony" presentations for those fraternities selected. The university is looking at a gradual expansion of five or more fraternities over the next few years.

Ohio Wesleyan. We were contacted over the Christmas break by a senior varsity basketball player who wanted to get a new fraternal organization on campus. He indicated he had several men who were interested. Follow-up revealed that he was looking primarily for an exclusive sports fraternity, one not open to the general student population. The university stated that they did not particularly desire to expand until next fall so they can evaluate the recently implemented rush situation. Ohio Wesleyan is acutely aware of our desire to expand to the campus at any time.

Concord. I have been in contact with numerous Concord alumni who are particularly desirous of having their chapter re-established. At present, alumni are in contact with their alma mater and will advise us of the

possibility to re-enter the college. Rumors that our former interest group may still be operating on campus are being checked.

Oklahoma. We own a house on campus that is not much more than an apartment building. It is being rented out by the alumni to boarders. To make the house truly competitive, we would have to add a kitchen and chapter room facilities. The Oklahoma alumni and the university do not see that we would have a difficult time in re-expanding to OU. Even operating the current building would provide us a presence and income from our members (see Finance Report, page 7).

8. National Leadership Conference

Plans are underway for this year's National Leadership Conference to be held at Penn State from August 7-11, 1991. I made the initial site visit and will continue to function as the conference coordinator until I can remove one of the Chapter Leadership Consultants from the road and appoint him to serve in this capacity. We have secured the services of Brother John F. Zamparelli, Tufts '47, to serve as our featured speaker at the final banquet. One additional plan in process is to insert a group outing and retreat for the undergraduates for a portion of Thursday, August 8th. This would serve to facilitate group camaraderie as well as give the chapter leadership a practical hands-on method of presenting a retreat. Marty Jenkins, who led our Grand Council Strategic Planning Retreat in October, has tentatively been scheduled to conduct "how to" sessions for the undergraduates and alumni. An outgrowth of this would be to re-validate our ideas with a broader membership base. We are also exploring the possibility of including a golf tournament in our schedule for Sunday, August 11th. One action item that needs to be discussed is the raising of the mileage for undergraduates attending the NLC&C to the same rate as for the volunteers. Another action item requiring discussion is the ability to consider the entire \$236 chapter fee as general income instead of reserving \$191 of it for delegate attendance at our national meetings.

9. National Leadership Conference & Convention 1992 (and future)

Information will be available at the meeting for review from various cities and hotels. Among those will be Seattle, Memphis, Charlotte, New Orleans and Syracuse. In the past, the staff has considered the Rutgers and Cornell campuses as particularly desirable. Delaware has been stated to have an excellent conference facility. It is important that we get the exact dates down for the next several years, even if we do not select the corresponding location. An action item that should be considered is the exact location of NLC&C 1992 in addition to setting the dates for NLCs for the next several years. A survey of NLC&C '91 attendees is included as Attachment S, pages 257-258.

10. Risk Management

Our current risk management policy is sound. At last count, 40 fraternities and sororities have identical or similar risk management policies

as ours. A proposed addition to FIPG risk management policy regarding Third Party Vendors is enclosed for your information. A copy of the FIPG transmittal of information from Phi Gamma Delta concerning the impact of Public Law 101-226 on fraternities is again provided for your consideration. This latest information will be forwarded to our undergraduate leaders and chapter alumni volunteers for their use in coordinating their efforts with the Student Affairs staff on their respective campus (see Attachment T, pages 259-269).

11. Insurance

During the past year, FIPG was reduced to a Risk Management Group. Subsequently, this group attempted to re-establish itself as FIRMA (Fraternity Insurance Risk Management Association). For informational purposes, I have hosted and attended FIRMA meetings and have reviewed all correspondence. Because of the uncertainty of the group and their attempts to "hit a moving target" cost-wise and number-wise, I never received a proposal that I felt was worth presenting for consideration.

I had discussions with Jim Beckman, a representative from Insurance Coverages, Ltd., in November and he felt that with our loss record, we should get a favorable renewal rate from New Hampshire Insurance Group. Insurance Coverages, Ltd. was to get us a proposal by December 31st for our March 12, 1991 renewal, but that has yet to arrive. Other fraternities are getting renewal information from New Hampshire as late as two days before their renewal date. From my discussions with other Fraternity Executives, I have found that all have been increased by at least 25 percent when renewed by New Hampshire. One small fraternity had its premium increased from \$45 to \$169! Through negotiations, the premium was reduced to \$109.

An alternative to the New Hampshire market is being offered by James R. Favor & Company. We have had property insurance with them for some time and liability insurance with them in 1984, and they have offered to act as our broker in getting insurance in the London market. Favor advises us to expect at least a 25 percent increase in premiums from New Hampshire. He has informally advised us that the rates we get through him will probably be in the \$55 area, but that we should expect to pay a \$25,000 to \$50,000 deductible.

Favor advises us to substantially charge the undergraduate chapters more than what we are charged so as to build a viable reserve and be able to self-insure the deductible. He advises us that chapters that pay \$55 routinely charge \$75, one fraternity capping out at \$125. At present, we bill chapters based upon their undergraduate dues. That means pledges that are carried over the summer are charged for, but any pledges that are taken and initiated from October on are not charged for. Favor tells us that other fraternities are charging for pledges and suggest that there should be some standardization among fraternities. In other words, we would pay the insurance company based on our undergraduate dues, but charge the chapter for pledges and initiates both. This would increase our insurable reserve and allow billing continuity to the chapters.

12. Awards

Recommend we present the Distinguished Merit Award for John F. Zamparelli, Tufts '47 (see Attachment U, pages 270-273).

13. Waiver of NLC&C fines for Bowling Green, Davis & Elkins and Ohio State University (see Attachment V, page 274-275)

14. Chapter Newsletter Service

The Fraternity continues to be very involved in the publication of chapter newsletter, primarily through the chapter newsletter service. Additional information concerning this is available in the Alumni Operations Committee Report (see Alumni Operations, page 5).

15. Request for Appearance before the Grand Council (see Attachment W, page 276)

16. Discussion items from Ad Hoc Committees

17. Discussion items from other Grand Council committees

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. Donnie Markle, HSP
Gamma Delta Chapter
Alpha Sigma Phi Fraternity
Box 0
Davis & Elkins College
Elkins, WV 26241

Dear Brother Markle,

I really appreciate the extreme efforts and cooperation of Gamma Delta Chapter since being placed on administrative suspension on August 29, 1990. Quite frankly, it did not appear that your chapter could even survive long enough for the upcoming vote by the Grand Council to revoke your charter. Now, all that appears to again create a "put on hold" situation.

You and I will agree that there are still major problems with your chapter. But, your actions in fully complying with our requirements, requests and desires since assuming the duties of HSP have been truly commendable. Gamma Delta Chapter was the first newsletter we received, Gamma Delta was the first "Standards of Excellence" workbook we received, your reports and payments were made in a responsible and timely manner. Debts in excess of \$3,000 total still are on the books, but I note that you are making progress on reducing these.

Two visits by my Chapter Leadership Consultant and my visit must show you we are seriously concerned, but committed to your long-term survival. The outpouring of letters, telephone calls and financial contributions in your chapter's name to the National Headquarters in the past six months like-wise indicate the desire of your alumni and Grand Chapter Advisor to remain on the Davis & Elkins campus.

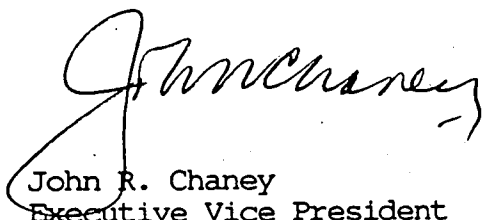
My discussions with you, Richard Stilley and my Chapter Leadership Consultant have led me to the conclusion that a Grand Council vote on January 27th to revoke your charter would be premature. You have high hopes for a huge pledge class soon. In addition to making you a viable organization on campus again, they will be the cornerstone for your efforts to eliminate your long-standing debts and your future success in the quest for the Alpha Sigma Phi goal: EXCELLENCE! Just make sure that you pledge quality men that will get the job done properly. Don't allow your chapter to regress to that old "dead head" image. Certainly do not allow any repeat FIPG alcohol violations or campus incidents in the future.

I have made tentative plans to return to your campus on February 10, 1991 along with a representative from Tau Kappa Epsilon to see if we can make you an FIPG campus and thus ease your burdens.

Donnie, I can't assure you that the Grand Council won't revoke your charter now or in the future. Even if they do not revoke it, I'm sure they will continue to allow that possibility to occur at their August, 1991 session. At that time, your chapter officers would be required to make a major written report as to your overall situation, operation and improvements. You would also be required to appear in person before the Grand Council Undergraduate Operations Committee to show just cause why your charter should not be revoked at that time.

If you have any questions or comments, direct them to me immediately.
Good luck in rush!

Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
H. Maxwell Morgan, Chapter Advisor
Philip J. Graziani, Advisor
Roy B. Teter, Advisor
Richard Stilley, Director of Student Life, Davis & Elkins College
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant

- (5) Lack of positive development programs.
- (6) Disregard for Headquarters' staff assistance.
- (7) Failure to have a delegate attend the 1990 National Leadership Conference and Convention.
- (8) Lack of leadership and leadership potential. 7

The driving force behind your chapter has clearly been your alumni involvement. Clearly now is the time for your alumni to rally again and rebuild this chapter! The alumni of your chapter will each receive a copy of this notice as the revocation vote will be taken at the 1991 Winter Grand Council Meeting. The exact time, date and location of this meeting will be available after October 1, 1990 from Brother John R. Chaney, our Executive Vice President. *where?*

At the meeting you will be afforded with the opportunity to meet with the Grand Council. This meeting would be strictly to state a case for why Gamma Delta should remain as an active chapter of Alpha Sigma Phi. Should you pass up this opportunity or fail to present a sufficient case, then your charter will be revoked in accordance with the Constitution and By-Laws of our Fraternity, Article XIX, Section 6.

Please understand that this vote does not have anything to do with you personally. Your contributions to "The Old Gal" in the absence of the HSP, have not been overlooked. It is our fervent hope and desire that Gamma Delta will, again, rise like the Phoenix to this latest challenge.

If there are questions or comments, please direct them to Brother Chaney. I urge you and your alumni leaders to discuss and coordinate future plans or reorganization efforts with him at the earliest opportunity.

Fraternally yours,

Charles J. Vohs
Charles J. Vohs
Grand Senior President

CJV/rr

cc: John R. Chaney, Executive Vice President
Dr. Daniel P. Babb, Grand Province Chief
H. Maxwell Morgan, Grand Chapter Advisor
Roy Teter, Chapter Advisor
Phil Graziano, Chapter Advisor
Richard Stilley, Director of Student Life
Gamma Delta Alumni

Arthur F. Schmidt
7143 S. Valentia Way
Englewood, CO 80112

Class of '60

Dear Brother Chaney,

on October 13, 1990, four of us alumni met with Gamma Delta chapter at A & E. we were all impressed with the efforts, enthusiasm & commitments from the chapter. The bottom line is the pledge class of 1991 which could turn things around for the local brothers.

pls let us not act prematurely

Sincerely,

Art Schmidt 60

Nov 90

Dear Brother Chang,

REC'D NOV 9 - 1990

The active leaders were inspired by your recent visit and your interest and concern for Gamma Delta chapters. I believe they have gained a better understanding and respect with the national office.

Through your efforts D+E College has also gained a better understanding of the value of fraternities in keeping up scholarship as well as the value of alumni contacts by fraternities in raising the College's Alumni support.

Jeff Awas seemed to have a good report with the chapter and helped outline a great program for the rebuilding of Gamma Delta. The leaders are looking forward to his December visit to show how well they have met the challenge.

Enclosed is a payment of \$150.00 toward the premium note of Gamma Delta.

Fraternal Yours,

H. Wayne Mayne
GCA Gamma Delta

23 October 1990

Dear Brother Vohs,

I am writing to provide some input to your pending action to revoke the charter of Gamma Delta Chapter.

I served as HSP at Gamma Delta in 1958-1959 and have kept in touch with many of the Brothers over the last 30 years. I currently serve on the Davis and Elkins College National Alumni Council. In that capacity, I have visited the campus at least once a year over the last five years. My last visit was October 12-14, 1990 and I wish to pass on some observations.

My first visits to Gamma Delta about five years ago were a tremendous disappointment and it came as no surprise when I heard of the initiation of action to revoke the chapters charter. However, I was not ready to give up on the Old Gal. Nor were any of the Brothers I spoke to. We just didn't know what we could do, but financial support was certainly offered. Since the initial notice I heard nothing and assumed that things were either under control or hopeless. On my last visit to D & E I looked forward to finding out for myself.

As part of Alumni Weekend, Gamma Delta had a reception for alums. I am happy and proud to say it was the highlight of my weekend. The chapter area was repainted and looked great. The alums joined with the actives, pledge and little sisters in a social event reminiscent of my active days. I was greatly impressed with the enthusiasm and positive attitude of the actives. I told each of them that they needed to carry that enthusiasm and attitude into the spring rush. I also told them they needed to demonstrate thru action that they truly wanted to save Gamma Delta. If they did, the alumni would do their part.

I believe Gamma Delta is worthy of saving. I am confident the alumni will do their part, primarily through financial help. I hope National will do all you can to help save Gamma Delta. I believe some assistance during rush and some positive information to the alumni will help get Gamma Delta back on her feet. I realize that the main responsibility falls on the active Brothers, but I believe we have a responsibility as well.

I stand ready to help financially, through letters or whatever you think I can do. Don't let the next letter I get from you be that Gamma Delta has been revoked.

Faternally yours,

Mike

MICHAEL G. DAKES
12329 BEECHNUT CT.
WOODBIDGE, VA. 22192



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

October 18, 1990

Guy C. Miller
7003 Treaty Oak Circle
Austin, TX 78749

Dear Brother Miller,

I received your recent letter and must inform you that we are doing all we can for the Gamma Delta Chapter. I have been in contact with the college officials, your chapter alumni, volunteer leaders and the chapter throughout my four months on staff.

Today, I was again in contact with the Dean for Student Affairs and he says things are most encouraging. But he's afraid they might only do enough again to get the national off them.

I've seen some encouraging signs too. Lots of alumni are asking what they can do. The chapter leaders attended a recent Province Conclave at Bethany. They have already sent in their fall newsletter and are participating in our Standards of Excellence Program for the first time.

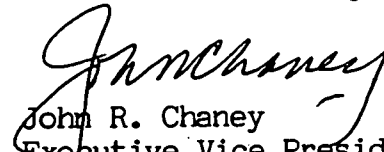
I'll be on the campus soon to make my own assessment. I have scheduled two visits by one of our Chapter Leadership Consultants before the Christmas holidays to assist with proper programming.

As far as what you can do--I'm afraid it's up to the local alumni and the undergraduates. I would urge that you call their Grand Chapter Advisor, Brother Max Morgan (304) 636-6643 and offer your support. I also think a call to the undergraduate President, Brother Don Markle (304) 636-9772, ext. 317, would be advisable.

I can assure you that we are doing all we can for the chapter and our reputation. All is not lost!

Thank you Brother Guy for your kind letter.

Warm fraternal and personal regards,


John R. Chaney
Executive Vice President

JRC:sd

cc: Dr. Daniel Babb, Grand Province Chief
H. Maxwell Morgan, Grand Chapter Advisor
Ryan P. Brennan, Alumni Association/Corporation President
Richard Stilley, Director of Student Life
Jeffery S. Owens, Chapter Leadership Consultant

Robert A. Grote
10613 Bent Twig Drive
Raleigh, NC 27613
919-846-6600 (O)
919-870-1534 (H)

RECEIVED

OCT 26 1990

Alpha Sigma Phi Fraternity, Inc.

October 23, 1990

Mr. Craig Brune
Acting President
Alpha Sigma Phi Fraternity
Box 0
Davis and Elkins College
Elkins, WV 26241

Dear Brother Brune,

Thank you for all of the fine hospitality that you showed during my 25th reunion. You were even better prepared for the alumni than it appeared the college was!

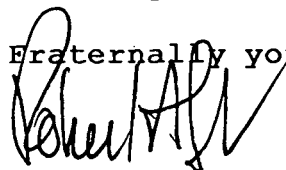
I will be glad to visit at least once a semester to help you run meetings, meet concurrently with National Headquarters or counsel your pledges and/or brothers. If you feel this would be advantageous, let me know and suggest a schedule that would be mutually convenient. Obviously weekends would be best for me but not an absolute requirement.

I hope that you have aggressively stepped up your communication with National Headquarters. You need you RA to write specially on your behalf as would the Chaplain also help. Most importantly you need to have all of your fees and loans paid up to date!

Since I can't at this time offer a financial contribution (three of you in college concurrently) I can offer this time. I would also be glad to correspond with any alumni you wish!

Best regards and thanks again!

Fraternally yours,



Robert A. Grote '62 (class of '65)

cc: Charles J. Vohs, Grand Senior President



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

September 5, 1990

Mr. Craig Brune, Acting President
Gamma Delta Chapter
Alpha Sigma Phi Fraternity
Box 0
Davis and Elkins College
Elkins, WV 26241

Dear Brother Brune,

At the August 11, 1990 meeting of the Grand Council, it was unanimously voted to continue the procedures to revoke Gamma Delta's Chapter that was initiated on February 6, 1988.

The process to revoke the Chapter's Charter was scheduled to include a final Grand Council vote in August 1988. Due to the outpouring of alumni concern and support and the initial strides made by the chapter with the assistance of the alumni, college officials and National Headquarters staff and volunteer personnel prior to the meeting, no revocation vote was taken.

The revocation of a charter is not a pleasant topic or easy decision for anyone involved. However, due to the past performance and present condition of Gamma Delta Chapter, the Grand Council felt they could not wait any longer, even in light of strong, dedicated Gamma Delta alumni support. You are most fortunate to have such a large and caring alumni backing and this support, along with the backing of your Grand Province Chief, Grand Chapter Advisor, Chapter Advisors, faculty and staff of your college, and the National Headquarters is critical if you are to again be a positive force on your campus.

The following items were taken into consideration when this decision was made:

- (1) Continued low membership.
- (2) Not following and fulfilling the Fraternity's "Reason for Being" and "Code of Conduct."
- (3) Financial irresponsibility and poor behavioral patterns at Davis and Elkins College.
- (4) Financial irresponsibility to Headquarters. The chapter is delinquent on a promissory note since no payments have been made since December, 1989. The total amount owed is \$2,473.02. Late dues, fees and reports are the norm.

- (5) Lack of positive development programs.
- (6) Disregard for Headquarters' staff assistance.
- (7) Failure to have a delegate attend the 1990 National Leadership Conference and Convention.
- (8) Lack of leadership and leadership potential.

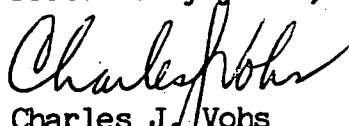
The driving force behind your chapter has clearly been your alumni involvement. Clearly now is the time for your alumni to rally again and rebuild this chapter! The alumni of your chapter will each receive a copy of this notice as the revocation vote will be taken at the 1991 Winter Grand Council Meeting. The exact time, date and location of this meeting will be available after October 1, 1990 from Brother John R. Chaney, our Executive Vice President.

At the meeting you will be afforded with the opportunity to meet with the Grand Council. This meeting would be strictly to state a case for why Gamma Delta should remain as an active chapter of Alpha Sigma Phi. Should you pass up this opportunity or fail to present a sufficient case, then your charter will be revoked in accordance with the Constitution and By-Laws of our Fraternity, Article XIX, Section 6.

Please understand that this vote does not have anything to do with you personally. Your contributions to "The Old Gal" in the absence of the HSP, have not been overlooked. It is our fervent hope and desire that Gamma Delta will, again, rise like the Phoenix to this latest challenge.

If there are questions or comments, please direct them to Brother Chaney. I urge you and your alumni leaders to discuss and coordinate future plans or reorganization efforts with him at the earliest opportunity.

Fraternally yours,


Charles J. Vohs
Grand Senior President

CJV/rr

cc: John R. Chaney, Executive Vice President
Dr. Daniel P. Babb, Grand Province Chief
H. Maxwell Morgan, Grand Chapter Advisor
Roy Teter, Chapter Advisor
Phil Graziano, Chapter Advisor
Richard Stilley, Director of Student Life
Gamma Delta Alumni

August 29, 1990

Mr. Craig L. Brune, HJP, Acting President
Gamma Delta Chapter
Box 0
Davis and Elkins College
Elkins, WV 26241

Dear Brother Brune,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Gamma Delta Chapter has officially been placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By-Laws.

The suspension shall not exceed a period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity.

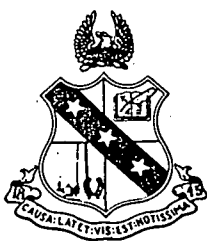
If you have questions or comments, direct them to me immediately.

Fraternally,

John R. Chaney
Executive Vice President

JRC/rr

cc: Charles J. Vohs, Grand Senior President
H. Maxwell Morgan, Grand Chapter Advisor
Dr. Daniel P. Babb, Grand Province Chief
Richard Stilley, Director of Student Life at Davis & Elkins
Roy B. Teter, Advisor
Philip J. Graziani



ALPHA SIGMA PHI FRATERNITY

TELEFAX COVER PAGE



Mailing Address: 24 West William Street, Delaware, OH 43015

Telephone: (614) 363-1911

Telefax: (614) 369-1845

TO: Paul Krutsch

c/o Krutsch Inc., 724 Oak Street, Wyandotte, MI 48192

FAX #: 313-282-6604

DATE: December 4, 1990

FROM: John Chaney, Exec. Vice President, Alpha Sigma Phi Fraternity

MESSAGE: I received your FAX concerning the status of Gamma Psi Chapter.

I will reserve a spot for you to appear before our winter Grand Council meeting. Currently that meeting is scheduled for January 26-27, 1991 at the Columbus Ohio Embassy Suite.

I envision your representative appearing before our undergraduate operations committee. The exact date and time will not be known until mid-January. I would suggest you contact me on January 22, 1991 to ascertain more specific details.

This Fax contains a total of 1 pages, including this cover page.



KRUTSCH INC.
724 OAK STREET
WYANDOTTE, MI 48192
282-1022
382-2020
282-6604 FAX

TO: JOHN CHANEY DATE: 12/2/90
FROM: PAUL I. KRUTSCH
PAGES 2 THIS SHEET INCLUDED

PLEASE NOTE: Home Phone # (313) 285-9787

December 2, 1990

Mr. John Chaney Executive Vice President
Alpha Sigma Phi Fraternity
24 W. William Street
Delaware, OH 43015

Paul T. Krutsch
249 Second Street
Wyandotte, MI 48192

RE: Gamma Psi Chapter

Dear Mr. Chaney,

I'm sure that you are most painfully aware of the status of Gamma Psi Chapter. A small coalition of brothers wish to send a representative to appear before the Grand Council at their January meeting. We request to be place on the agenda for that meeting.

Topics presented:

- 1) Who is Gamma Psi Chapter.
- 2) What contributed to problems currently faced.
- 3) Positive solutions for change for Gamma Psi and chapters like her.
- 4) A final resolution.

This dialogue is not meant to prolong the inevitable, this is a chance to air some problems, some solutions and we hope to ensure a stronger Alpha Sigma Phi.

Fraternally,



Paul Thomas Krutsch



Richard L. DeBin



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

September 5, 1990

Mr. Richard DeBin, HSP
Gamma Psi Chapter
Alpha Sigma Phi Fraternity
34634 Thornbrook Drive
Farmington Hills, MI 48331

Dear Brother DeBin,

At the August 11, 1990 Meeting of the Grand Council, it was unanimously voted to initiate the procedures to revoke Gamma Psi Chapter's Charter.

The revocation of a charter is not a pleasant topic or easy decision for anyone involved. However, due to the past performance and present condition of Gamma Psi Chapter, the Grand Council felt they could not wait any longer, even in light of your strong, dedicated alumni support! While the Gamma Psi Alumni Council letter of July 5, 1990 was included in the Grand Council's packet, the Council felt that the alumni would have sufficient time to act and make a positive turn-around prior to any final decision and vote. A copy of this letter is attached.

The following items were taken into consideration when the decision was made:

- (1) Lack of future potential. The chapter is faced with only one or two returning undergraduates this fall.
- (2) Continued low membership and lack of effective rush efforts.
- (3) Lack of undergraduate development programs. Past Chapter Leadership Consultants have repeatedly noted that the chapter has no real presence on the campus, that there is no developed sense of brotherhood, that pledge education programs were sorely lacking and openly hazed pledges.

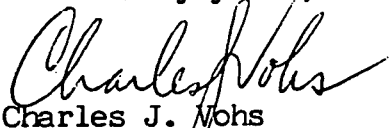
The driving force behind your chapter has clearly been your alumni involvement. Clearly now is the time for your alumni to rally again and rebuild this chapter! The alumni of your chapter will each receive a copy of this notice as the revocation vote will be taken at the 1991 Winter Grand Council Meeting. The exact time, date and location of this meeting will be available from Brother John R. Chaney, our Executive Vice President.

At the meeting you will be afforded with the opportunity to meet with the Grand Council. This meeting would be strictly to state a case for why Gamma Psi should remain as an active chapter of Alpha Sigma Phi. Should you pass up this opportunity or fail to present a sufficient case, then your charter will be revoked in accordance with the Constitution and By-Laws of our Fraternity, Article XIX, Section 6.

Please understand that this vote does not have anything to do with you personally. Your contributions to "The Old Gal" have not been overlooked. It is our fervent hope that Gamma Psi will again rise like the Phoenix to this latest challenge.

If there are questions or comments, please direct them to Brother Chaney. I urge you and your alumni officers to discuss and coordinate future plans or reorganization efforts with him at the earliest possible date at our National Office.

Fraternally yours,


Charles J. Vohs
Grand Senior President

CJV/rr

cc: John R. Chaney, Executive Vice President
William J. Siering, Grand Province Chief
Steve Gust, Co-Grand Chapter Advisor
Gary Weinfurther, Co-Grand Chapter Advisor
David L. Goetz, Alumni Corporation President
Alan McLaughlin, Director of Student Activities
Jeffery S. Owens, Chapter Leadership Consultant
Gamma Psi Alumni



Gamma Psi Alumni Council
David L. Goetz, President
327 Aqua Court
Royal Oak, MI 48073

RECEIVED

JUL 11 1990

The Grand Council
Alpha Sigma Phi Fraternity
24 West William Street
Delaware, OH 43015

July 5, 1990

Alpha Sigma Phi Fraternity.

Dear Brothers:

This letter will serve as a statement of intent from the Gamma Psi Alumni Corporation. We wish to encourage the future of the Gamma Psi Chapter of Alpha Sigma Phi at Lawrence Technological University.

We, the alumni of Gamma Psi Chapter plan to re-establish the presence of the undergraduate chapter on the campus of Lawrence Tech. Our purpose is to strengthen the bonds of brotherhood first within the alumni group, and then within the active chapter. With this goal achieved, we will then pursue an active fall rush on campus along with corresponding social events for undergraduates, rushees, and alumni alike.

In order to accomplish our objectives, we request the Grand Council to make the following considerations:

- 1) Table any discussions or votes to begin proceedings on revoking the Gamma Psi Charter until your Winter 1991 meeting.
- 2) Request the Executive staff of Alpha Sigma Phi Headquarters to assist our alumni group in the process of re-establishing a chapter.

If however, you are unable to grant our first consideration, we request that a letter from our alumni council be included in any correspondence you would make to our Chapter Alumni.

Gamma Psi Alumni are concerned about the state of the active chapter and are determined to see the return of a strong and viable brotherhood at Lawrence Technological University. Thank you for your consideration.

Yours Within The Mystic Circle,

David L. Goetz
President, Gamma Psi Alumni Corp.

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Resent December 19, 1990

November 14, 1990

CERTIFIED

Mr. David Berman, HSP
Gamma Omicron Chapter
Alpha Sigma Phi Fraternity
17 Cromwell Place
New Orleans, LA 70118

Dear Mr. Berman,

On October 1, 1990, an invoice for your chapter's dues and fees was sent to your HE. That invoice was due and payable in full on November 1, 1990.

Enclosed is a new invoice. As per the Fraternity's Constitution and By-Laws, a \$15.00 service charge has been added to your account. A \$5.00 charge for this certified letter has also been added.

Please see that a check for this amount is sent to the National Headquarters immediately. If you do not have the money to satisfy this invoice immediately, then you are required to call me at the National Headquarters within the next five days to inform the Fraternity of what your payment schedule will be.

Sincerely yours,

A handwritten signature in cursive script that reads "Beverly J. Moody".

Beverly J. Moody
Director of Administrative Services

BJM/sjj

Enclosure

cc: Charles J. Vohs, Grand Senior President
Stan G. Thurston, Grand Treasurer
John R. Chaney, Executive Vice President ✓
Tim Frech, Grand Chapter Advisor
Don Goforth, Grand Province Chief
David Harris

MAJ. STEVEN R. SZYMURSKI
509 DAKOTA DRIVE
HERNDON, VIRGINIA 22070

November 2, 1990

Mr. David S. Harris
207 South Henry Clay Blvd
Ashland, Mo 65010

Dear Brother David,

Your plea for help moved me. I too cannot stomach the idea of another inactivation of the Old Gal at Tulane. Allow me to show my active support to save the Gamma Omicron Chapter with the enclosed check for \$50.00 to the G.O. Phoenix fund.

I would be glad to assist in contacting other Gamma Omicron alumni in the Washington area and other alumni from the classes of 1968 to 1971. But I will need a copy of the Alumni listing mailed to me. Hopefully, I can drum up some more support. I have not been back to New Orleans in quite a while. Barring an outbreak of active hostilities in the Middle East, I will be available to assist in the "Golden Opportunity" Rush if needed.

Recently I attended the awards banquet at this year's national conference in Washington. The conference was near here and I thought it was a good opportunity to renew my association with the Old Gal. I thought it was strange that I did not bump into any undergrads from Gamma Omicron. I was shocked a week later when I, like you and other alumni, received a copy of the letter from GSP Vohs letter to HSP Berman. Hopefully that letter and others like yours will wake up other sleeping Alumni in time to save the Gamma Omicron chapter.

Fraternally,

Steven R. Szymurski
Steven R. Szymurski
Home - (703) 689-3240

cc: John R. Chaney, Executive Vice President
Alpha Sigma Phi Fraternity
National Headquarters
24 West William Street
Delaware, Ohio 43015

Mr. David Berman
Alpha Sigma Phi Fraternity
1031 Broadway
New Orleans, La 70118

DAVID S. HARRIS
207 SOUTH HENRY CLAY BLVD.
ASHLAND, MO. 65010

RECEIVED

OCT 19 1990

~~Alpha Sigma Phi~~ Fraternity, Inc.

Wednesday, October 17, 1990

Dear Brother Chaney,

I appreciate your desire to be of help in the rebuilding of Gamma Omicron at Tulane. In this letter I would like to address our plans to accomplish this.

First, please find enclosed a copy of a letter from myself and David Berman of Gamma Omicron to all of our Alumni. This letter is being sent to all Alumni on the latest list along with return envelopes for donations. The G.O. Phoenix account already has contributory funds in it.

Secondly, an Alumni committee has been formed including myself, Paul Benoit '83, Jonathan Benoit '83, Howard Herman '82, Stephen Jones '82 and Ian Karr '82. This committee will monitor and oversee the rebuilding process. This will include personal follow-up of the above mentioned letter by mail and phone, as well as planning, preparing for and participating in the Rush itself.

Thirdly, Consider this letter a request on behalf of myself, Dave Berman, the Alumni Committee and all of our fellow Gamma Omicron Alumni that the Grand Council postpone its Winter vote in order to allow us time to hold an Alumni sponsored Rush in February of 1991.

Also, let this serve as a request for your participation as well as that of Owen McCollough and Jeffery Owens, in our "Golden Opportunity Rush" February 1-9, 1991 in New Orleans. I feel that if we were to have hands-on guidance from National, as we did from Evin Varner in 1982, this rush is sure to be a success. Brothers Berman and Olson are in the unfortunate position of never having been a part of an effective and successful rush. My fellow Alumni and I, while having staged effective rushes, have little to no experience with "dry" rushes. This is where your experience and expertise, along with that of Jeffery and Owen will be most invaluable.

Finally, let me express my deep indebtedness to Brother Evin Varner. Were it not for his efforts in the "Golden Opportunity Rush" of 1982, I would not be

Wednesday, October 16, 1990
cont.....

writing you today. I would not have shared the joys or reaped the rewards of the Brotherhood of Alpha Sigma Phi. With this in mind, please join with me in making sure that my fellow Alumni and I will have generations of Gamma O's to follow.

Fraternally,

G.O. Phoenix
207 S. Henry Clay Blvd.
Ashland, MO. 65010

H - 314-657-1017

W - 800-877-1203



David S. Harris '83, HSP - '85

P.S. - As you can see a tremendous amount of time and effort is being put in by many people to ensure a successful rebuilding. Please contact me as soon as possible to let me know how much time the Grand Council will allow us to rebuild, and more specifically, what the policy is regarding membership and backing for Gamma Omicron to once again be considered viable. Also, let me know who will be joining us in February.

cc: Grand Council Members
Jeffery Owens
Owen McCollouch
David Berman
Alumni Committee Members

David Blevins
Tim Frech
Donald R. Morgan
Stan N. Miller
Robert Sandercox
Edmund Hamburger

DAVID S. HARRIS
207 SOUTH HENRY CLAY BLVD.
ASHLAND, MO. 65010

Sunday, October 14, 1990

Dear Gamma Omicron Brother,

Enclosed please find a copy of a letter dated 9/5/90 from Charles J. Vohs, G.S.P., to David M. Berman, current H.S.P. of Gamma Omicron. As you can see, our brothers in New Orleans need our help. I've been to New Orleans recently, and know both Dave Berman and Jeff Olson personally. They are both highly motivated, but need some direction and a push. Now is the time for us, as Alumni, to rally behind them, or face the loss of our Chapter.

At this time I am trying to establish what level of Alumni support we can count on to save our Chapter.

According to Executive V.P. John Chaney, a strong show of support on our part can forestall the Grand Council's vote. This would allow us the time to converge upon New Orleans and together help our active Brothers to stage a meaningful and lasting comeback.

I'm sure that you, like I, can't stomach the idea of not having an active Chapter to visit and take pride in when we visit our alma mater. Especially after all the time and effort we put into the 'Old Gal' in our active years.

Please write or call me or David Berman with any ideas or suggestions you may have as to what we might do in our rebuilding efforts, and particularly what you can do to help. Also, make plans now to be in New Orleans between February 1st and 9th 1991 for our 'Golden Opportunity' Rush. This gathering will serve a dual purpose. Not only will we be able to show our support and get our active Brothers firmly back on their feet, but this is the perfect opportunity to renew old ties and have a long overdue reunion.


Finally, contributions will be necessary to support our cause as the Chapter (with only two members) has essentially no working capital. If we can average as little as \$25.00 per Brother we will have raised enough money to put forth the best rush Alpha Sigma Phi at Tulane has ever seen. An account in the name of G.O. Phoenix has already been established for this purpose.

So take this time now to make use of the return envelope and to contact as many of your classes Brothers as possible to renew old ties and to rally support for this 'now or never' cause. If you need phone numbers or addresses, don't hesitate to contact me as I have the latest Alumni listing from Headquarters. All forms of support and assistance are greatly appreciated.

Fraternally yours,



David S. Harris '83, HSP - '85
Home - (314) 657-1017
Work - (800) 877-1203



David M. Berman '88, HSP
17 Cromwell Pl.
New Orleans, LA 70118
(504) 861-2728



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

September 5, 1990

Mr. David Berman
Gamma Omicron Chapter
Alpha Sigma Phi Fraternity
1031 Broadway
New Orleans, LA 70118

Dear Brother Berman,

At the August 11, 1990 meeting of the Grand Council, it was unanimously voted to initiate the procedures to revoke Gamma Omicron Chapter's Charter.

The revocation of a charter is not a pleasant topic or easy decision for anyone involved. However, due to the past performance and present condition of Gamma Omicron Chapter, the Grand Council felt it could not wait any longer!

The following items were taken into consideration when the decision was made:

(1) Lack of future potential. The chapter is faced with only two or three returning undergraduates this fall with one of those, Jeff Olson performing intern activities in Washington, DC until mid-year.

(2) Continued low membership and lack of effective rush techniques. The chapter has never really taken off since re-chartering. There have been lots of assistance from the national professional and volunteer staff to assist the chapter to develop effective membership programs, but none of these has resulted in membership increases. The chapter has developed written plans, been re-organized and been assisted in all possible endeavors. But still, reports from past staff members indicate the previous chapter leaders only looked for reasons to fail, reasons to complain and reasons to wait or delay in rush.

(3) Past financial delinquency. In the past, the chapter repeatedly has been late on dues and fees payments to the National Office. Queries to resolve these late transactions have been effective only when threatening measures were imposed.

(4) Lack of alumni support and commitment to undergraduate operations.

September 5, 1990

Page 2


It is now time for you to clearly show your leadership and drive. Clearly, now is the time to rally the alumni support. The alumni of your chapter will each receive a copy of this notice as the revocation vote will be taken at the 1991 Winter Grand Council Meeting. The exact time, date and location of this meeting will be available after October 1, 1990 from Brother John R. Chaney, our Executive Vice President.

At the meeting you will be afforded with the opportunity to meet with the Grand Council. This meeting would be strictly to state a case as to why Gamma Omicron should remain as an active chapter of Alpha Sigma Phi. Should you pass up this opportunity or fail to present a sufficient case, then your charter will be revoked in accordance with the Constitution and By-Laws of our Fraternity, Article XIX, Section 6.

Please understand that this vote does not have anything to do with you personally, Dave. Your contributions to "The Old Gal" have not been overlooked. In fact, I know for a fact that you are the glue that has held this chapter together for the past two years. With all of that, it is our fervent hope that Gamma Omicron will again rise like the Phoenix to this latest challenge.

If there are questions or comments, please direct them to Brother Chaney. I urge you and your alumni leadership to discuss and coordinate future plans or reorganization efforts with him at the earliest possible date at our National Office.

Fraternally yours,


Charles J. Vohs
Grand Senior President

CHJ/rr

cc: John R. Chaney, Executive Vice President
Don Goforth, Grand Province Chief
Tim Frech, Grand Chapter Advisor
Jeffery S. Owens, Chapter Leadership Consultant
Greg Boardman, Dean for Greek Affairs
Gamma Omicron Alumni



RECEIVED

TUFTS UNIVERSITY

Alpha Sigma Phi Fraternity, Inc.

Office of Student Activities &
Mayer Campus Center

January 11, 1991

John R. Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
24 West William Street
Delaware, OH 43015

Dear John:

I wanted to take a moment, albeit somewhat after the fact, to thank you for your letters and support of the Beta Iota Chapter of Alpha Sigma Phi Fraternity located at Tufts University. I was touched by your letter to the chapter dated December 5 regarding the death of Brian Kane, and pleased to receive the fax that we discussed over the phone the day before that. You'll be happy to know that your efforts and the efforts of the chapter were recognized and appreciated during the Committee on Fraternity and Sorority Life (CFS) meeting of December 7.

During that meeting, your fax and a formal letter from the chapter regarding their probationary status were discussed. As a result of those communications, CFS decided to put off their mid-year review of Alpha Sig until after the January rush period. Therefore, Beta Iota will have a good chance to start off the semester on a more solid footing. Rush begins on January 28, so by mid-February, we can take a more definitive look at the chapter's progress. I'll be sure and keep you posted on what transpires.

Additionally, thank you for sending along a copy of Owen's report of his visit. I agree with his conclusions about rush and goals/motivation, and hope that the chapter will follow up on his suggestions. If all goes well with rush, I hope that Owen can plan to make another visit to the chapter soon afterwards.

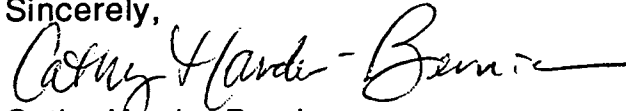
Finally, according to a Tufts Daily article that appeared in their January 10 issue, the Tufts University Police have officially closed the investigation into Brian Kane's death. It was ruled, according to the article, an accidental death that showed no evidence of alcohol involvement. I did hear from your insurance company a week or two ago, but I

Letter to John Chaney - Page 2

was not aware of this new information at that time. At any rate, you (and the insurance company) should feel free to call Detective Charles Lonero of the Tufts Police Department (617-381-3030) for the final word.

Thanks again for your and Alpha Sig's support of the Beta Iota Chapter. All the best to you in 1991.

Sincerely,

A handwritten signature in cursive script that reads "Cathy Harder-Bernier". The signature is written in dark ink and is positioned above the printed name and title.

Cathy Harder-Bernier
Assistant Director

cc: Committee on Fraternity and Sorority Life members
Mike Eidelkind
Owen McCulloch

ALPHA SIGMA PHI FRATERNITY

January 15, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Todd Maiellaro, HSP
Phi Chapter
Alpha Sigma Phi Fraternity
2717 West Street
Ames, IA 50010

Dear Brother Maiellaro,

Grand Senior President Vohs allowed your chapter's 30 day administrative sanction imposed on November 16, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat firearms related incidents do not occur.

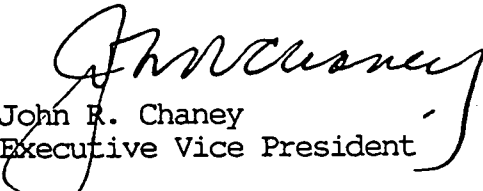
You are hereby required to submit a complete incident report to this headquarters by February 15, 1991 detailing the circumstances that led to the sanctions imposed on November 16th. By May 1, 1991 your chapter will submit a comprehensive risk management educational program to this headquarters. It will include what changes have occurred in your chapter as a result of this incident and your other alcohol related violation. Your chapter delegate will be required to address this issue in his appearance before the Grand Council Undergraduate Operations Committee meeting at the National Leadership Conference to be held at Penn State from August 7-11, 1991.

I'm quite frankly disturbed that this dangerous incident occurred while you are on close scrutiny by the Grand Council for the alcohol incident on March 31, 1990. For that incident, you were required to sign up a Faculty Advisor and a Grand Chapter Advisor plus hold an alcohol abuse workshop. To date, none of these previously imposed actions have been reported even though your suspense date was December 1, 1990.

Our Grand Council Undergraduate Operations Committee meets on January 27, 1991. I would like to include a copy of your compliance with the previously imposed sanctions in my written report to them. To this end, I urge you to contact me immediately!

If you have any questions or comments, direct them to me as soon as possible. Chapter Leadership Consultant Jeff Owens is scheduled to make the second consultant visit of the year to your chapter, at your request, to assist with rush from February 6-9, 1991. In addition to rush, Jeff could be of great assistance in complying with our requirements.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Gary Forney, Grand Province Chief
Jeffery S. Owens, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

November 16, 1990

Todd Maiellaro, HSP
Alpha Sigma Phi Fraternity
Phi Chapter
2717 West Street
Ames, IA 50010

Dear Brother Todd,

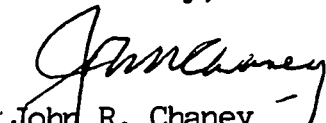
As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Phi Chapter has been officially placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By Laws.

The current suspension shall not exceed a period of thirty days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity. Additional administrative sanctions may be imposed as directed by the Grand Council.

A copy of an earlier sanction dated July 9, 1990, which was continued by action of the Grand Council until August 1991 is enclosed. Per that sanction, you were to provide me with certain information by December 1, 1990.

If you have any questions or comments, direct them to me immediately.

Fraternally,


John R. Chaney
Executive Vice President

JRC:sd

Enclosure

cc: Grand Council
Gary Forney, Grand Province Chief
Owen McCulloch, Chapter Leadership Consultant

July 9, 1990



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Todd Maiellaro
13805 Poppleton Circle
Omaha, NE 68144

Dear Todd,

Thank you for your May 14 report to Randy Lewis regarding the incident with Chris Roberts on March 31. I appreciate your candor in admitting that the chapter purchased alcohol by the keg. While this is a clear violation of the Fraternity Risk Management Policy I am pleased that the incident has "grabbed your attention" and caused you to change your chapter's alcohol policy.

It sounds as if you have a good plan to assure that the new alcohol policy is followed. However, your direct violation of the alcohol policy does require action from the Grand Council. Grand Senior President Edmund A. Hamburger has placed the chapter on "administrative suspension" which will likely be continued for the 1990-91 school year. During this time the chapter will be under the close scrutiny of the Grand Council. If further violations of the policy occur the Grand Council may take more severe action--any form of collective alcohol purchase, (e.g. slush funds, pitch-ins), must be discontinued. In addition to the suspension, the chapter is required to 1. sign-up a Faculty Advisor and Grand Chapter Advisor--none are now shown on our records, 2. hold an alcohol abuse prevention workshop for all members. You are required to report to the National Headquarters by December 1, 1990 regarding these requirements.

Please let me know if you have any questions regarding these requirements, Todd.

Fraternally yours,

Robert M. Sheehan, Jr.
Executive Director

RMS/hmd

cc: Edmund A. Hamburger, Grand Senior President
Gary Forney, Grand Province Chief
Robert G. Cabello, Grand Marshal
Stan G. Thurston, Grand Councilor
John R. Chaney, Executive Vice President
Jeff Cufaude, Greek Affairs Coordinator



Phi Chapter of



Iowa State University
2717 West Street
Ames, Iowa 50010
(515) 292-1902

Alpha Sigma Phi

Mr. Randy Lewis
Director of Chapter Services
Alpha Sigma Phi Fraternity
24 West William St.
Delaware, OH 43015

RECEIVED
MAY 14 1990

MAY 18 1990

Alpha Sigma Phi Fraternity, Inc.

Dear Randy,

Here's the report I promised you last week concerning the incident that we discussed that happened here at Phi Chapter during Greek Week this past semester. There is a lot for me to do in the next week to prepare for finals and closing the house for summer, so I will enclose my summer address if I can be of any further help.

The incident occurred on March 31, 1990 on the final day of Greek Week. The person, Chris Roberts was a guest of one of our members. He is a high school senior, however he was undecided on which school he will be attending next year, so he wasn't an "official" rusher for Greek Week. We had a BBQ and party with our team members, Acacia, Phi Kappa Tau, and Alpha Omicron Pi. We all meet at our house around 8:00 pm for a BBQ and no alcohol was served until 8:00 pm, a rule previously set by IFC. It wasn't an open party at all, only members of our Greek Week team were invited. The keg, that I mentioned to you was purchased by all three Fraternities involved (the two are not under the FIPG policy). ID's were checked as the beer was handed out and not at the door because everyone was already in for dinner. However, Chris's ID was not checked because we had already known he was not of legal age, and he did not receive any beer at the party.

Chris was found passed out in a dorm hallway about 1:30 am, by an ISU Patrol. He was arrested for public intoxication. Chris reported that night that he had received the beer at our house and went out with a couple of guys from the house after the party broke up around 10 pm, after the AOPi's left, and went to another party in the dorms. They got separated and Chris, who was not familiar with the campus, passed out. The members from my house looked for more than an hour to find Chris, but they couldn't. They figured he either found a place to stay for the night, or he went back to the house.

I was not informed until the next morning of the incident. I did not see them leave the house that night. After talking to the guys that took him out, they said that they had been drinking in their room upstairs away from the party, and then left to go to

another party in the dorms, where they got associated.

We realize that this is a very serious incident and we certainly are thankful that Chris did not get hurt in any way. I personally have learned a lot, and have expressed my feelings to the chapter on our new policies. After discussing the incident with Jeff Cufaude, ISU Greek Affairs Coordinator, and Don Marks, the head of IFC Judicial. I reported to the chapter that things were going to change.

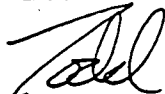
Things have changed over the past few semesters, especially at Phi Chapter. It's my goal as being elected to my second term as president to get Phi Chapter heading in the right direction before I graduate in December. The idea of BYOB is new at ISU, and there is no overall BYOB policy among the fraternities. The level of this new policy is at different stages throughout the Greek System and I personally think that it is becoming more popular. Several alumni that have recently graduated were shocked, to say the least, about this new alcohol policy, however I feel very confident of its success.

Once again we have learned from our mistakes. I made it clear to our returning alumni during the past VEISHEA celebration here at Iowa State, that we were to have no kegs. That is something of a tradition in the past, where a returning alumni buys a keg for the house. But that did not happen this year or will not happen in the future. The responsibility of having a party is too great to risk 70 years of tradition of Phi Chapter at ISU. Our future is on shaky grounds now, but we do have the personal to fill the house and pay our financial obligations. There is a lot to learn and I plan on meeting with Jeff Cufaude the first week back in the Fall Semester.

Once again a lot of things have changed at Phi Chapter, a lot of the older guys are gone, which in a way makes running the chapter my way a whole lot easier. I do feel we are heading in the right direction and I feel we've learned a lot from this incident so it will never happen again. Again, there is a lot happening this next week to prepare for finals. I will be leaving Monday, May 14 for summer. If I can be of any more help please let me know, my summer address is:

Todd M. Maiellaro
13805 Poppleton Cr.
Omaha, NE 68144
(402)333-2271

Fraternally Yours.



Todd M. Maiellaro, HSP

cc: Jeff Cufaude, IFC Greek Affairs Coordinator
AlsiPhi Board Officers

PANHELLENIC AND INTERFRATERNITY
COUNCILS
STATE OF IOWA

RECEIVED

MAY 16 1990

May 9, 1990

Alpha Sigma Phi Fraternity, Inc.

Todd Maiellaro, President
Alpha Sigma Phi Fraternity
2717 West Street
Ames, IA 50010

Dear Todd,

Thanks for meeting with Jeff Cufaude and me last week to discuss Alpha Sigma Phi's involvement in the Christopher Roberts' incident May 1. Although this is viewed as a serious matter, we were pleased with your cooperation and willingness to improve the fraternity's future efforts.

Two critical issues were raised during the meeting that must be analyzed further by the chapter. They were the lack of supervision of Mr. Roberts by the executive officers, and the absence of any planning or structure for post-Olympic activities.

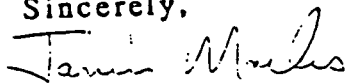
To help resolve these problems we would like the fraternity to examine how the problems developed, and to formulate a set of guidelines next semester to address various risk management issues. Specifically, Alpha Sigma Phi should:

- * Investigate how alcohol was obtained by Mr. Roberts. This report is to also detail the fraternity's perceptions of the problem, actions taken to discipline the individual(s) involved, and plans made to ensure that potential for a similar matter does not exist in the future. This report should be submitted to the Greek Affairs Coordinator by Thursday, May 17.
- * Arrange a meeting with the Greek Affairs Coordinator, Inter-fraternity Council Risk Management Chairman, and Alpha Sigma Phi President and Social Chairman prior to any social events of the fall semester, 1990. This meeting will help to clarify risk management issues and alcohol policies affecting the fraternity, and should provide the basis for a chapter discussion of similar issues.
- * Submit a proposal to the Greek Affairs staff prior the annual Porch Party, and again before Greek Week, that details all party aspects (eg. anticipated number of guests, amount of alcohol, alternative beverages, door workers, etc.).

Α Β Γ Δ Ε Ζ Η Θ Ι Κ Λ Μ Ν Ξ Ο Π Ρ Σ Τ Υ Φ Χ Ψ Ω

If you have any questions or comments concerning our suggestions, please call me at 292-5553. Thanks again for your help.

Sincerely,



Jamin Marks
Judicial Board Chairman

cc. Greek Affairs Coordinator
IFC Risk Management Chairman

ALPHA SIGMA PHI FRATERNITY

January 15, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Barry J. Herman, HSP
Beta Epsilon Chapter
Alpha Sigma Phi Fraternity
U. C. Box F2
Lehigh University
Bethlehem, PA 18015

Dear Brother Barry,

Thank you for your recent letter which was received at the National Headquarters on January 2, 1991. I will consider it as a request to the Grand Council for ending your current administrative suspension. The letter will be included in the Grand Council reading packet for their action on January 27, 1991.

Two of the original requirements imposed by this headquarters on our letter of June 18, 1990 (attached) were:

1. Participate in the Fraternity's National "Standards of Excellence" program (now required for all of our chapters). I do understand from your letter that you are following the program. To date, we have not received a copy of your workbook. The workbook should be sent post haste so I can assure the Grand Council of your total compliance.
2. Hold a retreat for all Beta Epsilon Chapter members during the first four weeks of the 1990-91 school year. I would like to receive your written assurance that this was accomplished so I can pass along that information to our Grand Council.

I would like to commend you and your chapter members for the outstanding cooperation and improvement made since your chapter's April 20, 1990 risk management violation incident.

I look forward to your input in the very near future. If you have any questions, please do not hesitate to contact me immediately.

Warm fraternal regards,


John R. Chaney

JRC/sjj

Enclosure

cc: Grand Council
John H. Luckenbill, Grand Province Chief
Philip Blythe, Faculty Advisor
Richard DiGiacomo, Alumni Corporation/Association President
Robert Robeson, Greek Advisor

RECEIVED

JAN 2 1991

Alpha Sigma Phi Fraternity, Inc.

Jonathan Chaney
Executive Director, Alpha Sigma Phi
24 West William St.
Delaware, Ohio 43015

Dear John,

After being placed on administrative suspension by National for actions that occurred last spring, the brothers of Beta Epsilon have really pulled together. The Standards of Excellence roadmap that you have laid out for us is quite effective, and we here at Lehigh feel that we have risen above the other fraternities to regain our title as the best house on the "Hill". The semester has gone remarkably well, considering all the changes thrust upon us by both National and the university. We held a drug and alcohol awareness seminar, which I'm sure both Jonathan and Owen told you about. The program was effective, with a lot of people getting things off their chest and at the same time learning about problems they might or could have in the future. Because of FIPG, we are, of course, unable to have parties. While the people who are 21 go out to bars, the rest of us have managed to find ways of having fun without getting drunk. It has been a very pleasant transformation, and one that is not only good for our grades, but for our health as well. We are presently twelfth

out of thirty fraternities academically, and hopefully still on our way up. The house is also doing well in Intramurals, with people channeling their energies into athletics instead of drinking.

Of course, the most important aspect of the house, and one of the things Owen seemed most concerned about during his visit, is rush. The brothers here realized the importance of good rush. This year, for the first time, we had to push the Greek system itself, along with our own house, because of all the drastic changes going on all around the country. We pledged twelve great guys during the regular rush period, and added two more during post-rush to bring our total to fourteen. The average for Lehigh was twelve, so numerically we were just slightly above average. However, the quality of the men who chose to pledge our house was well above average. The pride that we feel for the house shone through during rush, and we had many, many rushees looking to join our house. Although we could have pledged many more men, the house is practically at capacity. Also, it has always been our policy that if you have too many pledges, each one does not get enough individual attention and education, which shows up when they become brothers.

I feel that at this juncture, there are two aspects of the house that will show the Grand Council that Beta Epsilon is abiding by, and possibly even surpassing, the Standards of Excellence which have been set down. The first is academics, where there is room for improvement, but where we are doing much

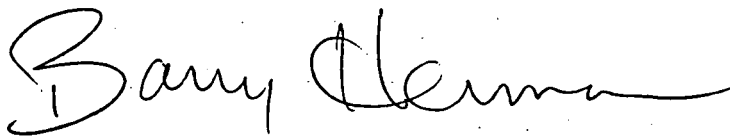
better than in recent years. Second, there is Rush, which shows whether a house is together and whether they believe in themselves. Although I am a little biased, I honestly believe that Alpha Sig has pledged fourteen of the best students here at Lehigh, and we definitely have the very best pledge class on the "Hill". Of course, there is a third aspect that we should consider, although I don't think we will have to worry about it anymore. Since we are abiding by FIPG, we have not gotten into any trouble with the police or administration, which has been a major problem in the past. In fact, the only trouble we have gotten ourselves into occurred when our dog was caught running loose and given a citation for chasing someone.

It is obvious to me, and I hope just as obvious to you, that Beta Epsilon has turned itself around, and is now turning a new corner that takes the focus off drinking and on to more positive, productive aspects of fraternity life. While this letter is a report on how we have been doing since the beginning of the semester, I would also like to take this time to ask the Grand Council to relieve Beta Epsilon of the administrative suspension that we were placed on. We have realized the way that we have done things in the past cannot be tolerated any longer, and we have learned our lesson. If we were relieved of the suspension, I can assure the Grand Council that I will do everything in my power to make sure that our chapter will continue to follow the Standards of Excellence and not fall back into our old ways.

Thank you for your cooperation. If you have any problems or

questions, feel free to call me over Winter Break at
(302)737-2090. I will be there until January 14.

Fraternally yours,

A handwritten signature in cursive script that reads "Barry Herman". The signature is fluid and extends to the right with a long, sweeping tail.

Barry Herman, HSP



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

June 18, 1990

Mr. Jonathan A. Clark, HSP
Beta Epsilon Chapter
c/o Apt. 302
425 Brighton Street
Bethlehem, PA 18015

Dear Jonathan,

Thank you for your cooperation over the past few weeks as we gathered information on Beta Epsilon's April 20, 1990 violation of the Lehigh University Code of Conduct and the Alpha Sigma Phi Risk Management Policy. As we have discussed by phone, the chapter has violated the Fraternity Risk Management Policy by allowing and/or providing for the consumption of alcohol by individuals under the age of 21.

This is a serious violation and Grand Senior President Hamburger has placed Beta Epsilon on "administrative suspension," effective immediately. This means that your chapter will not have a vote at the National Convention this summer, and that your chapter's activities will be carefully monitored during the coming year. Further, the following additional requirements have been set for the chapter:

1. The Grand Council supports the University's disciplinary action upon the chapter. Failure to follow through on university requirements will be considered a violation of Fraternity requirements.

2. The chapter is required to participate in the Fraternity's national "Standards of Excellence" program for 1990-91. This will require the chapter to submit a report to the Grand Council by October 15, 1990 regarding the chapter's plans to achieve excellence. The chapter is required to submit progress reports on these plans on 12/15/90, 3/1/91, and 6/1/91.

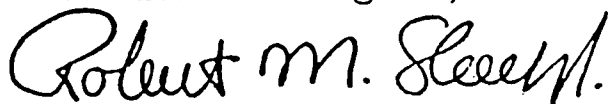
3. As a tool to initiate these "excellence plans," the chapter is required to hold a retreat during the first four weeks of the 1990-91 school year. Jonathan, you are required to work with Brothers Blythe, Dekorte, and DiGiacomo, and with me, to choose the date and location of the retreat. The retreat will cover Goal Setting, Risk Management, Alumni Communication, Alcohol Abuse Prevention, and other topics you feel are important. Please contact me as soon as possible to begin preparations for the retreat. All members will be required to attend.



The purpose of these requirements is to support Beta Epsilon towards the goal all Alpha Sigs share: excellence. The Grand Council is confident that the chapter will respond positively to the administrative suspension and university probation, and that 1990-91 will be a great year for Beta Epsilon. If all these requirements are implemented positively, then the chapter may request that the suspension be lifted as early as January 1, 1991.

Jonathan, I will expect a call from you within the next ten days to discuss the retreat and to see if you have any questions about these requirements. I look forward to talking with you soon.

Warm fraternal regards,



Robert M. Sheehan, Jr.
Executive Director

RMS/rr

cc: John R. Chaney, Executive Vice President
Edmund A. Hamburger, Grand Senior President
Robert G. Cabello, Grand Marshal
Philip Blythe, Faculty Advisor
John H. Luckenbill, Grand Province Chief
Robert Robeson, Greek Affairs Coordinator
Jeffrey J. Dekorte
Richard A. DiGiacomo, Alumni Corporation/Association President
Charles M. Slinghoff, Jr., Alumni Corporation/Association Treasurer
All Beta Epsilon Undergraduate Brothers

June 18, 1990



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Pete Padilla, HSP
Alpha Omicron Chapter
779 Jennings Avenue
San Antonio, TX 78225

Der Pete,

Thank you for calling me a couple of weeks ago to discuss your chapter's violation of the Fraternity Risk Management Policy. Specifically, the chapter's violation is that you held a party where alcohol was purchased by the chapter and provided to those in attendance — including minors.

This is a serious violation, Pete, and Grand Senior President Hamburger has placed Alpha Omicron on "administrative suspension," effective today. You are required to send me a letter by August 1, 1990 which explains the changes your chapter will be implementing this fall regarding social functions and alcohol. You are further required to submit a follow-up report to the National Headquarters by December 1, 1990 which explains how successful those changes have been. If both of these reports are satisfactory, then it is possible that the suspension could be lifted by February 1. However, if the reports are not satisfactory and/or the chapter violates the Risk Management Policy again, then more severe sanctions may be taken by the Grand Council. Your chapter will not have a vote at the National Convention because you are on administrative suspension.

Pete, I was pleased to hear that your chapter suspended the brothers who were involved in the fight at this party — it shows that you are moving in the right direction. I am confident that you will survive the administrative suspension period successfully. If you have questions about this action, please give me a call. I hope we'll see you at the Convention!

Warm fraternal regards,

Robert M. Sheehan, Jr.

Robert M. Sheehan, Jr.
Executive Director

RMS/rr

Enclosures

cc: Edmund A. Hamburger, Grand Senior President
Robert G. Cabello, Grand Marshal
John R. Chaney, Executive Vice President
John Campbell, Grand Chapter Advisor
Gary Forney, Grand Province Chief



RECEIVED

NOV 18 1990

Alpha Sigma Phi Fraternity, Inc

November 27, 1990

Robert M. Sheehan, Jr.
National Headquarters
24 West Williams Street
Deleware, Ohio 43015

Alpha Sigma Phi
Alpha Omicron
Missouri Valley College
Box 73
Marshall, Mo. 65340
(816) 886-6924 ext.181
(816) 886-8741

Dear Brother Sheehan,

I am writing this follow-up letter pertaining to the "Administrative Probation" placed on Alpha Omicron on June 18, 1990. With the help of Owen McColloch, our chapter has taken great strides in the right direction. Alpha Omicron has safely followed all the rules of the Risk Management Policy to the greatest extent. There has been a great improvement in the morale of this house. Our brotherhood has increased because of last years incident. We are trying to set high standards in order to catch up with other chapters. One of our greatest accomplishments of the mid-semester is that we have raised the house G.P.A. to a 2.9, which leads the campus organizations. Last year we placed fifth. I hope this letter is satisfactory. We hope to be hearing from you soon.

Fraternally,
Pete Padilla, HSP

July 29, 1990

Robert M. Sheehan
National Headquarters
24 WestWilliams St.
Deleware, Ohio 43015

Pete Padilla, HSP
Alpha Omicron Chapter
Box 442
Marshall, Missouri 65340

Dear Brother Sheehan,

I am sending this letter pertaining to the action taken by the Grand Council on Alpha Omicron. We take full responsibility for our actions. I have discussed this incident with my fellow officers. We feel this is in our best interest not to have any social functions in which alcohol is involved. We tried our best to follow the Risk Management Policies, but we failed. We plan to have functions in which non-alcoholic beverages are served. If we plan any function where alcohol is available, it will be guidedlined by the Risk Management Policies. I will discuss this incident with my fellow brothers' during our first formal meeting. I hope this incident doesn't damper our future with Alpha Sigma Phi, because as you know we are trying to build a environment " To Better The Man".

We will keep striving for success. I hope this letter is satisfactory. We will submit a letter by December 1, 1990. Once again, Thank You for keeping me informed on the events that have taken place.

Fraternally,



Pete Padilla, HSP

January 11, 1991

Letter to Delta Chapter Alumni:

This letter is to inform you of the events that have transpired between Delta Chapter and Marietta College officials over the past three months. In brief, due to a series of incidents (many not directly attributed to Delta Chapter members), the college has closed the chapter house for the remainder of the 1990-91 academic year. Reopening the chapter house is contingent upon the chapter successfully completing a series of sanctions by May 15, 1991.

The chapter still remains at Marietta College under sanctions by both the college and the National Fraternity. Copies of correspondence with Marietta College officials dated October 26, 1990 and November 6, 1990 provide more comprehensive information. As a result of the membership review on November 1, 1990 two undergraduate members were moved to alumni status for conduct detrimental to the good order and character of the Fraternity and two undergraduate pledges had their membership terminated.

The National Headquarters solicited assistance from Alpha Sig alumni in the greater Marietta area in an effort to secure a Grand Chapter Advisor and a functioning chapter assistance team for the undergraduate chapter. Response from that solicitation was great! As a result, Delta Brothers Jeff Welch, Steve Littler and Tim Williams, along with Gamma Alpha Chapter Brother Ed Fingers, had a conference call with the National Headquarters professional staff in mid-December. Those brothers established a meeting date of January 13, 1991 to get the chapter assistance team activities underway. Additional local Alpha Sig Brothers will be invited to attend this organizational meeting. The National Headquarters will conduct a retreat for Delta Chapter on January 19, 1991.

Delta Chapter undergraduate officer members are continuing to function in spite of the adverse situation. They are performing repair work on the chapter facilities, doing volunteer community service projects and earning money to defray anticipated expenses and costs to Marietta College.

One individual matter that still remains unknown at the present time is the total amount of money owed by the chapter to Marietta College. As of December 20th, Dr. Markwood informed me that the chapter owed \$3,570 on their lease plus an outstanding one month gas bill. The inventory of furnishings would undoubtedly increase the total amount owed.

Should you desire to be of assistance to your chapter or offer financial support, I would suggest you contact one of the following Delta Chapter Brothers:

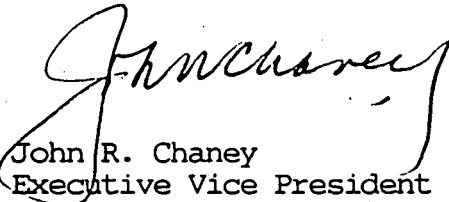
Jeffrey D. Welch
427 Fifth Street
Marietta, OH 45750
(614) 373-0277 (Home)
(614) 374-6134 (Office)

Stephen E. Littler
207 Ellsworth Drive
Marietta, OH 45750
(614) 373-1942 (Home)
(614) 984-2376 (Office)

Timothy A. Williams
3989 Main Street
Hilliard, OH 43026
(614) 876-8912 (Home)
(614) 460-2238 (Office)

I will continue to closely monitor the efforts of our 130 year old Delta Chapter in properly rebuilding itself and moving back into their chapter house next fall. Should I ever be of assistance, please do not hesitate to contact me.

Warm fraternal regards,



John R. Chaney
Executive Vice President

JRC/sjj

Enclosure

cc: Grand Council
Dr. Patrick McDonough
Dr. Steve Markwood
Chapter Leadership Consultants
Staff



Marietta College

Chartered in 1835

RECEIVED

NOV - 9 1990

Alpha Sigma Phi Fraternity

November 6, 1990

Mr. Larry Watson
President, Alpha Sigma Phi Fraternity
Marietta College

Dear Larry:

This correspondence is to officially notify you that the College, after extensive and intense discussions with the campus by the President's Cabinet and with the acknowledgment of the National Fraternity-Headquarters, has decided to close the residence at 207 Fourth Street by noon on Saturday, November 10th, 1990, for the remainder of the current 1990-91 academic year, in accordance with Articles III and XII of the Fraternity Lease Agreement and Article IX, Section 2, of the Interfraternity Council Constitution. In addition, the College has placed your Chapter on Restrictive Probation indefinitely with a formal review of your status contingent upon the successful fulfillment of a series of proactive requirements by May 15th, 1991.

As you are aware, this unusual and serious action by the College was the result of individual and fraternal activities which Marietta finds unacceptable in light of a ... "contemporary liberal arts education." As previously noted these incidents include damage to the facility, theft of College and private property, misuse of alcohol, and serious behavioral incidents at Chapter social events after both an informal and formal warning by my Office.

Each resident must vacate the residence by noon on Saturday, November 10th and must be reassigned to other College residence facilities. Although the Seniors may exercise an option to reside off-campus, the underclassmen must meet individually with Nancy Dickens, Associate Dean for Residence Life, to review available campus vacancies. The Physical Plant Department will make vehicles available for students to transport their personal belongings to their new residence assignments. Most importantly, each resident needs to understand that they can be held financially accountable for any damage to the facility or furnishings during the vacating process.

The Fraternity will remain on Restrictive Probation indefinitely with a formal review scheduled for May 15th. The Chapter will not be allowed to hold on-campus social events until March 15th, and then must meet the FIPG guidelines, including uniformed security, as set forth by the National Fraternity. The Officers and members will be expected to go through a series of leadership and risk management training programs and the pledges will be required to go through a greek orientation program developed by the Inter-Fraternity Council prior to approval for membership activation. In addition, the Chapter will be required to develop and implement a major campus and/or community service project.

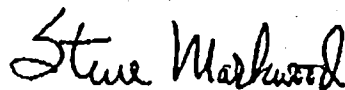
Watson - page 2

Finally, the Chapter will be required to fulfill all financial obligations to the College including restoring the facility and furnishings to its 1986 condition and securing all past debts. Although the Chapter may not participate in intramurals during the Fall Semester, the Fraternity may elect to participate in the Spring Term.

It is very important to note that the College did not remove official recognition of the Chapter, and will formally review the Chapter's status on May 15th. This review will be directly effected by the Fraternity's successful fulfillment of the financial and Probationary stipulations, and the Chapter's and individual's attitudes and behavior during the interim. The College's assignment of the residence for 1991-92 academic year will also be related to your Chapter status review.

As you are aware, this was not an easy decision for the College, and the institution is aware of the difficulties which face your Chapter. However, the College believes that Alpha Sigma Phi has enjoyed a long history here at Marietta and is capable of recovering from its current difficulties. If you have any specific questions concerning this letter, please do not hesitate to call upon me.

Respectfully submitted,



Steve Markwood
Dean of Students

SM:ld

cc: Dr. Patrick McDonough, President
✓ John Chaney, Executive Vice President, Alpha Sigma Phi
Nancy Dickens, Associate Dean for Residential Life
Tom Lakatos, President, IFC
Dr. Mike Taylor, Chapter Advisor
Brothers of Alpha Sigma Phi
Parents of Alpha Sigma Phi Members

Enclosures



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

FOR IMMEDIATE RELEASE

The National Headquarters Staff and Grand Council of Alpha Sigma Phi Fraternity conducted a 100% intensive review of Delta Chapter membership, Marietta College, on November 1, 1990. As a result of this membership review, two undergraduate members were administratively moved to alumni status and will be removed from the chapter house for conduct detrimental to the good order and character of Marietta College and Alpha Sigma Phi Fraternity. Two additional undergraduates who had pledged Alpha Sigma Phi have had their pledge membership terminated.

Additional sanctions have been levied against the chapter for allegations concerning incidents on the Marietta College campus. After investigation of the alleged events, many of the allegations were found to involve non Alpha Sigma Phi Fraternity members or former undergraduate members.

All members retained by the membership review committee were required to reaffirm their adherence to the rules and regulations of Marietta College and Alpha Sigma Phi Fraternity. Alpha Sigma Phi has cooperated swiftly and completely with all Marietta College requirements.

Alpha Sigma Phi Fraternity regrets the necessity for these actions, but as a responsible institution, we have taken the initiative in this effort. Future programming of the chapter by the National

Headquarters will focus upon additional areas of development.

Delta Chapter is Alpha Sigma Phi's oldest active chapter and the oldest chapter on the Marietta campus, having chartered in 1860.

"We have always viewed ourselves as responsible partners with higher education and our positive and swift actions in this matter should send a clear message of our true intent to the chapter and Marietta College," says John R. Chaney, Executive Vice President, "and with scheduled programming, we hope Delta Chapter will quickly resume its leadership role on the campus as it has demonstrated for the past 130 years."

FOR MORE INFORMATION CONTACT:

John R. Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
24 West William Street
Delaware, OH 43015
PH: 614/363-1911



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

October 26, 1990

Dr. Steve Markwood
Vice President for Student Affairs
Marietta College
Marietta, OH 45750

Dear Dr. Markwood,

I wish to thank you and Marietta College President, Dr. Patrick McDonough, for allowing me to meet with you yesterday and allowing us to have the opportunity to work through our chapter's problems which have surfaced very recently at your institution. We view ourselves as partners with higher education and the opportunity to enhance our position on your campus is most welcomed.

When we were notified of the "pumpkin incident" of October 18, our national Grand Senior President immediately invoked a 30 day administrative suspension against our Delta Chapter. In light of the events of Saturday, October 20, we have circulated a mail vote to continue the sanctions through our summer 1991 National Leadership Conference and Convention.

Until that time, we are offering the following specific sanctions against our chapter:

. The National Fraternity will conduct a 100 percent review of the active and pledge membership of the chapter on Thursday, November 1, 1990. The committee, headed by national officers, Dr. Larry Spees, and Dr. Daniel Babb, along with non-Marietta undergraduates, will interview all members for past performance, future potential and willingness to comply with national directives. While the committee has no specific elimination quota, they will be charged to eliminate one or more members. The recommendation for removal will be sent to the Vice President for Student Affairs for his further review. The Vice President has the unconditional authority to add additional names to our eliminated list. The National Fraternity will then announce the findings and move those chosen undergraduates to alumni status and send a letter to our chapter forbidding them to have fraternal contact with those so eliminated. Those members returned for membership must sign affirmation of the agreement to abide by the sanctions imposed, the Fraternity's Reasons for Being, Code of Conduct and Ritual.

. The chapter will propose and secure a written financial repayment plan with Marietta College officials and keep all payments current to the institution and the National Fraternity. This repayment plan will be filed at the beginning of each term with the National Headquarters along with a written financial budget to support it. Any damages to the structure will be repaired, at the chapter's expense.

. The chapter will have 100 percent attendance at an off campus retreat conducted by the National Fraternity for the next two semesters. The chapter will schedule and plan the event to be conducted within the first ten days of the next two school terms.

. The chapter will become the FIPG leader on campus. In addition to adhering to FIPG risk management policies, Ohio state law, Marietta College rules and regulations, no kegs or common sources of alcohol will be on the chapter premises. The chapter will remove their beer "tap" system immediately. Chapter funds and "collections" for alcohol are forbidden within Alpha Sigma Phi chapters. The chapter will be directed to set up campus-wide presentations such as sponsoring nationally recognized speaker, Michael Green. Our Educational Foundation can fund educational grant requests to support these types of events.

. The chapter will recruit a person from the Marietta faculty or staff for co-faculty advisor along with Dr. Michael Taylor. The chapter will also receive a Grand Chapter Advisor through the solicitation efforts of the National Headquarters.

. The chapter will submit future requests for party permits along with the guest list through the National Fraternity no less than two weeks prior to a scheduled event involving alcohol. For events involving alcohol in which more than 100 persons total are to be present, an off-duty, uniformed police person will be on the premises for the duration of the activity. This expense will be the responsibility of the chapter.

. The chapter will participate in the Alpha Sigma Phi Standards of Excellence Program. In addition to preparing and submitting the workbook to National Headquarters, the chapter president will review a copy of the workbook with the chapter advisor, faculty advisor and Vice President for Student Affairs.

. The chapter will send a minimum of three members to the National Leadership Conference at Penn State from August 7-11, 1991. The chapter will invite the college President and Vice President of Student Affairs and faculty advisor to attend, compliments of the National Fraternity. At the conference, the undergraduates will be required to submit written evidence of improvement and make an appearance before the Grand Council. Administration attendees will also be invited to participate. The chapter will also be required to present an assigned educational session to the assembled leadership conference.

. The chapter will subscribe to the National Fraternity's "No Risk" newsletter service and will be required to issue three alumni newsletters each academic year. The chapter will plan, schedule and host one alumni function each spring.

. The chapter will strive to attain true student diversity in its future membership.

. The chapter will plan, host and conduct the next Province VI Conclave or social event in conjunction with the requirements of the Grand Province Chief. At the latest, this will be completed by May 1, 1991.

. The chapter will conduct pledge and initiation ritual ceremonies only on written license from the administration and National Headquarters. Nationally designated representatives will attend each ceremony throughout the 1990-91 academic year. The chapter will conduct as a part of each initiation, a Black Lantern Processional with lanterns and robes secured from the National Headquarters.

. The chapter president will physically contact the Vice President for Student Affairs at least weekly to ensure open communication channels are maintained. The chapter president will likewise contact the National Headquarters on a weekly basis.

. The chapter will plan, publicize and hold a community and campus open-house and reception within the first ten days of the next semester and again within the first ten days of next year's first semester. This will include a tour of the chapter museum.

. The chapter will issue a public apology to the faculty and Marietta community for their irresponsible past and positively state the leadership is responsible for the future actions of their individual members and pledges.

. The National Fraternity will work with the Vice President for Student Affairs to fund a live-in graduate student advisor.

. The chapter agrees to strictly comply with additional sanctions as may be imposed by the Marietta College administration.

Alpha Sigma Phi regrets the incidents that led to the above sanctions being imposed on the chapter. We wish to point out that many of the events were individual acts, not connected to the chapter. The chapter leadership did step in to remove offenders from their membership when the incidents were discovered and did request security assistance on at least two occasions.

It is the desire of the chapter to offer mitigation beyond being your oldest fraternity and our oldest chapter. They believe it is important to view the allegations as: 1) individual acts; not chapter sanctioned or supported, 2) football related, 3) non-chapter members violating the chapter reputation through conduct at the chapter house. The chapter offers that they:

- a. Have not been on probation for more than two years.
- b. Have made improvement in their grade point average. The chapter boasts as a member the scholar/athlete of the year.
- c. The chapter has been involved in various community service works, notably the Little League, Special Olympics and Muscular Dystrophy. The chapter is about to begin action with the community re-cycling campaign.

Dr. Steve Markwood
Marietta College
Page 4

- d. The actions on the allegation sheet were not known by the chapter leadership until October 19. In fact the allegations of October 20 were not communicated to the chapter officers until I arrived on campus on October 25.

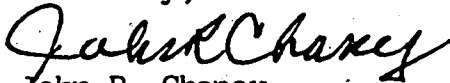
The National Headquarters, with the assistance and input from the college, will strictly oversee the sanctions imposed and will not tolerate future adverse chapter conduct. We currently have a chapter leadership consultant on campus working with the chapter on positive programming and we will continue to infuse the chapter with frequent scheduled and unscheduled visits or at the request of the administration. Our willingness to devote our full resources and assets is important to our future success at Marietta College.

We realize there has been a tremendous overlapping of our membership with that of your football team. We are told that the football coach has put our chapter house off limits to non-member football players. We applaud that initiative and realize it would adversely impact the college to close down either the football or chapter operations as a result of the actions of various individuals. With 1,493 Alpha Sigma Phi alumni on the Marietta College rolls, we ask your assistance in re-achieving our once preeminent position on campus.

I wish to reiterate that these incidents were over a relatively short period of time and involved football players, a few who were also fraternity members. Those found guilty of violations were previously dismissed by the chapter. The chapter did have some communication problem with the security force when the activities of October 20 got out of hand. The alleged assault/sexual violations and date rape on that evening did not involve members of the chapter.

If I can be of further assistance, I will again be on your campus with undue haste. I just ask for the opportunity to work this process and rebuild our chapter at your fine institution.

Sincerely,


John R. Chaney
Executive Vice President

JRC:sd

cc: Charles J. Vohs, Grand Senior President
Dr. Daniel Babb, Grand Province Chief
Dr. Patrick McDonough, President, Marietta College
Jeffery Owens, Chapter Leadership Consultant



A-20
MARIETTA, OH
TIMES
D. 13,590—S. 13,700
PARKERSBURG METROPOLITAN AREA

DEC 6 1990

MC student punished for assaulting 4 women

A Marietta College student has been found guilty by a college hearing board of biting three female students and injuring a fourth by knocking her down.

Eric Berger, 21, of Baltic was found guilty Tuesday night by the Major Hearings Board. He was accused of sexual imposition and assault on Oct. 20, said Stephen Markwood, vice president and dean of students.

Berger, a senior and member of the college football team, was fined \$175 and placed on restrictive probation, which means he cannot participate in extracurricular activities, Markwood said.

The college charges against Berger stemmed from a party at the Alpha Sigma Phi Fraternity house at 207 Fourth St.

The college hearing committee comprised of two faculty members and four students was told Berger, who was not a member of the fraternity, bit three women on the buttocks and knocked a fourth woman into a wall, causing her minor injuries, Markwood said. The fourth woman was treated in an emergency room and then released. Of Berger's \$175 fine, \$70 was to pay medical bills for the woman who was assaulted, Markwood said.

The college also ordered the fraternity house closed for the rest of

the year and placed the 22 fraternity members on probation for a variety of violations of college codes, said Jennifer Johnson, college public relations director.

The college-owned fraternity house grounds have been cleaned up and the interior is being repaired, Markwood said. The exterior of the building at Fourth and Butler streets often had broken furniture around and beer cans strewn across the lawn.

Marietta Police Capt. Roger Dutcher said the woman who was assaulted initially filed a complaint with city police, but no formal charges were brought against Berger because he agreed to pay the woman's medical bills and to apologize.

Under Ohio law, conviction for assault, a first degree misdemeanor, carries a maximum \$1,000 fine and six months in jail.

The procedures used to deal with Berger were all internal college procedures under its code, Johnson said.

Markwood said he believes Berger's case before the hearing board ends the series of actions the college will take in connection with violations related to the fraternity.

The fraternity members' probation will be reviewed next spring, Johnson said.

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. James Jones, HSP
Alpha Nu Chapter
Alpha Sigma Phi Fraternity
129 Waugh Avenue
New Wilmington, PA 16142

Dear Brother Jones,

Grand Senior President Vohs allowed your chapter's 30 day administrative sanctions imposed on October 19, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat alcohol related incidents do not occur.

You are hereby required to submit a complete incident report to this headquarters by February 15, 1991 detailing the circumstances that led to the Westminster College and Alpha Sigma Phi sanctions being imposed on Alpha Nu Chapter. On May 1, 1991 your chapter will submit a comprehensive chapter alcohol educational program to this headquarters. It should include what changes have occurred in your chapter as a result of the incident along with a long-range education program for your members. At the National Leadership Conference at Penn State from August 7-11, 1991 your chapter delegate will be required to make an appearance before the Grand Council Undergraduate Operations Committee in regards to the incident and to review the progress your chapter has made in preventing future incidents.

Jim, Chapter Leadership Consultant Owen McCulloch is scheduled to be on your campus in the near future and I am planning to attend your retreat on February 9th. If you have any questions, you can direct them to us at those times or call me at anytime.

Fraternally,

A handwritten signature in cursive script, reading "John R. Chaney".
John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
G. Alan Sternbergh, Grand Chapter Advisor
Bob Thomas
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

October 19, 1990

James Jones, HSP
Alpha Sigma Phi Fraternity
Alpha Nu Chapter
Westminster College
New Wilmington, PA 16142

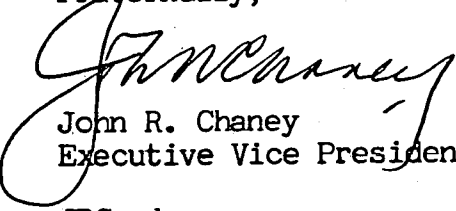
Dear Brother Jones,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Alpha Nu Chapter has officially been placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By-Laws.

The suspension shall not exceed a period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity.

If you have questions or comments, direct them to me immediately.

Fraternally,


John R. Chaney
Executive Vice President

JRC:sd

cc: Charles J. Vohs, Grand Senior President
Dr. Daniel P. Babb, Grand Province Chief
G. Alan Sternbergh, Grand Chapter Advisor
Dr. Robert Thomas, Vice President for Student Affairs
Kevin Garvey, Grand Councilor
Jeffery Owens, Chapter Leadership Consultant

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

November 2, 1990

Mr. Robert Thomas
Vice President for Student Affairs
Westminster College
New Wilmington, PA 16172

Dear Bob,

Thank you for including this headquarters on your distribution of your October 29, 1990 letter involving our chapter at Westminster College. We certainly do not condone the action of our chapter or members and I am particularly appalled at the officer's error of omission in stopping this event.

As you know, we administratively sanctioned the chapter on October 19, 1990 when we were first made aware of the situation. Our Grand Council may decide to continue the sanction for a longer duration. If this is the case, you will be included in our normal notification process.

We will continue to provide necessary programming to our Westminster Chapter and will work to bring any substandard areas back to acceptability. Please contact me or our Chapter Leadership Consultant when he arrives, should you desire to provide input recommendations.

Sincerely,

A handwritten signature in cursive script, appearing to read 'John R. Chaney'.

John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
Dr. Daniel Babb, Grand Province Chief
G. Alan Sternbergh, Grand Chapter Advisor
Daniel D. Herchenroether, Alumni Association/Corporation President
Chapter Leadership Consultant

November 10, 1990

Mr. T. Gunnar Holmstrom, HSP
Mu Chapter
Alpha Sigma Phi Fraternity
4554 19th Avenue, N.E.
Seattle, Wa 98105

Dear Brother Holmstrom,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Mu Chapter has been placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By-Laws.

The suspension shall not exceed a period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity. Additional administrative sanctions may be imposed by the Grand Council.

If you have questions or comments, direct them to me immediately.

Fraternally,

John R. Chaney
Executive Vice President

JRC:sjj

cc: Grand Council
Robert Strecker, Grand Province Chief
Larry Philippi, Grand Province Chief
Jeffrey Cleator, Grand Chapter Advisor
Gary Ausman, Greek Advisor
Owen McCulloch, Chapter Leadership Consultant
Mail Packet



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. Gunnar Holmstrom, HSP
Mu Chapter
Alpha Sigma Phi Fraternity
4554 19th Avenue, N.E.
Seattle, WA 98105

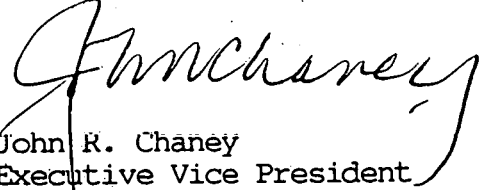
Dear Brother Holmstrom,

Grand Senior President Vohs allowed your chapter's 30 day administration sanctions imposed on November 10, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat alcohol related incidents do not occur.

You are hereby required to submit by May 1, 1991, a comprehensive chapter alcohol education program to this headquarters. It will include changes that have occurred since the incident. Your chapter delegate also will be required to address this along with the progress your chapter has made since the incident on November 10, 1990 in an appearance before our Grand Council Undergraduate Operations Committee at the National Leadership Conference to be held from August 7-11, 1991 at Penn State.

If you have any questions or comments, please direct them to me immediately. Gunnar, I look forward to seeing you and the Mu contingent in Columbus on January 25th.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Larry Philippi, Grand Province Chief
Jeff Cleator, Grand Chapter Advisor
Gary Ausman
Owen G. McCulloch, Chapter Leadership Consultant
Jeffrey S. Owens, Chapter Leadership Consultant

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 15, 1991

Mr. Eugene J. Rogan, HSP
Delta Lambda Chapter
Alpha Sigma Phi Fraternity
G-Wing College Center
Stockton State College
Pomona, NJ 08240

Dear Brother Rogan,

Grand Senior President Vohs allowed your chapter's 30 day administrative sanctions imposed on November 16, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat alcohol related incidents do not occur.

You are hereby required to submit by May 1, 1991, a comprehensive chapter membership alcohol education program to this headquarters. It will include changes that have occurred since the incident. Your chapter is also required to hold a chapter retreat focussing on alcohol use, risk management and FIPG compliance. Your chapter delegate will be required to address this along with the progress your chapter has made since the incident in an appearance before our Grand Council Undergraduate Operations Committee at the National Leadership Conference to be held from August 7-11, 1991 at Penn State.

It is very important that you set a very positive influence in your chapter and your province as others are looking to you and Gamma Lambda Chapter for leadership. If you have any questions or comments, please direct them to me immediately.

Fraternally,

A handwritten signature in cursive script, reading "John R. Chaney".
John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
John H. Luckenbill, Grand Province Chief
William Baker, Faculty Advisor
Janie King, Associate Director of Campus Activities
Owen McCulloch, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

November 16, 1990

Eugene J. Rogen, HSP
Alpha Sigma Phi Fraternity
Delta Lambda Chapter
G-Wing College Center
Stockton State College
Pomona, NJ 08240


Dear Brother Gene,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Delta Lambda Chapter has been placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By-Laws.

The suspension shall not exceed a period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity. Additional administrative sanctions may be imposed by the Grand Council.

If you have any questions or comments, direct them to me immediately.

Fraternally,


John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
John Luckenbill, Grand Province Chief
William Baker, Faculty Advisor
Janie King, Associate Director of Campus Activities
Owen McCulloch, Chapter Leadership Consultant
Mail Packet

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. Steven P. Vale, HSP
Gamma Alpha Chapter
Alpha Sigma Phi Fraternity
Ohio Northern University
University Parkway
Ada, OH 45810

Dear Brother Vale,

As was verbally transmitted to you on my visit to Ohio Northern University on December 12, 1990, this is to confirm that our Grand Senior President Charles J. Vohs placed Gamma Alpha Chapter on immediate 30 day administrative suspension in accordance with Article XIX, Section 3 of our By-Laws for an incident that involved three pledges, three alumni brothers and one non-Alpha Sigma Phi member appearing naked at a Christmas party on December 8, 1990.

While the 30 day suspension has elapsed, additional sanctions may be imposed by the Grand Council. Brother Vohs and I are especially concerned that this incident occurred less than a year after major sanctions and a membership review and reorganization were imposed. While I am personally appreciative that you and your officers have been forthcoming with both the University and the National Headquarters, I am still disappointed that you did not contact me immediately after the incident. In fact, I was on your campus for a presentation on December 12th, met your University President who was aware of the incident, and could have been placed in an extremely embarrassing position because of my lack of information at that time.

As a result of the incident, you are hereby required to submit a comprehensive written pledge and membership education program to the National Headquarters by May 1, 1991. This program will include all steps in the membership educational process along with steps to be taken to ensure incidents that led to the December 8th situation never occur again. Your chapter delegate and two chapter officers will be required to make an appearance before the Grand Council Undergraduate Operations Committee at the National Leadership Conference to be held from August 7-11, 1991 at Penn State.

Steve, these sanctions are in addition to any sanctions imposed by the University or those that may be added by our Grand Council at their upcoming January 27th meeting. I urge you to work closely with your officers and Ron Kuhlman in ensuring all provisions are met and that incidents do not occur that would place your chapter's status in jeopardy.

Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Tom Welsh, Assistant Grand Province Chief
Jack West, Alumni Corporation Secretary
Herbert N. Strayer, Faculty Advisor
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant



OHIO NORTHERN UNIVERSITY

ADA, OHIO 45810 • Telephone (419) 772-2430
Fax (419) 772-1932

Office of Student Services

RECEIVED

JAN 3 1991

Alpha Sigma Phi Fraternity, Inc.

January 7, 1991

Mr. John Cheney
Executive Director
Alpha Sigma Phi Fraternity
24 West William Street
Delaware, OH 43015

Dear John:

Many thanks for the recent efforts which you and Owen put forth in order to help set new directions for the O.N.U. Alpha Sigs. Hopefully we are all on the right course. Let us all keep in touch in reference to supporting Mr. Vale and the chapter as they strive to take the right path.

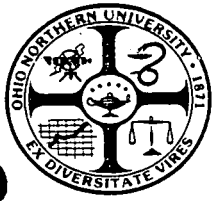
Enclosed are some Accreditation materials which you requested. Please call with any questions. Thanks again for your attention to our chapter.

For Ohio Northern University,

Ronald R. Kuhlman
Assistant Dean of Students

RRK/pjh

pc: Dean Ward
Mr. McCulloch, Chapter Leadership Consultant



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Fax (419) 772-1932

Office of Student Services

RECEIVED

Alpha Sigma Phi Fraternity, Inc.

January 4, 1991

Mr. Steven Vale
President, Alpha Sigma Phi
University Circle

Mr. Vale:

Thank you for the recent open discussions with Chapter Advisory Team (CAT) members regarding allegations of hazing and sexual harassment at an Alpha Sigma Phi Christmas party on December 15, 1990 in that fraternity house.

While CAT members involved in reviewing the event of December 15 have expressed general displeasure with some of the attitudes portrayed at the party, there is a prevailing belief throughout the CAT that this incident has actually provided the Alpha Sigma Phi Fraternity with an opportunity to educate the general University community regarding the fraternity's position on the issues of hazing, disorderly conduct, alcohol abuse, and sexual harassment.

A brief summary of the errors made at the party in question include: people going through the party naked; a sexually offensive flyer in the window of the party entrance door; brothers "rating" women at the door when women were entering and leaving the party; T-shirts portraying a sexually derogatory scene.

The IFC Executive Committee, in response to your request, administratively disposed of the case regarding alleged hazing on December 13, 1990 and has passed two charges of sexual harassment on to the University because of the apparent responsibility of individual Alpha Sigma Phi fraternity brothers for these alleged actions (it should be noted that identifying these brothers is important in order to verify Alpha Sigma Phi's description of events).

The IFC Executive Committee appreciated the fraternity's acceptance of responsibility for not stopping the naked people from going through the party and found that this action was, for all practical intent and purposes, a violation of University Disorderly Conduct rules, not a hazing violation. The IFC Executive Committee accepted the fraternity's self-imposed sanction of 10 hours of community service hours per brother and imposed an additional \$2.00/brother fine as well as a required letter of apology to the University community in the Northern Review. These sanctions were passed on to the University in the form of recommendations to the Dean of Students.

After carefully reviewing the IFC Executive Committee recommendations, and after an exhaustive discussion of the events in question with members of the CAT on December 14, 1990, the University offers the following summary of sanctions resulting from administrative judicial proceedings. These conditions reflect the input from, and indicate support of, Alpha Sigma Phi CAT members.

By failing to stop three alums, an individual party guest and three pledges from streaking the party, the Alpha Sigma Phi fraternity was guilty of disorderly conduct. The University supports the IFC Executive Committee in its recommendations such that a fine of \$2.00/brother (39 X 2, \$78.00), 10 hours of community service (390 hours total) and a letter of apology to the University via the school newspaper are appropriate responses.

This fine is payable at the University Controller's Office by the end of the 1990-91 winter quarter, or February 18, 1991. The funds will be applied to the IFC budget. The community service hours are to be provided to a local cause by the end of the 1990-91 school year, or May 19, 1991 and must reflect the efforts of each brother. The community service project must be approved by the Dean of Students. The letter of apology will be placed in the Northern Review immediately.

In addition to supporting these sanctions, the following conditions are desirable in the eyes of CAT members for the purpose of combating a significantly negative public perception of Alpha Sigma Phi on campus. These efforts are aimed at chapter alumni, the Greek community, the University community and education of current members. It should be noted that most of these conditions are part of the requirements found in the Annual Chapter Accreditation process.

Alumni

Education

1. Generate a letter to alumni stating current fraternity positions on issues such as hazing, disorderly conduct, alcohol abuse, and sexual harassment. Intent would be to show current chapter status, how times are changing in the fraternal world and what is acceptable behavior when returning to the O.N.U. chapter.

2. Generate a letter to Jack West, Alumni Board, indicating a dissatisfaction with actions of the three alums involved in streaking at the party. Jack West will identify and contact the alums involved, indicate that their behavior was unacceptable, ask them to not return to the chapter or campus for a year, and copy CAT members with those correspondences. (It should be noted that identifying and holding responsible the alums involved is important in order to substantiate fraternity description of occurrences and to validate CAT responses to same).

Involvement

3. Appoint and work with a Grand Chapter Advisor.
4. Establish a Pledge Class Network for better communication with, and involvement of, alums.

University Community

1. Submit a letter of apology to campus community published in school newspaper (see IFC sanctions, above).
2. Submit a letter of apology to President Freed, copied to faculty and staff on campus.

Greek Community

1. Submit a letter of apology in campus paper (see IFC Sanctions, above).
2. Make personal presentation to (seven) other fraternities and (four) sororities at O.N.U. relative to what happened on Saturday, December 15, 1990, what CAT response has been to these events, and what the chapter's positions are regarding hazing, alcohol abuse, disorderly conduct, and sexual harassment. Specific efforts need to be made in identifying the correct chapter personnel to effect these visits because key components of these discussions will be to entertain questions of, and to actively listen to the feedback generated by, the hosting chapters.

Membership/Pledge Education

1. Quantify, in writing, the pledge education program. Include time line with dates, specific goals, events materials, guest speakers, etc.
2. Conduct a relationships seminar for the entire brotherhood to attend specifically addressing male-female issues. Provision has been made to have "Girls (Don't) Just Want to Have Fun" presented by Mr. Kuhlman.
3. Conduct a retreat for the brotherhood in which the NIC Commission on Values and Ethics "Basic and Ultimate Expectations of a Fraternity Man" are addressed.
4. Co-sponsor a campus speaker addressing alcohol-related issues during Greek Week.
5. Conduct an alcohol/drug abuse workshop for the entire brotherhood to attend. The speaker must be approved by the Dean of Students. Ms. Sarah Hanson, Hardin County Center on Alcoholism and Drug Abuse, is suggested.

It is generally assumed that, except for the Greek Week speaker sponsorship and the community service hours, each of the above provisions will be satisfied by the end of the winter quarter, 1990-91, or by February 18, 1991. Exceptions to this expectation will need the approval of the Assistant Dean of Students. Further, it is hoped that complying with these provisions will assist Alpha Sigma Phi in addressing and overcoming an historically negative image on campus.

Bear in mind, Steve, that most of the people involved in this issue have taken you and your chapter at your word. Please ensure that this trust has not been misplaced. It is the University's understanding that individual members of your chapter are aware of both the gravity of this incident as well as the fact that further involvement of the Alpha Sigma Phi chapter or its members in such behaviors as those which precipitated this letter will likely result in serious ramifications.

In closing, and on behalf of the CAT members with whom your chapter is associated, please contact any CAT member immediately with questions or support needs which Alpha Sigma Phi may have regarding this or other matters.

For Ohio Northern University,



Ronald R. Kuhlman
Assistant Dean of Students

RRK/pjh

pc: Owen McCulloch, Chapter Leadership Consultant
John R. Cheney, Executive Vice President ✓
Bob Ward, Faculty Advisor
Jack West, ACB
Dean Ward, Vice President for Student Affairs
Jim Welker, IFC President

December 20, 1990



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Steven P. Vale, HSP
Gamma Alpha Chapter
Alpha Sigma Phi Fraternity
Ohio Northern University
University Parkway
Ada, Oh 45810

Dear Steve,

It was great to get the chance to meet you at the Chapter Advisory Team meeting on December 14 at Ohio Northern University. I think the C.A.T. is a great opportunity for the chapter to develop strong relations with alumni, the university, and the fraternity headquarters staff. It really shows the commitment of the chapter to build a good fraternity.

I have included a summary of the meeting and the decisions that came out of it - please be sure to keep the C.A.T. up to date with the progress that you make on each one of the items. Also, please be sure to ask for any assistance that any of the team may be able to give; that is what they are there for.

In addition, as Alpha Sigma Phi Fraternity strives to work as a partner with higher education, all of the sanctions placed upon the chapter have also been endorsed by the headquarters as positive steps towards the development of a strong chapter.

There are four major areas the C.A.T. identified as ones that need to be addressed:

- * Alumni
- * Greek Community
- * University Community
- * Membership Education

The C.A.T. defined the actions that need to be taken under each area:

Alumni

1. A letter to all Gamma Alpha chapter alumni written for the purpose of explaining current issues, problems, and changes within the undergraduate fraternity.
2. Norm Scarazzo needs to take steps to form a Pledge Class Network for better communication with alumni.
3. A letter to Jack West explaining current situation.
4. The chapter must identify and work with a Grand Chapter Advisor (GCA).

Greek Community

1. Letter of apology published in university's newspaper.
2. Presentations to all of the other fraternities and sororities at Ohio Northern University explaining what happened, what has been done, and where the chapter stands.

University Community

1. Letter of apology published in university's newspaper.
2. Letter to President Freed. Mail the same letter to all Ohio Northern University staff and faculty.

Membership Education

1. Develop a detailed pledge education program with specific goals, material, and events. Put the program into a timeline with specific dates to follow.
2. Conduct a Relationships Seminar for all of the brotherhood to attend.
3. Conduct an Alcohol/Drug Abuse workshop for all of the brotherhood to attend.
4. Co-sponsor a campus speaker during greek week.
5. Have a Brotherhood retreat for all to attend.

Once again, Steve, if you want or need any assistance, please use the C.A.T. as a resource to draw from. Good luck this next semester, and I look forward to hearing how you are doing.

Faternally,


Owen McCulloch
Chapter Leadership Consultant

OGM/ogm

cc: Grand Council
John R. Chaney, Executive Vice President ✓
Chris Koch, Grand Province Chief
Herbert Strayer, Faculty Advisor
Ronald D. Wyss, Alumni Corporation President
Ronald Kuhlman, Assistant Dean of Students
Jeffery S. Owens, Chapter Leadership Consultant



OHIO NORTHERN UNIVERSITY

ADA, OHIO 45810 • Telephone (419) 772-2430

Office of Student Services

*2 Copies of each -
~~for file~~, 1 for
inclusion of note to
Advisors*

TO: Steve Vale, President, Alpha Sigma Phi Fraternity
FROM: Ronald R. Kuhlman
RE: Results of the 1990 Annual Chapter Accreditation Review
DATE: June 3, 1990

Enclosed are materials which are pertinent to the 1990 Annual Chapter Accreditation Review for Alpha Sigma Phi, which has been conducted throughout the 1989-1990 academic year. Thank you for your assistance in participating in this program.

Based upon this review, Alpha Sigma Phi is recognized by the University as an unaccredited fraternity. Two of the eight fraternities at Ohio Northern University are currently accredited.

It is appreciated that Alpha Sigma Phi has expressed intent to gain accreditation by spring 1991. Care must be taken to maintain or improve currently accredited program areas while making "reasonable effort" to gain accreditation in program areas which are currently unaccredited.

The Annual Chapter Accreditation program, with its inherent coordination of support between Chapter Advisory Team members, is seen as a strength for the Ohio Northern University fraternity system in the 1990's.

Enclosure #1 contains background information on the Annual Chapter Accreditation program at Ohio Northern University.

Enclosure #2 lists the specific minimum criteria for attaining University accreditation each spring (taken from enclosure #5).

Enclosure #3 is a summary of your chapter's status relative to University Accreditation as of April 17, 1990. It also outlines the sequence of events which comprise the Annual Chapter Evaluation process.

Enclosure #4 is a grid depicting the final 1990 Annual Chapter Accreditation status of Ohio Northern University's eight fraternities.

Enclosure #5 is a Fraternity Development Plan, introduced three years ago at Ohio Northern University, which includes, among other items, some philosophical background to the Annual Chapter Accreditation program. Part of the measurable criteria herein became the specific minimum criteria (encl. #2) for accreditation.

Since April 17, 1990 (see encl. #3), the C.A.T. has met to discuss the accreditation process, the philanthropic needs have been met and a (highly capable) fraternity advisor has been found. These program areas are, therefore, accredited.

The program areas of rush, membership development and scholarship are unaccredited and the needs listed in encl. #3 remain in effect.


Significant improvements have been made in the Alpha Sigma Phi Chapter (alumni relations, P.R., philanthropy, pledge education).

Fraternity leaders must establish one, three and five year goals and plans which incorporate both the upgrading of newly refined programs as well as the methodical improvement of the accredited programs.

These goals and plans must be written down (quantified), and should become the focal point of any officer turnover and transition programs. Specific duties of officers need to be included in written officer job descriptions for turnover purposes.

Please contact this office if information contained herein regarding your accreditation status is inaccurate or if further assistance might be provided. Continued success to you.

For Ohio Northern University,


Ronald R. Kuhlman
Assistant Dean of Students

cc: Dr. Bob Ward, Advisor
Mr. Jack West, Secretary, Alumni Association
Mr. Robert M. Sheehan, Jr., Executive Director
file

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 15, 1991

Mr. John Spadaro, HSP
Beta Gamma Chapter
Alpha Sigma Phi Fraternity
P. O. Box 398
Bethany, WV 26032

Dear Brother Spadaro,

It has recently come to my attention through FIPG channels that your chapter possibly was involved in an incident approximately one month ago. That incident involved a group of five pledges from your chapter marching in cadence to breakfast at the student union building on your campus. The report called this a pre-initiation activity. It also called the incident "hazing".

I would welcome your early written report on the allegations of this incident. This should be very specific and address the facts as they occurred. Also include any reference to IFC actions that may have taken place regarding the incident.

Due to the severity of the accusation, I expect your report to arrive at the National Headquarters not later than January 24th.

If you have any questions or comments regarding this action, do not hesitate to contact me immediately.

Fraternally,

A handwritten signature in cursive script that reads "John R. Chaney".

John R. Chaney

JRC/sjj

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
Dr. Larry Grimes, Grand Chapter Advisor
Robert A. Sandercox

Purdue University

University News Service
Engineering Administration Bldg.
West Lafayette, IN 47907
317-494-2096

News

11-20-90

For immediate release

West Lafayette, Ind. -- Purdue University police advise residents of the Tower Acres and residence-hall areas of campus to report any vandalism damage that may have occurred last weekend when members of an Illinois State University fraternity allegedly were involved in a vandalism spree.

Seven members of Alpha Sigma Phi fraternity at Illinois State, Normal, Ill., were arrested on theft and/or theft and public intoxication charges. Bond was set at \$5,000 each for those facing theft charges; all have since been released.

"Additional information on the extent of damage will be helpful in the continuing investigation of the case," said Purdue detective Capt. Ronald J. Fosnaugh.

He said initial damage estimates range from \$3,000 to \$3,500.

Officer Grant Molden said he was first summoned to the area at 2:47 a.m. Saturday (11/17) responding to reports of vandalism and disorderly conduct in the Tower Acres area of fraternities and sororities at the northwest edge of campus. A vanload of Illinois State fraternity members was subsequently stopped. Up to 30 were visiting the Purdue chapter of Alpha Sigma Phi, 951 David Ross Road, said Molden. Members of the Purdue chapter of the fraternity told police they were certain none of their members was involved in any acts of vandalism.

Molden said the vandalism in Tower Acres and near residence halls included slashed car tires and broken lights as well as stolen license plates, street signs, plants, a fraternity flag, and shower curtains and signs from a residence hall. The visitors are also suspected of stealing items from vehicles, including a cooler from a truck, and destroying a portable toilet.

gaz



A 20
LAFAYETTE, IN
JOURNAL & COURIER
D. 36,712—S. 39,590
LAFAYETTE METROPOLITAN AREA

NOV 20 1990

Fraternity visitors arrested after vandalism at Purdue

Staff Reports

Seven members of the Alpha Sigma Phi fraternity from Illinois State University were arrested during the weekend for stirring up trouble during a visit to Purdue, police said.

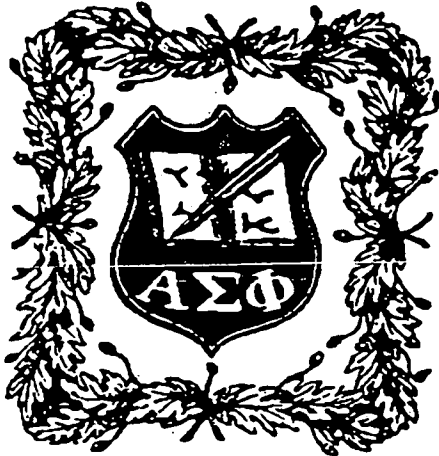
Purdue police received a glut of reports — starting about 9 p.m. Friday — of vandalism, theft and criminal mischief in the area of Tower Acres on the northwest corner of campus. About 2:47 a.m. Saturday, an officer stopped a vanload of the fraternity members who were visiting Purdue's Alpha Sigma Phi chapter at 951 David Ross Road.

Three of the students were booked into jail on suspicion of

theft, and four were booked on suspicion of public intoxication. One juvenile was taken to Cary Home. All have since been released.

Police said the students are suspected of destroying plants, lights, signs, car tires and a portable toilet on campus. They also are suspected of stealing items from cars, signs from a residence hall, street signs, a fraternity flag and a cooler from a truck.

Police said Monday that they still were receiving reports of vandalism in other parts of campus that occurred during the weekend. But they did not know if the suspects were responsible for all the damage.



PHI THETA COLONY
ALPHA SIGMA PHI FRATERNITY
CHARTER PETITION



Phi Theta Colony Chartering Petition Committee

Marc Benjamin
Stuart Burkhoff
Richard Homayoon
Richard Hreschak
Marc Marin
Howard Schwartz
Brian Smith
John Tilden

House Senior President: Marc Benjamin

House Junior President: Kevin Carey

Phi Theta Colony
Founded May 4, 1989

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

December 6, 1990

Mr. Mark Jewell, President
The Delta Phi Rho Fraternity
An Alpha Sigma Phi Interest Group
Central Michigan University
412 South Lansing
Mt. Pleasant, MI 48858

Dear President Jewell,

Greetings on our Founders' Day!

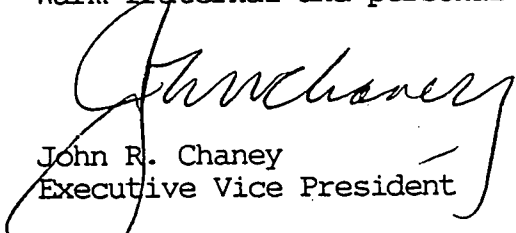
On behalf of the Grand Council, I formally accept your petition for colony status dated November 15, 1990. This petition will be acted on at the January 25-27, 1991 winter meeting of the Grand Council.

Your interest group advisor, Grand Secretary Bob Cabello, advises me that you formally pledged 18 men on December 4, 1990 and that you have secured a house for next year. Brother Cabello also advises me that you are working on a goal to be chartered on December 6, 1991. I certainly commend you for setting such lofty goals!

Chapter Leadership Consultant Jeff Owens informed me that he enjoyed his visit and the pledge ceremony. A letter concerning his visit will be forthcoming.

Best of the holiday season to you and the Brothers at Central Michigan University. We look forward to a long, prosperous relationship with you.

Warm fraternal and personal regards,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
William J. Siering, Grand Province Chief
Robert G. Cabello, Grand Secretary
Jeffery Owens, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

November 5, 1990

Mark Jewell, President
Alpha Sigma Phi Fraternity
Delta Phi Rho Interest Group
Chippewa Village, C-4
Broomfield Road
Mount Pleasant, MI 48858

Dear Mark,

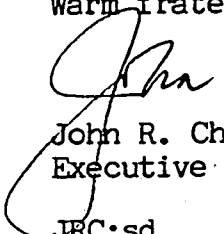
An official welcome as Delta Phi Rho Interest Group of Alpha Sigma Phi Fraternity. We are so pleased and proud that you have joined America's Premier Fraternity.

You and the men of Delta Phi Rho are beginning what promises to be a pleasant and rewarding journey that will lead you to colonization and chartering within the Mystic Circle of our Fraternity. Your foundation is secure, your leadership is strong and you have the backing of an outstanding faculty advisor and your university. All the proper ingredients are in place. To that, we add our Grand Secretary Robert G. Cabello, as your advisor. I know Bob has already made his initial contact and set up a meeting.

I also informed Dale that I would be able to send one of our Chapter Consultants, Jeff Owens, to meet with you from December 3-5. I can again send one or two consultants to meet with you starting on December 9th. After that, I will discuss your requirements for second semester visits by our Chapter Leadership Consultants.

Again, I welcome you and pledge my full support. If I can ever be of assistance, do not hesitate to contact me personally.

Warm fraternal and personal regards,


John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
William J. Siering, Grand Province Chief



The Delta Phi Rho Fraternity
An Alpha Sigma Phi Interest Group
Central Michigan University
412 South Lansing
Mt. Pleasant, MI. 48858

"Unity through Diversity"

November 15, 1990

We, the brothers of Delta Phi Rho, request entry into the Alpha Sigma Phi National Fraternity as a Colony. We realize that we will be on probationary terms for an indefinite amount of time. We look forward to hearing from you in the near future.

Sincerely,

The brothers of Delta Phi Rho

- | | |
|----------------------|---------------------|
| 1. Mark Jewell | 11. Jason Blute |
| 2. Igor Moreno | 12. Dale Liza |
| 3. Mark Buggs | 13. Tim Hornby |
| 4. Scott W. Pomeroy | 14. Albert Johnston |
| 5. Timothy L. Warrum | 15. John Knott |
| 6. Bruce Harney | 16. Rodney Rusk |
| 7. David R. Linn | 17. David F. Hay II |
| 8. Dennis Barth | 18. Bruce Senter |
| 9. Charles La Cleave | 19. |
| 10. Chad E. Johnson | R. Frank Cochrane |

RECEIVED

NOV 30 1990

Alpha Sigma Phi Fraternity, Inc.

1182 Ironstone
Mt. Pleasant, MI 48858
November 26, 1990

Alpha Sigma Phi Fraternity
24 West William Street
Delaware, Ohio 43015

Dear Alpha Sigma Phi National:

I would like to extend to you a warm Central Michigan welcome, and congratulate your connection with the former men of Delta Phi Rho. I am very excited to see that our Greek system is expanding, and am proud to announce the recent probationary membership of Alpha Sigma Phi into the Interfraternity Council.

I have had the chance to interact with a few men from Alpha Sigma Phi, including its President Mark Jewell, and like what I see. I feel confident that they will have little problem jumping in to Greek life.

I also had the opportunity to review the Alpha Sigma Phi Fraternity "To Better the Man" manual. Through this I learned some history and information, past and present, that enables me to express my support to Alpha Sigma Phi as an individual group on our campus, and as a national office.

I hope all your endeavors, here at Central Michigan University and beyond, are successful. If you have any questions, comments, or concerns, please feel free to contact me by mail or phone.

Sincerely,

Mike Randlett

Mike Randlett
I.F.C. President
(517) 773-7841



CENTRAL MICHIGAN
UNIVERSITY

*Student Activities
Office of Student Life
251 Foust Hall
(517) 774-3017*

November 20, 1990

Mr. John Chaney
National Headquarters
Alpha Sigma Phi
24 W. William St.
Delaware, Ohio 53015

Dear Mr Chaney:

It is with pleasure that I notify you that the Interfraternity Council at Central Michigan University has accepted the Alpha Sigma Phi colony for probationary membership. This organization, formerly known as Delta Phi Rho, is a registered student organization at Central Michigan University. We are pleased that a group of men has formed a bond of brotherhood to advance the concept of a positive Greek community at Central.

We look forward to great things from this group of men. The Interfraternity and Panhellenic Councils have worked extremely hard to develop a strong, growth-producing, and proactive Greek community. We know that Alpha Sigma Phi can play an important part in this continued structure.

Sincerely,

David M. Lasca

Assistant Director, Office of Student Life

FINDLAY

THE UNIVERSITY OF FINDLAY

RECEIVED

JUL 16 1990

Alpha Sigma Phi Fraternity, Inc.

OFFICE OF THE DIRECTOR OF HAZARDOUS MATERIALS PROGRAMS

July 12, 1990

Mr. John R. Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
National Headquarters
24 W. William Street
Delaware, OH 43015

Dear John, Jeff and Owen:

Thank you for taking time out of your busy schedule to give me a tour. I thoroughly enjoyed it.

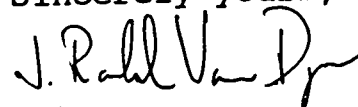
The contact name here at The University of Findlay for reactivation of this chapter is:

Scott Reikofski
Assistant Dean for Student Activities/Greek Life
419/424-4606

If and when you get to Findlay, please stop by. I would like to show you our two training centers and tell you a little more about our Hazardous Materials Management Programs. Enclosed is a copy of a recent UF Alumni Magazine which featured our program.

Thank you again. I look forward to the opportunity to see and work with all of you in the near future.

Sincerely yours,



J. Randal Van Dyne

Enclosure

JRVD/dms

REPORT TO THE JOINT COMMITTEE
ON ALUMNI OPERATIONS

1. Annual Loyalty Fund

	<u># of Donors</u>	<u>Gross Receipts</u>	<u>Net Receipts</u>	<u>Average Gift</u>
1981-82	1,574	\$ 43,024	\$ 26,607	\$27.33
1982-83	1,716 (+ 9%)	51,696 (+ 20%)	40,085 (+ 51%)	30.13 (+ 10%)
1983-84	2,116 (+ 23%)	83,435 (+ 61%)	59,228 (+ 48%)	39.43 (+ 31%)
1984-85	2,147 (+ 1%)	89,091 (+ 7%)	66,003 (+ 11%)	41.50 (+ 5%)
1985-86	3,101 (+ 44%)	136,936 (+ 54%)	90,389 (+ 37%)	44.16 (+ 6%)
1986-87	2,373 (- 31%)	128,238 (- 7%)	96,165 (+ 6%)	54.04 (+ 22%)
1987-88	2,766 (+ 17%)	136,561 (+ 7%)	107,339 (+ 12%)	49.37 (- 9%)
1988-89	2,390 (- 14%)	134,745 (- 1%)	88,934 (- 17%)	56.38 (+ 14%)
1989-90	3,142 (+ 31%)	166,780 (+ 24%)	93,842 (+ 6%)	53.08 (- 6%)
12/31/88	1,108	58,744	31,842	53.02
12/31/89	1,116 (+ 1%)	63,356 (+ 8%)	41,892 (+ 32%)	56.77 (+ 7%)
12/31/90	1,039 (- 7%)	53,992 (- 15%)	32,429 (- 23%)	51.96 (- 8%)

Last year, the Annual Loyalty Fund focused a great deal of resources on recruiting new donors through phone campaigns to non-donors. A record number of dollars were raised (\$166,780) in 1989-90. 1,247 new donors contributed \$39,858 raising the total number of previous donors (those who have ever made a gift) to 9,113. The remaining 1,895 gifts were made by previous donors (see attachment A, page 6). Comparatively, our focus this year is to re-enlist these new donors as well as previous donors without as large a concentration on non-donors. This should greatly reduce the money spent on soliciting alumni. While the phone campaign is our most expensive method of solicitation, it can be much more profitable when working with a group of previous donors. With the 1990-91 Annual Loyalty Fund, we should begin to see a bigger pay-off from the spring 1990 phone campaign.

Looking at this year compared to last year, we can look at how our 3,142 donors have responded thus far this year. Of the 1,039 gifts made thus far this year, 194 have come from brothers who were new donors last year, 137 from donors who lapsed last year and did not donate, and 651 gifts have come from donors who donated previous to last year as well as last year. 57 gifts have come from new donors this fiscal year--most of whom pledged their gift from the spring phone campaign, but paid after June 30.

In the fall of 1990, we conducted four phonothons using our chapter members at Westminster College. The calls were made to alumni who made gifts last fiscal year of less than \$100.00. The goal was to encourage these donors to increase their gift this year as well as to encourage giving early in the fiscal year. Efforts yielded 488 pledges for \$17,005. At this point, \$17,366 has been received from 522 brothers. Our fulfillment rate for those who said "yes" is at 81.33%. When spring rolls around, we hope to get these donors to make a second gift this year to the Educational Foundation.

The strategy for reaching the \$99,000 net goal for 1990-91 revolves around a heavy mail campaign in conjunction with the phone campaign. We have 6,800 alumni who have never donated and have a phone number listed on our computer. These alumni were not contacted by the phone campaign last year. Additionally, we have 3,200 brothers who have not donated nor been called to donate since prior to 7/1/89. These brothers are a target group to be phoned. To wrap up the phone campaign, we will call donors of the last two years who have not yet donated this year.

The Future. Significant increases in the future of the Annual Loyalty Fund will have to come from increasing the average gifts from alumni. This can be done by improving our contact with alumni. The committee may want to discuss the creation of higher donor recognition levels and explore ways in which personal contact and solicitation by volunteers may increase giving. A recommendation of the staff for recognition is to begin naming scholarships for attendees to the National Leadership Conference in honor of those brothers who join any newly created clubs, or if there will not be a new club, then in honor of those contributing at the Grand Senior Presidents Club level.

The Official Family. Trustee Gibbs has welcomed Trustee Sandercox as Co-Chairman of the Grand Senior Presidents Club for 1990-91. Their mission is to encourage as many of our "official family" brothers to donate as possible. This group of 199 volunteer alumni includes all official volunteers and the staff. Seventy-two of these volunteers gave \$17,100 in 1989-90, with \$9,500 coming from the Grand Council, Educational Foundation Trustees and staff. These sixteen brothers of the Grand Council, Trustees, and the staff have given or pledged \$13,638 for 1990-91. This 44% increase will be used as a part of the challenge to the rest of our official family to increase their giving to the Annual Loyalty Fund.

	<u># of Members</u>	<u># of Gifts</u>	<u>Dollars Pledged</u>	<u>Average Gift</u>
Grand Council	7	7	\$ 6,653	\$950
Trustees	5	5	4,695	939
Staff	4	4	2,290	573
Overall	16	16	\$13,638	\$852

As discussed in the Development Audit, there are several factors which must be addressed to improve the Annual Loyalty Fund: increasing The Tomahawk to four issues per year, personal solicitation and cultivation, and higher level leadership clubs for annual giving would be our starting points.

2. Educational Foundation Endowment

Thanks to the Kleinoeder Bequest, the endowment has taken a tremendous leap forward. Additional endowment gifts for the first half of 1990-91 total \$6,628, of this, \$4,128 was Third Founding payments. Only \$25,105 in pledges from 70 brothers remain outstanding to The Third Founding, and \$10,094 of this total are in arrears from 40 brothers. A significant portion of this delinquent amount may eventually be written off. Through our follow-up of The Third Founding we have written off \$65,981 in pledges from 316 brothers.

Endowment

\$4,719,185

Cash Pledges

\$25,105

Verified Bequests

\$543,500

Chapter Endowments. As of this report, three chapter endowment funds have been created for California-Berkeley, Oregon State, and Westminster. Planned gifts have been arranged to create chapter endowment funds for Washington and Lehigh and inquiries are being received from alumni of other chapters.

3. Kleinoeder Bequest

Information announcing the Kleinoeder Bequest was sent out to all 32,000 of our alumni (see attachment B, page 7) as well as all interfraternity offices, and all colleges and universities which host an NIC member fraternity. The authorization to expend \$30,000 for the announcement was handled through a mail vote in October. Those costs are reflected under the planned giving line item of the Educational Foundation's budget. The cost of this project is now estimated to be \$20,000. The \$10,000 difference was mostly made up in the unexpected lower cost of the Foundation for Excellence.

Our goal was to send a message to our alumni of the importance of such gifts and that our hope is that this is only the beginning. We received several kind letters of encouragement and congratulations reiterating this hope, as well as an announcement in The Chronicle of Higher Education (see attachment C, page 8).

To date, \$4 million has been received from the estate. Executors indicate that our expected remaining portion will be approximately \$2.5 million more. The distribution of this portion of the gift may take much longer than the first \$4 million.

Recognition of a gift of this magnitude is an important step in the development process. The committee may want to consider a plan to recognize Brother Kleinoeder appropriately.

4. Capital Campaign

Regular discussion of a capital campaign has not been a part of this committee since the completion of the Third Founding. The process of preparation for a capital campaign needs to begin soon if we are to use the Sesquicentennial Anniversary as the main thrust. The first steps in improving our development program in preparation for a campaign have already been taken in completing the development audit performed by Sumner Rahr & Co.

The staff is proposing a timetable as a starting point for discussion (see attachment D, page 9). The proposed timetable is very compact and may require additional staffing, and additional dedicated volunteer support in order to complete the desired goals. The next step is to get a consensus among the committee members, Grand Council, and Trustees as to moving further along on this project.

This summer, the committee may want to interview consultants who would perform a campaign assessment. A campaign assessment would send a fundraising consultant out to visit with some of our alumni and determine what their interests and needs are. We would then find out what our top prospects are thinking about the case we have developed, and how willing they might be to support that case. In the end, we should know how large our goal may realistically be and where we could look for the necessary leadership support.

5. Planned Giving

Living membership in "The Manigault Society", our recognition "club" for brothers who have made planned gifts (bequest, insurance policy, or trust) to the Educational Foundation, now stands at 55 brothers. Twenty-seven brothers have listed the amounts of these provisions, which total \$543,500. The other twenty-eight brothers have either left percentages of their estates without estimate, or declined to list an amount.

We promoted "The Manigault Society" in the fall issue of The Tomahawk, as well as the Special Edition of Foundation For Excellence, and will continue to do so in future issues. Every brother who makes a gift of \$50.00 or more to the Annual Loyalty Fund receives a thank-you letter with a "Tomahawk News Card" which gives him an opportunity to express an interest in "The Manigault Society." Follow up and research on brothers who express an interest in "planned gifts" has been marginal at best. This summer the Vice President for Development will make this one of his top priorities. In the past the follow-up and coordination of the Planned Giving Program has been given minimal attention.

The last person given special attention regarding his interest in planned giving was Howard L. Kleinoeder.

6. The Tomahawk

Two issues of The Tomahawk--a summer issue in July and a fall issue in December--were budgeted for and produced so far in 1990-91. From fiscal year 1988-89 to the present (3 years) there have been only five issues of The Tomahawk published as compared to the regular quarterly publication we have been used to for many years.

The staff has proposed an increase in the budget for one additional issue of The Tomahawk this fiscal year. Communication with alumni is vital as we seek to make our case for support. As noted in the Development Audit, returning The Tomahawk to a quarterly publication is vital to the development and cultivation of alumni. While each year many factors may affect the Annual Loyalty Fund the lack of consistent communication can continue to handicap our fundraising efforts. The staff continues to identify The Tomahawk as a top priority for funding.

7. Foundation For Excellence

During 1989-90 the Foundation For Excellence newsletter was revived. Two issues were mailed to previous donors and selected non-donors in January and May. This attempt to fill the void left by the lack of quarterly issues of The Tomahawk has received many favorable comments from alumni. This year a special edition of Foundation For Excellence was published in November to announce the Kleinoeder Bequest. The staff has proposed funding for two additional issues to bring our publication program back to where we would like it to be.

8. Chapter Newsletters

The National Headquarters has offered the Headquarters Newsletter Service since 1984-85 to assist chapters in increasing the quality and quantity of their contacts with alumni. The service is a very low cost, no risk method of producing three newsletters per year if they agree to complete three per year and raise money through the newsletter from alumni to help pay the cost. Chapters who do not utilize our newsletter service are encouraged to produce their own three newsletter per year.

Fourteen chapters are participating in the newsletter service this year, a significant decline from last year. Thus far, nineteen chapters have produced a newsletter either on their own or through the Headquarters Newsletter Service. The following table shows historically how many chapters have produced at least one newsletter each year and how many newsletters have been produced.

	<u># Chapters HQ/Own - Total</u>	<u># Newsletters HQ/Own - Total</u>
1986-87	30/ 6 - 36	63/ 8 - 71
1987-88	29/10 - 39	74/13 - 87
1988-89	29/11 - 40	63/18 - 81
1989-90	22/13 - 35	54/27 - 81

As chapters evaluate where to allocate their scarce financial resources many struggle to rationalize using the Headquarters service where donations are utilized to pay for the newsletters rather than making a direct appeal to the alumni for some immediate income. A challenge of the staff continues to be in preventing chapters enrolled in the service under the "no risk" plan from violating the no solicitation rule.

ALPHA SIGMA PHI EDUCATIONAL FOUNDATION



24 WEST WILLIAM STREET
DELAWARE, OHIO 43015
614-363-1911

Spring Phonothon Statistics as of 9/12/90

	<u>Pledged</u>		<u>Received</u>		<u>Outstanding</u>		<u>Fulfill</u>
Non-Donors:	\$ 55,591	=	\$ 36,615	+	\$ 18,976		65.86%
Prev. Donors:	\$ 37,724	=	\$ 29,199	+	\$ 8,525		77.40%

	<u>Maybes Received</u>		<u>Fulfill w/Maybes</u>
Non-Donors:	\$ 2,640		70.61%
Prev. Donors:	\$ 3,319		86.20%

Non-Donor Stats

<u>Yes</u>	<u>Pledged</u>	<u>No</u>	<u>Maybe</u>	<u>WR#/Disc.</u>	<u>Special</u>	<u>Other</u>
1,186	\$ 55,591	4,457	1,169	2,393	633	361

Prev. Donor Stats

<u>Yes</u>	<u>Pledged</u>	<u>No</u>	<u>Maybe</u>	<u>WR#/Disc.</u>	<u>Special</u>	<u>Other</u>
824	\$ 37,724	1,795	451	788	193	71

Non-Donor Fulfillment Record

<u>1st Remind.</u>	<u>2nd Remind.</u>	<u>3rd Remind.</u>	<u>4th Remind</u>
25.2%	35.96%	42.86%	51.46%

After Calls (6/30/90)

56.12%

As of 9/12/90

65.86%

PHILANTHROPY NOTES

- **U. of St. Thomas gets \$10-million for school of business**
- **Ford fund gives \$2.5-million for 2-year-college projects**
- **Alpha Sigma Phi Foundation receives a \$4-million bequest**
- **Milwaukee businesses establish \$13-million scholarship fund**

An anonymous donor will give \$10-million to the University of St. Thomas to endow its new graduate school of business. The gift will be part of St. Thomas's \$25-million campaign to finance a new campus in downtown Minneapolis.

The gift, from a Minnesota family, stipulates that the university must obtain \$15-million from other sources to construct a new building for the business school. St. Thomas has raised \$10.3-million since July and expects to receive the remaining \$4.7-million before ground is broken in May. Scheduled to open in 1992, St. Thomas's new Graduate School of Business will be the first building on the new campus. The university's main campus is in St. Paul.

St. Thomas has offered graduate business courses in Minneapolis in an old department store since 1987. Enrollment in the program in the past three years has grown from 200 to 1,000 students.

The Ford Foundation has awarded grants totaling \$2.5-million to a program that helps students transfer from two-year to four-year colleges and to several projects that train community-college administrators.

The National Center for Academic Achievement and Transfer, sponsored by the American Council on Education, will receive \$2-million to help community colleges establish partnerships with four-year institutions.

The grant allows the center to award \$200,000 each to four pairs of institutions to develop joint core curricula specifically designed to encourage students, especially

members of minority groups, to transfer. In addition, \$25,000 each will be awarded to 10 other partnerships working to strengthen students' ability to transfer. The grants continue a program, geared for urban institutions, which began in 1989. The remaining money will finance data gathering.

The foundation also awarded a total of \$500,000 to nine institutions for programs that train community-college administrators. The awards are distributed under the foundation's new Community College Administrative Leadership Development Program.

The Alpha Sigma Phi Educational Foundation has received a \$4-million bequest from Howard L. (Ki) Kleinoeder. The gift is believed to be the largest to a national collegiate fraternity.

Foundation officials have added the gift to the fund's endowment—increasing the total from \$500,000 to \$4.5-million. Income from the gift will finance existing programs as well as future projects that stress leadership development, character building, and scholastic achievement for the fraternity's 2,500 undergraduate members.

Mr. Kleinoeder, who died in June, was initiated into the Alpha Sigma Phi fraternity at the University of Washington in 1935 and remained active in its alumni activities. He retired in 1979 as president of a New York City marine-insurance firm, International Marine Agency.

Several businesses in Milwaukee have established a \$13-million scholarship fund to

send local low-income high-school graduates to area colleges.

Beginning next fall, interest from the scholarship fund, set up by the Metropolitan Milwaukee Association of Commerce, will contribute up to \$3,000 a year to each of about 200 needy students. Within four years, the fund hopes to contribute a total of \$1-million a year in scholarships to about 500 students.

Scholarship winners must be accepted as full-time students at any of 15 designated two-year or four-year colleges in the Milwaukee area. To qualify, a student must apply for financial aid and have maintained at least a 2.5 grade-point average in high school.

The \$13-million used to establish the fund was a portion of the proceeds from the association's sale of its wholly owned subsidiary, the Credit Bureau of Milwaukee.

—JULIE L. NICKLIN

Briefly Noted

■ E. M. and Christine E. Lynn will donate about \$3-million to Stetson University to buy and renovate the former headquarters of a savings and loan association. The building will house Stetson's business school. Mr. Lynn, who attended Stetson, is chairman of the board and chief executive officer of the Lumberman's Underwriting Alliance.

■ Tom and Jean McMullin have pledged \$2.7-million to endow the deanship of the College of Medicine at Texas A&M University. The gift is the first endowment of a deanship at the university. Mr. McMullin is retired chairman of the board and executive vice-president of Communication Industries.

The Campaign for Alpha Sigma Phi

Spring 91	Regional Cultivation Events begin
Summer 91	Initial discussions, determination of need and priorities, approval to move ahead, with timetable & concept
Summer 91	Appointment of Campaign Staff
Spring 91-94	Prospect evaluation program and cultivational visits and events
Fall 91	Appoint professional fund raising counsel
Fall 91	Feasibility study
Fall 91	Continued Grand Council & Foundation discussions Expand Board of Trustees
Fall 91	Identification of steering committee, honorary chairman, volunteer leadership
Jan 92	Approval of campaign by Foundation & Grand Council Approval of campaign policies
March 92	Campaign materials printed
Spring 92	Beginning of advance gift stage, trustees, Grand Council members, official family
Summer 92 - End	Corporate & Foundation campaign - if case warrants Leadership Division of Solicitation
Spring 93 - End	Regional alumni phase of campaign kick-off publicly launched
Fall 93	Undergraduate & parent phase of campaign begins
Spring 93 - Fall 95	Telemarketing, alumni events, campaign activities continue
August 95	Solicitation phase of campaign completed--- VICTORY ANNOUNCED - NLC '95?
Spring 98	Pledge payments completed

ALPHA SIGMA PHI FRATERNITY, INC.

Comparative Operating Statement & Budget Analysis

<u>INCOME</u>	<u>7/1/89- 12/31/89</u>	<u>7/1/90- 12/31/90</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>
1. Initiation Fees	\$ 40,835.00	\$ 25,325.00	\$88,575.00	\$84,000.00	\$84,000.00
2. Pledge Fees	22,035.00	22,240.00	38,160.00	45,000.00	45,000.00
3. Chapter Fees	2,340.00	2,385.00	2,340.00	2,295.00	12,036.00
4. Undergrad. Dues	98,257.50	107,805.00	97,817.50	106,800.00	106,800.00
5. Educ. Foundation Grants	25,000.00	8,750.00	69,460.00	47,500.00	77,400.00
6. Merchandise (Net)	5,889.27	746.98	10,386.45	7,500.00	7,500.00
7. Investments and Interest	2,190.70	1,389.50	5,732.62	5,000.00	5,000.00
8. Parking Rentals	865.00	660.00	1,915.00	1,900.00	1,320.00
9. Services to Organizations	5,100.00	7,600.00	25,147.00	25,000.00	12,000.00
10. Miscellaneous	2,370.51	1,968.15	5,340.23	6,500.00	6,500.00
11. Reimbursed Salary Expenses	-	-	-	-	38,500.00
12. Rent and Utility Expenses	-	-	-	-	9,000.00
13. Administrative Expenses	-	-	-	-	8,450.00
14. Automobile Expenses	-	-	-	-	2,200.00
15. National Meeting	-	-	-	-	33,522.80
TOTAL INCOME:	\$ 204,882.98	\$ 178,869.63	\$344,873.80	\$331,495.00	\$449,228.80
TOTAL EXPENSES:	<u>166,295.04</u>	<u>211,458.82</u>	<u>343,991.78</u>	<u>329,400.00</u>	<u>447,550.00</u>
GAIN (LOSS):	\$ 38,587.94	\$ (32,589.19)	\$882.02	\$2,095.00	\$ 1,678.80

Special Notes

Annual Loyalty Fund (net)	\$ 41,891.84	\$ 32,428.38	\$93,505.07	\$99,000.00	\$99,000.00
Available Cash, 12-31-90:	\$ 45,288.50				
Educational Grant Shortfall:	\$ 66,950.00				

ALPHA SIGMA PHI FRATERNITY, INC.

Comparative Operating Statement & Budget Analysis

<u>EXPENSES</u>	<u>7/1/89- 12/31/89</u>	<u>7/1/90- 12/31/90</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>
1. Salaries	\$ 69,669.04	\$ 69,230.21	\$ 144,278.94	\$ 132,000.00	\$ 136,600.00
2. Unemployment Comp.	233.68	580.85	1,319.22	1,600.00	1,000.00
3. Employees A & H Ins.	8287.88	6,170.31	18,607.55	8,000.00	8,000.00
4. Social Security Tax	5,262.22	5,329.29	11,026.30	10,100.00	8,700.00
5. Pension	4,354.91	4,687.34	8,796.90	8,900.00	5,000.00
6. Utilities	1,223.38	1,298.37	2,631.39	3,000.00	3,000.00
7. Bldg., Maint. & Prop. Tax	203.19	2,892.07	1,280.25	4,200.00	6,500.00
8. Telephone	3,801.90	5,644.05	9,467.25	10,000.00	10,000.00
9. Postage	4,747.92	9,505.54	12,776.07	13,000.00	16,000.00
10. Office Supplies	8,759.60	8,310.46	13,012.62	13,000.00	13,000.00
11. Computer Service	2,197.26	2,639.27	4,663.77	5,500.00	850.00
12. Audit & Legal	3,800.00	4,550.00	3,800.00	4,500.00	4,500.00
13. Insurance	928.40	1,801.42	1,883.95	2,700.00	2,900.00
14. Publications					
a. Tomahawk	20,016.60	23,806.39	26,102.57	27,000.00	43,000.00
b. TBIM	281.16	560.70	2,338.42	5,000.00	5,000.00
c. Found. Excell.	-	198.83	4,809.99	-	5,500.00
15. Chapter Services					
a. Exec. V.P. Travel	2,040.49	2,708.20	2,158.13	4,000.00	4,000.00
b. Dir. Chap. Serv. Travel	1,341.71	-	4,216.92	-	-
c. Chapt. Cons. Travel	3,300.81	9,189.27	7,468.97	14,000.00	16,000.00
d. Staff Housing	600.00	1,625.00	1,475.00	3,000.00	3,000.00
e. G.C., Others Travel	2,430.73	192.58	3,210.50	2,000.00	2,000.00
f. Prog. Costs & Gen. Op.	2,953.35	3,651.16	6,021.99	6,000.00	8,000.00
16. Expansion					
a. Staff Travel	-	293.89	302.00	1,000.00	1,000.00
b. Others Travel	-	216.41	-	500.00	500.00
c. Prog. Costs & Gen. Op.	384.95	120.70	419.70	1,000.00	1,000.00
17. Interfraternity					
a. Dues	2,362.45	2,499.30	2,362.45	2,400.00	2,500.00
b. Travel & Meetings	1,939.11	3,914.37	5,458.05	5,500.00	7,000.00

ALPHA SIGMA PHI FRATERNITY, INC.

Comparative Operating Statement & Budget Analysis

<u>EXPENSES (Continued)</u>	<u>7/1/89- 12/31/89</u>	<u>7/1/90- 12/31/90</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>
18. Chapter Programming					
a. Province System	2,239.23	-	2,315.36	2,500.00	2,500.00
b. Scholarship	-	-	-	-	-
19. Conf. & Exec. Meetings	4,289.85	16,457.89	9,117.66	13,000.00	38,000.00
20. Automobile	3,004.88	5,494.84	5,916.74	7,000.00	5,500.00
21. Insignia	3,495.12	5,332.09	12,179.74	11,500.00	11,500.00
22. Newsletter Service	1,250.87	15.02	2,095.70	2,000.00	2,000.00
23. Doubtful Accounts	-	-	1,000.00	1,000.00	1,000.00
24. Miscellaneous	648.29	963.34	3,421.78	4,500.00	4,500.00
25. National Meetings (Net)	(55.16)	11,573.66	(138.53)	-	68,000.00
26. Exec. V.P. Search	<u>301.22</u>	<u>-</u>	<u>8,194.43</u>	<u>-</u>	<u>-</u>
TOTAL EXPENSES:	\$166,295.04	\$211,458.82	\$343,991.78	\$329,400.00	\$447,550.00

ALPHA SIGMA PHI EDUCATIONAL FOUNDATION

BUDGET REPORT

	<u>7/1/90- 12/31/90</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>
<u>REVENUES</u>				
1. Endowment Gifts	\$ 4,006,628.40	\$ 17,732.00	\$ 5,000.00	\$ 4,005,000.00
2. Annual Loyalty Fund	53,991.87	166,780.00	154,000.00	154,000.00
3. Investment Income (net)	1,355.12	61,566.00	44,000.00	185,000.00
4. Investment Sale Gain	-	239.00	-	-
5. Computer Fees	11,900.00	22,860.00	23,800.00	850.00
6. Expendable Fund Transfer	-	623.00	-	-
 TOTAL REVENUES	 \$ 4,073,875.39	 \$269,800.00	 \$226,800.00	 \$4,344,850.00
NON-EXPENDABLE REVENUES/ENDOWMENT	<u>4,006,628.40</u>	<u>17,732.00</u>	<u>5,000.00</u>	<u>4,005,000.00</u>
 TOTAL EXPENDABLE REVENUES	 \$ 67,246.99	 \$252,068.00	 \$221,800.00	 \$ 339,850.00
TOTAL EXPENDITURES	<u>127,874.02</u>	<u>252,068.00</u>	<u>221,800.00</u>	<u>339,850.00</u>
 GAIN/(LOSS)	 \$ (60,627.06)	 \$ -	 \$ -	 \$ -

ALPHA SIGMA PHI EDUCATIONAL FOUNDATION

BUDGET REPORT

<u>EXPENDITURES</u>	<u>7/1/90- 12/31/90</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>
1. Annual Loyalty Fund	\$ 21,563.49	\$ 73,275.00	\$ 55,000.00	\$ 55,000.00
2. Personnel	35,354.70	45,792.00	53,500.00	74,000.00
3. Alumni Development	5,968.99	6,744.00	8,000.00	10,000.00
4. Planned Giving	19,738.55	-	-	20,000.00
5. Bookkeeping	1,500.00	3,000.00	3,000.00	-
6. Audit & Legal	4,100.00	2,600.00	3,000.00	10,000.00
7. General Administration	2,500.00	10,000.00	10,000.00	-
8. Third Founding Administration	-	-	-	-
9. Equipment Depreciation	-	16,467.00	17,250.00	17,250.00
10. Equipment Maintenance	2,634.41	4,219.00	4,400.00	4,400.00
11. Miscellaneous	10,195.76	5,837.00	6,000.00	12,000.00
12. Personnel Expenses	-	-	-	38,500.00
13. Rent and Utilities Expenses	-	-	-	9,000.00
14. Administrative Expenses	-	-	-	8,450.00
15. Automobile Expenses	-	-	-	2,200.00
 <u>GRANTS</u>				
1. Scholarships/Awards	\$ 1,818.15	\$ 1,695.00	\$ 1,650.00	\$ 1,650.00
2. National Leadership Conference	12,500.00	12,500.00	12,500.00	-
3. Educational Grants	10,000.00	69,460.00	47,500.00	77,400.00
4. Chapter Funds Grants	-	479.00	-	-
 TOTAL EXPENDITURES	 \$127,874.05	 \$252,068.00	 \$221,800.00	 \$339,850.00

REPORT TO THE COMMITTEE ON FINANCE

3. Budget Review

The current budget review and proposed adjusted budget were necessitated by the receipt of \$4M in the Foundation's account from the Kleinoeder bequest after the current budget was passed in August 1990. This review process began on December 1 when the Trustees passed funding guidance that stated that \$150,000 plus the net of the Annual Loyalty Fund could be used for educational projects this fiscal year, subject to approval by the Trustees.

On December 6, Stan Thurston visited the National Headquarters and the actual rebudgeting process was initiated. It continued through the end of the year with additional discussions with Stan, Milton Cerny and our local auditor, Bill Rogers. The discussions centered on the best methods to be used to transfer money easily and cleanly from the Foundation to the Fraternity account. The various educational grants were discussed, as were actual expenses of the Foundation which have not been previously charged.

The current budget process reflects a transition process. The budget was distributed to members of the Finance Committee and was discussed extensively in a conference telephone call on January 11. Copies of the proposed budget were simultaneously passed to Grand Senior President Vohs, Trustee Chairman Miller and Trustee Sandercox. It should be noted that the Trustees have set a course of action that will result in a line item budget for their operational purposes beginning next fiscal year, thus allowing for better tracking of their actual expenses. In house administrative changes and technology developments will support this tracking endeavor. When calculating the educational grants, I arrived at a documentable and supportable figure of \$144,350. Based on actual Foundation monies available, the budget item reflected \$77,400 or a shortfall of \$66,950 which could be used should the Trustees receive additional funds. This shortfall money is carried as an accrual note on the income page.

The proposed budget required the actual calculation of Fraternity versus Foundation costs in order to make more exact calculation of expenses. Historical data, desk top audits and supervisory input allowed for calculation of supportable personnel formulas. The costs of computer usage was changed from the Fraternity bearing all the costs to be the actual share used as calculated on the basis of undergraduate to alumni figures in the computer data base as of July 1, 1990. With these calculations in place, it was relatively easy to determine Fraternity versus Foundation expenses for fixed costs. The revised budget reflects reimbursement for these fixed costs from July 1, 1990.

One action item that needs to be discussed is the chapter fees. We currently charge each chapter \$236 per year. Of that amount, \$45 has been traditionally entered as income with the balance of the amount, \$191, appearing against the national meeting net expense. It is logical now that we treat the entire \$236 as chapter fee income without setting aside the \$191 as a reserve amount for one chapter delegate to attend our annual national meetings. This is a desirable decision, since we can bring across 100 percent

of the cost of a National Leadership Conference as an educational grant. In the years in which we also hold a convention, a full 80 percent of the cost of the total meeting can be requested as an education grant.

Tangible items included in the new budget reflect the addition of an additional Tomahawk and two Foundations for Excellence. These items were included based on the emphasis placed on their publication in past Grand Council meetings.

Had the Kleinoeder bequest not arrived, the budget originally passed would have been on target with actual expenses accrued through December 31. Income items of concern are the \$15,000 plus shortfall in initiation fees, and projected shortfalls in pledge fees and undergraduate dues. At present, our Chapter Leadership Consultants are telephonically contacting the chapters that show significant shortfalls from this period last year in order to discern the actual cause. The undergraduate dues income revenue reflects only invoiced amounts and the staff feels it will probably be significantly lower as chapters adjust the rosters on which the invoices were prepared.

It is important to realize that when the bequest was actually received, the demands on the budget immediately increased. The strategic management retreat, increased Grand Council travel and mileage costs, and the addition of the ad hoc committees has caused a tremendous cash flow strain on the current budget and day to day operations of the National Headquarters. While the proposed budget shows a modest anticipated gain, it does not reflect personnel increases that may be approved. Pay raises are normally made retroactive to January 1.

4. Accounts Receivable

Chapter Dues and Fees. Dues and fees payable by chapters are down to \$24,600 this year from \$28,700 as compared to December 31 of last year. All chapters who owe are on an invoice for the amount. Chapters who are delinquent are currently on a payment plan or are in the process of having one finalized for them, except as indicated below. Director of Administrative Services Bev Moody continues to direct our collection efforts by following procedures which were developed in the fall of 1988.

Missouri. The chapter's alumni organization owes \$10,939.24 for insurance bills dating back to 1988-89. We do not provide insurance to the alumni any longer. Due to the low number of men currently in the chapter and residing in the chapter house, the alumni continue to operate in a deficit situation. They have made it very clear to us in the past that we are their very last payment priority. Until the chapter size increases dramatically and the in-house residents provide sufficient cash flow for the alumni to cover current expenses and other debts, we cannot expect to be paid.

Oklahoma. The A-S-P Corporation's second mortgage loan made to the chapter's Alumni Corporation in 1978 remains delinquent (\$26,645.52 principal balance; \$41,630.69 including delinquent interest) and they owe \$6,557.02 in insurance payments (including \$5,272.52 in delinquent payments). The chapter closed in 1987 and the house is rented to students. Due to the glut of campus rentals, the property only produces enough income to pay basic expenses and the interest on the first mortgage loan.

Although the alumni have discussed selling the house to the university, it is unclear if this will become a reality soon. Otherwise the alumni feel that no market for the sale of the house exists at this time--and the bank (first mortgagee) seems to agree. It is impossible to determine, due to the uncertainty of the housing market, whether or not the property currently has enough equity to cover the \$33,202.54 of combined debts owed to the fraternity. This situation, as described, has remained constant for the past three years. No alumni leaders have emerged to resolve the issue and the staff has not actively pressured the alumni to take alternative actions. We have expected the bank to force the sale of the property once the market turns around. The situation remains "on hold" until that point in time. Our auditor will request a current appraisal of the property this spring so he can issue a proper audit report.

Widener. The Widener situation has changed since our last report. In August, the A-S-P Corporation met with Dave Mason representing the Widener alumni. The alumni did fulfill their financial obligations with the fraternity through December 31, 1990. Their current balance is \$39,442.20 as compared to \$41,048 owed at the same time last year. The A-S-P Corporation officers met telephonically with Dave on December 6, 1990 and a long-term repayment schedule was proposed and agreed upon. Effective January 1, 1991, this agreement states that the alumni will pay \$1,667.00 per month to the Fraternity (\$20,004 per year). Of the total payment, one half (\$833.50) will be applied to the current rent and one half will be applied towards reducing the balance. The goal is to eliminate the balance in four years. The A-S-P Corporation officers state the current sale price of the property is \$77,500. The contract, when signed, will include a penalty clause stating that if proper payment is not received at the end of the 30 day period in which it is due, the account will go into a default status. If the account remains delinquent for 90 days or more, the corporation will actively be looking for a realtor and list the property for sale.

Davis & Elkins. The chapter is arrears in the amount of \$1,253.75 for insurance dating back to 1987-88. Currently they are on a promissory note for that amount. They are also in arrears in the amount of an additional \$1,319.27 for dues, fees and fines dating back to 1988-89. All current year obligations are being discharged and the chapter has made a payment on the arrears amount. Periodically, the National Headquarters receives contributions from chapter alumni to reduce the debt figures for the undergraduate chapter.

5. Risk Management and Insurance Program (See Undergraduate Operations, pages 8-9)

Included for the purposes of discussion.

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

TO: The Alpha Sigma Phi Educational Foundation Trustees
FROM: John R. Chaney, Executive Vice President
RE: 1990-91 Request for Educational Grants
DATE: January 11, 1991

Alpha Sigma Phi Fraternity, Inc. respectfully requests the following grants subject to availability of funds from the Alpha Sigma Phi Educational Foundation for the 1990-91 fiscal year.

1. Support for Chapter Leadership Consultants (<u>Foundations of Excellence</u> , p. 6)	\$ 37,250.00
2. Support of Volunteers performing Chapter Leadership Consultant activities	5,000.00
3. Partial publication costs of <u>The Tomahawk</u> , and Educational Journal	20,000.00
4. Educational Materials and Manuals (<u>Foundations of Excellence</u> , p. 10)	4,000.00
5. The Province Program (<u>Foundations of Excellence</u> , p. 10)	8,000.00
6. Publication costs, storage, and distribution of the manual <u>To Better The Man</u>	4,000.00
7. Support for alumni volunteers and committees studying alumni matters, province leadership issues, scholarship, housing and national service	17,000.00
8. Support for National Leadership Conference	<u>54,050.00</u>
TOTAL	\$144,350.00

JRC/sjj

ALPHA SIGMA PHI FRATERNITY



**NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015**

614-363-1911

SERVICE AGREEMENT

The Alpha Sigma Phi Educational Foundation agrees to make available its Computer System to Alpha Sigma Phi Fraternity, Inc., during the time period of 7/1/90 to 6/30/91 for the purpose of:

1. Membership record storage and updating
2. Production of membership reports, including labels, printouts and letters
3. Word Processing

Alpha Sigma Phi Fraternity, Inc., agrees to pay the Alpha Sigma Phi Educational Foundation a usage fee of \$850.00 for these services in equal quarterly installments of \$212.50.

Charles J. Vohs
Grand Senior President
Alpha Sigma Phi Fraternity, Inc.

Date

Dr. Robert E. Miller, Chairman
The Alpha Sigma Phi
Educational Foundation

Date

ALPHA SIGMA PHI FRATERNITY



**NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015**

614-363-1911

TO: The Alpha Sigma Phi Educational Foundation Trustees
FROM: John R. Chaney, Executive Vice President
RE: 1990-91 Request for Reimbursement of Expenses
DATE: January 11, 1991

Alpha Sigma Phi Fraternity, Inc. respectfully requests reimbursement for actual expenses and costs incurred from the Alpha Sigma Phi Educational Foundation for the 1990-91 fiscal year.

1. Salary Expenses	\$ 38,500.00
2. Rent and Utilities	9,000.00
3. Administrative Expenses (Telephone, postage and office supplies)	8,450.00
4. Automobile	<u>2,200.00</u>
TOTAL	\$ 58,150.00

JRC/sjj

LAW OFFICES

CAPLIN & DRYSDALE
CHARTERED

ONE THOMAS CIRCLE, N.W.

WASHINGTON, D.C. 20005

(202) 862-5000

RECEIVED

JAN 2 1991

ROBERT H. ELLIOTT, JR.*
MYRON C. BAUM*
OF COUNSEL

NEW YORK OFFICE:
10 EAST 53RD STREET
NEW YORK, NY 10022
(212) 319-7125

TELEX 904001 CAPL UR WSH
TELECOPIER (202) 429-3301
WRITER'S DIRECT DIAL NUMBER

202/862-5075

December 18, 1990

* ADMITTED IN D.C. & N.Y.
* NOT ADMITTED IN D.C. OR N.Y.
* ADMITTED IN N.Y. ONLY

Mr. John R. Chaney
Alpha Sigma Phi Educational Foundation
24 West William Street
Delaware, Ohio 43015


Dear John:

I enclose our statement for legal services for November.

I think you will find it self-explanatory; however, please call if you have any questions.

With best wishes for the holidays.

Sincerely,


Milton Cerny

MC:rlm
Enclosure

LAW OFFICES
CAPLIN & DRYSDALE
CHARTERED
ONE THOMAS CIRCLE, N.W.
WASHINGTON, D.C. 20005
(202) 862-5000

December 18, 1990

Alpha Sigma Phi Educational Foundation
24 West William Street
Delaware, Ohio 43015

For legal services for November 1 through
November 30, 1990, including:

Conferences among Caplin & Drysdale attorneys;
telephone conferences with U.S. Trust Company;
research regarding investment funds; discussion
with accountant concerning return; discussions
with IRS personnel regarding status of ruling
request for unusual grant \$ 644.00

Other charges:

Database research, duplicating costs, long-
distance telephone charges, and postage fees 496.41

TOTAL \$1,140.41

LAW OFFICES

CAPLIN & DRYSDALE
CHARTERED

ONE THOMAS CIRCLE, N.W.

WASHINGTON, D.C. 20005

(202) 862-5000

ROBERT H. ELLIOTT, JR.*
MYRON C. BAUM*
OF COUNSEL

NEW YORK OFFICE:
10 EAST 53RD STREET
NEW YORK, NY 10022
(212) 310-7125

TELEX 904001 CAPL UR WSH
TELECOPIER (202) 429-3301

WRITER'S DIRECT DIAL NUMBER

202/862-5075

RECEIVED

January 4, 1991

MORTIMER M. CAPLIN*
ROBERT A. KLAYMAN
PHILIP A. MUOIO*
JULIUS INSELBUCH*
PETER VAN N. LOCKWOOD*
WALTER B. SLOCOMBER
RICHARD W. SKILLMAN*
PATRICIA O. LEWIS*
BERNARD S. BAILOR*
STAFFORD SMILEY*
WENDY S. RUDOLPH
SCOTT D. MICHEL
KENT A. NASON
DAVID M. CROWE
MILTON CERNY
JULIA L. PORTER
MARY R. ALBRIGO
BETH E.S. SCHUMANN
JAMES K. SALLIS
JILL R. SHELOW
DAVID K. SUTHERLAND
WILLIAM NORINE*
CHRISTINE W. BOOTH*

DOUGLAS D. DRYSDALE
THOMAS A. TROYER
DAVID N. WEBSTER
H. DAVID ROSENBLUM*
RONALD B. LEWIS
CONO R. NAMORATO*
DANIEL B. ROSENDAUM*
RICHARD R. TIMBIE*
ORAKME W. BUSH*
ALBERT O. LAUDER, JR.
SALLY A. REGAL
JULIE W. DAVIS
CARL S. KRAVITZ
TREVOR W. SWETT III
BETH SHAPIRO KAUFMAN
EILEEN M. MALION
C. SANDERS MCNEW
CRAIG A. SHARON
PETER D. MAHRS
JAMES SOTTILE, IV
KENNETH D. WARD*
STEPHEN SILBIOER*

* ADMITTED IN D.C. & N.Y.
* NOT ADMITTED IN D.C. OR N.Y.
+ ADMITTED IN N.Y. ONLY

Mr. John R. Chaney
Alpha Sigma Phi Educational Foundation
24 West William Street
Delaware, Ohio 43015

Dear John:

I enclose our statement for legal services for December.

I think you will find it self-explanatory; however, please call if you have any questions.

With best wishes for the New Year.

Sincerely,

Milton Cerny
Milton Cerny

MC:rlm
Enclosure

LAW OFFICES
CAPLIN & DRYSDALE
CHARTERED
ONE THOMAS CIRCLE, N.W.
WASHINGTON, D.C. 20005
(202) 862-5000

January 4, 1991

Alpha Sigma Phi Educational Foundation
24 West William Street
Delaware, Ohio 43015

For legal services for December 1 through
December 31, 1990, including:

Discussions with Mr. Chaney; preparation of
memorandum regarding educational programs; review
of trust and custodial agreements \$ 658.00

Other charges:

Long-distance telephone charges 3.93

TOTAL \$ 661.93

RECEIVED

Ernst & Young

NOV 13 1990

Alpha Sigma Phi Fraternity, Inc.

One Columbus
100 W. Third Street
Columbus, Ohio 43260
Telephone (614) 292-1000
Fax (614) 292-2000
Telex 632464

October 7, 1990

Mr. John Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
24 W. William Street
Delaware, Ohio 43015

Dear John:

I enjoyed talking with you and discussing current developments within your organization. You have to be excited about the changes which are taking place and your opportunity to have a significant impact on the future of the organization.

As we discussed, Ernst & Young is familiar with organizations such as yours. Our Columbus office audits eleven colleges and universities, over thirty hospitals and numerous other not-for-profit and trade organizations. The value of our services comes much more from the intangible input which we can have in working with you through suggestions and observations than from our signature on your audited financial statements or tax returns.

As you go forward in analyzing the changes which are taking place within your organization, we would be happy to talk with you if you feel there is a roll in which we may be helpful. As I previously indicated, we have seen and worked with the types of challenges which you will be facing and would enjoy offering the advantages of this experience to you.

Thank you, again, for your hospitality.

Very truly yours,



Les L. Brandon
Partner

LLB/k



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

TO: The Alpha Sigma Phi Educational Foundation Trustees
FROM: John R. Chaney, Executive Vice President
RE: 1990-91 Request for Reimbursement of Expenses
DATE: January 11, 1991

Alpha Sigma Phi Fraternity, Inc. respectfully requests reimbursement for actual expenses and costs incurred from the Alpha Sigma Phi Educational Foundation for the 1990-91 fiscal year.

1. Salary Expenses	\$ 38,500.00
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3. Administrative Expenses (Telephone, postage and office supplies)	8,450.00
4. Automobile	<u>2,200.00</u>
TOTAL	\$ 58,150.00

JRC/sjj

MINUTES OF GRAND COUNCIL CONFERENCE TELEPHONE CALL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

Wednesday, May 15, 1991
Delaware, Ohio

23. A telephone conference call meeting of the Grand Council was held at 2:00 p.m. on Wednesday, May 15, 1991. The following participated: Grand Senior President Charles J. Vohs, Grand Secretary Robert G. Cabello, Grand Marshal Dr. Larry E. Spees, Grand Councilor Mark D. Still, Grand Council Kevin J. Garvey and Executive Vice President John R. Chaney. Grand Junior President Dr. Dennis R. Parks and Grand Treasurer Stan G. Thurston were unavailable, but were knowledgeable of the topic being discussed and had voiced their opinions to Brother Vohs and Chaney prior to the meeting. Brother Garrett F. Riegg, an attorney representing the Nu Chapter alumni joined in the call.
24. DISCUSSION: A lengthy discussion centered on a claim payment of a fall 1989 workman's compensation case. The case involved a former Nu Chapter cook who was hurt on the job and the chapter did not have workman's compensation insurance in force. In addition to the undergraduates, the chapter and the Nu Chapter Alumni Corporation, the courts had very recently joined the National Fraternity Headquarters as a defendant. As trial was to be held on Monday, May 20, 1991, it was felt that if we could loan the alumni \$16,000 in cash and receive a promissory note for repayment we should proceed. The Nu Chapter Corporation would execute a promissory note for the loan's repayment. Garrett Riegg would also secure a closure for future claims in this case against the National Fraternity as a portion of the out of court settlement attempt. The loan would be secured by a deed of trust on the undergraduate chapter house and property. A general agreement was made to authorize the enactment of the \$16,000 loan based on the agreed upon considerations.

It was a further discussed that future budgets need to have a contingency item included to protect the National Fraternity from future use of current operating capital to pay for such emergency loan situations. In similar fashion, it was discussed that we need to create a liability insurance deductible fund within our budget.

25. ADJOURNMENT:

The conference call was adjourned at 2:53 p.m.

Respectfully submitted,


John R. Chaney, President


Robert G. Cabello, Grand Secretary

CONFERENCE CALL
May 15, 1991

MINUTES OF GRAND COUNCIL CONFERENCE TELEPHONE CALL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

June 24, 1991
Delaware, Ohio

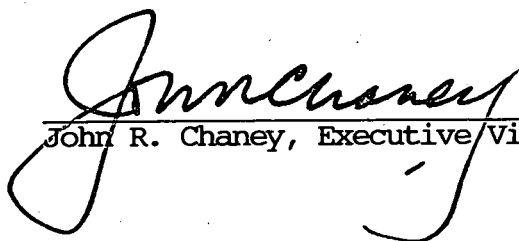
26. A telephone conference call meeting of the Grand Council was held at 2:00 p.m. on Monday, June 24, 1991. The following participated: Grand Senior President Charles J. Vohs, Grand Junior President Dr. Dennis R. Parks, Grand Treasurer Stan G. Thurston, Grand Secretary Robert G. Cabello, Grand Councilor Mark D. Still, Grand Councilor Kevin J. Garvey, Executive Vice President John R. Chaney and Vice President for Development Steven V. Zizzo. Grand Treasurer Stan G. Thurston was lost from the conference call connection at 2:35 p.m. and Grand Marshal Dr. Larry E. Spees was not located by the conference call operator.

27. DISCUSSION ITEMS:

- a. The major purpose of the conference call was to discuss a draft proposed 1991-92 budget. The proposed budget was discussed and Executive Vice President Chaney indicated that it would be reworked to incorporate the items discussed and distributed prior to the August meeting.
- b. Executive Vice President Chaney reported that an emergency payment of \$3,699.29 had been made to the Pennsylvania Tax Claim Bureau for delinquent taxes owed on the Gamma Xi Chapter House at 1238 Potter Street in Chester, Pennsylvania which is owned by the A-S-P Corporation. Due to the short time in which the payment had to be made, the expenditure was authorized telephonically by Grand Senior President Vohs in order to keep the property from being sold on July 1, 1991 to satisfy the tax claims.

28. ADJOURNMENT: The conference call was adjourned at 3:10 p.m.

Respectfully submitted,



John R. Chaney, Executive Vice President



Robert G. Cabello, Grand Secretary

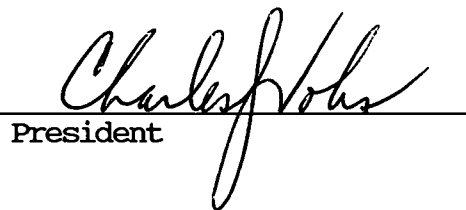
Conference Call
June 24, 1991

A-S-P CORPORATION MINUTES
State College, Pennsylvania
August 10, 1991

A meeting of the A-S-P Corporation was held initiated at 5:07 p.m. by order of the President, Charles J. Vohs. Also in attendance were Vice President Stan G. Thurston and Secretary John R. Chaney. It was agreed that the A-S-P Corporation should pursue the sale of the Widener Chapter house in light of their current financial situation. The Secretary was directed to communicate the action to the chapter alumni and proceed to coordinate necessary actions to sell the house. The meeting was adjourned at 5:16 p.m.

Respectfully submitted,


Secretary


President

A-S-P Corp. Minutes
August 10, 1991

MINUTES OF GRAND COUNCIL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

August 10, 1991
State College, Pennsylvania

29. CALL TO ORDER

Grand Senior President Vohs called the Grand Council meeting to order at 1:40 p.m. on Saturday, August 10, 1991.

30. ROLL CALL

Members of the Grand Council in attendance: Charles J. Vohs, Grand Senior President; Dr. Dennis R. Parks, Grand Junior President; Robert G. Cabello, Grand Secretary; Stan G. Thurston, Grand Treasurer; Dr. Larry E. Spees, Grand Marshal; and Grand Councilors Kevin J. Garvey and Mark D. Still. Also in attendance were: John R. Chaney, Executive Vice President, Ralph F. Burns, Executive Secretary Emeritus and Steven V. Zizzo, Alpha Sigma Phi Educational Foundation Vice President for Development. Others attending a portion of the session were Director of Chapter Services/Expansion Jeffery S. Owens, Chapter Leadership Consultant Thomas W. Stroffolino, Chapter Leadership Consultant C. Ryan Dunham, Educational Foundation Trustees Dr. Robert E. Miller, Alfred B. Wise, Richard R. Gibbs and Milton Cerny.

31. APPROVAL OF MINUTES OF MEETING OF JANUARY 27, 1991 AND TELEPHONE CONFERENCE CALLS OF MAY 15, 1991 AND JUNE 24, 1991

It was moved by Brother Cabello, seconded by Brother Still and unanimously passed to approve the minutes of the Grand Council Meeting of January 27, 1991 and the telephone conference calls of May 15, 1991 and June 24, 1991.

32. APPROVAL OF MAIL VOTE NOS. 223, 224 and 225

It was moved by Brother Cabello, seconded by Brother Parks and unanimously passed to approve Mail Vote Numbers 223, 224 and 225. (Attachment A)

33. GRAND COUNCIL COMMITTEE REPORTS

A. The report by the Committee on Personnel was reviewed. Committee Chairman Brother Spees stated that action items would be addressed later. (Attachment B on file with Executive Vice President)

B. The report by the Committee on Undergraduate Operations was reviewed. Committee Chairman Cabello stated that a province realignment proposal would be included as an action item. (Attachment C)

Grand Council
August 10, 1991

C. The report by the Committee on Alumni Operations was reviewed. Committee Chairman Brother Parks reported that the number of proposed issues for the Foundation For Excellence and The Tomahawk would be discussed as action items by the Committee on Finance. Brother Parks also recommended that the professional staff prepare a comprehensive recognition package for Brother Kleinoeder bequest for the January meeting. (Attachment D)

D. The report by the Committee on Finance was reviewed. Committee Chairman Brother Thurston reported all discussion would take place as action items. (Attachment E)

34. OLD BUSINESS

There was no old business introduced before the Grand Council at this time.

35. NEW BUSINESS

A. UNDERGRADUATE OPERATIONS

1. SUNY-Buffalo. It was moved by Brother Cabello, seconded by Brother Spees and unanimously passed that monitoring continue at the colony and that a goal of 25 men be obtained by February 1, 1992 and 50 men total be obtained and chartering take place by November 1, 1992.

2. Davis & Elkins. It was moved by Brother Cabello, seconded by Brother Parks and unanimously passed that the chapter be directed to complete the Standards of Excellence Workbook by early September 1991 under the direction of a Chapter Leadership Consultant and complete by January 1992 a second Standards of Excellence Workbook and submit it to the National office. The motion goes on to state that revocation proceedings are to be placed on hold contingent on the evidence of growth shown by the completion of the Standards of Excellence.

3. Marietta. It was moved by Brother Cabello, seconded by Brother Spees and unanimously passed that the chapter be directed to complete all of the October 26, 1991 letter from Executive Vice President Chaney to the undergraduate chapter. The motion goes on to state that the chapter is to generally improve and is to submit a written progress report at the January 1992 Grand Council meeting concerning the efforts to complete the imposed sanctions.

4. Ohio Northern. It was moved by Brother Cabello, seconded by Brother Spees and unanimously passed that administrative suspension continue until August 1992. The motion goes on to state that all sanctions must continue to be addressed. The motion directs that the National Staff and the Grand Province Chief closely supervise chapter operations. The motion also directs a written update as to chapter progress to be submitted to the Grand Council for the January 1992 meeting. The motion also directs that a live-in advisor be obtained by the chapter and alumni no later than January 1, 1992.

5. American. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed that all sanctions be lifted, contingent upon the submission of a written Officer's Training Program and the dissemination of a letter by the chapter to their alumni by October 1, 1991 describing how the chapter operates regarding the Risk Management Policy.

6. Iowa State. It was moved by Brother Cabello, seconded by Brother Thurston and unanimously passed to remove all sanctions.

7. Stockton State. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed that all sanctions be removed contingent upon the appointment of a Faculty Advisor and written agreement to follow the college and FIPG policy on alcohol. It was recommended that a letter of explanation should be sent to the chapter explaining that individual actions reflect on the whole group.

8. SUNY-Binghamton. It was moved by Brother Cabello, seconded by Brother Spees and unanimously approved that the colony set a goal of 50 active men for chartering and establish a chartering date no later than June 28, 1992.

9. Tulane. It was moved by Brother Cabello, seconded by Brother Thurston and unanimously passed that the charter revocation be placed on hold contingent upon the chapter having 15 undergraduate active members by the January 1992 Grand Council meeting. The motion went on to state that if this is not achieved, the charter will be revoked.

10. California. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed to discontinue revocation proceedings contingent upon the chapter meeting the five expectations in Executive Vice President Chaney's letter of April 18, 1991. The motion went on to state that this is to be completed by the January 1992 Grand Council meeting.

11. Penn State. It was moved by Brother Cabello, seconded by Brother Spees and unanimously passed to release the chapter from all sanctions. The motion goes on to state that the National Headquarters is to send a letter to the chapter commending them for the quality of compliance.

12. Westminster. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed to release the chapter from all sanctions. The motion goes on to state that the National Headquarters is to send a letter to the chapter commending them for the quality of compliance.

13. Bethany. It was moved by Brother Cabello, seconded by Brother Still and unanimously passed to release the chapter from all sanctions. The motion goes on to state that the National Headquarters is to send a letter to the chapter commending them for the quality of compliance.

14. Washington. It was moved by Brother Cabello, seconded by Brother Spees and unanimously passed to release the chapter from all sanctions. The motion goes on to state that the National Headquarters is to send a letter to the chapter commending them for their campus leadership.

15. Missouri. It was moved by Brother Cabello, seconded by Brother Parks and unanimously passed that a letter to be sent to the chapter undergraduates and alumni explaining the various problems that the chapter must address.

16. Findlay. It was moved by Brother Cabello, seconded by Brother Thurston and unanimously passed to grant Colony status to the University of Findlay Interest Group.

17. University of Arizona. It was moved by Brother Cabello, seconded by Brother Thurston and unanimously passed by a show of hands that the Fraternity support any interest group effort at the university, regardless of administration support.

18. Future Conventions and Conferences. It was moved by Brother Cabello, seconded by Brother Still and unanimously approved to endorse the professional staff's recommendation to have the latitude to select a conference and/or convention site for 1993, 1994 and 1995 from among Toledo, Rutgers, Stockton State, Chicago, Charleston and New Haven. The motion goes on to approve selection of the Adam's Mark Hotel in Charlotte, North Carolina as the site for the 1992 National Leadership Conference and Convention.

19. Awards. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed to endorse the professional staff's awards recommendations along with those for the Hargear Award and the Service co-nominees.

20. Ad Hoc Committee on Service. (Attachment F) It was moved by Brother Cabello, seconded by Brother Still and after discussion unanimously approved that recommendations two through six (2-6) be adopted and implemented. The motion also stated that recommendation one (1) to establish the Alpha Sigma Phi Howard L. Kleinoeder Foundation be studied and reviewed for possible inclusion as a point in a capital campaign and be considered for future discussion.

21. Ad Hoc Committee on Expansion. (Attachment G) It was moved by Brother Cabello, seconded by Brother Still and after discussion unanimously approved that recommendation C, D and E be adopted and implemented. The motion also stated that recommendations A and C-1 should be reviewed and studied for future implementation. The motion also stated that secondary recommendation one (1) be immediately approved and implemented informally. The motion also stated that the secondary recommendation stating "A suggestion creating an At-Large Membership status for persons from non-Panhellenic affiliated fraternities or disbanded Panhellenic organizations, be rejected" and the suggestion of creating an At-Large Membership status for male persons who did not have an opportunity for, or did not choose Greek affiliation, but who in later life desire Greek affiliation, be approved, subject to Grand Council approval and that the Fraternity take action to amend the constitution, if necessary. The motion also stated that the best way to accomplish recommendation B was to use the current Roadmap to Success and communicate chapter size guidelines to each chapter.

22. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed that the ad hoc committees on Service and Expansion should be continued only at the discretion of the Grand Senior President.

23. It was moved by Brother Cabello, seconded by Brother Garvey and unanimously passed that the provinces be re-structured as follows:

Province I: Oregon State, Washington
Province II: California, UCLA
Province III: Indiana, Illinois, Illinois State, Iowa State, Missouri, Missouri Valley, Purdue
Province IV: Bowling Green, Central Michigan, IIT, Loyola, Michigan, Tri-State, Toledo
Province V: Miami, Tulane
Province VI: Bethany, Davis & Elkins, Findlay, Marietta, Marshall, Ohio Northern, Ohio State, Rio Grande
Province VII: Barton, Coastal Carolina, East Carolina, Longwood, Presbyterian, Radford, UNCC, Wake Forest
Province VIII: American, Lehigh, Lock Haven, Lycoming, Penn State, Slippery Rock, Westminster, Widener
Province IX: Delaware, NJIT, Rutgers, Stevens Tech, Stockton State, William Paterson
Province X: Cornell, Hartwick, RPI, Tufts, SUNY-Buffalo, SUNY-Binghamton, SUNY-Plattsburgh

B. COMMITTEE ON PERSONNEL

The Grand Council held an Executive Session. Action items returned for inclusion in the minutes include:

1. It was moved by Brother Spees, seconded by Brother Garvey and passed that a performance appraisal and salary management instrument be developed for the Headquarters Staff.

2. It was moved by Brother Spees, seconded by Brother Thurston and passed that the approved salary increases be granted effective October 1, 1991, that: a) the basic performance appraisal and salary management instrument be developed for approval by the January 1992 Grand Council meeting, b) the initial performance reports be submitted by the June 1992 Grand Council meeting and c) salaries be adjusted annually, commencing July 1, 1992 to coincide with the Fraternity's Fiscal Year.

3. It was moved by Brother Spees, seconded by Brother Still and passed that the staff collate data of like-size fraternities to assist with a review of employee compensation. Information desired includes: numbers of chapters, number of members, location of headquarters, number of men per chapter and operating budget.

4. It was moved by Brother Spees, seconded by Brother Parks and passed that the SEPP enrollment commences on the first day of the month following a full-time employee's third year of service to the Fraternity with full-time being defined as that being mandated by the State of Ohio.

5. It was moved by Brother Spees, seconded by Brother Garvey and passed that the following Brothers receive the Delta Beta Xi award for 1991:

Douglas Collins
Jeffrey Lewis

James Cordi
Howard Kidd
Robert Wade

John Gibson
Robert Sum

6. It was moved by Brother Spees, seconded by Brother Cabello and passed that generally, the Delta Beta Xi award will be awarded to alumni volunteers based on the following criteria: a) years of involvement, b) type of involvement, c) alumni work, and d) significant achievement.

7. Ad Hoc Committee on Scholarship. (Attachment H) It was moved by Brother Spees, seconded by Brother Parks and unanimously passed that we establish as future goals, a) establishment of an endowment of \$1 million, b) enhance faculty and community scholarship, c) promote attendance at all national and province Fraternity meetings and, d) develop the position of current issues coordinator.

8. Ad Hoc Committee on Provinces and Volunteers. (Attachment I) It was moved by Brother Spees, seconded by Brother Garvey and unanimously passed that we establish as future goals a) a commitment to the province system that is to be integrated into the chapter services program and director, and b) create additional endowment to support province activities.

9. It was moved by Brother Garvey, seconded by Brother Thurston and passed to allow Executive Vice President Chaney to explore additional, part-time experienced staff support to assist with the development of the province and other additional desired programs.

C. COMMITTEE ON ALUMNI OPERATIONS

1. It was moved by Brother Parks, seconded by Brother Cabello and after discussion, unanimously passed that a capital campaign be conducted with a public announcement to be made in the 1995-96 year, that a Joint Grand Council/Educational Foundation Committee be established.

2. It was moved by Brother Parks, seconded by Brother Cabello and unanimously approved that a Joint Grand Council/Educational Foundation Trustee Finance Committee be formed.

3. It was moved by Brother Parks, seconded by Brother Cabello and unanimously approved that each chapter be provided with two free chapter newsletters, per chapter, per year.

4. Ad Hoc Committee on National Alumni Programs. (Attachment J) It was moved by Brother Parks, seconded by Brother Thurston and unanimously approved to a) when republished, substantially upgrade the alumni involvement section in To Better The Man, b) that certificates be developed to recognize the transition from undergraduate to alumni status along with certificates to be mailed to alumni at five year intervals, c) hire a director of alumni affairs and, d) conduct a "time and talent" survey to be incorporated with the capital campaign and other fund raising efforts.

5. Ad Hoc Committee on the Sesquicentennial Anniversary. (Attachment K) It was moved by Brother Parks, seconded by Brother Cabello and unanimously passed to a) appoint a sesquicentennial planning committee, b) accept anniversary logo and provide the same to the planning committee for consideration and, c) appoint Brother Robert Kutz as author of our sesquicentennial history publication.

6. The committee reported that four issues of The Tomahawk would be printed during the year and that the staff would be investigating the cost of upgrading the quality and bidding for multiple issues. The committee reported that three, not four issues of Foundation For Excellence would be published.

D. COMMITTEE ON FINANCE

1. Ad Hoc Committee on Housing. (Attachment L) It was moved by Brother Thurston, seconded by Brother Cabello and unanimously passed that a) as a long range goal and as a part of a capital campaign, we hire a national director of housing, b) within the \$2,500 line item in the current budget for housing, that we begin activities for a national resource manual and initiate work on identifying candidates for a housing steering committee and, c) we begin working through the National Interfraternity Conference to develop a collective approach to housing.

2. It was moved by Brother Thurston, seconded by Brother Cabello and unanimously passed that a report be produced for all members of the Fraternity and that a presentation of this be made at the 1992 National Convention.

3. It was moved by Brother Thurston, seconded by Brother Spees and unanimously approved that the Alpha Sigma Phi, Inc. 1991-92 budget be accepted as presented, subject to approval of the Educational Foundation Trustee 1991-92 budget and educational grant requests.

4. It was moved by Brother Thurston, seconded by Brother Cabello and unanimously approved that staff investigate and present alternatives for headquarters office space along with a short term and long term strategy.

E. MISCELLANEOUS ACTION ITEMS

1. Grand Senior President Vohs directed that the staff recommendations listed in the ad hoc committee recommendations book as "other needs" (page 6) be placed on the mid-year Grand Council agenda. (Attachment M)

2. It was moved by Brother Thurston, seconded by Brother Spees and after discussion, passed by a vote of 4 to 1 with one abstention, that the proposed computer network expansion in the amount of \$13,096.03 be passed to the Educational Foundation Trustees for funding.

3. It was moved by Brother Still, seconded by Brother Spees and unanimously passed to accept the 1990-91 budget report.

4. It was moved by Brother Thurston, seconded by Brother Spees and unanimously passed to submit a \$255,000 educational grant request to the Educational Foundation Trustees for funding.

5. It was moved by Brother Spees, seconded by Brother Still and unanimously passed that the Alpha Sigma Phi Grand Council and officers be permitted to transact business in the name of Alpha Sigma Phi, Inc.

36. ADJOURNMENT

It was moved by Brother Spees, seconded by Brother Parks and unanimously passed that the meeting be adjourned at 5:07 p.m.

Respectfully submitted,


Grand Secretary


Executive Vice President

Approval of Mail Votes 223, 224 and 225

Mail Vote 223

Approval that the Grand Council change its decision to send only one Foundation for Excellence newsletter to all alumni to the decision that two issues of Foundation for Excellence will be published and sent only to donors. The line item in the budget would remain the same.

Mail Vote 224

Approval that as of March 1, 1991 the Educational Foundation Trustees be permitted to expend up to \$15,000 for audit and legal expenses for the balance of the current fiscal year without further authority.

Mail Vote 225

Approval that the Executive Vice President's letter of March 19, 1991, placing Gamma Alpha Chapter on an administrative suspension for 30 days commencing March 19, 1991 be continued by the Grand Council until August 11, 1991 as allowed by our By-Laws, Article XIV, Section 3.

REPORT BY THE
COMMITTEE ON UNDERGRADUATE OPERATIONS

A. Membership Strength and Status

1990-91 Status: 51 Chapters
3 Colonies
3 Interest Group

Number of Chapters/Colonies Previous Years:

1983-84	56
1984-85	54
1985-86	58
1986-87	57
1987-88	56
1988-89	57
1989-90	56

Pledges:

Per Existing Chapter/Colony:

1981-82	791	13.41
1982-83	759 (-4%)	13.24 (-1%)
1983-84	906 (+20%)	16.18 (+22%)
1984-85	855 (-6%)	15.83 (-2%)
1985-86	1,049 (+23%)	17.08 (+8%)
1986-87	969 (-8%)	16.77 (-2%)
1987-88	994 (+3%)	18.40 (+10%)
1988-89	981 (-1%)	17.65 (-4%)
1989-90	951 (-3%)	16.68 (-5%)
1990-91	954 (NC)	16.74 (NC)

Initiates:

Per Existing Chapter:

1981-82	722	12.10
1982-83	673 (-7%)	11.85 (-2%)
1983-84	703 (+5%)	13.26 (+12%)
1984-85	719 (+2%)	12.65 (-5%)
1985-86	810 (+13%)	15.28 (+21%)
1986-87	751 (-7%)	13.78 (-10%)
1987-88	904 (+21%)	14.49 (+5%)
1988-89	749 (-17%)	13.77 (-5%)
1989-90	808 (+8%)	15.25 (+11%)
1990-91	768 (-5%)	15.06 (-1%)

Average Chapter/Colony Size:
Returning:

Total Number Initiates/Pledges

1983-84	38	1983-84	1485
1984-85	40	1984-85	1603
1985-86	43	1985-86	1585
1986-87	43	1986-87	1690
1987-88	44	1987-88	1733
1988-89	44	1988-89	1780
1989-90	44	1989-90	1863
1990-91	45	1990-91	2080 (Projected)

B. Chapter Checklist: The verbal comments (very weak to very strong) are the subjective evaluation results from the most recent Chapter Services Retreat.

<u>Year-End Size</u>	<u>1988-89</u>	<u>1989-90</u>	<u>1990-91</u>	<u>Returning 1991-92</u>
<u>CHAPTER</u>				
<u>Province I</u>				
Univ. of California, Berkeley Nu	10 Not Ranked	6 Very Weak	10 Very Weak	7
Univ. of California, Los Angeles Alpha Zeta	35 Average	37 Average	63 Strong	53
Oregon State University Psi	65 Strong	67 Strong	60 Average	55
Univ. of Washington Mu	74 Very Strong	82 Very Strong	71 Strong	55
<u>Province II</u>				
Iowa State University Phi	36 Average	37 Average	32 Weak	28
Univ. of Missouri Alpha Theta	24 Weak	25 Weak	18 Weak	16
Missouri Valley College Alpha Omicron	53 Weak	51 Average	45 Average	41
<u>Province III</u>				
Univ. of Illinois Eta	93 Very Strong	97 Very Strong	103 Very Strong	74
Illinois Inst. of Technology Alpha Xi	52 Strong	53 Strong	56 Average	46
Indiana University Gamma Chi	67 Strong	91 Very Strong	127 Strong	112
Loyola University Delta Alpha	21 Weak	10 Very Weak	24 Weak	21
Purdue University Alpha Pi	77 Very Strong	123 Very Strong	113 Very Strong	89
Illinois State University Delta Omicron	47 Average	31 Average	36 Weak	27

Province IV

University of Michigan Theta	26 Very Weak	27 Weak	28 Very Weak	15
University of Toledo Beta Rho	53 Average	53 Weak	54 Average	44
Tri-State University Beta Omicron	16 Very Weak	14 Very Weak	18 Very Weak	17

Province V

Bowling Green State University Gamma Zeta	32 Strong	40 Strong	41 Average	37
Ohio Northern University Gamma Alpha	56 Average	55 Average	43 Weak	29
The Ohio State University Zeta	17 Weak	10 Not Ranked	21 Weak	21

Province VI

Bethany College Beta Gamma	54 Strong	57 Very Strong	39 Average	30
Davis & Elkins College Gamma Delta	16 Very Weak	17 Very Weak	13 Very Weak	12
Marietta College Delta	37 Very Weak	47 Weak	40 Very Weak	39
Marshall University Beta Theta	86 Very Strong	60 Very Strong	76 Very Strong	71
University of Rio Grande Delta Epsilon	25 Average	21 Weak	30 Average	24
Slippery Rock University Delta Delta	49 Weak	35 Weak	30 Average	24
Westminster College Alpha Nu	52 Strong	61 Very Strong	61 Strong	50

Province VII

Barton College Gamma Lambda	14 Weak	14 Weak	15 Weak	14
East Carolina University Delta Eta	45 Average	41 Average	37 Average	37

Longwood College Delta Iota	55 Average	55 Strong	54 Weak	42
Radford University Delta Theta	53 Average	51 Average	52 Average	43
Wake Forest University Beta Mu	63 Very Weak	51 Very Weak	34 Very Weak	19

Province VIII

University of Miami Gamma Theta	40 Average	34 Average	27 Weak	17
UNC-Charlotte Delta Zeta	43 Very Weak	42 Weak	41 Average	38
Presbyterian College Alpha Psi	21 Very Weak	22 Weak	15 Very Weak	11
Tulane University Gamma Omicron	11 Very Weak	4 Not Ranked	7 Very Weak	7

Province IX

American University Beta Chi	77 Very Strong	102 Very Strong	93 Average	82
Lehigh University Beta Epsilon	53 Strong	55 Average	50 Average	38
New Jersey Inst. of Technology Alpha Rho	40 Average	50 Average	52 Strong	39
Rutgers University Beta Theta	75 Average	70 Average	72 Strong	63
Stevens Inst. of Technology Alpha Tau	38 Average	30 Average	29 Weak	24
Stockton State College Delta Lambda	40 Average	44 Average	63 Average	55
Widener University Gamma Xi	51 Average	46 Average	62 Weak	49
William Paterson College Delta Mu	41 Weak	44 Average	29 Average	27

Province X

Cornell University Iota	79 Average	73 Strong	84 Strong	65
Hartwick College Beta Xi	68 Average	56 Average	50 Average	39
Lycoming College Gamma Rho	30 Average	33 Very Weak	32 Weak	26
Pennsylvania State College Upsilon	67 Strong	58 Strong	60 Strong	51
R.P.I. Beta Psi	36 Weak	29 Weak	26 Weak	20
Tufts University Beta Iota	26 Weak	18 Very Weak	30 Very Weak	19
SUNY-Plattsburgh Delta Xi	48 Average	50 Strong	57 Average	43
Lock Haven University Delta Nu	53 Strong	42 Average	56 Weak	46

COLONIES

SUNY-Buffalo Gamma Epsilon Colony	25	21	23	12
SUNY-Binghamton Phi Theta Colony	28	33	30	21
Central Michigan University Phi Kappa Colony			30	30

INTEREST GROUPS

University of Delaware Phi Iota Interest Group	16	30	43	41
University of Findlay Gamma Pi Interest Group			18	18
Coastal Carolina College Phi Lambda Interest Group			7	7

C. Chapters of Concern

California-Berkeley. This chapter must carry out a successful recruitment this summer and fall. They have a rather detailed outline; the question is whether they will stick to it. Revocation procedures were initiated in January, 1991. They have been unsuccessful in fulfilling basic expectations laid out for them for the past two years. (See Attachment A, pages 16-22).

They will have around seven initiated members to start the fall off, but not all of these people are committed. They had three pledges in the spring, but there was talk to depledge them because they "didn't do enough." Their best leader, former HSP Gary Heller, graduated and they really have no one to fill his shoes.

The alumni are very frustrated about their situation. Some of them would rather see the charter revoked, but several more, including many younger alumni, want to see the chapter continue.

A chapter visit is being planned from August 15-18, just before Formal Rush. This chapter will appear before the committee on Tuesday, August 6.

Davis & Elkins. Revocation procedures began in February, 1988, and a vote in August, 1990, to continue these procedures reinforced the possibility of Gamma Delta losing her charter. At the January, 1991 meeting, this committee wanted to see a minimum of 25 members by the end of the term. They will be returning with 12 in the fall. This chapter will appear before the committee on Tuesday, August 6. (See Attachment B, pages 23-30).

Illinois State. Their numbers have fallen since chartering in 1988. They are returning with 27 men in the fall, many of whom are not very active in the chapter. An early visit is being planned. Drugs may also be present in this chapter.

Iowa State. This chapter parties hard and often with their Little Sister group. Also, on another note, their house capacity was lowered by the fire they experienced this past winter. We still need to monitor their rush efforts very closely. This chapter will appear before the committee on Tuesday, August 6.

Lycoming. This chapter has fallen below the All-Men's GPA by at least .2 points for the past two semesters. They are on academic probation with the University and have lost one half of their social privileges due to their poor performance. Loss of housing is the next stipulation if the chapter falls under .2 points of the All-Men's GPA this fall. Academic programming materials have already been sent to the chapter, and a visit will be planned as early as possible to work on scholarship and membership recruitment.

Michigan. Nineteen of the twenty-eight men returning in the fall are seniors, and fifteen of those are scheduled to graduate at year's end. An early visit is being planned to assist with rush. Another thing to keep in mind here is the house situation. The Theta Alumni Corporation still owns the former house, and it is being leased to a Delta Phi Epsilon Chapter. The lease was for five years, with two additional one-year options beyond the

five. I believe Delta Phi Epsilon has lived there for two years now.

Missouri. They only have 16 members returning in the fall. Owen McCulloch visited the chapter after school to coordinate a summer rush program. Debts remain high. An early visit is being planned.

Presbyterian. This chapter has been very weak and very small for the past several years. An early visit is being planned in conjunction with the Wake Forest visit.

Tri-State. This chapter has perpetually been very weak and very small. They are also a huge liability because they host a party on the same night of every week (The IFC assigns a night of the week to each fraternity on campus). I suspect they serve from kegs and have very little control at their parties.

Tufts. This chapter appeared to be heading for major trouble, but a twelve-man pledge class kept them alive and recognized by the university. They graduated many seniors, however, and still will be returning with only 19. An early visit will be planned to work on membership recruitment and officer training.

Tulane. Assistance with their rush efforts produced five new brothers this past spring. At the January, 1991 meeting, this committee wanted to see a minimum of 15 pledges and an effective Grand Chapter Advisor by the end of the term. They now have a total of seven undergraduate brothers. Revocation procedures were initiated in the August, 1990 meeting. This chapter will be appearing before the committee on Tuesday, August 6.

Wake Forest. This membership has been dropping steadily for the past several years, and they are returning with only nineteen this fall. They have no advising and the HSP has requested a reorganization of the chapter more than once. With the limited housing space available and the growing numbers of sororities on this campus, our chapter faces the increased risk of losing their lounge if they get any smaller. In fact, I thought they were going to lose their lounge at the end of this year. An early visit by at least one consultant is being planned.

D. Chapters with Violations/Suspensions

American. Beta Chi Chapter was placed on administrative suspension on January 22, 1991, as a result of a loud keg party at the chapter house on January 18, 1991. Seven sanctions were placed upon the chapter. (See Attachment C, pages 31-35). At the time this report was written, the chapter still had not completed sanctions 3, 4, 5, or 7.

Bethany. Beta Gamma Chapter was placed on administrative suspension on March 19, 1991, as a result of a pledge class incident involving a raid of a sorority house on March 11, 1991. The college levied six sanctions against the chapter, and we supported their actions (See Attachment D, pages 36-42). This incident comes after an alleged hazing incident in December where a group of five pledges was marching in cadence to breakfast at the student union. They did submit a report to us concerning this incident.

Iowa State. The chapter has complied with the sanctions stemming back to their alcohol incident on May 1, 1990. These requirements included signing up a Faculty Advisor, Chapter Advisor, and holding an alcohol abuse workshop (See Attachment E, pages 43-44). A firearms incident of November 16, 1990, required them to submit a risk management educational program to the headquarters office. This program, however, had not been submitted before the completion of this report.

Marietta. The chapter has completed several of the sanctions imposed upon them by the October 26, 1990 letter. The university has moved them from probationary status to restrictive probation until December 31, 1991. They are making plans to move back into the chapter house this fall. (See Attachment F, pages 45-50).

Ohio Northern. Gamma Alpha Chapter was placed on administrative suspension on March 19, 1991, as a result of allegedly having kegs at a social event. The HSP at that time claimed that the kegs were brought to the event by two alumni (See Attachment G, pages 51-63). Also, two brothers were involved in an altercation on April 26, 1991, at Bowling Green State University with two Sigma Chis from B.G.S.U. Furthermore, the chapter also failed to meet the Ohio Northern Chapter Accreditation program for the second consecutive year. Three staff members traveled to Ohio Northern on July 13, 1991 to assist the chapter in fulfilling the necessary requirements. At the time of this report, the chapter's self-imposed 390 hours of community service and a written pledge education program remained to be the only unmet requirements. Also, the chapter did not submit a written pledge and member education program to our office, which was a sanction that resulted from the December 8, 1990, incident where pledges appeared naked at a party. Randy Van Dyne and Jack West have agreed to act as Co-Grand Chapter Advisors to help rebuild the chapter.

Penn State. Upsilon Chapter was placed on administrative suspension on April 1, 1991 for having a keg of beer at a Hot Tub Party. This violation came one week after Jeff Owens was on campus and held a meeting discussing our Alcohol Policy. The chapter has complied with the sanctions imposed by the Grand Council since the time of the incident; however, a tap system still remains in the chapter house (See Attachment H, pages 64-66).

Stockton State. Delta Lambda Chapter was placed on suspension for the second time this year on February 26, 1991 when they held an off-campus party, and a pledge was truck by an automobile walking home from the party. This incident comes after a violation-laden Halloween Party they hosted the previous semester. To our knowledge, they have only completed one of the sanctions levied against them, which is submitting their minutes and a calendar of events to our office. They still need to recruit a Faculty Advisor and an Assistant Grand Chapter Advisor (See Attachment I, pages 67-75).

Washington. Mu Chapter was placed on administrative suspension on November 10, 1990, for collectively purchasing alcohol. They have complied with the sanctions imposed since the occurrence (See Attachment J, pages 76-77).

Westminster. Alpha Nu Chapter was placed on administrative suspension on October 19, 1990 for having alcohol during a rush function. The chapter has complied with the sanctions imposed since the incident (See Attachment K, pages 78-79).

E. Colony/Interest Group Update

Delaware. Our interest group of 43 men was finally recognized by the IFC and university on May 21, 1991 (See Attachment L, pages 80-81). These men were initiated. The group now has to petition the IFC for "colony status." An early visit will be made to assist the group with recruitment efforts, meet with university officials, and develop the petition for "colony status." Also, a colonization banquet is being planned for the fall.

Central Michigan. Their petition for colonization was accepted at the January 27, 1991 meeting. Thus, they are now the Phi Kappa Colony. They will be returning in the fall with approximately thirty members and two housing units. (See Attachment M, pages 82). A colonization banquet is being planned for the fall.

Coastal Carolina. Our newest interest group, located on the campus of Conway, SC, has registered three people for the 1991 NLC. They will be returning in the fall with seven members and will receive a very early visit to assist in their recruitment efforts (See Attachment N, pages 83-85).

Findlay. The Gamma Pi Interest Group has submitted a petition for colonization. They currently have 17 pledges, but have submitted a list of eight "social" members who they feel will pledge in the fall.

SUNY-Binghamton. Phi Theta submitted a chartering petition at the January meeting, but it was rejected due to insufficient membership numbers. Numbers remain low as they anticipated graduating nine this past spring, which will leave them returning with 21 in the fall (See Attachment O, pages 86). Discussions with the new president make the situation appear positive. Gamma Epsilon submitted a chartering petition at the January meeting, but it was rejected due to insufficient numbers.

SUNY-Buffalo. Motivation seems low for the Gamma Epsilon Colony and they are expected to be returning with 12-15 members in the fall. I have great hope for them under President Randy DiVito and Rush Chairman Shaun Smith.

F. Appearances Before Undergraduate Operations Committee

The following chapters and colonies are scheduled to appear before the committee on August 6, 1991, during the following times. These groups listed will be reporting on their progress as a colony or chapter, or on their progress to rectify a violation or suspension that happened during this past school year.

SCHEDULE:

12:00 - 12:30	SUNY-Buffalo
12:35 - 1:05	Davis & Elkins
1:10 - 1:40	Marietta
1:45 - 2:15	Ohio Northern
2:30 - 2:50	American
2:55 - 3:15	Stockton State —
3:20 - 3:40	Iowa State
3:45 - 4:00	Break
4:00 - 4:20	SUNY-Binghamton
4:25 - 4:45	Tulane
4:50 - 5:10	UC-Berkeley
5:30 - 5:45	Penn State
5:50 - 6:05	Westminster
6:10 - 6:25	Bethany
6:30 - 6:45	Washington

G. Chapter Visits

Last Year. With two full-time chapter leadership consultants traveling last year, our chapters, colonies, and interest groups received 90 full visits. The breakdown for visits was as follows:

Chapters visited once 27
Chapters visited twice..... 24
Chapters visited three times... 5

In addition, numerous limited service visits were made by Chapter Leadership Consultants. Executive Vice President John R. Chaney visited with 28 of our groups during the past year.

This year. We very proudly welcome three more talented brothers to our Chapter Services Staff. Thomas W. Stroffolino (William Paterson '89), and C. Ryan Dunham (Bowling Green '88) will begin traveling extensively in the fall, and Edward W. Lenane (Plattsburgh '88), will make a few voluntary visits in the fall, and will also begin traveling extensively in January after graduation. Senior Chapter Leadership Consultant Owen McCulloch will depart staff at mid-year.

During the chapter services retreat in the summer, we evaluated each chapter's needs, and set Chapter Visit Priorities according to these needs. This procedure is used to assure maximum effectiveness of staff resources at each chapter visit.

Our top priority group for visits are:

- | | |
|------------------|------------------|
| - Oregon State | - Davis & Elkins |
| - Iowa State | - Marietta |
| - Missouri | - Radford |
| - Indiana | - Wake Forest |
| - Loyola | - Presbyterian |
| - Illinois State | - Tulane |
| - Michigan | - Widener |
| - Ohio Northern | - Lycoming |

The second priority group target chapters who can benefit and show long term growth if reasonable and proper time is devoted are:

- | | |
|-----------------|-----------------------------|
| - U.C.L.A. | - Miami |
| - Illinois Tech | - U.N.C.C. |
| - Toledo | - New Jersey Inst. of Tech. |
| - Tri-State | - Stevens Tech |
| - Bowling Green | - William Paterson |
| - Ohio State | - R.P.I. |
| - Barton | - Tufts |
| - East Carolina | - Lock Haven |
| - Longwood | - Plattsburgh |

Our goal for the 1991-92 school year is to provide each chapter with at least two full visits.

H. Expansion

The following campuses are considered our best current expansion opportunities:

a) **University of Alabama.** We received a phone call on July 15, 1991, from the son of Randy Rucker, a Wake Forest brother, who is attending Alabama and wants to establish an Alpha Sig group there. Very little has been done with this to date.

b) **Frostburg State University (MD).** Representatives from the school sent a letter to several fraternities notifying them of expansion opportunities. We responded with a letter and a phone call in May, but have not heard from them since.

c) **Louisiana State University.** We were contacted on July 22, 1991, by a group of eight men who depledged various other fraternities due to excessive hazing, and are interested in starting their own chapter of a fraternity. We responded with a letter to the group's vice president a few days later.

d) **Villanova University.** We were contacted last spring by a local fraternity on this campus. They eventually selected to affiliate with Beta Theta Pi, but Gary Bonas, Greek Advisor, informed me there was one remaining local group on campus that would be pressured into affiliating with a national organization. He feels we have a good chance if we contact them regularly.

e) **Wingate College (NC).** They are forming a Greek system on campus and sent letters to all general fraternities. We responded with a letter on July 24, 1991.

Please refer to the booklet for the Ad Hoc Committee on Expansion for more information regarding this topic.

I. Province System

Currently all Grand Province Chief positions but one are filled.

Province I	Co-Chief Mark R. Arend, Washington '81 Co-Chief Larry Philippi, Bowling Green '76
Province II	
Province III	Charles McCaffrey, Illinois '82
Province IV	William Siering, Toledo '82
Province V	Mark A. Williams, Rio Grande '79
Province VI	Dr. Daniel P. Babb, Marshall, '80
Province VII	Richard Kahler, Radford '82
Province VIII	Don Goforth, UNCC '78
Province IX	John Luckenbill, Stockton State '84
Province X	Otto Sonder, American '47

J. National Leadership Conference & Convention 1992

It is the recommendation of the staff that the 1991 National Leadership Conference & Convention be held at the Adams Mark Hotel in Charlotte, North Carolina. We are working with a sales representative there who is an Alpha Sig, and their facilities will accommodate us very nicely. Two additional hotel staff members are Alpha Sigs. From early indications, we are estimating the cost to be approximately \$250 for one person to attend the entire conference. The conference will run from August 5-9, 1992.

K. National Leadership Conference and Future Conferences

Beta Rho Chapter at the University of Toledo has hinted to members of the staff that they will be submitting a request to host the National Leadership Conference in 1993. Other possibilities include, but are not limited to, Chicago and the Rutgers area. Great attention has also been focused on possible sites for the 1995 conference and 1996 convention (see the booklet for the Ad Hoc Committee on the Sesquicentennial Celebration). The staff seeks approval to begin researching the following sites for the meetings from 1993-1996:

1993	Toledo or Rutgers or Chicago
1994	Rutgers or Chicago
1995	New Haven
1996	Williamsburg or Rutgers or Chicago

L. Awards

The list of awards that will be presented at the Awards Banquet on August 10, 1991 are listed on page 14.

M. Success Stories

Amidst the numerous appearances made by chapters before the Grand Council, Alpha Sigma Phi has had her share of success stories this past year.

U.C.L.A. acquired an additional house next door to their current house to provide more living space for brothers and pledges.

Ohio State was sparked by a small group of men who depledged another fraternity and joined ours. Their aggressive rush efforts brought in several other men and they will be returning in the fall with 21 quality men.

Rio Grande pledged 18 men throughout the year, 14 in the spring to make up perhaps their largest pledge class ever.

Rutgers won nearly every award their campus offered for fraternities, and Steve Marcinek was named as "Senior of the Year."

Delaware finally gained campus recognition, and **Penn State** raised more money for the Four Diamonds Fund than any other fraternity for the eighth consecutive year.

We also had several IFC Presidents and other IFC officers, student senators, and several brothers initiating into the Order of Omega. Four more brothers were also initiated into Omicron Delta Kappa.

AWARDS LIST
NATIONAL LEADERSHIP CONFERENCE 1991

- 1) "Old Gal Gazette" Award
-Beta Delta, Marshall University
- 2) Chapter Newsletter Award
-Upsilon, Pennsylvania State University

Honorable Mention:
-Phi, Iowa State University
- 3) Alumni Newsletter Award
-Gamma Chi, Indiana University
- 4) The Tomahawk Award
-Beta Delta, Marshall University

Honorable Mention:
-Delta Delta, Slippery Rock
- 5) Award for Service
-Delta Xi, Plattsburgh

Honorable Mention:
-Beta Theta, Rutgers University
- 6) Philanthropy Award
-Largest Single Event: Upsilon, Penn State University

-Overall Philanthropic Programming: Delta Theta, Radford University
- 7) Standards of Excellence
-Gamma Zeta, Bowling Green State University
-Gamma Epsilon Colony, SUNY Buffalo
-Gamma Delta, Davis & Elkins College
-Delta Omicron, Illinois State University
-Beta Delta, Marshall University
-Theta, University of Michigan
-Alpha Omicron, Missouri Valley
-Alpha Rho, New Jersey Institute of Technology
-Upsilon, Pennsylvania State University
-Delta Xi, SUNY Plattsburgh
-Delta Theta, Radford University
- 8) Gary A. Anderson Memorial Award
-Beta Delta, Marshall University
- 9) Grand Senior President's Citation
-Upsilon Chapter, Pennsylvania State University
-Garrett F. Riegg, Berkeley '66
- 10) Distinguished Merit Award
-John F. Zamparelli Esquire, Tufts '47

ALPHA SIGMA PHI FRATERNITY

May 6, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Gary Heller, HSP
Alpha Sigma Phi Fraternity
Nu Chapter
University of California
2498 Piedmont Avenue
Berkeley, CA 94704

Dear Gary,

This letter serves as a follow-up to my chapter visit in Berkeley on April 10-18, 1991.

During my visit we concentrated mostly on membership recruitment tactics and strategies. Each member should have received a copy of the "Rush Outline/Timeline" that we developed during the visit. I have enclosed one extra copy with this letter. This outline gives suggestions to be completed from now until December 1991. Implementing these ideas will only help your rush efforts. As we discussed previously, the increase in membership size is the most important expectation for the chapter. These expectations are also enclosed. Notice that we did not designate a percentage of importance to each expectation, but did list them in order of importance. Please make sure that these are read aloud to the entire chapter as soon as possible. You may even want to give each member his own copy of the expectations.

These expectations will be used as a guide to gauge chapter progress. They will also be referred to in your August meeting with the Grand Council; therefore, I would encourage you to pay close attention to and make exaggerated efforts toward accomplishing these goals.

As a reminder, you will be meeting with the Grand Council on August 6, 1991, before the National Leadership Conference, to give a comprehensive status on the chapter expectations. If questions arise concerning this meeting, feel free to call anytime.

Fraternally,


Jeffery S. Owens
Chapter Leadership Consultant

JSO/rr

Enclosures

cc: Charles J. Vohs, Grand Senior President
John R. Chaney, Executive Vice President
Mark R. Arend, Co-Grand Province Chief
Larry Phillipi, Co-Grand Province Chief
Mark Gelsinger, Greek Advisor
Kevin E. Mszanowski, Chapter Advisor
Garrett F. Reigg, Alumni Corporation President

Alpha Sigma Phi
Nu Chapter Expectations
April 18, 1991

By the end of Fall 1991(In order of Importance):

Grow to 20 strong

Raise fraternity GPA to at least 2.80

Participate in the "Standards of Excellence," Roadmap to Success
program (participation is now required by all chapters)

Keep current on all reports and payments

Have at least one alumni function in the fall

Produce at least one alumni newsletter

Attend all alumni corporation meetings

Meet with Mark Gelsinger at least once a month

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

April 17, 1991

Mr. Gary H. Heller, HSP
Nu Chapter
Alpha Sigma Phi Fraternity
2498 Piedmont Avenue
Berkeley, CA 94704

Dear Brother Heller,

On my trip to the West Coast last month, I was asked numerous times for a list of the 10 expectations that Randy Lewis and devised for 1989-90 and which were revised by Jeff Owens early this current school year. These included the following expectations by the end of fall 1990 (past):

- * Grow to 15 strong (changed from 20)
- * Have at least one alumni function
- * Produce one alumni newsletter
- * Attend all alumni corporation meetings
- * Keep current on all reports and payments
- * Absolutely no hazing of any sort
- * Meet with Mark Gelsinger at least once a month
- * Send in a status report to Headquarters at the end of each month
- * Raise the fraternity GPA to at least 2.80
- * Report to the Grand Council by December 20, 1990 regarding the progress to these expectations

I do not know the status of all of these, but to the best of my knowledge most have not been fully met.

Sorry it took me so long to get this list out. It almost slipped through the cracks entirely!

Warm fraternal and personal wishes,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Garrett Riegg, Alumni Corporation/Association President
John Doane, Alumni Corporation/Association Treasurer
J. Michael Marriner, Alumni Corporation/Association Officer
Kenneth Dieker
Robert W. Kutz
Milton Morrison
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant

CONFIDENTIAL

SPECIAL CONFIDENTIAL REPORT
Nu Chapter
April 10-18, 1991

5/6/91

Here are some thoughts on Nu chapter:

A) Chapter Expectations -

I have listed each of the previous expectations (the ones to be completed by December 1990) and reported the chapter's progress on each goal.

1) grow to 15 strong-

Actually, they are at 10 members and 3 pledges. They are graduating one senior in May (the best guy and HSP of the chapter).

They also talked about depledging the three pledges when I was there, because "they haven't been doing enough." Even if they don't depledge them, two of the three will be studying overseas next semester. Joe Scampini also told me his involvement will be limited next term. This I found hard to believe. Anyway, that leaves nine guys at most for the fall semester. There are about three guys who should be on campus this summer.

2) have at least one alumni function-

They held a very successful BBQ on April 27th. I know of no other events.

3) produce one alumni newsletter-

They produced a one-page informational newsletter spring '91 semester which was mailed to 150 alumni in the Bay Area (The Bay Area Alumni Council mailed these with their newsletter).

4) Attend all alumni corporation meetings-

The alumni corporation meets monthly. They attended the one in February. The alumni corporation meeting in January was before school started back up again.

5) Keep current on all reports and payments-

As of today, they owe us over \$1,000. I do not know the current status with the alumni corporation. According to their HE, they have \$9,000 in A/R and \$9,000 in A/P.

6) Absolutely no hazing of any sort-

I don't think this is a problem.

7) Meet with Mark Gelsinger (Greek Advisor) once a month-

This has not been done, although the HJP claims he did schedule an appointment with Mark, and when he showed up, Mark was nowhere to be found.

8) Send in a status report to Headquarters once a month-

Not done

9) Raise the fraternity GPA to at least 2.80-
Not done. Their GPA for Fall '90 was 2.4418. This ranked 43/44
for all of the fraternities on campus.

10) Report to the Grand Council by December 20, 1990, regarding progress
to these expectations - Not done

The situation at Nu chapter looks bleak. Their HSP has been the
brightest spot in the chapter and he is graduating in May. I don't know if
there is anyone else capable of stepping in to do the job that needs to be
done.

Other concerns include the house and whether or not the alumni
corporation would actually sell it. They have not given any strong
commitments to either sell or keep. I think they are waiting to see what we
do, and don't think they are serious about actually selling it.

They would also need to fill the house this summer. They have rented
out some rooms so far, but not to capacity yet.

On a positive note, their attitude has improved much. When I was there
in September, they had no brotherhood and were bickering at each other
constantly. They have turned this around. Maybe this will help their rush
efforts now.

This chapter has also done better than many others on campus. We are
not the smallest chapter any longer, and have grown the past year, whereas
many other chapters on campus have decreased significantly. I honestly doubt
if this chapter will have twenty members by December, though.



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

February 7, 1991

Gary H. Heller, HSP
Alpha Sigma Phi Fraternity
Nu Chapter
2498 Piedmont Avenue
Berkeley, CA 94704

Dear Brother Heller,

At the January 27, 1991 meeting of the Grand Council it was passed to initiate the procedures to revoke Nu Chapter's charter.

The revocation of a charter is not a pleasant topic or easy decision for anyone involved. However, due to the past performance and present condition of Nu Chapter, the Grand Council felt they could not wait any longer, even in light of your long tradition and strong, dedicated alumni support!

The following items were taken into consideration when the decision was made:

(1) Continued low membership and lack of effective rush efforts. Since rechartering, the National Headquarters staff, local alumni and Province I chapter support has not been able to build the membership to a sufficient number to successfully sustain itself. Annually, rush skills are taught and manhours and financial resources are poured into the undergraduate rush effort. Still, the undergraduate chapter must house boarders to meet financial obligations.

(2) Lack of undergraduate development programs. Past Chapter Leadership Consultants have repeatedly noted that the chapter has no real presence on campus, that there is no developed Greek sense among the undergraduate members.

(3) Lack of follow through on enacting previous recommendations made by the National Headquarters professional staff. I am enclosing a copy of Chapter Leadership Jeffery Owens' most recent report in which he outlined 10 chapter expectations.

These were a revision of earlier goals from our former Director of Chapter Services Randy Lewis. I have enclosed these as an attachment to Grand Senior President Charles J. Vohs' letter of concern which he sent at the beginning of this school year.

I want to emphasize that you are in control of your chapter's destiny! To be considered for retention as an active chapter, the Grand Council expects you to make some positive movement on the 10 chapter expectations. Clearly, if you are making progress, a vote for revocation would be premature!

Gary Heller, HSP
Nu Chapter
Page 2

Clearly, the driving force behind your chapter has been your strong alumni support and involvement. It is now the time to rally your alumni and undergraduates and rebuild your proud chapter! The alumni of your chapter will each receive a copy of this notice as the revocation vote will be taken at the 1991 National Leadership Conference session of the Grand Council. Prior to that vote, your chapter will have representatives appear in person and give a comprehensive status on the 10 chapter expectations and state your case as to why Nu Chapter should remain as an active Chapter of Alpha Sigma Phi. Should you pass up this opportunity or fail to present a sufficient case, then your charter most likely will be revoked in accordance with the Constitution and By Laws of our Fraternity, Article XIX, Section 6. Further details concerning your appearance before the Grand Council will be forthcoming.

Please understand that this vote does not have anything to do with you personally. Your contributions to "The Old Gal" have not been overlooked. I realize that you are a newly elected HSP and you have new officers who are attempting to do a good job in eliminating your administrative backlog. But, as HSP, the good name and reputation of the chapter fall directly upon your shoulders. Through your leadership and management skills, it is our fervent hope that Nu Chapter will again rise like the Phoenix to this latest challenge. To that end, I have scheduled our newly designated Director of Chapter Services/Expansion, Jeff Owens, to again visit with you from April 10 to April 22, 1991 and assist in your endeavors to meet our expectations.

If there are any questions or comments, please direct them to me. I urge you and your alumni officers to discuss and coordinate future plans or reorganization efforts at the earliest possible date. I would appreciate a telephone call at your convenience to further discuss this situation.

Fraternally,


John R. Chaney
Executive Vice President

JRC:sd

Enclosures

cc: Larry Philippi, Grand Province Chief
Mark Gelsinger, Greek Advisor
Garrett F. Riegg, Alumni Association/Corporation President
Milton W. Morrison, Bay Area Alumni Council
Nu Chapter Alumni
Jeffery Owens, Director Chapter Services/Expansion, Designate

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

February 7, 1991

Mr. Donnie Markle, HSP
Gamma Delta Chapter
Alpha Sigma Phi Fraternity
Box 0
Davis & Elkins College
Elkins, WV 26241

Dear Brother Markle,

At the January 27, 1991 Grand Council meeting it was unanimously passed to continue to hold on the revocation proceedings of Gamma Delta Chapter charter until August 1991 when your chapter officers will appear before the Grand Council and make an accounting for your actions. At that meeting, you will be required to make a state of the chapter report. The meeting is tentatively set for the afternoon of August 6, 1991 at Penn State University, immediately prior to the start of the National Leadership Conference. Details will be forthcoming.

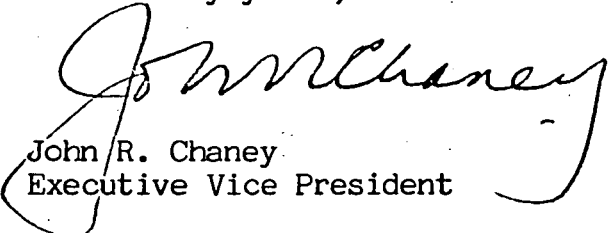
The Grand Council Undergraduate Operations Committee also asked that I inform you of their desires for your chapter. First, they want your chapter membership to be at the all campus average by the end of the current academic year. Second, they want you to understand the previously transmitted expectations still apply. These expectations include:

- (1) Continuing to reduce your debts to the National Headquarters.
- (2) Continuing to pledge quality men.
- (3) Continuing to follow FIPG guidelines.
- (4) Continuing to follow and fulfill the Fraternity's "Reason for Being" and "Code of Conduct."
- (5) Continuing to initiate positive membership development programs.
- (6) Continuing to work with the National Headquarters staff on their recommended courses of action for your chapter.
- (7) Strong attendance at future province and National Leadership Conference meetings.

Mr. Donnie Markle, HSP
Gamma Delta Chapter
Page 2

I plan to continue to aggressively assist you in your upcoming tasks.
If there are any further questions or comments, please direct them to me
immediately.

Fraternally yours,



John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
H. Maxwell Morgan, Grand Chapter Advisor
Thomas Nesbitt, Greek Advisor
Philip J. Graziani, Advisor
Roy B. Teter, Advisor
Ryan P. Brennan, Alumni Association/Corporation President
Jeffery Owens, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. Donnie Markle, HSP
Gamma Delta Chapter
Alpha Sigma Phi Fraternity
Box 0
Davis & Elkins College
Elkins, WV 26241

Dear Brother Markle,

I really appreciate the extreme efforts and cooperation of Gamma Delta Chapter since being placed on administrative suspension on August 29, 1990. Quite frankly, it did not appear that your chapter could even survive long enough for the upcoming vote by the Grand Council to revoke your charter. Now, all that appears to again create a "put on hold" situation.

You and I will agree that there are still major problems with your chapter. But, your actions in fully complying with our requirements, requests and desires since assuming the duties of HSP have been truly commendable. Gamma Delta Chapter was the first newsletter we received, Gamma Delta was the first "Standards of Excellence" workbook we received, your reports and payments were made in a responsible and timely manner. Debts in excess of \$3,000 total still are on the books, but I note that you are making progress on reducing these.

Two visits by my Chapter Leadership Consultant and my visit must show you we are seriously concerned, but committed to your long-term survival. The outpouring of letters, telephone calls and financial contributions in your chapter's name to the National Headquarters in the past six months like-wise indicate the desire of your alumni and Grand Chapter Advisor to remain on the Davis & Elkins campus.

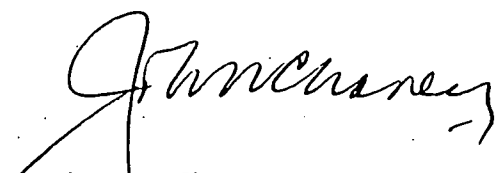
My discussions with you, Richard Stilley and my Chapter Leadership Consultant have led me to the conclusion that a Grand Council vote on January 27th to revoke your charter would be premature. You have high hopes for a huge pledge class soon. In addition to making you a viable organization on campus again, they will be the cornerstone for your efforts to eliminate your long-standing debts and your future success in the quest for the Alpha Sigma Phi goal: EXCELLENCE! Just make sure that you pledge quality men that will get the job done properly. Don't allow your chapter to regress to that old "dead head" image. Certainly do not allow any repeat FIPG alcohol violations or campus incidents in the future.

I have made tentative plans to return to your campus on February 10, 1991 along with a representative from Tau Kappa Epsilon to see if we can make you an FIPG campus and thus ease your burdens.

Donnie, I can't assure you that the Grand Council won't revoke your charter now or in the future. Even if they do not revoke it, I'm sure they will continue to allow that possibility to occur at their August, 1991 session. At that time, your chapter officers would be required to make a major written report as to your overall situation, operation and improvements. You would also be required to appear in person before the Grand Council Undergraduate Operations Committee to show just cause why your charter should not be revoked at that time.

If you have any questions or comments, direct them to me immediately.
Good luck in rush!

Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
H. Maxwell Morgan, Chapter Advisor
Philip J. Graziani, Advisor
Roy B. Teter, Advisor
Richard Stilley, Director of Student Life, Davis & Elkins College
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

September 5, 1990

Mr. Craig Brune, Acting President
Gamma Delta Chapter
Alpha Sigma Phi Fraternity
Box 0
Davis and Elkins College
Elkins, WV 26241

Dear Brother Brune,

At the August 11, 1990 meeting of the Grand Council, it was unanimously voted to continue the procedures to revoke Gamma Delta's Chapter that was initiated on February 6, 1988.

The process to revoke the Chapter's Charter was scheduled to include a final Grand Council vote in August 1988. Due to the outpouring of alumni concern and support and the initial strides made by the chapter with the assistance of the alumni, college officials and National Headquarters staff and volunteer personnel prior to the meeting, no revocation vote was taken.

The revocation of a charter is not a pleasant topic or easy decision for anyone involved. However, due to the past performance and present condition of Gamma Delta Chapter, the Grand Council felt they could not wait any longer, even in light of strong, dedicated Gamma Delta alumni support. You are most fortunate to have such a large and caring alumni backing and this support, along with the backing of your Grand Province Chief, Grand Chapter Advisor, Chapter Advisors, faculty and staff of your college, and the National Headquarters is critical if you are to again be a positive force on your campus.

The following items were taken into consideration when this decision was made:

- (1) Continued low membership.
- (2) Not following and fulfilling the Fraternity's "Reason for Being" and "Code of Conduct."
- (3) Financial irresponsibility and poor behavioral patterns at Davis and Elkins College.
- (4) Financial irresponsibility to Headquarters. The chapter is delinquent on a promissory note since no payments have been made since December, 1989. The total amount owed is \$2,473.02. Late dues, fees and reports are the norm.

- (5) Lack of positive development programs.
- (6) Disregard for Headquarters' staff assistance.
- (7) Failure to have a delegate attend the 1990 National Leadership Conference and Convention.
- (8) Lack of leadership and leadership potential.


The driving force behind your chapter has clearly been your alumni involvement. Clearly now is the time for your alumni to rally again and rebuild this chapter! The alumni of your chapter will each receive a copy of this notice as the revocation vote will be taken at the 1991 Winter Grand Council Meeting. The exact time, date and location of this meeting will be available after October 1, 1990 from Brother John R. Chaney, our Executive Vice President.

At the meeting you will be afforded with the opportunity to meet with the Grand Council. This meeting would be strictly to state a case for why Gamma Delta should remain as an active chapter of Alpha Sigma Phi. Should you pass up this opportunity or fail to present a sufficient case, then your charter will be revoked in accordance with the Constitution and By-Laws of our Fraternity, Article XIX, Section 6.

Please understand that this vote does not have anything to do with you personally. Your contributions to "The Old Gal" in the absence of the HSP, have not been overlooked. It is our fervent hope and desire that Gamma Delta will, again, rise like the Phoenix to this latest challenge.

If there are questions or comments, please direct them to Brother Chaney. I urge you and your alumni leaders to discuss and coordinate future plans or reorganization efforts with him at the earliest opportunity.

Fraternally yours,


Charles J. Vohs
Grand Senior President

CJV/rr

cc: John R. Chaney, Executive Vice President
Dr. Daniel P. Babb, Grand Province Chief
H. Maxwell Morgan, Grand Chapter Advisor
Roy Teter, Chapter Advisor
Phil Graziano, Chapter Advisor
Richard Stilley, Director of Student Life
Gamma Delta Alumni



RECEIVED

SEP - 7 1990

DAVIS & ELKINS COLLEGE

Alpha Sigma Phi Fraternity, Inc.

August 29, 1990

Mr. John Chaney
Alpha Sigma Phi Fraternity
24 West William Street
Delaware, OH 43015

Dear Mr. Chaney:

The following is an account of the inappropriate and unacceptable behavior of the Alpha Sigma Phi Chapter at Davis & Elkins College. The incidents noted below all took place before the start of classes for the Fall semester, 1990.

On Sunday, August 26th the Hall Director of International confiscated one (1) illegal keg that Alpha Sigma Phi Fraternity had purchased and had just tapped. He proceeded to drain the keg explaining why he was doing so. It should also be noted that at this gathering underage students were present. The Fraternity did not follow set guidelines in requesting permission to have alcohol in this quantity or format. Whether the leadership had a working awareness of policy or not most students were returning and all should have received a student handbook which clearly states the A.E.R.C. policy on kegs.

On Monday, August 27th at approximately 7:30 p.m. the Fraternity participated as a group in the willful destruction of College property, specifically the burning of a mattress. This mattress with a replacement value of \$99.99 will be billed to one member but the Fraternity as a whole will be held responsible. All active members but one were present when the incident occurred.

At this time the Fraternity is on probation for the Fall semester, 1990. They will not be able to sponsor any activities for one month.

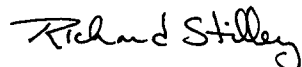
In addition, a copy of this letter is being sent to the National. It is my hope that the National organization will make a concerted effort to redirect, with strong supervision, the ill-fated path that the Fraternity seems bent on returning to.

Alpha Sigma Phi Fraternity
Page 2

During the Spring semester of 1990 the Fraternity showed a renewed effort to "clean up their act" and began behaving as responsible adults. This most recent behavior indicates to me that they may be backsliding. The acting president has showed responsible behavior and a mature attitude - but one strong brother does not a Fraternity make.

I will conclude by saying I am willing to work with the brotherhood but they must first show a willingness to make some significant changes in their operation and outlook.

Sincerely,



Richard Stilley
Director
Student Life

RS:kjk

CONFIDENTIAL REPORT

Beta Chi Chapter

American University

TO: John R. Chaney, Executive Vice President
FROM: Owen McCulloch, Chapter Leadership Consultant
DATE: January 22, 1991
RE: Incident report regarding possible alcohol infraction.

Upon my arrival at Beta Chi chapter on Sunday, January 20 at about 7:00 PM, I met the HSP, Rick Wilf. They were running an initiation for a brother who is to be departing for Saudi Arabia this Wednesday, so I was not able to meet with him for any length of time until later that evening.

In talking with Rick, I immediately learned of an initial adversarial position the chapter felt toward HQ and the university. Brian LeCkrone, the Greek Affairs Advisor, had suggested to the HSP that our response to the events of last week could be to suspend them so that they would not be able to rush, pledge, wear letters, or have letters on their house. I informed the HSP that this would not be the case.

In further speaking with Rick Wilf, I was able to hear his side of the story. At about 2:30 AM on Friday, January 18th, the Campus Police came to the door of the chapter house because of a noise complaint from a "Very powerful" neighbor behind them. However, nobody even saw them until after there was a loud "thud" and the fire detector system was set off (the fire detector system is separate from the fire alarm). At this time the HJP, Oliver Barakat, who lives on the first floor of the chapter house, awoke, dressed, and went downstairs where he saw the police standing outside the open side door. As soon as they saw Oliver they proceeded to enter the chapter, disregarding his request that they leave. Once they had entered the chapter house they proceeded to search it for the cause of the alarm. (Note: I found that the cause of the alarm was due to one of the members (Chris Marchese) had been having an argument with his girlfriend and stormed out of his room. On his way out of the room he slammed one of the hallway doors a few times and then hit it too. (The door was fairly well broken up.) One of the times he slammed it outwards and it hit the fire detector mounted on the wall, and set it off.)

The campus security made their way up through the house and went into the chapter room. By this time there were several brothers who had been upstairs and had come down to see what was going on. The police during their search of the chapter room saw through the HJP's door and saw a keg shell. Upon opening the door further they saw three more. None were tapped, and there were no cups present. They were also reported to be at room temperature.

CONFIDENTIAL REPORT
Beta Chi Chapter, American University
Page 2

At this point the security radioed into their HQ to their sergeant so that they could confiscate the keg shells as evidence. Before removing them they took photos of them in the HJP's room.

At some time before the kegs were removed, one of the members (Hubert H. Humphrey IV (known as "Buck")) went to close the door to the HJP's room and was prevented in doing so by one of the campus security. Humphrey reported to me, and stated in his report, that the security man shoved him rather roughly (this was also the report from other members present). As Humphrey became more and more agitated (some of the brothers held him back) the security decided to handcuff him and take him into custody. Separate charges are being filed against Humphrey, and it is being handled as a separate incident.

The campus security office is filing charges against the chapter, and it will be handled as a university offense by the Conduct Council. The IFC will not be bringing them up on charges as the Greek Advisor, Brian LeCkrone, feels that would be double jeopardy and would not be fair. LeCkrone may be called to testify by either the Conduct Council or the chapter, and has offered to speak in favor of the chapter. He wants to take any sanctions that the HQ and Grand Council may place upon the chapter into the hearing so that he can use them in favor for them.

My suggestions for sanctions are as follows:

- * 1 year probation
- * Formation of a Chapter Advisory Team (C.A.T.) that is comprised of HSP, HJP, HE, an alumni (Bob Shaw has volunteered), a faculty member (Bob Shaw has offered to find a good person here), and a HQ staff member whenever possible. The CAT should meet twice a month.
- * One detailed goal setting report each semester (to be approved by the CAT).
- * Chapter must acquire a GCA to be approved by the CAT and HQ.
- * Annual Chapter Report submitted and on time.
- * Participation in the Roadmap to Success.
- * Written Officer Training Program
- * Officer elections held annually, not by semester
- * Written Pledge Education program distributed to members, pledges, CAT, and Greek Advisor.
- * Defined and written alumni program.
- * Letter sent to all alumni describing how the fraternity operates now, and current risk management policies.
- * Weekly executive officer meetings - notes of which to be reviewed by the CAT.
- * Chapter must make a report to the Grand Council this summer at the NLC.

Owen G. McCulloch
Chapter Leadership Consultant
OGM:sd

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 22, 1991

Mr. Richard Wilf, HSP
Beta Chi Chapter
Alpha Sigma Phi Fraternity
5012 Rodman Road
Bethesda, MD 20816

Dear Brother Wilf,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Beta Chi Chapter has been placed on immediate administrative suspension in accordance with Article XIX, Section 3, of our By-Laws. This administrative suspension is imposed because of an alleged FIPG violation on January 18, 1991 in which your chapter had four beer kegs inside the Beta Chi Chapter house on the American University campus.

The suspension shall not exceed a period of 30 days. After 30 days, the current suspension will be automatically removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity. Additional administrative sanctions may be imposed by the Grand Council.

If you have questions or comments, direct them to me immediately.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
John H. Luckenbill, Grand Province Chief
Bradley R. Schiller, Faculty Advisor
Brian Leckrone, Greek Advisor
Owen McCulloch, Chapter Leadership Consultant —
Mail Packet

February 7, 1991



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Richard L. Wilf, HSP
Alpha Sigma Phi Fraternity
Beta Chi Chapter
Box 77, Eagle Station
Washington, DC 20016

Dear Brother Wilf,

At the January 27, 1991 meeting of the Grand Council, it was unanimously passed that the suspension against Beta Chi Chapter be continued until August 1991. Your chapter will be required to have a delegate make an appearance before the Grand Council at that time and report on what steps your chapter has taken since the incident on January 18, 1991. This appearance is tentatively scheduled for August 6, 1991 immediately prior to the National Leadership Conference at Penn State. Details of this requirement will be forthcoming.

Additionally, as an outgrowth of the loud late night party resulting in campus security officers entering your facility and finding four beer keg shells, the following additional sanctions are imposed:

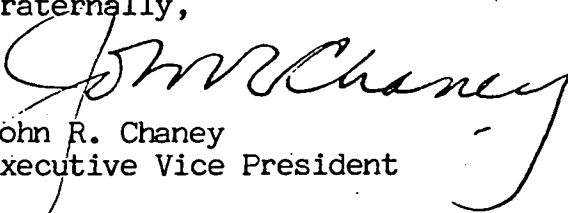
- (1) The chapter will form a Chapter Advisory Team consisting of the HSP, HJP, HE, chapter advisor Bob Shaw, one faculty member (Bob Shaw will assist in recruiting) and a representative from the National Headquarters, when available. The team will ensure the chapter has a goal setting retreat and educational session dealing with risk management as soon as possible.
- (2) The chapter will secure a Grand Chapter Advisor, and with approval of the chapter advisory team, submit the nomination to the National Headquarters.
- (3) Participate in the "Roadmap to Success" program, now required for all Alpha Sig chapters.
- (4) Complete and submit on time, the annual chapter report.
- (5) Complete a written officer's training program and pledge education program. These should be approved by the chapter advisory team and distributed to all members.
- (6) Write a complete alumni program for implementation.
- (7) Prepare and distribute a letter to all alumni describing how the chapter currently operates and detail the current risk management policies in effect.

Richard L. Wilf, HSP
Beta Chi Chapter
Page 2

It should be clearly noted that the sanctions do not in any manner limit the chapter in rush, pledging, initiations or identification of the members or the chapter house with Alpha Sigma Phi. Any discussion of these items has never been mentioned to or with the National Fraternity Headquarters.

If you have any questions or comments, direct them to me immediately.

Fraternally,



John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
John Luckenbill, Grand Province Chief
Paula Antonovich, Greek Life Advisor
Robert J. Shaw, Alumni Association/Corporation President
Owen McCulloch, Chapter Leadership Consultant

ALPHA SIGMA PHI FRATERNITY

March 19, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Timothy A. Bobbitt, HSP
Beta Gamma Chapter
Alpha Sigma Phi Fraternity
P. O. Box 398
Bethany, WV 26032

Dear Brother Bobbitt,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Beta Gamma Chapter has officially been placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By-Laws. This action has been taken because of a pledge class incident involving a raid of a sorority house.

The suspension shall not exceed a period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity.

In addition to this administrative suspension, you will cooperate fully with the Bethany College administration and implicitly follow sanctions imposed by Dr. Cunningham and your IFC. Your active chapter will also participate in the community service project imposed by your administration along with your pledge class. In addition, you will be required to appear before the Grand Council Undergraduate Operations Committee on August 6, 1991 at Penn State. At that time, you will be required to fully explain this incident and provide information relevant to an earlier incident as announced by my letters of January 15, 1991 and February 11, 1991. Actions required by that last letter are still required as per our telephone conversation on March 14 and 15, 1991.

If you have any questions or comments concerning this action, do not hesitate to contact me immediately.

Fraternally,

John R. Chaney
Executive Vice President

JRC/sjj

cc: Charles J. Vohs, Grand Senior President
Dr. Daniel P. Babb, Grand Province Chief
Dr. Robert A. Sandercox, Senior Vice President, Bethany College
Dr. John S. Cunningham, Vice President for Student Services, Bethany College
Dr. Larry Grimes, Grand Chapter Adviser
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant
Mail Packet

RECEIVED

Alpha Sigma Phi Fraternity, Inc.

3-18-91

John Chaney:

I'm sorry that we have not contacted you about the alleged "hazing" incident that took place last semester. There were some pledges and some brothers walking into breakfast one morning. As I understand it they were singing songs that were too loud. I was not present at this event, nor was I HSP at this time. We thought that the incident was taken care of. The IFC at Bethany resolved that the event was not a hazing incident in any way. Nobody was forced to participate in this activity. Singing songs is one of the funnest events that take place at our chapter. Our concern is that it may have been construed this way. The activity was not meant to cause harm or disgrace to anyone involved, including the National Headquarters. We are sorry if this is the case.

A letter is enclosed that I submitted to Dean Cunningham about the recent event that occurred with our pledge class. The majority of our pledge class participated on the raid of a sorority. This raid was done without knowledge of any brother. We do know, however, that we do have a responsibility once they become pledges. The Dean of Students Office has taken actions which I understand you have been informed of. We hope that we will have no problems for the rest of the semester. Our pledge bio forms and fees should be in your hands by the time you receive this letter. I'm sorry for any inconveniences that we may have caused you.

Sincerely,

Timothy A. Bobbitt HSP36
Beta Gamma Chapter

Alpha Sigma Phi Fraternity

3-11-91

John C. Cunningham:

Thank you for calling us into your office today. At that time George, Thad, and myself had just heard of the incidents that took place the night before. We would like to apologize for the position that you have been put into. We would like to stress, however, that the occurrence was in no way an act of Alpha Sigma Phi Fraternity. We know that the students involved are presently pledging our fraternity. The act was committed by a group of immature freshman. No member of Alpha Sigma Phi Fraternity forced, encouraged, or in any way promoted the actions that were taken at the Phi Mu House. We would like to see that the pledges are disciplined in some way for the actions that took place. The actions were wrong, and they do not portray our fraternity in a positive way. This fraternity has done much to improve it's image. We have addressed some issues that other fraternities have not had the guts to face. We set up a risk management policy, and we are doing more than any other house on campus. We feel that taking away our pledge class would be a unjustified act.

Taking away a pledge class has been done since I have been on campus. The Beta's lost their pledge class when I was a freshman. The circumstances can be compared in absolutely no way. Alcohol endangered the life of a student at a party. The Beta Theta Pi House was held responsible for the occurrence. In our case the brotherhood of Alpha Sigma Phi had absolutely no

participation in the "raid" of a sorority house. The leadership of this house realizes that because some of our pledges were involved that we as a house do share in the responsibility. We attempted to take all precautions to stop such an occurrence from happening. We did not take any pledges under the table, and we tried to convey the message that such things as raids could not happen. Obviously in some way we failed to prevent this from happening.

We think that the punishment should fit the crime. We do not condone the actions that took place the other night. The purpose of our pledging is not to cause problems for other people. Our pledging is to better the man. Taking away a pledge class we feel would not be a good preventative policy under the circumstances. This would be a punishment on the fraternity of Alpha Sigma Phi, and not a punishment to some immature freshmen. The pledges involved should maybe be asked to do some community service. I'm sure the brothers of this house would also be willing to serve some community service time. This would be an outward visible sign to show that what these men did was not acceptable. Taking away a pledge class may cause one fraternity to learn a lesson, but I do not think it has a great effect on greek organizations not involved. Thank you for taking your time to read this.

Sincerely,

Timothy A. Bobbitt

President, Alpha Sigma Phi Fraternity

Bethany

RECEIVED

MAR 18 1991

Dean of Students

Alpha Sigma Phi Fraternity

March 13, 1991

To: Sascha Boyle, President of IFC
Larry Grimes, Beta Gamma chapter Advisor
Tim Bobbitt, Beta Gamma President

From: Dr. John S. Cunningham, Vice President of Student Services

After careful review of the incident of 3/11/91 at the Phi Mu House, numerous discussions with student leadership, and consultation with Dr. Grimes, I am prepared to advance the following sanctions:

1. That the pledges involved in the Phi Mu raid be immediately de-pledged. Those involved will be prohibited from fraternity involvement, social functions, and are not permitted to visit the Beta Gamma house on Point Breeze. This sanction will be imposed from 4:00 p.m. Thursday, March 14 thru Sunday, April 7th at noon.
2. Any damages to Phi Mu will be assessed to the individuals responsible or equally divided among those involved.
3. Pledge membership may be reinstated after noon on April 7th, if the Beta Gamma chapter and I.F.C. concur the individual has fulfilled the responsibilities of sanction #1. The re-pledging fees may be contributed to a charity or may be used for supplies necessary to complete a community service project.
4. If re-instated, pledges will be assigned to a specific community project. The project will be arranged by Tim Bobbitt in consultation with Dr. Grimes, Sascha Boyle, and Dr. Cunningham and will be supervised by active members of Beta Gamma and a designee of I.F.C.
5. A report on this incident and sanctions will be filed with the National office of Alpha Sigma Phi by Beta Gamma chapter with copies to Vice President Cunningham and Sascha Boyle, I.F.C. president.
6. Any pledge who violates College rules for pledging a second time will be forever ineligible to pledge Beta Gamma chapter or any other fraternity at Bethany.

I encourage I.F.C. to adopt, modify, and/or add to my sanctions. Your advice and immediate reply will enable me to notify the individuals involved and set forth a plan of action.

Thank you,



Dr. John S. Cunningham
Vice President for Student Services

Bethany College
Bethany, West Virginia 26032
(304) 829-7631

February 11, 1991



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. John Spadaro, HSP
Beta Gamma Chapter
Alpha Sigma Phi Fraternity
P. O. Box 398
Bethany, WV 26032

Dear Brother Spadaro,

I received you January 23, 1991 facsimile report about the alleged "hazing" incident and would like to commend you for getting it to me in time for my Grand Council presentation.

I want to clarify your statement that the marching of pledges to cadence on the campus is in your pledge program. Is this correct? Also, please clarify that your pledge program was filed with the Bethany IFC and they approved it. Is this also correct?

Please forward a copy of your written pledge program to this headquarters for my review. In the meantime, you will stop marching or allowing pledges to march or be marched anywhere! Marching of pledges has absolutely nothing to do with pledge education.

For your information, I would like to offer the following FIPG definition of hazing and I will underline those portions I feel you may have violated, if you did allow any form of peer group sponsorship of pledge marching: "Any action taken or situation created, intentionally, whether on or off fraternity premises, to produce mental or physical discomfort, embarrassment, harassment or ridicule. Such activities may include but are not limited to the following: use of alcohol; paddling in any form, creation of excessive fatigue, physical or psychological shocks, quests, treasure hunts, scavenger hunts, road trips or any other activities carried on outside or inside the confines of the chapter house; wearing of public apparel which is conspicuous and not normally in good taste; engaging in public stunts and buffoonery; morally degrading or humiliating games and activities, and any other activities which are not consistent with fraternal law, ritual, or policy or the regulations and policies of the educational institution."

I would expect your call upon receipt of this letter!

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Dr. Daniel P. Babb, Grand Province Chief
Dr. Larry Grimes, Grand Chapter Advisor
Tracy Bartholomew II, Alumni Corporation/Association President
Robert A. Sandercox
Jeffery S. Owens, Chapter Leadership Consultant
Owen G. McCulloch, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 15, 1991

Mr. John Spadaro, HSP
Beta Gamma Chapter
Alpha Sigma Phi Fraternity
P. O. Box 398
Bethany, WV 26032

Dear Brother Spadaro,

It has recently come to my attention through FIPG channels that your chapter possibly was involved in an incident approximately one month ago. That incident involved a group of five pledges from your chapter marching in cadence to breakfast at the student union building on your campus. The report called this a pre-initiation activity. It also called the incident "hazing".

I would welcome your early written report on the allegations of this incident. This should be very specific and address the facts as they occurred. Also include any reference to IFC actions that may have taken place regarding the incident.

Due to the severity of the accusation, I expect your report to arrive at the National Headquarters not later than January 24th.

If you have any questions or comments regarding this action, do not hesitate to contact me immediately.

Fraternally,

John R. Chaney

JRC/sjj

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
Dr. Larry Grimes, Grand Chapter Advisor
Robert A. Sandercox

ALPHA SIGMA PHI FRATERNITY

January 15, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Todd Maiellaro, HSP
Phi Chapter
Alpha Sigma Phi Fraternity
2717 West Street
Ames, IA 50010

Dear Brother Maiellaro,

Grand Senior President Vohs allowed your chapter's 30 day administrative sanction imposed on November 16, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat firearms related incidents do not occur.

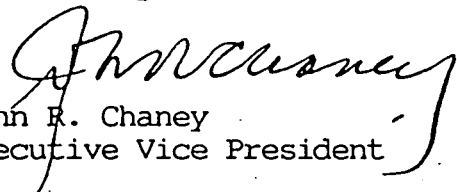
You are hereby required to submit a complete incident report to this headquarters by February 15, 1991 detailing the circumstances that led to the sanctions imposed on November 16th. By May 1, 1991 your chapter will submit a comprehensive risk management educational program to this headquarters. It will include what changes have occurred in your chapter as a result of this incident and your other alcohol related violation. Your chapter delegate will be required to address this issue in his appearance before the Grand Council Undergraduate Operations Committee meeting at the National Leadership Conference to be held at Penn State from August 7-11, 1991.

I'm quite frankly disturbed that this dangerous incident occurred while you are on close scrutiny by the Grand Council for the alcohol incident on March 31, 1990. For that incident, you were required to sign up a Faculty Advisor and a Grand Chapter Advisor plus hold an alcohol abuse workshop. To date, none of these previously imposed actions have been reported even though your suspense date was December 1, 1990.

Our Grand Council Undergraduate Operations Committee meets on January 27, 1991. I would like to include a copy of your compliance with the previously imposed sanctions in my written report to them. To this end, I urge you to contact me immediately!

If you have any questions or comments, direct them to me as soon as possible. Chapter Leadership Consultant Jeff Owens is scheduled to make the second consultant visit of the year to your chapter, at your request, to assist with rush from February 6-9, 1991. In addition to rush, Jeff could be of great assistance in complying with our requirements.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Gary Forney, Grand Province Chief
Jeffery S. Owens, Chapter Leadership Consultant

RECEIVED

FEB 19 1991

Alpha Sigma Phi Fraternity, Inc.

Alpha Sigma Phi Fraternity
Phi Chapter
2717 West Street
Ames IA 50010
(515)292-1902

Dear Brother Chaney,

I am writing this letter in regards to the letter that was set to me at the beginning of the semester concerning our conduct last semester.

I must first report that we have fulfilled are primary obligations.

1. We have found a Faculty Advisor. His name is Marshall Maddy and he is an alum of Phi Chapter.
2. We have also found two Chapter Advisors. They are Tom Beebe and Mark King, both of which are Phi Chapter alums.
3. We held and alcohol awareness workshop for the members and little sisters. We watched the video "This Is My House." Following the video, we had a discussion addressing the issues that were brought up. In my opinion, It proved to be very beneficial.

Next, I would like to address the issue concerning the firearm. First let me say that we were aware of the rules of Alpha Sigma Phi concerning firearms in the house. We got word of the rule early last semester. Everyone was informed of the policy and, to the best of our knowledge, all of them were gone. The person who owned the gun told us that it was off the premises.

On the evening of November 16, 1990, three shots were fired by three brothers out of a window. The police were called by the next door residence and they arrived soon after. At that time the officers of Phi Chapter decided that we would let the authorities handle the situation.

We escorted the three policemen up the the room where the incident occurred. The three brothers pled guilty, and each of them were issued a fine. The gun was also taken.

I would also like to say that none of the three people involved are now currently living in the house. I feel that the matter was handled the best it could have been.

I'm sorry that the conduct of a few individuals can jeopardize the standing of this chapter house. I hope that what I said answered some of your questions. Feel free to contact me if any further questions arise.

Fraternally,


James G. Spencer, HSP



Marietta College

Chartered in 1835

RECEIVED

Attachment F
MAY 17 1991

Alpha Sigma Phi Fraternity, Inc.

May 14, 1991

Mr. Joe Crone, President
Alpha Sigma Phi Fraternity
Campus Box 227
Marietta College

Dear Joe:

This correspondence is in reference to my letter of November 6th concerning the actions taken against your Fraternity in accordance with Article IX, Section 2, of the Interfraternity Council Constitution.

At the regular weekly meeting of the President's Cabinet, held on Monday, May 13th, your Chapter status was reviewed. Based on that review, the College has removed all penalties of the Probationary Status. However, the College has continued your Chapter on Restrictive Probation until December 31, 1991. This decision was based on the Chapter's progress towards meeting its goals, and the Chapter's continuing financial difficulties.

It is also important to note that the College is still concerned about the long-term viability of your organization, and always reserves the right to remove organizational recognition for violation of any College regulations.

If you have any questions concerning this matter, please do not hesitate to call upon me.

Respectfully submitted,

Steve Markwood
Dean of Students

SM:ld

cc: Dr. Patrick McDonough, President
Mr. John Chaney, Executive Vice President, Alpha Sigma Phi
Chris Ring, President, IFC
Jeff Welch, Co-Grand Chapter Advisor
Brothers of Alpha Sigma Phi
Parents of Alpha Sigma Phi Members

RECEIVED

APR 23 1991

Alpha Sigma Phi Fraternity, Inc.

M A R I E T T A C I V I T A N

P.O. Box 68
Marietta, Ohio 45750

April 2, 1991

Mr. Steve Markwood
Marietta College
Marietta, Ohio 45750

Re: Alpha Sigma Phi Fraternity

Dear Mr. Markwood:

As you know, the Marietta Civitan Club is constructing a park at the corner of 7th and Cutler-across from the YMCA. Once it is completed, Cisler Terrace Park, will be a source of pride for the citizens of Marietta.

This park is becoming a reality with the help of the Alpha Sigma Phi fraternity. In the last six months, a minimum of 20 members of this fraternity have assisted us in cutting trees, removing debris and adding fill dirt. On two different Saturdays (one in November and one in January), these young men donated a total of over 125 hours of their time to help us. In addition, they have expressed an interest in continuing to work with us to ensure a timely completion of this project.

We thought you should be aware of this fraternity's dedication and spirit of volunteerism. We would especially like to thank Mr. Jeff Welch for his assistance in encouraging their participation.

The fellowship between our club and these young men has been extraordinary. We look forward to working with them over the next several months.

Sincerely,

James L. Barr
James L. Barr
President

✓ cc: Jeff Welch



Marietta College

Chartered in 1835

RECEIVED

NOV - 9 1990

Alpha Sigma Phi Fraternity.

November 6, 1990

Mr. Larry Watson
President, Alpha Sigma Phi Fraternity
Marietta College

Dear Larry:

This correspondence is to officially notify you that the College, after extensive and intense discussions with the campus by the President's Cabinet and with the acknowledgment of the National Fraternity-Headquarters, has decided to close the residence at 207 Fourth Street by noon on Saturday, November 10th, 1990, for the remainder of the current 1990-91 academic year, in accordance with Articles III and XII of the Fraternity Lease Agreement and Article IX, Section 2, of the Interfraternity Council Constitution. In addition, the College has placed your Chapter on Restrictive Probation indefinitely with a formal review of your status contingent upon the successful fulfillment of a series of proactive requirements by May 15th, 1991.

As you are aware, this unusual and serious action by the College was the result of individual and fraternal activities which Marietta finds unacceptable in light of a ... "contemporary liberal arts education." As previously noted these incidents include damage to the facility, theft of College and private property, misuse of alcohol, and serious behavioral incidents at Chapter social events after both an informal and formal warning by my Office.

Each resident must vacate the residence by noon on Saturday, November 10th and must be reassigned to other College residence facilities. Although the Seniors may exercise an option to reside off-campus, the underclassmen must meet individually with Nancy Dickens, Associate Dean for Residence Life, to review available campus vacancies. The Physical Plant Department will make vehicles available for students to transport their personal belongings to their new residence assignments. Most importantly, each resident needs to understand that they can be held financially accountable for any damage to the facility or furnishings during the vacating process.

The Fraternity will remain on Restrictive Probation indefinitely with a formal review scheduled for May 15th. The Chapter will not be allowed to hold on-campus social events until March 15th, and then must meet the FIPG guidelines, including uniformed security, as set forth by the National Fraternity. The Officers and members will be expected to go through a series of leadership and risk management training programs and the pledges will be required to go through a greek orientation program developed by the Inter-Fraternity Council prior to approval for membership activation. In addition, the Chapter will be required to develop and implement a major campus and/or community service project.

Finally, the Chapter will be required to fulfill all financial obligations to the College including restoring the facility and furnishings to its 1986 condition and securing all past debts. Although the Chapter may not participate in intramurals during the Fall Semester, the Fraternity may elect to participate in the Spring Term.

It is very important to note that the College did not remove official recognition of the Chapter, and will formally review the Chapter's status on May 15th. This review will be directly effected by the Fraternity's successful fulfillment of the financial and Probationary stipulations, and the Chapter's and individual's attitudes and behavior during the interim. The College's assignment of the residence for 1991-92 academic year will also be related to your Chapter status review.

As you are aware, this was not an easy decision for the College, and the institution is aware of the difficulties which face your Chapter. However, the College believes that Alpha Sigma Phi has enjoyed a long history here at Marietta and is capable of recovering from its current difficulties. If you have any specific questions concerning this letter, please do not hesitate to call upon me.

Respectfully submitted,



Steve Markwood
Dean of Students

SM:ld

cc: Dr. Patrick McDonough, President
✓ John Chaney, Executive Vice President, Alpha Sigma Phi
Nancy Dickens, Associate Dean for Residential Life
Tom Lakatos, President, IFC
Dr. Mike Taylor, Chapter Advisor
Brothers of Alpha Sigma Phi
Parents of Alpha Sigma Phi Members

Enclosures

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

October 26, 1990

Mr. Larry Watson, HSP
Alpha Sigma Phi Fraternity
207 Fourth Street
Marietta, OH 45750

Dear Brother Watson,

The purpose of this communication is to transmit to you a list of sanctions imposed by Alpha Sigma Phi Fraternity on your chapter. These sanctions are effective immediately and include:

. The National Fraternity will conduct a 100 percent review of the active and pledge membership of the chapter on Thursday, November 1, 1990. The committee, headed by national officers, Dr. Larry Spees, and Dr. Daniel Babb, along with non-Marietta undergraduates, will interview all members for past performance, future potential and willingness to comply with national directives.

. The chapter will propose and secure a written financial repayment plan with Marietta College officials and keep all payments current to the institution and the National Fraternity. This repayment plan will be filed at the beginning of each term with the National Headquarters along with a written financial budget to support it. Any damages to the structure will be repaired, at the chapter's expense.

. The chapter will have 100 percent attendance at an off campus retreat conducted by the National Fraternity for the next two semesters. The chapter will schedule and plan the event to be conducted within the first ten days of the next two school terms.

. The chapter will become the FIPG leader on campus. In addition to adhering to FIPG risk management policies, Ohio state law, Marietta College rules and regulations, no kegs or common sources of alcohol will be on the chapter premises. The chapter will remove their beer "tap" system immediately. Chapter funds and "collections" for alcohol are forbidden within Alpha Sigma Phi chapters. The chapter will be directed to set up campus-wide presentations such as sponsoring nationally recognized speaker, Michael Green. Our Educational Foundation can fund educational grant requests to support these types of events.

. The chapter will recruit a person from the Marietta faculty or staff for co-faculty advisor along with Dr. Michael Taylor. The chapter will also receive a Grand Chapter Advisor through the solicitation efforts of the National Headquarters.

. The chapter will submit future requests for party permits along with the guest list through the National Fraternity no less than two weeks prior to a scheduled event involving alcohol. For events involving alcohol in which more than 100 persons total are to be present, an off-duty, uniformed police person will be on the premises for the duration of the activity. This expense will be the responsibility of the chapter.

. The chapter will participate in the Alpha Sigma Phi Standards of Excellence Program. In addition to preparing and submitting the workbook to National Headquarters, the chapter president will review a copy of the workbook with the chapter advisor, faculty advisor and Vice President for Student Affairs.

. The chapter will send a minimum of three members to the National Leadership Conference at Penn State from August 7-11, 1991. The chapter will invite the college President and Vice President of Student Affairs and faculty advisor to attend, compliments of the National Fraternity. At the conference, the undergraduates will be required to submit written evidence of improvement and make an appearance before the Grand Council. Administration attendees will also be invited to participate. The chapter will also be required to present an assigned educational session to the assembled leadership conference.

. The chapter will subscribe to the National Fraternity's "No Risk" newsletter service and will be required to issue three alumni newsletters each academic year. The chapter will plan, schedule and host one alumni function each spring.

. The chapter will strive to attain true student diversity in its future membership.

. The chapter will plan, host and conduct the next Province VI Conclave or social event in conjunction with the requirements of the Grand Province Chief. At the latest, this will be completed by May 1, 1991.

. The chapter will conduct pledge and initiation ritual ceremonies only on written license from the administration and National Headquarters. Nationally designated representatives will attend each ceremony throughout the 1990-91 academic year. The chapter will conduct as a part of each initiation, a Black Lantern Processional with lanterns and robes secured from the National Headquarters.

. The chapter president will physically contact the Vice President for Student Affairs at least weekly to ensure open communication channels are maintained. The chapter president will likewise contact the National Headquarters on a weekly basis.

. The chapter will plan, publicize and hold a community and campus open-house and reception within the first ten days of the next semester and again within the first ten days of next year's first semester. This will include a tour of the chapter museum.

Mr. Larry Watson, HSP
Page 3

. The chapter will issue a public apology to the faculty and Marietta community for their irresponsible past and positively state the leadership is responsible for the future actions of their individual members and pledges.

. The National Fraternity will work with the Vice President for Student Affairs to fund a live-in graduate student advisor.

. The chapter agrees to strictly comply with additional sanctions as may be imposed by the Marietta College administration.


You will comply completely and fully with each sanction as imposed. If you have questions or would like a specific interpretation, contact me personally.

A 130 year legacy of Alpha Sigma Phi on the Marietta campus rests on your shoulders. Your leadership, the assistance of your fellow officers and the collective cooperation of your members are imperative at this time. I urge you to go over this list of sanctions with your entire membership so that there not be any confusion or hint of ignorance. Future conduct violations of your chapter members will surely extinguish your light.

You may rest assured that you have the full and complete backing and support from the Grand Council and the National Headquarters staff and myself to assist you in keeping the Old Gal at Marietta College. Have faith and confidence in the administration of your alma mater.

Contact me at anytime should you require assistance. My home telephone number is (614) 369-8112 should you need it.

Fraternally and sincerely,


John R. Chaney
Executive Vice President

JRC:ed

cc: Dr. Patrick McDonough, President, Marietta College
Dr. Steve Markwood, Vice President for Student Affairs, Marietta College
Dr. Michael Taylor, Faculty Advisor, Marietta College
Grand Council
Dr. Daniel Babb, Grand Province Chief
Marietta Chapter Alumni
Jeffery Owens, Chapter Leadership Consultant

ALPHA SIGMA PHI FRATERNITY

CONFIDENTIAL

June 5, 1991



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Alpha Sigma Phi Fraternity, Gamma Alpha Chapter
Ohio Northern University
1990-1991 Scholastic year

The following is a list of incidents and sanctions for Gamma Alpha Chapter:

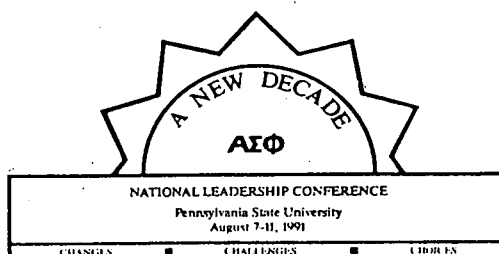
Alpha Sigma Phi Christmas Party, December 15, 1990
- Allegations of hazing and sexual harassment

The Chapter Advisory Team identified four major areas that needed to be addresses:

- * Alumni
- * Greek Community
- * University Community
- * Membership Education

The following sanctions were drawn up by the CAT to address those areas of concern:

- a. Chapter's self imposed 10 hours of community service and an additional \$2 per brother fine, as well as a required letter of apology to the University community in the Northern Review? Fine due by February 19, 1991. Community service hours due by the end of the 1990-91 school year, May 19, 1991, and must reflect the efforts of each brother. Both based on membership of 39.
- b. Generate a letter to alumni stating current fraternity positions on issues such as hazing, disorderly conduct, alcohol abuse, and sexual harassment.
- c. Generate a letter to Jack West, Alumni Board, indicating a dissatisfaction with the actions of the three alumni involved in streaking at the party. Jack West will contact the alumni involved, and indicate their behavior was unacceptable, and ask them not to return to the chapter or campus for a year. (It should be noted that identifying and holding responsible the alumni involved is important in order to substantiate fraternity description of occurrences and to validate CAT responses to the same.)
- d. Appoint and work with a Grand Chapter Advisor



- e. Establishment of a Pledge Class Network for better communication with, and involvement of alumni.
- f. Submit a letter to President Freed, copied to faculty and staff on campus.
- g. Make personal presentation to (seven) other fraternities and (four) sororities at O.N.U. relative to what happened on Saturday, December 15, 1990, what CAT response has been to these events, and what the chapter's positions are regarding hazing, alcohol abuse, disorderly conduct, and sexual harassment.
- h. Quantify, in writing, the pledge education program. Include time line with dates, specific goals, events materials, guest speakers, etc.
- i. Conduct a relationships seminar for the entire brotherhood to attend specifically addressing male-female issues.
- j. Conduct a retreat for the brotherhood in which the NIC Commission on Values and Ethics "Basic and Ultimate Expectations of a Fraternity Man" are addresses.
- k. Co-sponsor a campus speaker addressing alcohol-related issues during Greek Week.
- l. Conduct an alcohol/drug abuse workshop for the entire brotherhood to attend.

It was assumed that, except for the Greek Week speaker co-sponsorship and the community service hours, each of the above provisions will be satisfied by the end of the winter quarter, 1990-91, or by February 18, 1991. Exceptions to this will need the approval of the Assistant Dean of Students.

Alpha Sigma Phi and Alpha Xi Delta Campus Chest Party, March 9, 1991.

- Allegations of kegs at a social function

Sanctions from the December 15, 1990 incident were reviewed by the Chapter Advisory Team, and were found to address the incident of March 9th adequately.

Since the chapter had not yet completed the majority of the sanctions assigned to them, the C.A.T. reiterated the importance of them completing those sanctions.

Two members of Alpha Sigma Phi in a fight with members of Sigma Chi Fraternity while visiting Bowling Green State University

- No specific actions taken

RECEIVED

March 15, 1991

MAR 21 1991

Alpha Sigma Phi Chapter, etc

Mr. John R. Chaney
Alpha Sigma Phi
National Headquarters
24 W. William Street
Delaware, OH 43015

Dear Brother Chaney,

This letter is to inform you of the incident which took place on the evening of March 9, 1991. Gamma Alpha was to hold a Campus Chest party with the Alpha Zi Delta Sorority on this night from the hours of 9:00 p.m. to 1:00 a.m. However, the Alpha Zi's invited our chapter to their house prior to the party for hors d'oeuvres.

On the day of March 9, two of our alumni showed up at our house with a keg of beer. When these alumni were informed by me that kegs would not be consumed in our house, they promptly left with it. The alumni were not upset in the least, in fact, they were very cooperative.

The alumni apparently then took the keg to the Alpha Zi Delta house with the intentions of donating it to the hors d'oeuvres party. When our chapter showed up at the Alpha Zi house, the Alpha Zi's told one of the brothers that the keg was brought over earlier in the day. I was not in attendance at the Alpha Zi house, but I have been informed that the hors d'oeuvre party continued without incident.

At 9:00 p.m. the party moved to our chapter house. I was on our first floor from 8:30 p.m. to 1:30 a.m. disc jockeying. At approximately 9:15 p.m., a brother came down and informed me that they had brought the remainder of the keg to our house and had placed it in his room. I then went up to his room and found the EMPTY keg in his room. Since the keg was empty and was not in a public place, I returned downstairs and really thought nothing of it. I figured he was holding the empty keg and tap for the alumni so that they may get their deposit back. The party then proceeded without incident and proved to be a very positive experience.

On Wednesday, March 13, I was called into Assistant Dean Ron Kuhlman's office. When I arrived at his office, Kuhlman had the Alpha Zi Delta President and Angie Tyler, the sorority advisor, sitting in his office. Kuhlman had made no mention to me that this was anything more than our weekly President's meeting. They then questioned me and I explained exactly what had happened. Mr. Kuhlman then informed me that

the incident was not in violation of the University's Alcohol Policy. He also advised me to call your office and explain what had happened. That brings you up to date on this incident.

My feelings on the incident are as follows. On one hand, I hate to see such a positive party experience marred by the fact that a keg was involved. From this incident, rumors have sprung up around the campus that our chapter has numerous kegs at our house, and that we had quite a "blowout" that night. This rumor is, in fact, quite the contrary to what actually happened. In speaking with the Campus Security officers on patrol that night, they were not even aware that a party was going on in our house.

As far as the alumni are involved, I believe that this experience was extremely positive. The two alumni that arrived with the keg understood when I explained to them that we could not have it in the house. Their intentions were not to get our chapter in trouble, but merely to offer us a gift. These two men now understand that kegs are not suitable contributions to our chapter.

I hope you will see this incident in approximately the same manner. With all the hard work Gamma Alpha has done in the past year, I would hate to see it all go down the drain for something such as a keg of beer. Please keep me advised on your feelings surrounding the incident.

Fraternally yours,



Steven D. Vale
HSP, Gamma Alpha Chapter

RECEIVED



OHIO NORTHERN UNIVERSITY

ADA, OHIO 45810 • Telephone (419) 772-2430

Fax (419) 772-1932

Alpha Sigma Phi Fraternity

Office of Student Services

TO: Tom Minner, Chapter President, Alpha Sigma Phi
FROM: Ronald R. Kuhlman, Assistant Dean of Students
DATE: May 10, 1991 *RKll*
RE: Letter of Accreditation
ENCL: (1)

Thank you for your participation in the Annual Chapter Accreditation program for fraternities at Ohio Northern University. Your chapter has expended enough reasonable effort during the 1990-91 academic year to satisfy requirements in eight of 10 program areas evaluated for accreditation by the university.

As indicated in the "status of fraternity accreditation" letter which was sent to you on April 12, 1991, your chapter has yet to meet some of the criteria set forth in the pledge education and membership development programs. Accreditation can not, therefore, be awarded to the Alpha Sigma Phi fraternity this spring. That your chapter has failed to become accredited for a second consecutive year indicates a need for the Chapter Advisory Team (CAT) to review these programs with you. Please arrange to have the CAT meet soon, perhaps before your contingency attends the Grand Council meeting at Penn State, August 6.

SPECIAL NOTE:

The Alpha Sigma Phi chapter has, however, distinguished itself this year by providing a superior scholarship program for its members.

Seven of eight fraternities at ONU were accredited this year, as compared to two of eight last year.

Of particular note are the number of contacts which we receive on a regular basis from other school inquiring about why our accreditation program works. Many factors come into play, the most significant of which is the willingness of chapters like yours to improve themselves.

Enjoy your summer and please contact this office with any questions which you may have regarding this or other matters.

pc: National Office ✓
Alumni Board
Chapter Advisor
Dean Ward
File

MAY 1, 1991
ANNUAL CHAPTER
ACCREDITATION
UPDATE

✓	✓	✓	✓	✓	✓	✓	✓	I. A.C.E. Completed (1)
✓	✓	✓	✓	✓	✓	✓	✓	II. C.A.T. Meets (1)
✓	✓	✓	✓	✓	✓	✓	✓	III. Goal Setting (10)
✓	✓	✓	✓	✓	✓	✓	✓	IV. Rush (12)
✓	✓	✓	✓	✓	✓	✓	✓	V. Pledge Education (9)
✓	✓	✓	✓	✓	✓	✓	✓	VI. Membership Development (10)
✓	✓	✓	✓	✓	✓	✓	✓	VII. Scholarship (9)
✓	✓	✓	✓	✓	✓	✓	✓	VIII. Alumni Relations (7)
✓	✓	✓	✓	✓	✓	✓	✓	IX. Community Service (1)
✓	✓	✓	✓	✓	✓	✓	✓	X. Advisor (1)

RECEIVED



OHIO NORTHERN UNIVERSITY

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Fax (419) 772-1932

Office of Student Services

Alpha Sigma Phi Fraternity, Inc

May 10, 1991

Mr. Patrick Garman, Alpha Sigma Phi
Mr. Jason Seyer, Alpha Sigma Phi (Founders Hall)

Gentlemen:

It has come to the University's attention that you were involved in an altercation in Bowling Green, Ohio on April 26, 1991. This altercation apparently involved two B.G.S.U. students who were from the B.G. Sigma Chi chapter, one of whom was injured.

Actions such as this reflect badly on both the University and your Alpha Sigma Phi chapter. Needless to say, the timing of such an incident is poor, especially considering the status of your chapter in regards to disciplinary actions imposed earlier this year.

Please ensure that such unsavory acts do not occur again.

For Ohio Northern University,

Ronald R. Kuhlman
Assistant Dean of Students

RRK/pjh

pc: John Cheney, Executive Director ✓
Jack West, Alumni Association
Dean Ward, V.P. Student Affairs
Bob Ward, Advisor
Tom Minner, President
file

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

March 19, 1991

Mr. Steven P. Vale, HSP
Gamma Alpha Chapter
Alpha Sigma Phi Fraternity
University Parkway
Ohio Northern University
Ada, OH 45810

Dear Brother Vale,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Gamma Alpha Chapter has officially been placed on immediate suspension in accordance with Article XIX, Section 3 of our By-Laws. This suspension was the result of allegations of kegs of beer at a recent event. The action was taken because of previous incidents on the campus this year and our requirement to protect the good name and reputation of this Fraternity.

The suspension shall not exceed period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Grand Council. Effective immediately, the chapter will not sponsor or participate in any chapter supported social events on or off the campus. No alcoholic beverages of any kind will remain in or be introduced into the chapter house.

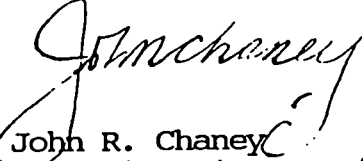
During the next few days, Chapter Leadership Consultant Jeff Owens will be on campus and a Chapter Advisory Team (CAT) will attempt to work with you, your officers and members in resolving the situations which have led to the sanctions being imposed. Based on the recommendations of the CAT, the sanctions may be removed, increased or modified. Earlier sanctions imposed by my letter of January 14, 1991 still apply. You and two chapter officers are still required to appear before the Grand Council Undergraduate Operations Committee on August 6, 1991 at Penn State and fully account for your actions during this academic year.

Steve, I want to let you know that we are firmly committed to working with you through this most recent incident. I also want to let you know that the reputation of Alpha Sigma Phi has been severely damaged this year. Our legacy of more than 49 years on your campus is in jeopardy. Our survival depends on you!

March 19, 1991
Page 2

Should you have any questions or comments about this matter, please do not hesitate to contact me immediately.

Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

cc: Charles J. Vohs, Grand Senior President
Mark Williams, Grand Province Chief
Jack West, Alumni Corporation Secretary
Robert Ward, Faculty Advisor
Ronald R. Kuhlman, Greek Advisor
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant
Mail Packet



OHIO NORTHERN UNIVERSITY

ADA, OHIO 45810 • Telephone (419) 772-2430

Fax (419) 772-1932

Office of Student Services

RECEIVED

Alpha Sigma Phi Fraternity, Inc.

January 4, 1991

Mr. Steven Vale
President, Alpha Sigma Phi
University Circle

Mr. Vale:

Thank you for the recent open discussions with Chapter Advisory Team (CAT) members regarding allegations of hazing and sexual harassment at an Alpha Sigma Phi Christmas party on December 15, 1990 in that fraternity house.

While CAT members involved in reviewing the event of December 15 have expressed general displeasure with some of the attitudes portrayed at the party, there is a prevailing belief throughout the CAT that this incident has actually provided the Alpha Sigma Phi Fraternity with an opportunity to educate the general University community regarding the fraternity's position on the issues of hazing, disorderly conduct, alcohol abuse, and sexual harassment.

A brief summary of the errors made at the party in question include: people going through the party naked; a sexually offensive flyer in the window of the party entrance door; brothers "rating" women at the door when women were entering and leaving the party; T-shirts portraying a sexually derogatory scene.

The IFC Executive Committee, in response to your request, administratively disposed of the case regarding alleged hazing on December 13, 1990 and has passed two charges of sexual harassment on to the University because of the apparent responsibility of individual Alpha Sigma Phi fraternity brothers for these alleged actions (it should be noted that identifying these brothers is important in order to verify Alpha Sigma Phi's description of events).

The IFC Executive Committee appreciated the fraternity's acceptance of responsibility for not stopping the naked people from going through the party and found that this action was, for all practical intent and purposes, a violation of University Disorderly Conduct rules, not a hazing violation. The IFC Executive Committee accepted the fraternity's self-imposed sanction of 10 hours of community service hours per brother and imposed an additional \$2.00/brother fine as well as a required letter of apology to the University community in the Northern Review. These sanctions were passed on to the University in the form of recommendations to the Dean of Students.

After carefully reviewing the IFC Executive Committee recommendations, and after an exhaustive discussion of the events in question with members of the CAT on December 14, 1990, the University offers the following summary of sanctions resulting from administrative judicial proceedings. These conditions reflect the input from, and indicate support of, Alpha Sigma Phi CAT members.

By failing to stop three alums, an individual party guest and three pledges from streaking the party, the Alpha Sigma Phi fraternity was guilty of disorderly conduct. The University supports the IFC Executive Committee in its recommendations such that a fine of \$2.00/brother (39 X 2, \$78.00), 10 hours of community service (390 hours total) and a letter of apology to the University via the school newspaper are appropriate responses.

This fine is payable at the University Controller's Office by the end of the 1990-91 winter quarter, or February 18, 1991. The funds will be applied to the IFC budget. The community service hours are to be provided to a local cause by the end of the 1990-91 school year, or May 19, 1991 and must reflect the efforts of each brother. The community service project must be approved by the Dean of Students. The letter of apology will be placed in the Northern Review immediately.

In addition to supporting these sanctions, the following conditions are desirable in the eyes of CAT members for the purpose of combating a significantly negative public perception of Alpha Sigma Phi on campus. These efforts are aimed at chapter alumni, the Greek community, the University community and education of current members. It should be noted that most of these conditions are part of the requirements found in the Annual Chapter Accreditation process.

Alumni

Education

1. Generate a letter to alumni stating current fraternity positions on issues such as hazing, disorderly conduct, alcohol abuse, and sexual harassment. Intent would be to show current chapter status, how times are changing in the fraternal world and what is acceptable behavior when returning to the O.N.U. chapter.

2. Generate a letter to Jack West, Alumni Board, indicating a dissatisfaction with actions of the three alums involved in streaking at the party. Jack West will identify and contact the alums involved, indicate that their behavior was unacceptable, ask them to not return to the chapter or campus for a year, and copy CAT members with those correspondences. (It should be noted that identifying and holding responsible the alums involved is important in order to substantiate fraternity description of occurrences and to validate CAT responses to same).

Involvement

3. Appoint and work with a Grand Chapter Advisor.
4. Establish a Pledge Class Network for better communication with, and involvement of, alums.

University Community

1. Submit a letter of apology to campus community published in school newspaper (see IFC sanctions, above).
2. Submit a letter of apology to President Freed, copied to faculty and staff on campus.

Greek Community

1. Submit a letter of apology in campus paper (see IFC Sanctions, above).
2. Make personal presentation to (seven) other fraternities and (four) sororities at O.N.U. relative to what happened on Saturday, December 15, 1990, what CAT response has been to these events, and what the chapter's positions are regarding hazing, alcohol abuse, disorderly conduct, and sexual harassment. Specific efforts need to be made in identifying the correct chapter personnel to effect these visits because key components of these discussions will be to entertain questions of, and to actively listen to the feedback generated by, the hosting chapters.

Membership/Pledge Education

1. Quantify, in writing, the pledge education program. Include time line with dates, specific goals, events materials, guest speakers, etc.
2. Conduct a relationships seminar for the entire brotherhood to attend specifically addressing male-female issues. Provision has been made to have "Girls (Don't) Just Want to Have Fun" presented by Mr. Kuhlman.
3. Conduct a retreat for the brotherhood in which the NIC Commission on Values and Ethics "Basic and Ultimate Expectations of a Fraternity Man" are addressed.
4. Co-sponsor a campus speaker addressing alcohol-related issues during Greek Week.
5. Conduct an alcohol/drug abuse workshop for the entire brotherhood to attend. The speaker must be approved by the Dean of Students. Ms. Sarah Hanson, Hardin County Center on Alcoholism and Drug Abuse, is suggested.

It is generally assumed that, except for the Greek Week speaker sponsorship and the community service hours, each of the above provisions will be satisfied by the end of the winter quarter, 1990-91, or by February 18, 1991. Exceptions to this expectation will need the approval of the Assistant Dean of Students. Further, it is hoped that complying with these provisions will assist Alpha Sigma Phi in addressing and overcoming an historically negative image on campus.

Bear in mind, Steve, that most of the people involved in this issue have taken you and your chapter at your word. Please ensure that this trust has not been misplaced. It is the University's understanding that individual members of your chapter are aware of both the gravity of this incident as well as the fact that further involvement of the Alpha Sigma Phi chapter or its members in such behaviors as those which precipitated this letter will likely result in serious ramifications.

In closing, and on behalf of the CAT members with whom your chapter is associated, please contact any CAT member immediately with questions or support needs which Alpha Sigma Phi may have regarding this or other matters.

For Ohio Northern University,



Ronald R. Kuhlman
Assistant Dean of Students

RRK/pjh

pc: Owen McCulloch, Chapter Leadership Consultant
John R. Cheney, Executive Vice President ✓
Bob Ward, Faculty Advisor
Jack West, ACB
Dean Ward, Vice President for Student Affairs
Jim Welker, IFC President

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

April 1, 1991

Mr. J. Robert Russell, HSP
Upsilon Chapter
Alpha Sigma Phi Fraternity
328 East Fairmount Avenue
State College, PA 16801

Dear Brother Russell,

As directed by our Grand Senior President Charles J. Vohs, this letter is to inform you that Upsilon Chapter has officially been placed on immediate administrative suspension in accordance with Article XIX, Section 3 of our By-Laws. This action has been taken because of violation of our risk management policies. We have a photograph from the Daily Collegian edition of March 18, 1991 clearly showing a beer keg at one of your social activities. We also have received substantiating reports of the incident through FIPG sources.

The suspension shall not exceed a period of 30 days. After 30 days, the suspension will be removed unless the Grand Council votes to extend the administrative suspension until the next meeting of the Fraternity.

I want to call your attention to two letters which have been written to your chapter already this year regarding our FIPG guidelines. I have enclosed them so that you may reiterate our policy and our concern to your chapter members.

In addition to the administrative suspension, you will be required to conduct a risk management retreat seminar for your entire chapter prior to April 22, 1991. Attestation of your compliance of this sanction plus those imposed by my October 3, 1990 letter will arrive at the National Headquarters not later than May 1, 1991. You and your chapter officers will also appear before the Grand Council Undergraduate Operations Committee on August 6, 1991 on your campus. At that time, you will be required to fully explain this incident and provide details concerning your compliance to our requirements.

April 1, 1991
Page 2

If you have any questions or comments concerning this action, do not hesitate to contact me immediately.

Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

Enclosure

cc: Charles J. Vohs, Grand Senior President
Dr. Otto Sonder, Grand Province Chief
Lawrence P. Walker, Grand Chapter Advisor
Richard Funk, Greek Advisor
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant
Mail Packet



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

October 3, 1990

John Fulton, HSP
Alpha Sigma Phi Fraternity
Upsilon Chapter
328 E. Fairmount Avenue
State College, PA 16801

Dear Brother John,

I am concerned that your chapter still has a beer tap system installed in your chapter facility.

As you men well know, our policy involving the use of alcohol and FIPG risk management policies do not allow kegs and/or common sources of alcohol. The appearance of such a tap system seems to suggest that you may not be in agreement or compliance with our programs.

As appearances are often construed to be reality, I would encourage you to remove the tap system immediately.

I request that you send me a letter by November 5, 1990, which acknowledges that the tap system has been removed so that I can place that evidence in your chapter file.

Warm fraternal regards,

John R. Chaney
Executive Vice President

JRC:sd

cc: Otto Sonder, Grand Province Chief
Ralph Wiest, Grand Chapter Advisor
Dr. Douglas E. Collins, Alumni Association/Corporation President
Owen McCulloch, Chapter Leadership Consultant

ALPHA SIGMA PHI FRATERNITY

March 11, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Eugene J. Rogan, HSP
Delta Lambda Chapter
Alpha Sigma Phi Fraternity
G-Wing College Center
Stockton State College
Pomona, NJ 08240

Dear Brother Rogan,

Grand Senior President Charles J. Vohs has directed me to take a Grand Council mail vote to continue the sanctions imposed on Delta Lambda Chapter past the 30 day limit in our February 26, 1991 letter and continue the action until August 11, 1991. As stated in that correspondence and based on my campus visit of March 3, 1991 and the recommendations of Chapter Leadership Consultant Jeff Owens subsequent to his visit of March 3-6, 1991, the following additional administrative sanctions are immediately imposed and will remain in effect until August 11, 1991:

- * No chapter social events. This includes no spring formal, no "mixers," no Sig Bust and no events involving alcohol. It also includes skips, walk-outs and road trips. Greek Advisor Janie King or I must be contacted if there are any questions about what determines a "social event."
- * The chapter must submit to Headquarters written minutes of weekly chapter meetings along with a calendar of events.
- * The chapter must recruit at least one additional Faculty Advisor.
- * The chapter must recruit, and submit for approval, one assistant Grand Chapter Advisor. Should you require assistance in this area, please contact me.
- * The chapter president, at a minimum, and other officers must meet in person with the Greek Advisor, Faculty Advisor and Grand Chapter Advisor individually or collectively each week.

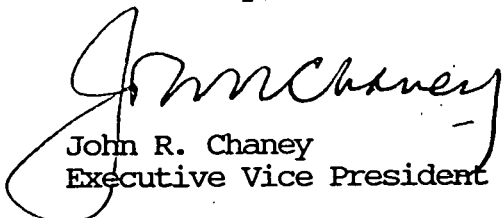
The chapter is not precluded from participating in campus events such as Parents Day, Greek Week, organized intramurals or membership recruitment functions. Ritualistic ceremonies are also authorized to be held in accordance with the requirements of the chapter.

Previous sanctions imposed by my January 15, 1991 letter are still in force.

Page 2

If I can be of further assistance or if you have questions, please direct them to me immediately.

Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

Enclosure

cc: Grand Council
John H. Luckenbill, Grand Province Chief
Joe Marchetti, Dean of Students
Janie King, Greek Advisor
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant
Mail Packet

6765

33



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 15, 1991

Mr. Eugene J. Rogan, HSP
Delta Lambda Chapter
Alpha Sigma Phi Fraternity
G-Wing College Center
Stockton State College
Pomona, NJ 08240

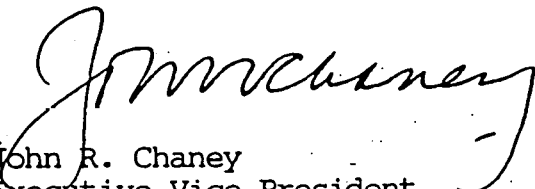
Dear Brother Rogan,

Grand Senior President Vohs allowed your chapter's 30 day administrative sanctions imposed on November 16, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat alcohol related incidents do not occur.

You are hereby required to submit by May 1, 1991, a comprehensive chapter membership alcohol education program to this headquarters. It will include changes that have occurred since the incident. Your chapter is also required to hold a chapter retreat focussing on alcohol use, risk management and FIPG compliance. Your chapter delegate will be required to address this along with the progress your chapter has made since the incident in an appearance before our Grand Council Undergraduate Operations Committee at the National Leadership Conference to be held from August 7-11, 1991 at Penn State.

It is very important that you set a very positive influence in your chapter and your province as others are looking to you and Gamma Lambda Chapter for leadership. If you have any questions or comments, please direct them to me immediately.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
John H. Luckenbill, Grand Province Chief
William Baker, Faculty Advisor
Janie King, Associate Director of Campus Activities
Owen McCulloch, Chapter Leadership Consultant



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

CONFIDENTIAL

TO: Joe Marchetti, Dean of Students
Stockton State College

FROM: Jeffery S. Owens, Chapter Leadership Consultant
Alpha Sigma Phi Fraternity

DATE: March 11, 1991

RE: Chapter Visit, March 3-6, 1991

From Sunday, March 3rd through Wednesday, March 6th, I visited Alpha Sigma Phi Fraternity, Delta Lambda Chapter at Stockton State College. On Sunday afternoon at 2:00 p.m., a meeting was held with Janie King, Associate Director of Campus Activities; John R. Chaney, Executive Vice-President, Alpha Sigma Phi Fraternity; John Luckenbill, Delta Lambda Chapter Advisor; and myself. During this meeting, Janie King described the incident that occurred on February 14, 1991 when three students, all officers of Alpha Sigma Phi, hosted an off-campus party. She distributed copies of her report of the incident to all present. This incident was the second alcohol-related violation committed by the chapter during the school year. Also it was determined at this meeting that I would examine the chapter during my stay and later propose recommendations for sanctions. This was to be done via member interviews and tremendous assistance from Janie King.

At 4:00 p.m. on the same afternoon another meeting facilitated by John R. Chaney, was held with undergraduate members of Alpha Sigma Phi. Chaney conveyed the seriousness of this matter and foreshadowed upcoming sanctions to them. About one half of the chapter members were in attendance.

On Tuesday afternoon at 3:00 p.m. a discussion was held with Janie King; Rance Catlin, Director of Campus Activities; John Chaney; and myself. The current status and the future of the chapter were discussed. Also, specific recommendations for sanctions to be levied against the chapter were brought up in this meeting. Those recommendations are listed below. Please note that these are only recommendations and no sanctions from our office will be official until John R. Chaney sends a letter to Eugene J. Rogan, Delta Lambda Chapter President.

On Tuesday evening at 8:30 p.m. an educational session focusing on Risk Management was held with the brothers and pledges of the fraternity. At this time, "This is My House," a powerful videotape that addresses alcohol excess in chapters today, was viewed. A discussion on the videotape and Alpha Sigma Phi's Risk Management Policy concerning alcohol followed. The session was very productive, it made people think, and more importantly, talk about the issues facing the chapter. Janie King and John Luckenbill were both present at this meeting.

After completing the visit, I will recommend to John R. Chaney, Executive Vice President, that the following sanctions be levied against the chapter:

1. Continuance of previous sanctions outlined in letters dated January 15, 1991 and February 26, 1991.
2. Extension of administrative suspension as outlined in the February 26, 1991 letter through the remainder of the spring term. Additionally, no chapter supported or peer group supported social events will be held by Delta Lambda Chapter for the remainder of the spring term. Such events include, but are not limited to, Spring Formal, sorority mixers, and informal and off-campus parties.
3. Recruitment of a new Faculty Advisor.
4. Recruitment of a second Grand Chapter Advisor.
5. Submission of a complete and thorough "Schedule of Events" listing all fraternity events for the remainder of the semester to Headquarters within 21 days of the date on that letter.
6. Submission of minutes of chapter meetings to Headquarters weekly.

The fraternity staff will also reserve the right to declare any proposed event as a social event, and order any such event to be canceled.

Let me reiterate that these are only recommendations and not the actual sanctions placed upon the chapter. A copy of John R. Chaney's letter containing the official and detailed sanctions, addressed to Eugene J. Rogan will be forthcoming.

In the meantime, feel free to contact our office if you have any questions, comments or concerns. We hope that our partnership with Stockton State College lasts for many years to come!

JSO/sjj

cc: Grand Council
John R. Chaney, Executive Vice President ✓
Rance Catlin, Director of Campus Activities
Janie King, Associate Director of Campus Activities

CONFIDENTIAL

To: Joseph Marchetti
Dean of Students

From: Janie King *King*
Associate Director of Campus Activities

Re: Off Campus Party; February 14, 1991

Date: February 28, 1991

On February 14, 1991, three students (all officers of Alpha Sigma Phi fraternity) hosted a party off campus at their residence, 600 West White Horse Pike in Pomona, New Jersey. At approximately 5:30 am on Friday, February 15, a student walking home from the party was struck by an automobile. The accident occurred on Pomona road. The injured student, Michael Marro, is a minor and a pledge of Alpha Sigma Phi fraternity.

I have subsequently investigated this incident to attempt to determine the extent of the chapter's participation as an organization and any policy violations the chapter may have committed with regard to the party and the ensuing accident.

The party hosts and house residents are Eugene Rogan (chapter president), John Meehan (chapter treasurer) and Kevin Dougherty (chapter marshall). All hosts are over the age of 21.

The party was planned approximately two days in advance. No announcement of the party was made at a fraternity meeting and no written invitations were sent out. Friends were invited via "word of mouth". Approximately 60 people attended the party; about half were fraternity members. "Approximately five" pledges were in attendance at the party and were allegedly not drinking as John Meehan told me that "pledges are not allowed to drink."

Two kegs were purchased and initially paid for by John Meehan and Kevin Dougherty. Although no admission was charged at the door, Meehan and Dougherty recovered their money by accepting "donations" from students attending the party. Both fraternity and non-fraternity individuals apparently donated money to pay for the alcohol. Although fraternity pledges may not have been served, it is clear other minors did have access to the kegs.

The party hosts were all drinking at the party. I was told by Meehan that a fellow fraternity brother, Charles Dunn was at the door collecting car keys and admitting "only friends" of the hosts, although I have not been able to confirm this with Mr. Dunn.

Two Stockton students, Keith Shortreed (fraternity brother) and Michael Marro (fraternity pledge) were at the scene of the accident that occurred on Pomona road following the party. Keith Shortreed (age 18) arrived at the party around 10:30 pm, began drinking immediately and stated he quit drinking "around midnight."

Michael Marro stated he arrived at the party around midnight and did not drink at the party. He relayed that he had been drinking beer at his dormitory prior to arriving at the party at 600 White Horse Pike.

The three party hosts stated they each went to bed between 3:00 and 4:00 am on Friday morning. They thought Shortreed and Marro would stay over and sleep on couches. When Shortreed and Marro decided to walk back to campus, the party was over with guests either having left for the night or sleeping over at the house. None of the three hosts knew Shortreed and Marro had left the premises. These two students set out to walk back to campus at approximately 4:30 am.

About one hour later, Marro was struck by an automobile traveling north on Pomona road. At the time he was hit, Marro was attempting to flag down the car to hitch a ride as he was tired of walking. The police report listed Marro as standing in the middle of the road and Shortreed as standing on the shoulder of the road. This version of events was confirmed by both students during my interviews with them.

Marro was admitted to the Mainland Division of Atlantic City Medical Center and treated for a broken fibula. He is out of the hospital and expects to be in a leg cast for two weeks. At the hospital, Galloway Township police officials visited Marro and issued two tickets to him; one for failure to yield to a car and the other for unlawfully attempting to gain transportation via hitchhiking.

Damage to the driver's vehicle include a shattered windshield and dents on the front left fender.

Although the police report makes no mention of Marro being intoxicated at the time of impact, a Galloway police official did relate in an interview with Argo staff member, Bruce Carlson that Marro had been drinking. However, no charges related to alcohol violations were issued to Marro.

At this time, based on the information I have regarding this particular incident, I do not believe the party itself can be interpreted as a fraternity event per se. The residents of the house appear to have made the sole decision to facilitate and host this party.

With the preceding information documented, I would like to go on to express particular concerns that do relate to the chapter. Two days prior to the party, I did attend the chapter meeting of Alpha Sigma Phi to specifically discuss prior fraternity-related alcohol violations and measures the chapter should take to reduce liability. Host liability was explained as it relates to individuals and as it relates to the chapter as an organization. Although my interpretation is that this party did not constitute a chapter event, a third party (i.e. a court of law, a police investigator, etc.) might make a different interpretation. (Clearly, this is a speculation as I lack any formal legal background.)

At the risk management program, chapter members were advised not to purchase kegs, to host only BYOB events with supervision of alcohol use, to use guest lists at the door for all parties, to collect car keys at the door, not to serve minors under any circumstances and to take all precautions available to reduce liability whether they felt their party was a chapter event or not. It was also noted that two chapter members had not observed any of these precautions when they were cited for having an unregistered keg in G-Court in January and subsequently charged with college violations through the Campus Hearing Board process.

My feeling, based on continued interaction with chapter officers and members, is that there may be a lack of willingness to make real changes in their conduct of social events. They rarely refer to any social event an "Alpha Sigma Phi" party, thus leaving it to college officials to continually assess their events and make an interpretation of whether their activities constitute chapter activities or individual activities. John Meehan told me the chapter did not use a guest list at the door because "that would have made the party look like a fraternity event." In other words, the chapter members, on an individual basis, continue to make poor decisions regarding conduct of social events in spite of a several recent educational programs regarding risk management and alcohol use.

Another important development is the possible resignation of faculty advisor, Bill Baker. Mr. Baker contacted me on Tuesday, February 26 by telephone to advise me that he was considering resigning as the chapter's advisor. Chapter officers have failed to answer his repeated requests to meet with the chapter's executive council or attend a chapter meeting. Please note that regular meetings with the chapter advisor was one of the conditions of probation placed on the chapter, as a result of the November administrative hearing.

Mr. Baker relayed to me that he felt he could no longer be associated with a chapter which he feels 1) carries a high degree of risk associated with liability issues; 2) appears unwilling to have any association with him as an advisor; and 3) seems completely unfazed by the sanctions placed on the chapter as a result of the October Halloween party which went to an administrative hearing. He and I are both inclined to believe that, with regard to required programs associated with these sanctions, the chapter is simply "going through the motions" of attending programs. Neither he nor I see any real changes taking place, either in attitude or actions.

Mr. Baker has agreed to delay resigning as the chapter advisor pending a meeting with Alpha Sigma Phi Leadership Consultant, Mr. Jeff Owens who will be on campus next week. Also on Sunday I will be meeting with Executive Vice President of Alpha Sigma Phi fraternity, Mr. John Chaney to discuss a possible course of action regarding the chapter's activities and future status.

In summation, any further investigation by the college regarding the party of February 14, 1991 at 600 White Horse Pike should probably be conducted by campus police officials should you deem further action is necessary. Following my meeting with Mr. Chaney and Mr. Owens on Sunday, we should plan to meet and discuss the future status of the chapter. I think this is appropriate considering the lack of behavioral changes observed among members and the violation of sanctions levied on December 6, 1990 against the chapter. I will schedule an appointment to discuss these issues with you next week.

cc: B. Baker; Chapter Advisor
R. Catlin, Director of Campus Activities
J. Chaney, Executive Vice President of Alpha Sigma Phi
J. Owens, Leadership Consultant for Alpha Sigma Phi

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. Gunnar Holmstrom, HSP
Mu Chapter
Alpha Sigma Phi Fraternity
4554 19th Avenue, N.E.
Seattle, WA 98105

Dear Brother Holmstrom,

Grand Senior President Vohs allowed your chapter's 30 day administration sanctions imposed on November 10, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat alcohol related incidents do not occur.

You are hereby required to submit by May 1, 1991, a comprehensive chapter alcohol education program to this headquarters. It will include changes that have occurred since the incident. Your chapter delegate also will be required to address this along with the progress your chapter has made since the incident on November 10, 1990 in an appearance before our Grand Council Undergraduate Operations Committee at the National Leadership Conference to be held from August 7-11, 1991 at Penn State.

If you have any questions or comments, please direct them to me immediately. Gunnar, I look forward to seeing you and the Mu contingent in Columbus on January 25th.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Larry Philippi, Grand Province Chief
Jeff Cleator, Grand Chapter Advisor
Gary Ausman
Owen G. McCulloch, Chapter Leadership Consultant
Jeffrey S. Owens, Chapter Leadership Consultant



Alpha Sigma Phi

Dear Grand Council,

In accordance with the disciplinary action placed upon us by the Grand Council, the following is an outline of how we have implemented the Risk Management policy:

1. First, we have adopted and adhered to the BYOB policy per FIPG guidelines. While at first this decision was unpopular amongst the brothers, it became more acceptable as our risks were discussed and it was made clear that change was essential.
2. In addition to the internal changes we have made, we have made efforts to implement BYOB policy for the entire Greek System. To do this, we have become very active in our IFC. Brother Glenn Gessell was elected Vice-President for Inter-Fraternity relations, and both Gunnar Holmstrom (last HSP) and myself have been a part of a committee dealing with risk management issues.
3. Finally, in an effort to understand risk management better, we had 100% attendance at Risk Management seminar dealing with issues of risk management on college campuses.

I am looking forward to seeing you in August and will be more than happy to answer any questions you might have concerning the above issue.

Fraternally yours,

Ryan Nelson HSP

May 1, 1991

Dear Brother Chaney,

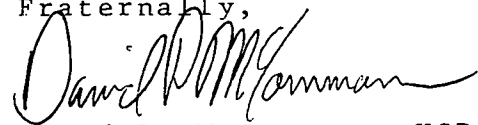
Our chapter has gone to great lengths to revise our risk-management policy and to educate our brothers. These steps have been taken to keep the Alpha Nu chapter running smoothly and safely.

The concepts that we have developed and improved upon are as follows:

1. All brothers have received national and school alcohol policies that govern us.
2. We have adopted a new alcohol policy that is more stringent than Westminster's policy.
3. We have instituted a sober monitor system consisting of 6 sober brothers who watch and regulate consumption of all types of alcohol.
4. We have created a strict guest list to tighten door watch.
5. We keep all parties in the dance room to better regulate the party.
6. The "sober crew" has the authority to "cut off" any person who has had too much to drink.
7. An escort service has been established in order to provide a way home for any person.
8. We sponsored Eillen Stevens to speak to all greeks.

All of these policies have been adopted by the brotherhood to better regulate ourselves and others. If you would have any questions on the policies that we have brought into our chapter please contact me.

Fraternally,


David P. McCommons, HSP

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

January 14, 1991

Mr. James Jones, HSP
Alpha Nu Chapter
Alpha Sigma Phi Fraternity
129 Waugh Avenue
New Wilmington, PA 16142


Dear Brother Jones,

Grand Senior President Vohs allowed your chapter's 30 day administrative sanctions imposed on October 19, 1990 to expire. He and I both continue to have a concern that additional educational programming be included in your chapter's operations to ensure that repeat alcohol related incidents do not occur.

You are hereby required to submit a complete incident report to this headquarters by February 15, 1991 detailing the circumstances that led to the Westminster College and Alpha Sigma Phi sanctions being imposed on Alpha Nu Chapter. On May 1, 1991 your chapter will submit a comprehensive chapter alcohol educational program to this headquarters. It should include what changes have occurred in your chapter as a result of the incident along with a long-range education program for your members. At the National Leadership Conference at Penn State from August 7-11, 1991 your chapter delegate will be required to make an appearance before the Grand Council Undergraduate Operations Committee in regards to the incident and to review the progress your chapter has made in preventing future incidents.

Jim, Chapter Leadership Consultant Owen McCulloch is scheduled to be on your campus in the near future and I am planning to attend your retreat on February 9th. If you have any questions, you can direct them to us at those times or call me at anytime.

Fraternally,


John R. Chaney
Executive Vice President

JRC/sjj

cc: Grand Council
Dr. Daniel P. Babb, Grand Province Chief
G. Alan Sternbergh, Grand Chapter Advisor
Bob Thomas
Owen G. McCulloch, Chapter Leadership Consultant
Jeffery S. Owens, Chapter Leadership Consultant



University of Delaware

RECEIVED

Attachment L

JUN 03 1991

Alpha Sigma Phi Fraternity, Inc.

GREEK AFFAIRS
401 ACADEMY STREET
NEWARK, DELAWARE 19716

(302) 451-2631

May 23, 1991

Mr. John R. Chaney
Executive Vice President
Alpha Sigma Phi
24 West William Street
Delaware, Ohio 43015

Dear Mr. Chaney:

On behalf of the Interfraternity Council and the University administration at the University of Delaware we are pleased to inform you that on May 21, 1991 Dr. David P. Roselle, University President, approved the recommendation of the I.F.C. that the Alpha Sigma Phi fraternity come into a relationship with the University.

Congratulations to you, the local alumni and the undergraduate members of your interest group on the fine presentation which you made at the April 12 I.F.C. meeting.

The next step in the process of coming into a relationship with the University would be for the National fraternity and undergraduate student interest group to petition the Interfraternity Council for "colony status." We have enclosed a copy of the University's "Fraternal Expansion Policy/Procedure at the University of Delaware" which outlines the procedure for

petitioning for colony status on page 4. You may use any of the information presented to the I.F.C. in April which is applicable in this petitioning process. As soon as the National fraternity has prepared its petition for colony status it should be submitted to the Interfraternity Council for review and action.

Again, congratulations and welcome to the Greek Community at the University of Delaware. We are all looking forward to working with you in the years to come.

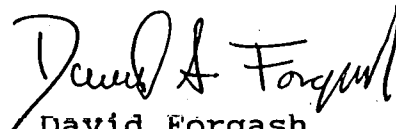
Most sincerely,



Raymond O. Eddy
Coordinator of Greek Affairs
and Special programs



Dean Rowley
President
Interfraternity Council



David Forgash
Expansion Chair
Interfraternity Council

cc: Dr. Timothy F. Brooks, Dean of Students

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

February 6, 1991

Mark Jewell, President
Alpha Sigma Phi Fraternity
Central Michigan
Phi Kappa Colony
C-4, Chippewa Village
Broomfield Road
Mt. Pleasant, MI 48858

Dear Brother Jewell,

I bring you greetings on behalf of our Grand Council!

At the January 27, 1991 session of the Grand Council, they unanimously voted to accept the petition of Delta Phi Rho local fraternity and Alpha Sigma Phi Interest Group as a Colony of our Fraternity. Under the Greek letter designation of our brotherhood, you are designated as Phi Kappa Colony.

We would like to formally announce this colonization at a ceremony or dinner in your community at your earliest possible time. Please advise us as to your plans and intentions so that we may prepare the necessary documents for such an event.

I look forward to a long, prosperous and harmonious relationship with you and our Colony at Central Michigan University.

Fraternally,


John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
William J. Siering, Grand Province Chief
Robert Cabello, Grand Chapter Advisor
Jeffery Owens, Chapter Leadership Consultant
Owen McCulloch, Chapter Leadership Consultant
Dr. Glenn Starner, Director-Office of Student Life
Andy Galsterer, HSP, Theta Chapter, University of Michigan
Steve Baugh, HSP, Beta Rho Chapter, University of Toledo
Bronson J. Funke, HSP, Beta Omicron Chapter, Tri-State University

ALPHA SIGMA PHI FRATERNITY

May 7, 1991

NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

Mr. Jeff DeLong, President
Coastal Carolina Interest Group
Alpha Sigma Phi Fraternity
4664 Priscilla Lane
Virginia Beach, VA 23455

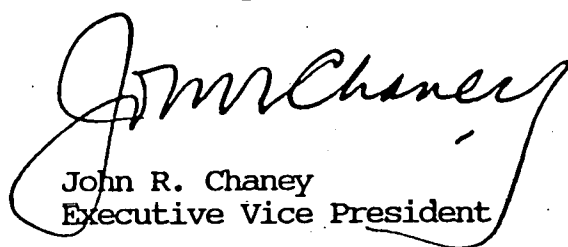
Dear Jeff,

It was good talking to you today. I do hope that you and as many members of the interest group as possible will be available to attend our National Leadership Conference at Penn State. It is an awesome leadership laboratory!!

This is also to confirm that your interest group has permission to call yourselves the Alpha Sigma Phi Local Fraternity in your quest for recognition and colonization with us. As an interest group, we would allow you to use the Greek letters—Alpha Sigma Phi. No other insignia is authorized for your use at this time.

Good luck this summer and I hope to see you in State College in August.

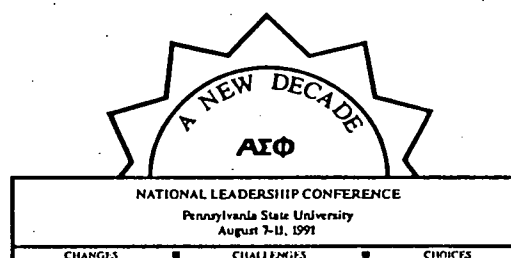
Fraternally,



John R. Chaney
Executive Vice President

JRC/sjj

cc: Don Goforth, Grand Province Chief
Jeff Owens, Director of Chapter Services and Expansion



APR 15 1991
APR 21 1991
Alpha Sigma Phi Chapter 100
April 15, 1991

Mr. John R. Chaney
Alpha Sigma Phi Fraternity
National Headquarters
24 West William St.
Delaware , Ohio 43015

Dear Mr. Chaney,

Thank you for the information that you have sent us.
We feel that it has been very helpful in organization of our group.
Although we are not officially recognized by Coastal Carolina College
at this time, our group of members have been successful in the recruit-
ment of new members and in fulfilling the obligations of each office
held. Our constitution has been drafted, but unfortunately will not
be submitted to the Student Government Association until the Fall
semester of 1991.

At this time, we would like to petition a request to
become an official Interest Group of Alpha Sigma Phi Fraternity.
The following page is a list of our officers and our faculty advisor.

Sincerely,

Jeffrey E. DeLong
Jeffrey E. DeLong
Vice President

List of Officers

President : Adrain Smith

1333 Deerfield Dr.
State College , Pa. 16803
(814) 237-2684

Vice President : Jeffrey DeLong

4664 Priscilla Lane
Virginia Beach , Va. 23455
(804) 460-3786

Treasurer : Bret Barron

1783 Roland Ave.
Wantagh , N.Y. 11793
(516) 221-9540

Secretary : Jon Johnston

10327 Steamboat Ldg. La.
Burke , Va. 22015
(703) 250-2774

Marshal : Jason Beasley

1205 Goodes Ferry Blvd.
South Hill , Va. 23970
(804) 447-4582

Sergant-at-Arms : Robert Booth

5785 SW. 27th St.
Miami , Fa. 33155
(305) 166-5773

Social Chairman : Anthony Thomas

1702 N. Sterling Blvd.
Sterling , Va. 22170
(703) 450-4673

Service Chairman : David Brenman

RD#3 Box 81 Grove St.
Montgomery , N.Y. 12549
(914) 457-3449

Intramural Chairman : Brooklyn Boyd

208 Cricketwood Ct.
Mars , Pa. 16046
(412) 776-4179

Faculty Advisor

Erik T. Koepfler

4345 Princeton Dr.
Little River , S.C. 29566
(
F 78 Beta Rho (Chapter)

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

February 7, 1991

Marc Benjamin, President
Alpha Sigma Phi Fraternity
Phi Theta Colony
SUNY-Binghamton
99 Oak Street, Apt. 6C
Binghamton, NY 13905

Dear Brother Benjamin,

At the January 27, 1991 meeting of the Grand Council, it was unanimously passed that the petition for charter received from Phi Theta Colony be put on hold until such time as you obtain the approximately 50 person requirement.

The members of the Grand Council Undergraduate Operations Committee wanted me to express their thank you for your outstanding petition, but to reiterate that we do have a 50 man requirement and your petition figures indicated your colony was well short of that number.

I will hold your petition for further action, pending notification of the required numbers.

If I can be of further assistance to you or the men of Phi Theta Colony, please do not hesitate to contact me immediately.

Fraternally,

A handwritten signature in cursive script, reading "John R. Chaney".
John R. Chaney
Executive Vice President

JRC:sd

cc: Grand Council
Otto Sonder, Grand Province Chief
Dave Twomey, Grand Chapter Advisor
Margaret Clancy, Assistant Director for Campus Activities
Owen McCulloch, Chapter Leadership Consultant

**REPORT TO THE JOINT COMMITTEE
ON ALUMNI OPERATIONS**

1. Annual Loyalty Fund

	<u># of Donors</u>	<u>Gross Receipts</u>	<u>Net Receipts</u>	<u>Average Gift</u>
1982-83	1,716 (+ 9%)	\$ 51,696 (+ 20%)	\$ 40,085 (+ 51%)	\$ 30.13 (+ 10%)
1983-84	2,116 (+ 23%)	83,435 (+ 61%)	59,228 (+ 48%)	39.43 (+ 31%)
1984-85	2,147 (+ 1%)	89,091 (+ 7%)	66,003 (+ 11%)	41.50 (+ 5%)
1985-86	3,101 (+ 44%)	136,936 (+ 54%)	90,389 (+ 37%)	44.16 (+ 6%)
1986-87	2,373 (- 31%)	128,238 (- 7%)	96,165 (+ 6%)	54.04 (+ 22%)
1987-88	2,766 (+ 17%)	136,561 (+ 7%)	107,339 (+ 12%)	49.37 (- 9%)
1988-89	2,390 (- 14%)	134,745 (- 1%)	88,934 (- 17%)	56.38 (+ 14%)
1989-90	3,142 (+ 31%)	166,780 (+ 24%)	93,842 (+ 6%)	53.08 (- 6%)
1990-91	2,787 (- 11%)	167,922 (0%)	97,137 (+ 4%)	60.25 (+ 14%)

A slight increase in total dollars was raised during fiscal year 1990-91 to set a new record. Also, a record was set by breaking the \$60.00 mark for average gifts made. The Grand Senior Presidents Club made the most significant impact with membership increasing from 10 in 1989-90 to 17. The strategy for the Annual Loyalty Fund, as discussed in January, was to re-enlist lapsed and previous donors while placing less emphasis on recruiting brand new donors which is more costly. While the phone campaign is our most expensive method of solicitation it continues to produce results. Currently, we have 8,655 living donors, brothers who have ever made a gift. In 1990-91, the breakdown of donors was:

New donors 580
New last year, renewed this year 428
Lapsed last year, renewed this year 601
Regular donors, donated this year 1,178

In the fall of 1990, we conducted four phonothons using our chapter members at Westminster College. The calls were made to alumni who made gifts last fiscal year of less than \$100.00. The goal was to encourage these donors to increase their gift this year as well as to encourage giving early in the fiscal year. Efforts yielded 488 pledges for \$17,005. While the calling provided early income to the Annual Loyalty Fund, we eliminated the opportunity to call back those who said "no" later in the year. Many of our new donors in the spring of 1990 were not ready to give again in September.

During the spring of 1991, we again ran our phone calling program from a rental facility with paid callers. The program raised \$103,369 in pledges from 1,866 brothers. As of June 30, we have received \$65,040 from 1,212 brothers for a 63% fulfillment rate. While there are advantages to both the spring and fall calling, the paid callers represent the Educational Foundation more professionally to alumni.

While we did exceed our Annual Loyalty Fund goal for gross receipts by \$13,922, we came up short on net receipts by \$1,863.

The Future. Significant increases in the future of the Annual Loyalty Fund will have to come from increasing the average size of gifts from alumni. This can be done by improving our contact with alumni which is discussed under alumni development. Personal contact by members of the Grand Council and the Trustees will be essential. Cultivating and bringing more people on board at the Grand Senior Presidents Club will also be the key.

With four issues of The Tomahawk, as proposed, we should begin to see less resistance from the general alumni ranks when they are contacted.

2. Educational Foundation Endowment

The generous gift of Howard Kleinoeder added significantly to the endowment. Beyond this \$6 Million, gifts continue to be paid on Third Founding pledges. Only \$23,369 in pledges from 57 brothers remain outstanding to The Third Founding, and \$7,760 of this total are in arrears from 25 brothers. Our follow-up efforts by mail on delinquent pledges, as directed by the committee in January, yielded \$1,194 with 11 Brothers paying off their pledges. A significant portion of this delinquent amount may eventually be written off. Through our follow-up of The Third Founding we have written off \$65,981 in pledges from 316 brothers. It is recommended that the staff continue to follow-up on those delinquent pledges until December 31, and then write off those delinquencies greater than one year and encourage those still paying to pay off their pledges. This is a slight modification of the original plan to discontinue efforts past June 30, 1991.

<u>Endowment</u>	<u>Cash Pledges</u>	<u>Verified Bequests</u>
\$6,839,369	\$23,369	\$793,546

Chapter Endowments. A new chapter endowment was added this past year by brother Robert W. Kutz, California '67. With the addition of U.C.L.A., Brother Kutz has brought to four the number of chapter endowments. They are: California-Berkeley, Oregon State, Westminster, and U.C.L.A. Two brothers have also indicated that they have made arrangements for planned gifts for their chapters at Washington and Lehigh.

3. Kleinoeder Bequest

Executors indicate that our expected remaining portion will be approximately \$.5 million. The distribution of this portion of the gift may take much longer than the first \$6 million.

A gift of this magnitude is extremely exceptional and in January the committee asked the staff to acquire a proposal on a portrait to honor Brother Kleinoeder. A proposal was made by Cubberly Studios in Delaware, Ohio, for a portrait similar to those of John R. Hoyt and Ralph F. Burns, which now hang in the Headquarters. The cost for a portrait is \$1,158.58. The staff recommends approval not to exceed that amount. That proposal for \$1,158.58 is attached for your recommendation. (see Attachment A, page 6)

It should be noted that the receipt of the Kleinoeder bequest did not have a significant negative impact on alumni giving. In fact, conversation with major contributors since the announcement of the bequest has been overwhelmingly positive. Donors like being associated with "winners" and also realize that the bequest is only a small portion of our overall needs. Our public relations announcement apparently was very successful.

4. Capital Campaign

In January, the committee encouraged the staff to keep moving along in the direction of a major effort to increase the endowment. The updated time-line is attached. (see Attachment B, page 7)

Brother Sandercox has agreed to serve as the liaison for the Trustees and Brother Parks will do the same for the Grand Council so that direct input can be given to the staff for direction. This agenda item is handled as a separate report. The ad hoc committee report addresses the largest questions at this point in time: Do we have a genuine need for more endowment money and what are those needs? Are we ready to raise a large sum of money? Has the Kleinoeder bequest altered the urgency to advance our campaign time-line? Will our purposes be adequately served by a series of "mini" campaigns? Prior to any campaign the Trustees may want to fill the final position on the Board of Trustees and receive approval from the Grand Council to expand the Board up to 11.

5. Planned Giving

Living membership in "The Manigault Society," our recognition "club" for brothers who have made planned gifts (bequest, insurance policy, or trust) to the Educational Foundation, now stands at 60 brothers. Thirty-four brothers have listed the amounts of these provisions, which total \$793,546, an increase of \$366,746 from just one year ago. The other 26 brothers have either left percentages of their estates without estimate, or declined to list an amount.

We promoted "The Manigault Society" in the fall issue of The Tomahawk, as well as the Special Edition of Foundation For Excellence, and will continue to do so in future issues. A private company has made a proposal to write copy for in depth articles on planned gifts and how to make these arrangements. These articles can then be inserted into The Tomahawk or Foundation for Excellence at a cost of \$235 per article.

We have also revised our system for sending out inquiry cards with our thank you letters. Now, all brothers making a gift of \$25.00 or more will receive a news card and have the opportunity to indicate their interest. Follow-up and research on brothers who express an interest in "planned gifts" has been marginal at best. This year the Vice President for Development will make this one of his top priorities. In the past the follow-up and coordination of the Planned Giving Program has been given minimal attention. Therefore, the staff has recommended a proposed budget of \$5,000 for planned giving and follow-up in the 1991-92 budget.

6. Alumni Development

Throughout the past year, staff and some members of the Grand Council and Educational Foundation Trustees have participated in various alumni development opportunities. They have ranged from personal calls and alumni meetings to regional meetings and receptions. This alumni contact is invaluable as it brings brothers together for one on one personal contact. The staff continues to provide overall direction and guidance in the continuance of this important program.

The staff has proposed \$10,000 for alumni development within the Educational Foundation Budget.

7. The Tomahawk

Two issues of The Tomahawk—a summer issue in July, 1990 and a fall issue in December—were budgeted for and produced in 1990-91. From fiscal year 1988-89 to the present (3 years) there have been only five issues of The Tomahawk published as compared to the regular quarterly publication we have been used to for many years. This lack of information is impacting on alumni support for our programs.

The staff has proposed four issues of The Tomahawk this fiscal year, the first of which is due at this meeting. Communication with alumni is vital as we seek to make our case for support. As noted in the Development Audit, returning The Tomahawk to a quarterly publication is vital to the development and cultivation of alumni. The staff expects to have proposals for upgrading the quality of The Tomahawk in time for the Winter Committee Meeting.

While each year many factors may affect the Annual Loyalty Fund the lack of consistent communication will continue to handicap our fundraising efforts and provide diminishing returns. The staff continues to identify The Tomahawk as a top priority for funding. Strong commitment to the publication will enable the staff to secure much more favorable publication contract rates instead of purchasing just one issue at a time.

8. Foundation For Excellence

During 1990-91 the Foundation For Excellence was published three times. Two issues were mailed to previous donors and selected non-donors in March and May. (see Attachments C and D, pages 8 & 9) This attempt to fill the void left by the lack of quarterly issues of The Tomahawk has received many favorable comments from alumni. Also this year, a special edition of Foundation For Excellence was published in November to announce the Kleinoeder Bequest. (See Attachment E, page 10) The staff has proposed funding for three issues in 1991-92.

9. Chapter Newsletters

The National Headquarters has offered the Headquarters Newsletter Service since 1984-85 to assist chapters in increasing the quality and quantity of their contacts with alumni. The service has been a very low cost, no risk method of producing three newsletters per year if they agree to complete three per year and

raise money through the newsletter from alumni to help pay the cost. Chapters who do not utilize our newsletter service are encouraged to produce their own three newsletters per year.

Only 19 chapters participated in the newsletter service this year, a decline from last year. Only one-half of our chapters produced a newsletter either on their own or through the Headquarters Newsletter Service. The following table shows historically how many chapters have produced at least one newsletter each year and how many newsletters have been produced. A downward spiral trend is obvious.

	<u># Chapters HQ/Own - Total</u>	<u># Newsletters HQ/Own - Total</u>
1986-87	30/ 6 - 36	63/ 8 - 71
1987-88	29/10 - 39	74/13 - 87
1988-89	29/11 - 40	63/18 - 81
1989-90	22/13 - 35	54/27 - 81
1990-91	19/10 - 29	43/15 - 58

As chapters evaluate where to allocate their scarce financial resources many struggle to rationalize using the Headquarters service where donations are utilized to pay for the newsletters rather than making a direct appeal to the alumni for some immediate income. A challenge of the staff continues to be in preventing chapters enrolled in the service under the "no risk" plan from violating the "no solicitation" rule.

Therefore, the staff has proposed that the newsletter service be provided to all chapters regardless of their fundraising programs. The program would be provided to chapters as a service free of charge with the requirement that they do three per year. Gifts will be solicited through the newsletter and assist in paying for the program. Any chapter which receives gifts in excess of the cost of their newsletters will receive that money back at the end of the year. This should dramatically increase enrollment in our newsletter service and allow us to continue to exercise a high degree of quality control. The staff has recommended \$10,000 in the budget to fund this service for chapters. (See line item 16d in the Fraternity Budget.)

10. Ad Hoc Committees

Under separate cover the Grand Council was sent a consolidated book of Ad Hoc Committee reports. The Alumni Operations Committee has responsibility to review the National Alumni Program and Sesquicentennial Anniversary ad hoc committees. Committee Chairmen Stuart A. Spisak and Robert W. Kutz will be available during the Alumni Operations Committee meeting to assist in your deliberations.

CUBBERLY STUDIO INC.
34 S. SANDUSKY ST.
DELAWARE, OHIO 43015
(614)363-3011

	DATE	NUMBER
INVOICE	07/19/91	20667

REC'D JUL 23 1991

ALPHA SIGMA PHI
DELAWARE STUDIO
24 W WILLIAM ST
DELAWARE, OH 43015

#30992

QTY	DESCRIPTION	POSE#	STYLE	UNIT PRICE	AMOUNT
	QUOTE FOR COPY AND ENLARGEMENT WITH HEAVY OIL FINISH				
1	SET UP AND COPY CHARGE			20.00	20.00
1	20X24 COPY PRINT			165.00	165.00
1	20X24 SEPIA TONING			20.00	20.00
1	20X24 DRY MOUNTING			24.00	24.00
1	20X24 OIL PAINTING/SINGLE SUB.			800.00	800.00
1	20X24 PC-190 FRAME/BLACK V-LINE			64.00	64.00
=====					
THE FRAME STYLE QUOTED HERE IS SIMILAR TO THE EXISTING FRAME AT THE OFFICE FOR THE PORTRAIT OF JOHN HOYT... HAVE CHECKED ALL OUR FRAME CATALOGS BUT THERE IS NOT A FRAME AVAILABLE PRESENTLY TO MATCH THE EXISTING ONE IN THE FOYER OF ALPHA SIGMA PHI					
PHONE: 363-1911					* CONTINUED *

CUBBERLY STUDIO INC.
34 S. SANDUSKY ST.
DELAWARE, OHIO 43015
(614)363-3011

	DATE	NUMBER
INVOICE PAGE 2	07/19/91	20667

ALPHA SIGMA PHI
DELAWARE STUDIO
24 W WILLIAM ST
DELAWARE, OH 43015

#30992

QTY	DESCRIPTION	POSE#	STYLE	UNIT PRICE	AMOUNT
***** ~~~~~ THANK YOU... MARLA GORDON					
SUB TOTAL					1,093.00
SALES TAX					65.58
ORDER-TOTAL					1,158.58

The Campaign for The Alpha Sigma Phi Educational Foundation

Spring 91	Regional Cultivation Events begin
Summer 91	Initial discussions, determination of need and priorities, approval to move ahead, with timetable & concept
Spring 91-94	Prospect evaluation program and cultivational visits and events
Fall 91	Appoint professional fund raising counsel
Fall 91	Feasibility study
Fall 91	Continued Grand Council & Foundation discussions Expand Board of Trustees
Fall 91	Appointment of Campaign Staff
Fall 91	Identification of steering committee, honorary chairman, volunteer leadership
Jan 92	Approval of campaign by Foundation & Grand Council Approval of campaign policies
March 92	Campaign materials printed
Spring 92	Beginning of advance gift stage, trustees, Grand Council members, official family
Summer 92 - End	Corporate & Foundation campaign - if case warrants Leadership Division of Solicitation
Spring 93 - End	Regional alumni phase of campaign kick-off publicly launched
Fall 93	Undergraduate & parent phase of campaign begins
Spring 93 - Fall 95	Telemarketing, alumni events, campaign activities continue
August 95	Solicitation phase of campaign completed— VICTORY ANNOUNCED - NLC '95?
Spring 98	Pledge payments completed

ALPHA SIGMA PHI FRATERNITY, INC.

Comparative Operating Statement & Budget Analysis

<u>INCOME</u>	<u>7/1/90- 6/30/91</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>	<u>Proposed Budget 1991-92</u>
1. Initiation Fees	\$ 83,015.00	\$ 88,575.00	\$ 84,000.00	\$ 84,000.00	\$ 79,750.00
2. Pledge Fees	47,095.00	38,160.00	45,000.00	45,000.00	45,000.00
3. Chapter Fees	12,272.00	2,340.00	2,295.00	12,036.00	12,036.00
4. Undergrad. Dues	107,025.00	97,817.50	106,800.00	106,800.00	102,360.00
5. Educ. Foundation Grants	63,182.00	69,460.00	47,500.00	77,400.00	179,075.00
6. Merchandise (Net)	8,427.48	10,386.45	7,500.00	7,500.00	10,500.00
7. Investments and Interest	2,975.42	5,732.62	5,000.00	5,000.00	5,000.00
8. Parking Rentals	1,440.00	1,915.00	1,900.00	1,320.00	1,440.00
9. Services to Organizations	7,200.00	25,147.00	25,000.00	12,000.00	7,200.00
10. Miscellaneous	5,886.09	5,340.23	6,500.00	6,500.00	6,500.00
11. Reimbursed Salary Expenses	38,500.00	-	-	38,500.00	-
12. Rent and Utility Expenses	9,000.00	-	-	9,000.00	9,000.00
13. Administrative Expenses	8,450.00	-	-	8,450.00	-
14. Automobile Expenses	2,200.00	-	-	2,200.00	-
15. National Meeting	55,809.80	-	-	33,522.80	24,000.00
16. Insurance (Net)	-	-	-	-	4,800.00
TOTAL INCOME:	\$ 452,477.79	\$ 344,873.80	\$ 331,495.00	\$ 449,228.80	\$ 486,661.00
TOTAL EXPENSES:	<u>451,843.25</u>	<u>343,991.78</u>	<u>329,400.00</u>	<u>449,228.80</u>	<u>486,645.00</u>
EXCESS INCOME OVER EXPENSES (LOSS)	\$ 634.54	\$ 882.02	\$ 2,095.00	-	\$ 16.00

Special Notes

Annual Loyalty Fund (net) \$ 97,136.93 \$ 93,505.07 \$ 99,000.00 \$ 99,000.00

Available Cash, 6-30-91: \$ 15,868.62

Actual Educational Grant Shortfall: \$ 81,168.00 (1990-91)

Anticipated Educational Grant Shortfall: 75,925.00 (1991-92)

Excess Income Designated as Follows:

Liability Insurance Deductible Fund \$_____
Contingency Fund \$_____

Attachment E

July 26, 1991

ALPHA SIGMA PHI FRATERNITY, INC.

Comparative Operating Statement & Budget Analysis

<u>EXPENSES</u>	<u>7/1/90- 6/30/91</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>	<u>Proposed Budget 1991-92</u>
1. Salaries	\$ 139,547.80	\$ 144,278.94	\$ 132,000.00	\$ 152,778.80	\$ 137,800.00
2. Unemployment Comp.	1,515.76	1,319.22	1,600.00	1,000.00	2,350.00
3. Employees A & H Ins.	8,994.39	18,607.55	8,000.00	8,000.00	8,000.00
4. Social Security Tax	10,783.31	11,026.30	10,100.00	8,700.00	10,920.00
5. Pension	8,962.99	8,796.90	8,900.00	5,000.00	6,800.00
6. Utilities	2,825.62	2,631.39	3,000.00	3,000.00	3,300.00
7. Bldg., Maint. & Prop. Tax	5,863.35	1,280.25	4,200.00	6,500.00	4,500.00
8. Telephone	13,556.63	9,467.25	10,000.00	10,000.00	14,500.00
9. Postage	17,155.70	12,776.07	13,000.00	16,000.00	20,000.00
10. Office Sup. & Furnishings	12,345.59	13,012.62	13,000.00	13,000.00	15,000.00
11. Computer Service	(1,883.71)	4,663.77	5,500.00	850.00	1,225.00
12. Conf. & Exec. Meetings	33,348.50	9,117.66	13,000.00	38,000.00	13,000.00
13. Miscellaneous	2,100.38	3,421.78	4,500.00	4,500.00	3,500.00
14. Audit & Legal	4,550.00	3,800.00	4,500.00	4,500.00	5,000.00
15. Insurance	4,007.28	1,883.95	2,700.00	2,900.00	3,700.00
16. Publications					
a. Tomahawk	23,059.50	26,102.57	27,000.00	27,000.00	56,400.00
b. TBIM	6,290.15	2,338.42	5,000.00	5,000.00	5,000.00
c. Found. Excell.	8,492.94	4,809.99	-	7,000.00	-
d. Newsletter Service	5,579.64	2,095.70	2,000.00	2,000.00	10,000.00
17. Interfraternity					
a. Dues & Prof. Develop.	2,679.30	2,362.45	2,400.00	2,500.00	3,900.00
b. Travel & Meetings	7,402.05	5,458.05	5,500.00	7,000.00	7,000.00
18. Chapter Services					
a. Exec. V.P. Travel	6,619.26	2,158.13	4,000.00	4,000.00	5,000.00
b. Dir. Chap. Serv. Travel	-	4,216.92	-	-	5,000.00
c. Chapt. Cons. Travel	19,010.10	7,468.97	14,000.00	16,000.00	29,000.00
d. Staff Housing	3,125.00	1,475.00	3,000.00	3,000.00	4,500.00
e. G.C., Others Travel	1,108.48	3,210.50	2,000.00	2,000.00	7,000.00
f. Prog. Costs & Gen. Op.					
1. Scholarships and Grants (Individual & Chapter)	-	-	-	-	15,500.00
2. Provinces	652.30	2,315.36	2,500.00	2,500.00	6,750.00
3. Service	-	-	-	-	2,500.00
4. Housing	-	-	-	-	2,500.00
5. Prog. & Operations	11,585.44	6,021.99	6,000.00	8,000.00	13,500.00

ALPHA SIGMA PHI FRATERNITY, INC.

Comparative Operating Statement & Budget Analysis

<u>EXPENSES (Continued)</u>	<u>7/1/90- 6/30/91</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>	<u>Proposed Budget 1991-92</u>
19. Alumni Prog. & Services	-	-	-	-	5,000.00
20. Expansion					
a. Staff Travel	293.89	302.00	1,000.00	1,000.00	2,000.00
b. Others Travel	935.18	-	500.00	500.00	1,000.00
c. Prog. Costs & Gen. Op.	1,098.19	419.70	1,000.00	1,000.00	7,000.00
21. National Meetings	68,505.91	(138.53)	-	68,000.00	35,000.00
22. Insignia	13,119.19	12,179.74	11,500.00	11,500.00	12,500.00
23. Doubtful Accounts	5,382.00	1,000.00	1,000.00	1,000.00	1,000.00
24. Automobile	3,231.14	5,916.74	7,000.00	5,500.00	-
25. Executive VP Search	-	-	-	-	-
TOTAL EXPENSES:	\$ 451,843.25	\$ 335,797.35	\$ 329,400.00	\$ 449,228.80	\$ 486,645.00

July 26, 1991

Alpha Sigma Phi Educational Foundation

Endowment Budget Report

	Proposed Budget <u>1991-92</u>
<u>REVENUES</u>	
1. Endowment Gifts	\$ 2,500.00
2. Spendable Investment Income	<u>330,000.00</u>
TOTAL REVENUES	\$ 332,500.00

<u>EXPENDITURES</u>	
Foundation Operating Budget Disbursal	\$330,000.00
TOTAL EXPENDITURES	330,000.00
EXPENDABLE EXCESS OVER EXPENSES	\$ 2,500.00
UTILIZATION OF EXPENDABLE EXCESS	
Return to Endowment	\$ 2,500.00

July 23, 1991

ALPHA SIGMA PHI EDUCATIONAL FOUNDATION

Comparative Operating Statement & Budget Analysis

	7/1/90- 6/30/91	Actual 1989-90	Budget 1990-91	Adjusted Budget 1990-91	Proposed Budget 1991-92
<u>REVENUES</u>					
1. Spendable Endowment Income	-	-	-	-	\$ 330,000.00
2. Annual Loyalty Fund	167,921.59	166,780.00	154,000.00	154,000.00	185,000.00
3. Computer Fees	11,350.00	22,860.00	23,800.00	850.00	1,225.00
4. Endowment Gifts	6,009,920.19	17,732.00	5,000.00	4,005,000.00	-
5. Investment Income (net)	287,630.62	61,566.00	44,000.00	185,000.00	-
6. Investment Sale Gain	13,850.71	239.00	-	-	-
7. Expendable Fund Transfer	-	623.00	-	-	-
TOTAL REVENUES	\$ 6,490,673.11	\$ 269,854.00	\$ 226,800.00	\$ 4,344,850.00	\$ 516,225.00
NON-EXPENDABLE REVENUES/ENDOWMENT	\$ 6,009,920.19	\$ 17,732.00	\$ 5,000.00	\$ 4,005,000.00	-
TOTAL EXPENDABLE REVENUES					
TOTAL EXPENDITURES	\$ 375,056.36	\$ 252,068.00	\$ 221,800.00	\$ 339,850.00	\$ 516,225.00
EXPENDABLE EXCESS OVER EXPENSE	-	-	-	-	-

Realized Educational Grant Shortfall: \$ 81,168.00 (1990-91)
 Anticipated Educational Grant Shortfall: \$ 75,925.00 (1991-92)

ALPHA SIGMA PHI EDUCATIONAL FOUNDATION

Comparative Operating Statement & Budget Analysis

<u>EXPENSES</u>	<u>7/1/90- 6/30/91</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>	<u>Proposed Budget 1991-92</u>
1. Salaries	-	-	-	-	\$ 115,600.00
2. Unemployment Comp.	-	-	-	-	2,800.00
3. Employees A & H Insurance	-	-	-	-	3,400.00
4. Social Security Tax	-	-	-	-	10,250.00
5. Pension	-	-	-	-	4,800.00
6. Rent	-	-	-	-	9,000.00
7. Telephone	-	-	-	-	2,400.00
8. Postage	-	-	-	-	1,000.00
9. Office Supplies & Furnishings	-	-	-	-	5,000.00
10. Conferences & Meetings	-	-	-	-	9,000.00
11. Miscellaneous	14,519.40	5,837.00	6,000.00	12,000.00	1,500.00
12. Equipment Maintenance	5,847.81	4,219.00	4,400.00	4,400.00	6,000.00
13. Equipment Depreciation	20,159.14	16,467.00	17,250.00	17,250.00	20,400.00
14. Professional Services	15,729.84	2,600.00	3,000.00	10,000.00	-
a. Audit	-	-	-	-	9,500.00
b. Legal	-	-	-	-	5,000.00
15. Insurance	-	-	-	-	1,000.00
16. Publications	-	-	-	-	-
a. Foundations for Excellence	-	-	-	-	15,000.00
17. Interfraternity Foundation	-	-	-	-	-
a. Dues	-	-	-	-	500.00
b. Travel & Meetings	-	-	-	-	1,000.00
18. Annual Loyalty Fund Expenses	70,784.66	73,275.00	55,000.00	55,000.00	80,000.00
19. Foundation Management/Development	-	-	-	-	-
a. Staff Travel	-	-	-	-	9,000.00
b. Trustees, Others Travel	-	-	-	-	6,000.00
c. Alumni Program Cost & Gen. Op.	-	-	-	-	-
1. Alumni Development	12,543.03	6,744.00	8,000.00	10,000.00	10,000.00
2. Planned Giving	20,282.37	-	-	20,000.00	5,000.00
3. Research	-	-	-	-	4,000.00
20. Educational Grants	-	-	-	-	-
(Individual & Chapter)	-	-	-	-	-
a. Scholarships/Awards	1,818.15	1,695.00	1,650.00	1,650.00	15,000.00
b. Chapter Funds/Grants	525.40	479.00	-	-	500.00
c. National Leadership Conf.	-	12,500.00	12,500.00	-	35,000.00
d. Educational Grants	76,932.00	69,460.00	47,500.00	77,400.00	23,575.00
e. Annual Loyalty Fund	-	-	-	-	105,000.00

ALPHA SIGMA PHI NATIONAL FOUNDATION

Comparative Operating Statement & Budget Analysis

<u>EXPENSES (Continued)</u>	<u>7/1/90- 6/30/91</u>	<u>Actual 1989-90</u>	<u>Budget 1990-91</u>	<u>Adjusted Budget 1990-91</u>	<u>Proposed Budget 1991-92</u>
21. Personnel	77,764.56	45,792.00	53,500.00	74,000.00	-
22. Bookkeeping	-	3,000.00	3,000.00	-	-
23. General Administration	-	10,000.00	10,000.00	-	-
24. Third Founding Administration	-	-	-	-	-
25. Personnel Expenses	38,500.00	-	-	38,500.00	-
26. Rent and Utilities Expenses	9,000.00	-	-	9,000.00	-
27. Administrative Expenses	8,450.00	-	-	8,450.00	-
28. Automobile Expenses	<u>2,200.00</u>	<u>-</u>	<u>-</u>	<u>2,200.00</u>	<u>-</u>
TOTAL EXPENDITURES	\$ 375,056.36	\$ 252,068.00	\$ 221,800.00	\$ 339,850.00	\$ 516,225.00

3. 1990-91 Budget Review

It was a fantastic transition year for Alpha Sigma Phi! We certainly have come a long way. To place our financial situation into perspective, Wayne M. Musgrave, upon completing his first year as Executive Officer of Alpha Sigma Phi noted, "I began operations by borrowing enough money from the Treasury of Alpha (Chapter) to pay for the die used in embossing our first lot of stationary." He then goes on to state, "Our income for the first year was derived from chapter dues of \$15.00 each assessed against Delta and Alpha Chapter. Towards the end of this year the sum was augmented by the charter fees of Zeta Chapter amounting to \$40.00. From these funds we discharged all fraternity obligations."

The transition was due to many interactive components; a new executive vice president, the anticipation of and receipt of \$4M to the Educational Foundation of the Howard Kleinoeder bequest, a strategic management retreat and seven ad hoc committees to chart new directions for the "Old Gal", mid-year adjusted budgets, a shift of emphasis to allow the Educational Foundation to carry its true expense weight, the receipt of an additional \$2M to the Educational Foundation and continued increased demands on resources across the board to provide immediate services. Our budget forecasts and operations were continually attempting to catch up with those demands.

A slight surplus of \$634.54 was produced during 1990-91 which was a significant accomplishment in light of the phenomenal year we experienced. Significant deviations from our adjusted budget include: a \$14,218 deficit in educational grants received, a \$2024.58 decline in investment and interest, and a \$4800 decline in services to organizations. Total expenditures were only \$2614.49 over the adjusted budget. While the expenditures were near the adjusted budget target, the following individual deviations are worthy of special note: overall personnel costs were some \$6,000 under budget, telephone and postage were more than \$4,500 over budget and chapter services costs (including chapter newsletter service) was some \$10,000 over budget. It was fortunate that our income exceeded our best projections so as to allow a modest end of year gain.

The balance sheet showed a \$6917 decline in fund balance. Receivables are up across the board and the auditor increased the reserve for doubtful receivables because of particular concerns addressed below. A qualified audit report was issued due to a concern about the collectability of A-S-P notes and the fact that A-S-P Corporation funds comprise 40 percent of the total fraternity funds. The end of the year balance sheet reflected a fund balance increase of \$7,768 over the previous year's figures.

The shift of valid expenses to the Educational Foundation budget is most significant. It allows the Trustees to show the true cost of doing business for the first time. In previous years when total Educational Foundation disbursements for expenses and educational grants were constrained, it really did not matter whether the Fraternity carried all Foundation expenses and received the maximum available amount of educational grants. With increased revenues this trend could not be allowed to continue. Bona fide operating expenses of the Foundation are clearly justifiable as business expenses. Bona fide educational grants must pass additional scrutiny and meet certain legal tests. There are a limited number of things in our present operating scheme that

qualifies for educational grants. Put another way, bringing grants into the Fraternity is more difficult than leaving actual business costs with the Educational Foundation.

4. 1991-92 Proposed Budget Preview

The proposed budget for 1991-92 reflects the completion of major shifts of funding back to the Educational Foundation. They now have a comparable line item budget.

It is significant that the Educational Foundation Trustees have given clear guidance from which the two budgets were developed. Their instructions were to allow \$330,000 in earned interest from their various investments plus the net of the Annual Loyalty Fund to be used to fund the operations of the Foundation and provide qualified educational grants and scholarships as requested by the Grand Council. These monies were calculated at a 5 percent return of an approximate \$6.6M fully invested position. The Trustees hope that the conservative 5 percent pay-out will not inhibit the growth of the endowment as additional earned interest is anticipated and will be accrued in the corpus.

The proposed 1991-92 budget process has been on-going since mid-May. Initially, it was compiled by the staff after soliciting budgetary input from the Grand Council and Educational Foundation Trustees. Comments from these groups plus past meetings, minutes and records, ad hoc committee reports and a general feeling for program emphasis allowed for the first budget iteration. The process was further refined in a mid-June meeting in Des Moines between Stan Thurston, Grand Treasurer and Educational Foundation Trustee and Educational Foundation Trustee and Executive Vice President John Chaney. After distribution, conference calls were arranged and both bodies discussed the individual budgets. Input was again received, budgets were re-worked and distributed. Final comments concurred with the last proposed process which is, essentially the one proposed. The only expressed concern was of adequate funding for our province programs. While the proposed budget lists an increase for this line item, if additional resources become available in the future, this program should significantly be increased.

5. Accounts Receivable

Chapter Fees and Dues

Dues and fees payable by chapters total \$28,687.26. Chapter invoices total an additional \$1334.11. Insurance premium receivables are \$31,574.67. While the dues and fees payable are up significantly, this is the first report in which recent chapter invoices or insurance receivables have been shown.

Chapter and alumni corporations which owe us are either on a promissory note or are in the process of finalizing one with our Director of Administrative Services, Bev Moody. Bev continues to direct our collection procedures which were developed in the fall of 1988. Bev has recently assumed responsibility for insurance premium receivables and will make accounts receivable her number one project for the year. Interestingly, Randy Lewis

was closely involved as an income generator in many of these areas and only recently did the staff discern that the elimination of his position one year ago had caused an unfulfilled void in our procedural operations during the transitional process.

Two additional major changes have been made to rectify receivables. First, undergraduate dues are based on chapter figures as of October 1. While we automatically invoice the chapters for the undergraduate dues based on that date and figure, we will now also invoice them for the same number of men for liability insurance as of that date—with a notation that the insurance portion is payable on February 1st. This should allow for the chapters to better budget for the entire year's fixed expenses to national instead of the generally accepted current practice of "rolling" the insurance bill to the following fall. Second, upon receipt of notice of pledging, our procedures will after 60 days automatically invoice the chapter for initiation fees. This again should allow for improved collecting of these fees and assist in keeping our pledge data and information current. If followed, chapters could easily secure badges and shingles for new initiates prior to the ceremony and it might also subliminally suggest a shortened pledge period.

Missouri. The chapter's alumni corporation currently owes \$11,541.40 for insurance charges dating back to 1988-89. While we do not insure the alumni any longer, efforts are underway to secure this loan with a lien against the property. Current year charges for the undergraduates include \$960 in liability insurance and \$3608.77 for accumulated charges back to 1985-86. We are still the creditor to be paid last by the alumni. Because of low membership and chapter house occupancy, they felt they could not pay us. In an effort to rectify that situation, in addition to our normal chapter leadership consultant visits with the chapter, we sent our Senior Chapter Leadership Consultant Owen McCulloch back to Missouri this summer to assist with summer rush in order to increase chapter size and occupancy. The alumni responded favorably with this innovative initiative on our part.

Oklahoma. The A-S-P Corporation's second mortgage loan made to the chapter alumni corporation in 1978 remains delinquent (\$26,645.52 principal base; \$51,169.67 including delinquent interest). The chapter closed in 1987 and the house is rented to students. Due to the abundance of campus rentals, the property only produces sufficient income to pay basic expenses and interest on the first mortgage loan. Clearly we can use this facility to re-open our Alpha Alpha Chapter. The alumni are desirous to do this and the administration is agreeable. The only drawback is that the property is an apartment building, is uniquely away from all the Greek properties and would require the addition of a chapter room and dining facility to make it somewhat competitive.

Although the alumni have discussed selling the house, it is unclear if this will be a reality soon due to the glut of housing in Norman. There seems to be no market for the sale of the house at this time. It is also impossible to determine whether or not the property currently has sufficient equity to cover the debts over to the fraternity. This situation has remained essentially constant for the past four years. We have expected the bank to force the sale of the house once the market turns around. Until then the situation remains on hold.

Widener. The Widener situation has repeatedly changed. The current agreement was for the chapter alumni to pay \$1,667.00 per month with one-half being applied to rent and one-half to be applied to reducing the balance owed. Payments generally were on time and in full through February. The housing corporation is paid in full according to our agreement through that period. In addition to this, the chapter also owes \$4,758 in property/liability insurance for the current year.

The situation took a desperate turn in late June when a delinquent tax bill in the amount of \$3699.29 was forwarded to the National Headquarters for a "loan." The bill had to be paid prior to July 1st or the property was to be sold at a sheriff's sale. By consent of Grand Senior President Vohs, also A-S-P Corporation President, Executive Vice President Chaney paid the invoice to halt the impending property sale.

Dave Mason promised immediate repayment for "the loan" and stated that a \$6,000 insurance check for a stereo theft from the property would be sent along with additional monies in order to amortize the balance. To date, no monies have been received since March 4th.

The alumni corporation has also been faced with fire code violations and has recently contracted for some \$10,000 in improvements so the chapter can open the doors in the fall. They requested approval for a loan and were informed that according to our agreements, capital improvements to the property were the responsibility of the alumni corporation.

The property has been scheduled for appraisal. Details of that appraisal may be available at our meeting at Penn State. Various commercial realtors have been contacted in regards to the potential/probable immediate sale of the property. In addition, Brother Dave Eckerd, Gamma Xi '66, was contacted in his role as Widener University Vice President for Administration and Finance. Dave was positive that the university would like to work out a proposal with the University President and Dean of Students. Dave did say that Widener "certainly would not want it sold outside of the university family" and he cautioned about speculators who had acquired such desired property in the past. He also went on to say that if Widener purchases it, the chapter would have to relocate while it was brought up to university dormitory code requirements. If the house was sold soon, the chapter would be forced with disbursal into various dorms for the year.

Significant amounts owed also include:

Barton. The alumni assumed the undergraduate chapters \$1125.80 obligation and will pay in the fall.

Baldwin-Wallace. When the chapter ceased operations, it was agreed to have the debts paid. Currently owed is \$461 in dues and fees and \$960 in liability insurance. Director of Chapter Services/Expansion Jeff Owens will work with Bev and college administrators in resolving the situation. Because of the uncertainty of collection, the auditors reserved additional funds as potentially uncollectible.

California-Berkeley. The alumni are current with all obligations. The recent \$16,000 "emergency loan" is in the process of finalization. The

promissory note was executed and the additional lien against the property was pending final closure. The case has been closed and the final agreements are anticipated momentarily.

Hartwick. The undergraduate chapter owes \$2,515. A note is in progress.

UNCC. The undergraduate chapter owes \$3,175 and has a signed promissory note in place.

Oregon State. The undergraduate chapter owes \$4895.71. A note is underway. More significant is that the chapter alumni report the total amounts owed all creditors is nearly \$25,000. The property was not full at anytime this year. Due to a lack of housing contracts, many additional vacancies occurred in December. The alumni are working closely to rectify the situation.

Presbyterian. Total owed is \$1279.60. A promissory note is underway.

Toledo. The undergraduate chapter owes \$2090.01 in current year charges. They completely paid off a much larger amount with us during the past school year.

Tri-State. The undergraduate chapter owes \$1389.60. The alumni corporation has informed us that they are taking over all chapter books and will pay the debt for them in the fall.

6. Risk Management And Insurance Program

a. Risk Management. Our current risk management policy is sound. It does need to include the additional third party vendor acceptance.

b. Insurance. Staff continually reviews various insurance possibilities. The market is currently "soft" and five potential sources are available. It would be desirable if we had a national insurance program that requires chapters to purchase property and/or liability insurance (preferably both) through National Headquarters' procured sources. As we go towards a nationally funded deductible of some sort, this makes more sense as does the purchase of both property/liability as a package effort. We also need to have a policy statement concerning liability insurance for interest groups. At present, these groups are not insured until we colonize them. We need to insure them at some early point in the formulation to protect ourselves.

7. Insurance Deductible and Contingency Funds

The creation of these funds requires Grand Council resolution. Subsequent resolution can provide revenue to these designated funds. At present, there are a few ways to create funds for subsequent designation. Among these include: assignment of the end of year again, increase cost above acquisition price and designate net to a fund, and finally to borrow money or reassign currently held assets.

WOLF, ROGERS, DICKEY & Co.

CERTIFIED PUBLIC ACCOUNTANTS

107 WEST WILLIAM STREET

DELAWARE, OHIO 43015

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Grand Council
Alpha Sigma Phi Fraternity, Inc.
Delaware, Ohio:

We have audited the accompanying balance sheets of Alpha Sigma Phi Fraternity, Inc. as of June 30, 1991 and 1990 and the related statements of revenues, expenses, reimbursements and changes in fund balances for the years then ended. We have also audited the accompanying balance sheets of A-S-P Corporation as of June 30, 1991 and 1990 and the related statements of earnings and retained earnings for the years then ended. These financial statements are the responsibility of the Fraternity's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Alpha Sigma Phi Fraternity, Inc. and A-S-P Corporation at June 30, 1991 and 1990 and the results of their operations and changes in fund balances or retained earnings for the years then ended, all in conformity with generally accepted accounting principles.

As discussed in Note 5 to the financial statements, the collectibility of the note receivable from A-S-P Corporation and the realization of the investment in A-S-P Corporation is uncertain. Furthermore, the uncollectible amount and the loss cannot be estimated presently. Accordingly, no allowance for doubtful accounts and no provision for loss have been established in the accompanying Alpha Sigma Phi Fraternity, Inc. financial statements.

Wolf, Rogers, Dickey & Co.
Certified Public Accountants

July 19, 1991

Alpha Sigma Phi Fraternity, Inc.
Balance Sheets
June 30, 1991 and 1990

ASSETS

	<u>1991</u>	<u>1990</u>
Cash, including savings and other interest bearing accounts	\$ 18,820	44,716
Certificates of deposit and money market funds	-	31,624
Notes and accounts receivable:		
Notes receivable from A-S-P Corporation (Note 5)	65,519	59,532
Notes receivable	34,658	18,906
Accounts receivable	31,812	19,657
Educational grant receivable	34,432	11,760
National insurance premium receivable	34,065	24,357
	<u>200,486</u>	<u>134,212</u>
Less allowance for doubtful receivables	10,000	5,400
Total notes and accounts receivable	<u>190,486</u>	<u>128,812</u>
Publication and jewelry inventories, at cost	10,563	11,744
Investment in A-S-P Corporation, at cost (Note 5)	51,500	51,500
Property and equipment, at cost:		
Land	14,294	14,294
Building	16,182	14,812
Equipment and vehicle	38,334	51,685
	<u>68,810</u>	<u>80,791</u>
Less accumulated depreciation	50,162	52,253
Net property and equipment	<u>18,648</u>	<u>28,538</u>
	\$ <u><u>290,017</u></u>	<u><u>296,934</u></u>

See accompanying notes to financial statements

Alpha Sigma Phi Fraternity, Inc.
Balance Sheets
June 30, 1991 and 1990

LIABILITIES AND FUND BALANCES

	<u>1991</u>	<u>1990</u>
Installment notes payable	\$ -	11,372
Accounts payable	2,930	2,049
Deposits, refundable and non-refundable	13,315	19,179
Accrued expenses	890	966
Deferred income	<u>2,315</u>	<u>19,790</u>
Total liabilities	<u>19,450</u>	<u>53,356</u>
Fund balances (Note 2):		
Designated by the Grand Council for:		
Alabama Alumni escrow	43,050	40,806
Investment in A-S-P Corporation	117,019	111,032
Emergency loans to chapters	35,000	19,000
National insurance reserve	13,373	5,984
Property and equipment	<u>18,648</u>	<u>17,166</u>
Total	227,090	193,988
Undesignated	<u>43,477</u>	<u>49,590</u>
Total fund balances	<u>270,567</u>	<u>243,578</u>
	\$ <u><u>290,017</u></u>	<u><u>296,934</u></u>

Alpha Sigma Phi Fraternity, Inc.
Statements of Revenues, Expenses, Reimbursements
and Changes in Fund Balances
Years Ended June 30, 1991 and 1990

	<u>1991</u>	<u>1990</u>
Revenues and grants:		
Annual dues	\$ 107,025	97,818
Initiation fees	83,015	88,575
Service fee (Note 4)	65,350	25,147
Pledge fees	47,095	38,160
Educational grants (Note 4)	63,182	69,460
Chapter fees	12,272	2,340
Gross profit on sale of merchandise and jewelry	8,427	10,386
Profit on National Insurance Program (Note 3)	7,389	5,478
Profit on National conference	7,304	139
Interest and dividend income	2,975	5,733
Other	4,718	7,255
Total revenues	<u>408,752</u>	<u>350,491</u>
Expenses:		
Salaries and employee benefits	174,336	186,642
Chapter services	42,496	24,034
Grand Council meetings and conferences	33,349	9,118
Tomahawk publication	23,060	26,103
Postage	17,156	12,776
Facilities and equipment	17,112	10,579
Telephone	13,557	9,467
New member jewelry	13,119	12,180
Office supplies and expense	10,462	15,561
Interfraternity	10,081	7,821
Alumni Development	8,493	4,810
"To Better The Man" project	6,290	2,337
Professional	4,550	3,800
Expansion	2,327	722
Bad debts, net of recoveries	2,000	1,000
Province program	652	2,315
Interest expense	540	1,735
Executive search	-	8,194
Miscellaneous	2,183	3,529
Total expenses	<u>381,763</u>	<u>342,723</u>
Excess (deficiency) of revenues and grants over expenses	26,989	7,768
Fund balance:		
Beginning of fiscal year	243,578	235,810
End of fiscal year	\$ <u><u>270,567</u></u>	<u><u>243,578</u></u>

See accompanying notes to financial statements

Alpha Sigma Phi Fraternity, Inc.
Notes to Financial Statements
June 30, 1991 and 1990

1) Summary of Significant Accounting Policies

Investments

Gifts of investment securities are recorded at the market value on the date the gift was received. Investment in A-S-P Corporation, a wholly owned subsidiary of Alpha Sigma Phi Fraternity, Inc., is carried at cost.
(See Note 5)

Depreciation

Depreciation of property and equipment is provided over the estimated useful lives of the respective assets on the straight-line basis.

Federal Income Taxes

The Fraternity is a non-profit social fraternity exempt from Federal income tax under the provisions of Section 501(c)(7) of the Internal Revenue Code.

(2) Fund Balances

Funds are currently expendable and have not been restricted by donors in any manner.

(3) National Insurance Program

The Fraternity is engaged in a national liability and property insurance program. Member chapters who purchase liability insurance through the Fraternity are billed on a per member basis. Property insurance premiums are based on specifics of the separate houses. The insurance brokers are currently James R. Favor and Company (property insurance) and Insurance Coverages Limited (liability insurance) who place coverage through various insurance companies. Premiums are billed annually. Revenue and cost of insurance are recognized based on expired portion of the policy term.

The following is a summary of the insurance program operations:

	<u>1991</u>	<u>1990</u>
Revenue	\$ 157,697	148,857
Cost of insurance	(146,926)	(138,432)
Bad debt expense	(3,382)	-
Administrative service fee	<u>-</u>	<u>(4,947)</u>
Profit from program	\$ <u><u>7,389</u></u>	<u><u>5,478</u></u>

Alpha Sigma Phi Fraternity, Inc.
Notes to Financial Statements, Continued
June 30, 1991 and 1990

(4) Related Party Transactions

The Fraternity and the Alpha Sigma Phi Educational Foundation share common management and facilities. The Fraternity's personnel provides bookkeeping services and conducts fund-raising on behalf of the Educational Foundation.

The following amounts received from the Educational Foundation are included in Fraternity revenue or offset against the Fraternity's expenses:

<u>Fraternity revenue category</u>	<u>1991</u>	<u>1990</u>
Educational grants	\$ 63,182	69,460
Service fee	<u>58,150</u>	<u>13,000</u>
	<u>121,332</u>	<u>82,460</u>
 <u>Fraternity expense category</u>		
Loyalty Fund campaign	-	7,706
Leadership conference	<u>12,500</u>	<u>12,500</u>
	<u>12,500</u>	<u>20,206</u>
 Total revenue and reimbursements	 \$ <u>133,832</u>	 <u>102,666</u>

The Fraternity's expenses include \$850 in 1991 and \$12,360 in 1990 paid to the Educational Foundation for computer use.

(5) Investment in A-S-P Corporation

A-S-P Corporation (A-S-P) is a Delaware corporation formed for the purpose of aiding chapters of the Fraternity in providing housing and housing facilities by making second mortgage loans. A-S-P acquired ownership of one property in 1981 which is leased to a chapter of the Fraternity. This chapter is in default of the lease agreement. The default provisions allow A-S-P to terminate the lease and sell the property. A-S-P has not taken action on the default and the outcome of a sale or alternative action cannot presently be determined. Because of the financial position of A-S-P, a remedy to the lease default could affect the Fraternity's collectibility of the note receivable from A-S-P Corporation and realization of the investment in A-S-P Corporation. No collectibility allowance or loss provision relating to this uncertainty have been established in the accompanying financial statements for the Fraternity.

Alpha Sigma Phi Fraternity, Inc.
Notes to Financial Statements, Continued
June 30, 1991 and 1990

(5) Investment in A-S-P Corporation, continued

The separate financial statements of A-S-P Corporation at June 30, 1991 and 1990 and for the years then ended are as follows:

A-S-P Corporation
Balance Sheets
June 30, 1991 and 1990

<u>ASSETS</u>		<u>1991</u>	<u>1990</u>
Mortgage receivable		\$ 26,646	26,646
Less allowance for doubtful accounts		(26,646)	(10,000)
Net receivables		-	16,646
Rental property, at cost (pledged) net of accumulated depreciation of \$25,678 in 1991 and \$23,090 in 1990		58,166	60,755
Cash, all in interest bearing accounts		<u>2,700</u>	<u>2,604</u>
		\$ <u>60,866</u>	<u>80,005</u>
 <u>LIABILITIES AND STOCKHOLDER'S EQUITY</u>			
Note payable Alpha Sigma Phi Fraternity		\$ 65,519	59,532
Mortgage note payable repaid in September, 1990		<u>-</u>	<u>2,194</u>
Total liabilities		65,519	61,726
Stockholder's equity:			
Common stock, without par value.			
Authorized 5,000 shares; issued and outstanding 515 shares		51,500	51,500
Accumulated deficit		(56,153)	(33,221)
Total stockholder's equity		<u>(4,653)</u>	<u>18,279</u>
		\$ <u>60,866</u>	<u>80,005</u>

Alpha Sigma Phi Fraternity, Inc.
Notes to Financial Statements, Continued
June 30, 1991 and 1990

(5) Investment in A-S-P Corporation, continued

A-S-P Corporation
Statements of Earnings and Retained Earnings
Years Ended June 30, 1991 and 1990

	<u>1991</u>	<u>1990</u>
Revenues:		
Rent	\$ 11,684	-
Interest on savings accounts	<u>145</u>	<u>182</u>
Total revenues	11,829	182
Expenses:		
Bad debt expense	16,646	-
Administrative services	7,200	7,200
Taxes	3,750	40
Interest	3,372	3,749
Depreciation of rental properties	2,588	2,465
Professional	<u>1,205</u>	<u>945</u>
Total expenses	<u>34,761</u>	<u>14,399</u>
Net loss	(22,932)	(14,217)
Accumulated deficit:		
Beginning of fiscal year	<u>(33,221)</u>	<u>(19,004)</u>
End of fiscal year	\$ <u>(56,153)</u>	<u>(33,221)</u>

A-S-P Corporation
Notes to Financial Statements
June 30, 1991 and 1990

(1) Summary of Significant Accounting Policies

Rent and Interest on Mortgages and Bonds

Rent income on properties and interest income on mortgages receivable are recorded as received due to uncertainties regarding collectability. The last interest income payment was received in 1986. Uncollected rent income and interest income amount to \$40,259 and \$8,817, respectively, as of June 30, 1991.

Depreciation of Rental Properties

Depreciation is provided over the estimated useful lives of the assets on the straight-line basis.

Federal Income Taxes

The A-S-P is a tax paying entity. Deferred income taxes will be provided on any differences between income for tax and accounting purposes when such differences occur. A-S-P has net operating loss carryforwards of \$73,121 to offset future taxable income. Carryforwards start to expire in 1998.

WOLF, ROGERS, DICKEY & CO.

CERTIFIED PUBLIC ACCOUNTANTS

107 WEST WILLIAM STREET

DELAWARE, OHIO 43015

DELAWARE
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614-548-4566

Board of Trustees
Alpha Sigma Phi Educational Foundation
Delaware, Ohio:

We have audited the accompanying balance sheets of Alpha Sigma Phi Educational Foundation as of June 30, 1991 and 1990 and the related statements of revenues, expenses, reimbursements and changes in fund balances for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Alpha Sigma Phi Educational Foundation at June 30, 1991 and 1990 and the results of their operations and changes in fund balances for the years then ended, in conformity with generally accepted accounting principles. The supplementary data included in Schedule I has been subjected to the same auditing procedures and, in our opinion, is stated fairly in all material respects when considered in conjunction with the financial statements taken as a whole.

Certified Public Accountants

Wolf, Rogers, Dickey & Co.

July 19, 1991

Alpha Sigma Phi Educational Foundation
Balance Sheets
June 30, 1991 and 1990

ASSETS

	<u>1991</u>	<u>1990</u>
Cash in interest bearing accounts	\$ 74,296	103,204
Investment income receivable	36,579	9,166
Investment securities, at cost (market value \$6,608,835 in 1991 and \$596,637 in 1990)	6,682,908	592,501
Equipment, at cost	127,814	87,777
Less accumulated depreciation	<u>80,423</u>	<u>60,264</u>
Net equipment	<u>47,391</u>	<u>27,513</u>
	\$ <u>6,841,174</u>	<u>732,384</u>

LIABILITIES AND FUND BALANCES

Educational grants payable	\$ 34,432	11,760
Accrued payroll taxes, fees and accounts payable	1,280	439
Accrued grants on Chapter funds	<u>525</u>	<u>553</u>
Total liabilities	36,237	12,752
Restricted fund balances (Note 2):		
Non-expendable:		
Third Founding	484,032	478,259
John P. Commons Fund	27,500	27,500
Warren A. Hanna Fund	51,001	51,001
Ralph F. Burns Fund	30,208	30,208
Evin C. Varner Fund	23,677	23,677
Carnegie-Mellon Fund	13,000	13,000
Minnesota Fund	3,000	3,000
Chapter funds	<u>11,200</u>	<u>7,075</u>
Total non-expendable	<u>643,618</u>	<u>633,720</u>
Expendable:		
Kleinoeder bequest	6,000,023	-
John A. Selig Fund	50,000	50,000
John P. Commons Fund	30,912	30,912
Designated (investment)	5,000	5,000
Undesignated	<u>75,384</u>	<u>-</u>
Total expendable	<u>6,161,319</u>	<u>85,912</u>
Total fund balances	<u>6,804,937</u>	<u>719,632</u>
	\$ <u>6,841,174</u>	<u>732,384</u>

See accompanying notes to financial statements

Alpha Sigma Phi Educational Foundation
Statements of Revenues, Expenses, Reimbursements
and Changes in Fund Balances
Years Ended June 30, 1991 and 1990

	<u>1991</u>	<u>1990</u>
Revenues:		
Kleinoeder bequest	\$ 6,000,023	-
Interest and dividend income	287,630	66,400
Loyalty Fund campaign contributions	167,922	166,780
Gain (loss) on sale of securities	13,851	239
Fees received computer & solicitation	11,350	22,860
Third Founding contributions	5,772	16,732
Chapter fund contributions	<u>4,125</u>	<u>1,000</u>
Total revenues	<u>6,490,673</u>	<u>274,011</u>
Operating expenses:		
Loyalty Fund campaign	56,726	56,521
Administration:		
Salaries and employee benefits	91,823	62,546
Administration	58,150	13,000
Audit and legal	26,516	2,600
Planned giving	20,282	-
Depreciation	20,159	16,467
Investment fees	19,525	4,834
Travel, registrations, etc.	14,521	5,837
Alumni development	12,543	6,744
Equipment maintenance	<u>5,848</u>	<u>4,219</u>
Total administration expenses	<u>269,367</u>	<u>116,247</u>
Total operating expenses	<u>326,093</u>	<u>172,768</u>
Grants and awards:		
Educational grants	76,932	81,960
Scholarship awards	1,818	1,695
Chapter funds grants	<u>525</u>	<u>479</u>
Total grants and awards	<u>79,275</u>	<u>84,134</u>
Total operating expenses, grants and awards	<u>405,368</u>	<u>256,902</u>
Excess of revenues over expenses, grants and awards	6,085,305	17,109
Fund balance:		
Beginning of fiscal year	<u>719,632</u>	<u>702,523</u>
End of fiscal year	\$ <u><u>6,804,937</u></u>	<u><u>719,632</u></u>

Alpha Sigma Phi Educational Foundation
Notes to Financial Statements
June 30, 1991 and 1990

(1) Summary of Significant Accounting Policies

Investments

Investment securities are carried at cost. Gains or losses on the sale of investments are recognized upon realization. Gifts of investment securities are recorded at the market value on the date the gift was received.

Depreciation

Depreciation of property and equipment is provided over the estimated useful lives of the respective assets on the straight-line basis.

Federal Income Taxes

The Educational Foundation is a non-profit public charity exempt from Federal income tax under the provisions of Section 501(c)(3) of the Internal Revenue Code.

(2) Restricted Fund Balances

Contributions to the Alpha Sigma Phi Educational Foundation are restricted by donors for use only for educational purposes including scholarships, scholarship awards, and support of the educational programs of the Fraternity including support of the annual educational conference. Special restrictions placed on the use of funds contributed to the Third Founding Campaign, the John P. Commons Fund, Warren A. Hanna Fund, the Ralph F. Burns Fund, the Evin C. Varner Fund, the Carnegie-Mellon Fund and the Minnesota Fund have been recognized by the segregation of the fund balances. Separate funds have been established for six chapters, Penn State, California, Oregon State, Washington, Westminster and U. C. L. A. Income attributable to chapter funds is currently expendable at the chapter levels for purposes that meet the requirements for grants from a public foundation.

(3) Related party transactions

The Educational Foundation and Alpha Sigma Phi Fraternity, Inc. share common management and facilities. The Fraternity's personnel provide bookkeeping services and conduct certain fund-raising on behalf of the Educational Foundation.

Educational Foundation expenses and grants include the following amounts paid to the Fraternity:

	<u>1991</u>	<u>1990</u>
Administration	\$ 58,150	13,000
Educational grants	75,682	81,960
Loyalty Fund campaign expenses	<u>-</u>	<u>7,706</u>
	\$ <u><u>133,832</u></u>	<u><u>102,666</u></u>

Educational Foundation revenues include \$850 in 1991 and \$12,360 in 1990 received from the Fraternity for computer usage.

Alpha Sigma Phi Educational Foundation
Investment Securities
June 30, 1991

Schedule I

	<u>Cost</u>	<u>Market Value</u>
Investment Securities:		
Fixed income:		
Cash equivalents	\$ 2,524,368.24	2,524,368.24
Collective funds - fixed	699,989.04	697,805.14
Bonds	<u>805,600.53</u>	<u>794,424.55</u>
Total fixed income	4,029,957.81	4,016,597.93
Equities - common stock	<u>2,652,949.83</u>	<u>2,592,237.50</u>
Total assets	\$ <u><u>6,682,907.64</u></u>	<u><u>6,608,835.43</u></u>

See accompanying notes to financial statements



24 WEST WILLIAM STREET
DELAWARE, OHIO 43015
614-363-1911/FAX: 614-369-1845

TO: Educational Foundation Trustees
FROM: John R. Chaney, President
DATE: July 1, 1991
RE: 1991-92 Request for Educational Grants

TRUSTEES:
DR. ROBERT E. MILLER, Connecticut '49, Chairman
MILTON CERNY, American '54
RICHARD R. GIBBS, Oklahoma '51
ROBERT A. SANDERCOX, Bethany '51
STAN G. THURSTON, Iowa State '66
ALFRED B. WISE, Baldwin-Wallace '43

PRESIDENT:
JOHN R. CHANEY, Indiana '67
SECRETARY:
RALPH F. BURNS, Ohio Wesleyan '32
VICE PRESIDENT FOR DEVELOPMENT:
STEVEN V. ZIZZO, Illinois '84

Alpha Sigma Phi Fraternity, Inc. respectfully requests the following grants subject to availability of funds from the Alpha Sigma Phi Educational Foundation for the 1991-92 fiscal year.

	1991-92 <u>Request</u>
1. Support for Chapter Leadership Consultants (<u>Foundation for Excellence</u> , page 6)	\$ 60,750.00
2. Support for volunteers and others performing Chapter Leadership Consultant activities	65,000.00
3. Publication of Annual Report and educational articles	15,000.00
4. Educational materials and manuals (<u>Foundation for Excellence</u> , page 10)	14,000.00
5. The Province Program (<u>Foundation for Excellence</u> , page 10)	6,750.00
6. Publication costs, storage, and distribution of the manual <u>To Better The Man</u>	5,000.00
7. Support for alumni volunteers and committees studying alumni matters, province leadership issues, scholarship, housing and national service.	13,000.00
8. Support for National Leadership Conference	60,000.00
9. Scholarships and Grants (Individual and Chapter)	<u>15,500.00</u>
TOTAL	\$ 255,000.00
Anticipated Educational Grant Shortfall	\$ 75,925.00

JRC/sjj

cc: Grand Council

PROMISSORY NOTE

*Stan -
Please review
BW 6/21*

\$16,000.00

MAY 28, 1991

Oakland, California

For value received, CALIFORNIA ALPHA SIGMA PHI ASSOCIATION, INC., a California corporation, hereby promises to pay to ALPHA SIGMA PHI FRATERNITY, INC. or order at 24 West William Street, Delaware, Ohio 43015 the sum of SIXTEEN-THOUSAND DOLLARS (\$16,000.) with interest from June 1, 1991 on the unpaid principal at the rate of 9% percent per annum. Principal and interest shall be paid in quarterly installments beginning September 1, 1991. Principal shall be repaid at the rate of \$2,000 per year for the first four (4) years, which is \$500 per quarter. Interest on the declining balance shall be paid for the preceding year or portion thereof on or before December 1 of each year.

If Eight-Thousand Dollars (\$8,000) in principal is paid off within four (4) years (by June 1, 1991), then the balance of \$8,000 principal plus accumulated interest may be deferred for payment until the sale or transfer of the House to any person or entity not connected with the PROMISOR or the FRATERNITY. In any event, the balance shall be paid not later than June 1, 2021.

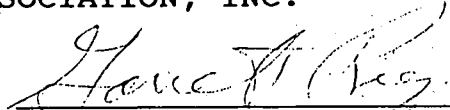
Should Promissor fail to pay at least \$8,000 in principal by June 1, 1995, then the whole sum of principal and interest then owing shall become immediately due at the option of the holder of this Note. If action be instituted on this Note, the undersigned promises to pay such sum as the court may fix as attorney's fees.

This Note is secured by a deed of trust to be recorded
hereafter.

DATED: *May 29* , 1991

CALIFORNIA ALPHA SIGMA PHI
ASSOCIATION, INC.

By



GARRET F. RIEGG, ITS
PRESIDENT

**Ad Hoc Committee on Service
Staff Comments**

SIGNIFICANT ACTIONS ALREADY UNDERWAY OR ACCOMPLISHED

- Create a \$2,500 line item for service in proposed budget
- Rewrite To Better The Man to reflect new direction

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Establish the Alpha Sigma Phi Howard L. Kleinoeder Foundation
- Adopt a national philanthropy/\$2,000
- Adopt the Alpha Sigma Phi Position Statement on Service
- Adopt new award structure
- Write a service manual/\$1,200

CAPITAL CAMPAIGN

- Creation of capital in amount of \$600,000 to be used to establish Howard L. Kleinoeder Foundation

RECOMMENDATION FOR AD HOC COMMITTEE

- Abolish the ad hoc committee and establish a Director of Service separate from Grand Chaplain.

RECEIVED

JUN 26 1991

Alpha Sigma Phi Fraternity, Inc

June 24, 1991

Grand Council
c/o John R. Chaney
ALPHA SIGMA PHI FRATERNITY
24 West William Street
Delaware, OH 43015

Alpha Sigma Phi is in the unique position to significantly and positively impact the communities where our chapters and colonies reside. We can accomplish this by adopting a national philanthropy organization as our official charity. We can encourage member participation through matching contributions and recognition from the National level.

The intent of our proposal is to encourage broad participation among all of our chapters and colonies and their respective individual members. We would like to emphasize that the system we propose gives the local chapter the autonomy to choose the charity or service of their choice, with incentives to support the philanthropic organization that Alpha Sigma Phi adopts.

Our specific recommendations are as follows:

- 1) Establish the "Alpha Sigma Phi Howard L. Kleinoeder Foundation" (see enclosed).
- 2) Adopt a national philanthropy organization (see enclosed); projected cost: \$2,000.
- 3) Adopt the "Alpha Sigma Phi Position Statement on Service" (see enclosed); projected cost: \$150.00.
- 4) Rewrite "TO BETTER THE MAN" to reflect the new direction; projected cost: \$750.00.
- 5) Adopt the new awards structure (see enclosed); projected cost: \$300; \$100 annually.
- 6) Write a Service Manual (see enclosed outline); projected cost: \$1,200.00.

In our Constitution and By-Laws, Article VII, Section 1 specifically describes a program that emphasizes the organization of a national service program selected by the fraternity. We believe that our proposal meets this purpose and will establish Alpha Sigma Phi as the fraternity leader in charitable and community service.

Sincerely yours,
ALPHA SIGMA PHI
Ad Hoc Committee on Service

Alan M. Breedlove, Chairman
Ryan Dunham
Tom Hinkley
Rich Kahler
Wesley Owen
Shawn Sizemore
Larry Speese

AMB/db

Enc.

ALPHA SIGMA PHI HOWARD L. KLEINOEDER FOUNDATION

Based on Brother Kleinoeder's beliefs and actions in helping others, Alpha Sigma Phi has a responsibility to continue this tradition in a significant way. As a National organization, we have a duty and an obligation to set an example for our chapters and individual brothers to follow. Therefore, the Ad Hoc Committee on Service recommends that 10% of the total Kleinoeder gift be used to establish a charitable foundation named the Alpha Sigma Phi Howard L. Kleinoeder Foundation.

Most of the income generated by the Foundation endowment will be distributed to philanthropic organizations in the following manner:

1) 50% of the designated income directly to the National Philanthropic Organization.

2) 50% of the designated income to be used as matching contributions at the chapter/colony level to encourage fundraising activities.

a) Half of the matching amount will be given to the National Philanthropic Organization.

b) Half of the matching amount will be given to the Chapter's charity of choice.

We envision that the endowment fund would generate at least a 5% return, and would cap the annual distribution at that level. Any additional earnings would be reinvested into the endowment to ensure a growing and dynamic fund.

For example, a \$1,000,000 endowment would generate \$50,000 annually for disbursement. Of this amount, \$25,000 would automatically be directed to our National Philanthropic Organization. The other \$25,000 would be used as a matching contribution to support our chapter's individual efforts in service. One half of this matching amount would be directed to the National Philanthropic Organization (i.e; \$12,500), and the remaining half would be directed to the Chapter's charity of choice.

PLAN TO ADOPT A NATIONAL PHILANTHROPY ORGANIZATION

1. Comprise a list of potential philanthropy benefactors.
 - a. Contact organizations
 - b. Get written proposals from each one that is interested
 - c. Distribute proposals to Ad Hoc Service members
 - d. Narrow down to 8
 - e. Interview 8
 - i.) each gets a 1/2 hour presentation
 - ii.) 15 minute question session
2. Committee
 - a. Ad Hoc Committee on Service
 - b. Grand Council Member
 - c. Trustee of Kleinoeder Foundation
3. Expenses (Estimated: need advice from headquarters)
 - a. Hotel (\$400 @ 2 nights = \$800)
 - b. Meals (\$400)
 - c. Travel (\$800)
 - i.) Mileage
 - ii.) Air

Alpha Sigma Phi Position Statement on Service

- Whereas, Alpha Sigma Phi is the fraternity "TO BETTER THE MAN" and all of her functions and actions should exhibit this as listed in our Code of Conduct, and
- Whereas, Our Reason For Being includes: to foster education, to maintain charity, and,
- Whereas, Our Constitution and By-Laws, Article VII, Section 1, describes a program to give emphasis to the purposes and objects of the fraternity, and coordinates a national service program selected by the fraternity, and
- Whereas, The fraternity through collective effort seeks to help each other perform his part in the uplift of the human race,

THEREFORE

- BE IT RESOLVED, that all chapters and colonies actively seek opportunities to aid our fellow man.
- BE IT RESOLVED, that all chapters and colonies will lead in service to our fellow man.
- BE IT RESOLVED, that Alpha Sigma Phi will support and encourage a national philanthropic organization; as well as, support and encourage local chapter and colony efforts.
- BE IT FURTHER RESOLVED, that the charity of Alpha Sigma Phi is of vital importance to the continuation of the traditions and values of the fraternity.

GUIDELINES FOR THE KLEINOEDER SERVICE AWARDS FOR CHAPTERS AND COLONIES

1. Levels of the Awards

- Honors Standard of Excellence
15 hours per man
- High Honors Standard of Excellence
20 hours per man
- Highest Honors Standard of Excellence
25 hours per man
- The Kleinoeder Service Award
Demonstrated highest level of service to Community and College as selected by the awards committee.

2. Publication of all service activities in:

- Old Gal Gazette
- Tomahawk

GUIDELINES FOR KLEINOEDER PHILANTHROPY AWARDS FOR CHAPTERS AND COLONIES

1. Levels of the Awards

- Honors Standard of Excellence
\$20.00 per man
- High honors Standard of Excellence
\$40.00 per man
- Highest Honors Standard of Excellence
\$60.00 per man
- The Kleinoeder Award for National Philanthropy
- The Kleinoeder Award for Charity of Choice

2. Publication of all Fundraising Activities in:

- Old Gal Gazette
- Tomahawk

OUTLINE FOR SERVICE MANUAL

I. INTRODUCTION

- A. Position Statement
- B. Our Reason For Being

II. PROCEDURE

- A. Select Chairman
- B. Form a Committee
- C. Define Committee membership responsibility.
- D. Select organization (National Philanthropy)
 - 1. Make contact with organization
 - 2. Work with organization
- E. Planning and Preparation
 - 1. Complete Blueprint
 - 2. Activity reports
 - 3. Publicity
- F. Chapter Presentation
 - 1. Motivation
 - a. Bring in actual people who will be affected by the service.
 - b. Emphasis on fun.
 - 2. Tell them your doing it.
- G. Carry out service project.
- H. Complete form and return it to National Headquarters.
- I. Promote recognition awards in your own chapter.
- J. Appendix
 - 1. Forms
 - a. National
 - b. Marshall
 - 2. List of Organizations
 - 3. List of ideas

**Ad Hoc Committee on Membership Expansion
Staff Comments**

SIGNIFICANT ACTIONS ALREADY UNDERWAY OR ACCOMPLISHED

- Expand membership through planned growth/\$10,000
- Encourage chapters to achieve average number of active members relative to campus.
- Hire third chapter leadership consultant/\$28,000
- Maintain chapter leadership consultant to chapter ratio at 1:20

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Add a position of Director of Expansion/\$35,000 (note 1)
- Adopt a decision matrix for expansion evaluation (note 2)
- Develop videos to "sell" Alpha Sigma Phi/\$7,500

CAPITAL CAMPAIGN

- None

RECOMMENDATION FOR AD HOC COMMITTEE

- Abolish the ad hoc committee and create a volunteer Director of Expansion position

- Note 1: Partially accomplished through the hiring of the newly authorized Director of Chapter Services/Expansion position.
- Note 2: Informally being used when considering expansion opportunities.

RECEIVED

JUN 13 1991

June 11, 1991

Alpha Sigma Phi Fraternity, Inc.

Brother John Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
24 W. William St.
Delaware, Ohio 43015

Dear John;

I appreciate your patience in receiving this report.

Enclosed is the final portion of the expansion committee's report, the decision matrix used in selecting campuses for expansion.

In consulting a majority of the committee, 5 of the 7 members, they agreed that the report submitted in my February 9th correspondence accurately expressed our feelings and included a proposed budget.

To summarize our primary recommendations:

A. Alpha Sigma Phi should achieve a targeted goal of 75 chapters and colonies by our 150th anniversary. Approximate cost per expansion chapter or colony: \$4,000/group, total - \$72,000.

B. Each chapter should achieve and maintain a minimum number of active members. That minimum number relates to the average number of active members per men's fraternity on their respective campus. Approximate cost: undeterminable.

C. National should hire a third chapter consultant for placement during the '91-'92 school year. Additionally, National Headquarters should maintain a ratio of one (1) chapter consultant per twenty (20) chapters or colonies. Approximate annual cost: \$25,000

C1. National should hire an expansion coordinator to oversee all expansion functions plus supervise chapter consultants. Approximate cost: \$30,000 - \$50,000.

D. National should develop and use more video to "sell" Alpha Sigma Phi to academic institutions, interest groups, alumni plus prospective members. Approximate cost: Unknown.

E. The committee will develop a decision matrix, call it an overlay or measurement criteria, for expansion evaluation. Approximate cost: nothing

To summarize secondary recommendations:

1. Suggest extending an invitation to be initiated after a suitable education process to those non-initiated individuals who participated in colonization interest groups and were not rejected. Approximate cost: Nothing substantial.

2. Suggest creating an at large membership status for persons from non-Panhellenic affiliated fraternities or disbanded Panhellenic organizations. Additionally adding those who did not have an opportunity for or choose Greek affiliation but who later in life desire Greek affiliation and are worthy. Approximate cost: Nothing substantial

3. Request the expansion committee be extended to monitor and aid in achieving the goals it set forth. Approximate cost: \$3,000

I trust this provides you and Grand Council the information requested. See you in August.....if not before.

Fraternally,



Biff Matthews

Expansion Committee Chairperson

Encl: 1

cc: Expansion committee

Hamilton
Walker
Rodriguez
Keen
Garesche
Still
Owen

DECISION MATRIX IN SELECTING A CAMPUS FOR EXPANSION BY ALPHA SIGMA PHI

of
Comments

Attribute

- | | |
|---|---|
| 5 | Every one wanted strong administrative support from all areas of the institution. |
| 5 | Equally mentioned was a strong chapter advisor and/or faculty advisor. |
| 4 | Each person wanted strong programs, predominantly academic but extracurricular as well. |
| 3 | A policy of expansion at the institution and being welcome. |
| 3 | The type, quality, prestige, size of the institution. |
| 2 | Affordable fraternity group housing close to or on the campus. |
| 2 | A large and active (activatable) alumni base near the campus was a plus. |
| 2 | Having a brother on faculty or administration was desirable. |
| 2 | A growing population, increasing in numbers of males with an increasing percentage of Greek population. |
| 2 | Having the all Greek or higher GPA |
| 2 | Fit geographically, strategically, and logically into our province system. |
| 2 | IFC support. |
| 2 | Close or nearby, strong chapter. |

The other criteria not noted in this list are ones receiving only 1 comment. They are no less important, but were noted by only one individual.

For your review I have included copies of each persons criteria.

Jeff Owens

Size of institution

Prestige of institution

Quality of Institution

Housing Availability

Faculty support on campus

IFC support

Community support

Administrative support (Greek Advisor, President, Dean of Student Services, etc.)

Campus expansion policy

An Alpha Sig on faculty or administration at institution

Transfer students on campus

Alumni base near campus

Other chapters nearby

Geographical location

enrollment projections (male as well as female)

previous chapter site ?

Number of NIC chapters

Average size of chapters

Percentage of Greek students

Programs in place for Greeks (educational programs)

Type of institution (residential vs commuter)

Availability of budgeted money (e.g. we receive a request near the end of our fiscal year and we are close or over budget)

Mark Still

These attributes attempt to address those items which are quantitative and to some degree measurable. Probably the most important aspects of any institution or interest group will be those subjective, unmeasurable qualities which make a group click, and define its character. These all important attributes can only be judged through personal contact.

SUPPORT STRUCTURE

Administration welcome
Faculty Welcome
Greek Welcome
Greek Advisor
IFC
Local Alumni
Alumni group strength
Close Alpha Sig chapter
Close chapter strength

PLUS

Strong
Strong
Strong
Active
Active
Large
Strong
Near
Strong

MINUS

Weak
Weak
Weak
Passive
Passive
Small
Weak
Far
Weak

INSTITUTION CHARACTER AND DEMOGRAPHICS

Size of student body
Percentage of males
Percentage of commuters
Percentage of Greeks
Support for FIPG
Financial health

Large
Large
Small
Large
Strong
Strong

Small
Small
Large
Small
Weak
Weak

HOUSING

Who owns
Type available
Cost
Location to campus
Greek row

Institution
Grouped
Low
on or near
Central

Private
Individual
High
far away
Dispersed

INTEREST GROUP CHARACTERISTICS

Status
Size
Affiliation
Group leader
Group identity

Established
Large
Local fraternity
A brother
Strong

Beginning
Small
None
Not a brother
Weak

Jeff Keen

Housing

Is the campus able to support a new chapter

Active alumni base

Strong ASP chapter nearby

History of continued successful expansion on the campus

Supportive Greek system

De-emphasis of alcohol, drugs, animal house image

Will the chapter fit into a (the) province well (location, size)

Good Greek advisor on campus

Chapter advisor available

Greek GPA higher than all-campus GPA

Dry rush

Liberal arts school

Financially stable institution

Active IFC group

Official recognition by university

High Greek membership percentage on campus

Quality of the university (institution)

Previous chapter site

Knowledge of ASP and our ideals

Extracurricular activities available

Site of recent successful expansion

Increasing Greek membership rate (percentage)

Increasing male enrollment rate (percentage)

Al Garesche

Standards for Chartering (Expansion)

- A. Only chapter at high-reputation universities that have strong academic programs.
- B. Be in the market for men of strong academic ability and campus leaders.
- C. Only go to universities that invite us.
- D. Develop a list of universities that we want to be on and universities to stay away from.
- E. In holding with the idea of quality control, every chapter must apply for rechartering every four years.
- F. The prospective chapters must have a cumulative GPA at least .2 points higher than the Greek system average.
- G. The chapter must have an active faculty advisor and be continually striving for better relations with the university.
- H. Chapters must have membership of the average of the Fraternity size for that campus.

All fraternities in the next ten years will go through some great changes in order to survive. If we can put Alpha Sigs on the cutting edge we will be the best. What I am looking forward to is the day when universities will petition us to start chapters on their campuses.

We must develop the chapter services that will attract the type of men that will build the image of Alpha Sigs in the most positive ways. This thought may contradict what we were thinking about at the committee meeting. We can not possibly grow as fast as we projected without undercutting the main objectives that Alpha Sigma Phi fraternity was founded on.

Now, don't get me wrong, growth is good. But it needs to be structured growth with such services that ensure that we have the strongest quality of Greek organization.

Larry Walker

1. The existing university fraternity system.

Is it strong?

Are they able to support more chapters?

Is the university's Greek advisor optimistic about the future of the fraternity system on that campus?

What is the history of other fraternities?

What is the university's Greek system's attrition rate?

2. Alumni support

Is there a pocket of local alums that will commit support to a new chapter (not monetarily)?

Is there one alum who will take on the role of being the chapter advisor, responsible of the daily support of the chapter?

Does the university faculty have fraternity members that would be willing to contribute their time to the building of a new chapter?

3. University support

Does the current administration seem to be an anti-Greek body?

Is the university, in fact, affiliated with the Greek system of have they severed all ties?

4. Community support

Does the community seem to be anti-Greek?

What is the history of the Greek system in this area?

Have there been incidents recently that have tainted the image of the Greek system?

5. Housing

What is the possibility of having a chapter house in the sort run? Long run?

Does this Greek system use chapter houses, suites of other meeting areas?

6. Demographics of area/university

Is the area/university in a time of expansion or contraction?

Is the university predominantly male or at least have a significant male population?

7. Alpha Sigma Phi support

Will it be feasible to support this chapter due to its geographic location?

Are there other chapters close by so that a province support system can be put in place?

Do we have too many chapters in the development stage?

**Ad Hoc Committee on Scholarship
Staff Comments**

SIGNIFICANT ACTIONS UNDERWAY

- Increased scholarship budget to \$15,000

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Develop scholarship program and establish performance standards/\$5,000
- Enhance faculty and community scholarship/\$5,000
- Establish a coordinator of current issues/\$46,000

CAPITAL CAMPAIGN

- Establish a scholarship award endowment of \$1M

RECOMMENDATION FOR AD HOC COMMITTEE

- Abolish the ad hoc committee and establish a standing volunteer
Director of Scholarship with functioning action committee/\$21,000

4329 Dorothy Lane
Grove City, OH 43123
June 11, 1991

Mr. John Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
24 West William Street
Delaware, OH 43015

Dear John:

Enclosed is the final report from the *Ad Hoc Scholarship Committee* to be presented to the Grand Council this summer. I will be presenting the report to the Personnel Committee on Wednesday, August 7, 1991, at 11 a.m.

As a national leader in undergraduate education, Alpha Sigma Phi recognizes and meets the demands of education and scholarship today. The following represents the specific recommendations and costs that are explained in detail in the accompanying report.

<u>PRIORITY</u>	<u>ITEM</u>	<u>COSTS</u>
1	FORM STANDING COMMITTEE ON SCHOLARSHIP *Develop scholastic performance standards *Attract and keep brothers active while they obtain a baccalaureate degree	\$ 5,000
2	ESTABLISH AN ENDOWMENT OF \$1M *Structured endowment for scholarship awards	1M
3	ENHANCE FACULTY AND COMMUNITY SCHOLARSHIP	5,000
4	PROMOTE ATTENDANCE AT ALL MEETINGS	21,000
5	COORDINATOR OF CURRENT ISSUES - STAFF	46,000

Mr. John Chaney

Page 2

June 11, 1991

The scholarship committee has communicated through the enclosed report, their proposed structure for SCHOLARSHIP within the framework of Alpha Sigma Phi Fraternity. If you or members of the Grand Council have further questions, I will be happy to address them prior to or during the meeting on August 11.

Fraternally,

Mark Williams

Mark Williams, Chair

Ad Hoc Scholarship Committee

Enclosure

Committee Members:

Mark Williams, Chair

Al Sternbergh

Jim McMahon

Scott Deutchman

John Drummon

Bob Wade

Skip Parks, ex-officio

AD HOC SCHOLARSHIP COMMITTEE
REPORT TO THE GRAND COUNCIL
AUGUST 11, 1991

INTRODUCTION

Let's face it! As a brother in Alpha Sigma Phi your job while a student is just that, being a student to earn a baccalaureate degree. After that comes Alpha Sigma Phi.

As a committee charged by the Alpha Sigma Phi Grand Council to structure the area of scholarship, we submit the following report to communicate the goals and objectives of scholarship.

STATEMENT OF PURPOSE

As a national leader in undergraduate education, Alpha Sigma Phi recognizes and meets the demands of education and scholarship today.

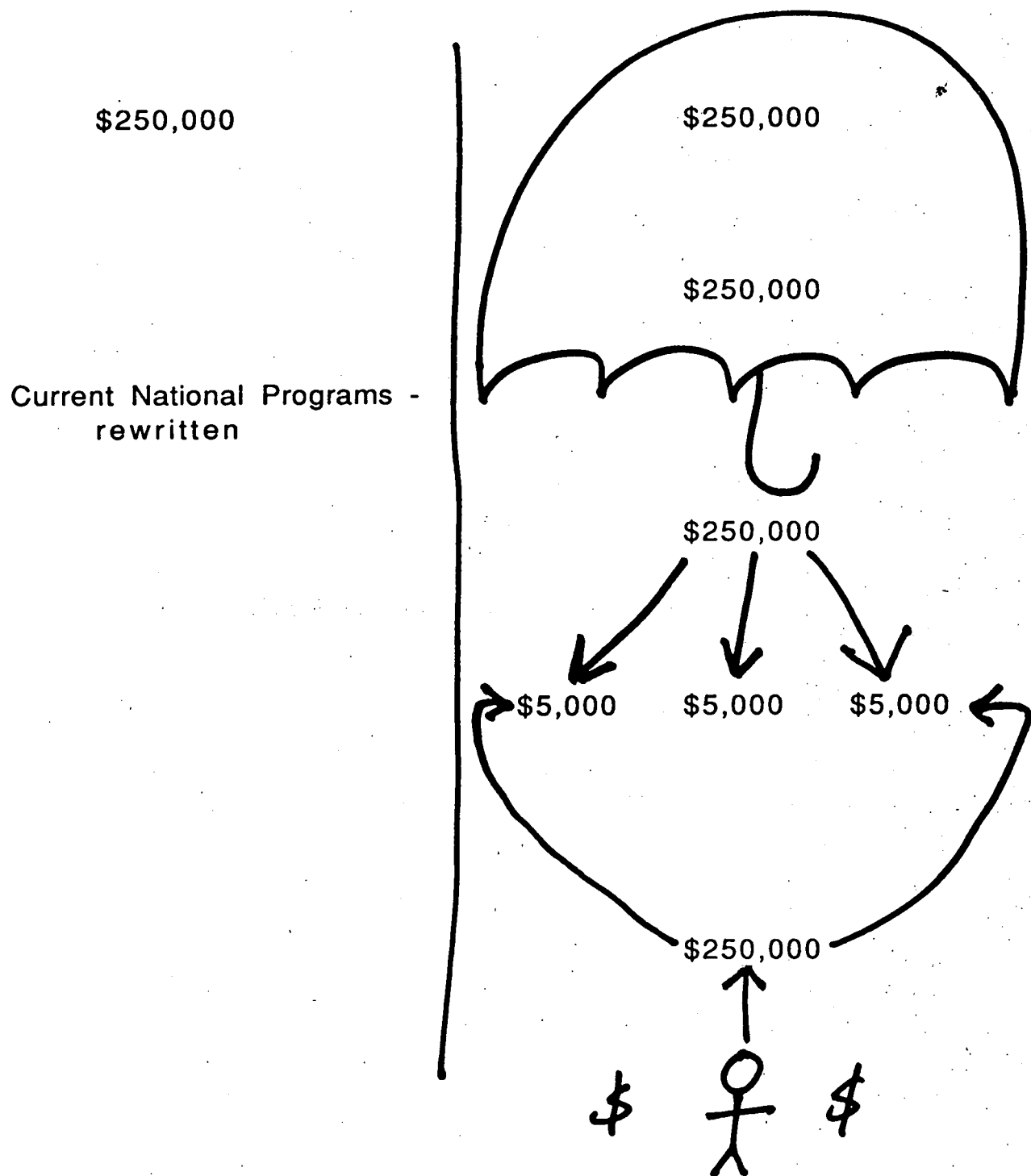
GOALS AND OBJECTIVES

1. Attract and keep brothers active while they obtain a baccalaureate degree.
 - A. Establish a practical scholarship program to be used by the undergraduate chapters and scholarship chairman.
 - B. Provide a specific manual for the scholarship chairman.
 - C. Re-write the scholarship section in To Better The Man.
 - D. Establish a minimum G.P.A. for initiation.
 - E. Establish an incentive program to recognize chapter and individual performance based on scholastic achievement.
 - F. Provide valuable resources or programs for scholarship purposes for chapters, i.e., computers, software, Computer Aided instruction equipment, resource libraries, grant programs, how to study manuals.

- G Provide resources and programs for career planning, placement and advanced education and training.
 - H Develop and utilize a position to find or provide support for brothers in need. (Coordinator of Current Issues)
2. Develop a Grand Council Standing Committee to administer all Fraternity scholarship programs, activities and materials.
- A. Eliminate the position of Director of Scholarship (national volunteer position).
 - B. Develop and monitor scholarship program and criteria.
 - C. Award actual scholarships (decision-making body).
 - D. Provide that one member also serves on the Foundation Board of Trustees.
 - E. Approve, monitor and advise on the status of each chapter's endowment.
 - F. Serve in an Advisory Committee status to the Coordinator of Current Issues.
 - G. Remain progressive and innovative in developing and implementing new scholarship programs.
3. To establish a permanent funding source to accomplish all set goals that encourages scholastic achievement and recognition. Immediately establish an endowment of \$1M for educational purposes to increase to \$1.5M by the 150th Anniversary Celebration and \$2M by the year 2000. These endowment amounts will be restricted as noted below with the interest used to support the respective program.

- A. Of the \$1M endowment, 1/4 will be allocated to a National Scholarship Program which will be rewritten. National scholarships based on merit and need. \$250,000 will be raised and then distributed in \$5,000 totals to each chapter to provide a base endowment per chapter within the national endowment.
- B. Of the \$1M endowment, 1/2 will be allocated to a National Chapter Support account.
 - *1/4 will be used to provide matching dollars for alumni and undergraduate contributions to be allocated to each chapter account.
 - *1/4 will be used as a support account for the chapter accounts and for future chapters through expansion.
- C. Maintain current national scholarships while redefining those currently in place.
- D. Develop new scholarships for undergraduate brothers
- E. Develop new scholarships for brothers pursuing graduate education

PICTORIAL REPRESENTATION OF THE \$1M ENDOWMENT



4. Enhance faculty and community scholarship efforts.
 - A. Encourage and fund as our guests, college faculty members to participate in our National meetings (target schools whose greek system is being jeopardized).
 - B. Enhance the Alpha Sigma Phi faculty advisor program.
 - C. Develop a program to facilitate greek awareness among academicians.
5. Develop/revise scholastic performance standards based on individual needs as they benefit each chapter.

Provide for measurement and evaluation of data related to but not limited to:

- *Attrition of pledges
- *Attrition of actives
- *Increased G.P.A. (initiates, actives, chapters, national)
- *Areas of fraternal recognition
- *Staff performance
- *Graduate degree performance
- *Faculty participation in programming
- *Chapter contracts for scholastic achievement
- *Utilization of established programs/program materials
- *Alumni and post-graduation survey data
- *Money allocations to scholarship program

6. Promote attendance at all Alpha Sigma Phi national/regional meetings.
 - A. Provide adequate funding enabling two members from each chapter to attend all meetings at NO COST to themselves or their chapter.
 - B. Maintain the travel reimbursement policy.

7. Hire a Coordinator of Current Issues to (appendix attached) provide information, support and understanding for and of societal issues.

- A. Hire the Coordinator of Current Issues.

BUDGET

The budget proposal herein is for current implementation and should be included in the budgetary processes for 1991-1992 that is currently ongoing.

<u>PRIORITY</u>	<u>ITEM</u>	<u>COSTS</u>
1	FORM STANDING COMMITTEE ON SCHOLARSHIP	\$ 5,000
2	ESTABLISH AN ENDOWMENT OF \$1M *to plan for immediate implementation it is recommended that \$12,500 be allocated to a National Awards Program and \$12,500 into awards of \$235 per chapter as outlined in the description of chapter endowments	1M
3	ENHANCE FACULTY/COMMUNITY SCHOLARSHIP	\$ 5,000
4	PROMOTE ATTENDANCE AT ALL MEETINGS	\$21,000
5	COORDINATOR OF CURRENT ISSUES - STAFF *\$22,000 Salary 6,200 Benefits (28%) 11,000 Travel 7,000 Office/Supplies, etc.	\$46,000

*Grant writing possibilities to cover this position?

PROPOSAL FOR COORDINATOR OF CURRENT ISSUES

Collegians face many consequential decisions and issues during their academic careers. How each collegian decides to handle these issues may dramatically impact the rest of their lives and the lives of those around them. Issues such as alcohol and drug use and abuse, date rape, AIDS and financial responsibility are current issues that need to be discussed openly and in an informative environment to promote awareness and alternatives for those who need help. Additionally, collegians are faced with career development and job procurement decisions. Alpha Sigma Phi can be a valuable asset, not only to its members, but to all collegians if a program can be developed that dares to inform, educate and instruct collegians on how to deal with these issues.

PROPOSAL

In order to make Alpha Sigma Phi an educational leader, it is proposed that we develop a program that concentrates on preparing collegians and providing information on current and controversial issues. In order to implement such a program, it is imperative that Alpha Sigma Phi hire a Coordinator of Current Issues responsible for developing programs, disseminating information and traveling the country informing collegians on how to responsibly deal with such issues.

QUALITIES OF A PERSON HOLDING SUCH POSITION

1. Polished public speaker
2. Effective writing skills
3. Preferable to have grant writing skills
4. Sincere interest in educating others on topical issues
5. Knowledge and awareness of how to deal with current issues facing collegians
6. Ability to express thoughts in a way that collegians can relate to and understand
7. Interdisciplinary contacts for networking on issues and topics
8. Masters degree
9. Ability to be perceived effectively while working with collegians
10. Knowledge of Alpha Sigma Phi and the Greek system
11. Brother of Alpha Sigma Phi

METHODS OF DISSEMINATING INFORMATION

1. Pursues speaking engagements with chapters, alumni groups, and with colleges and universities so that the message can be disseminated to all collegians
2. Publish periodic articles in the Tomahawk
3. Produce and disseminate pamphlets/brochures concerning current issues
4. Develop handbooks to be utilized by undergraduate chapters concerning each major topic area of concern
5. Produce videos on each topic area of concern
6. Provide information in the Old Gal Gazette to be used by Alpha Sigma Phi volunteers when dealing with collegians
7. Provide immediate response and assistance to those chapters having problems or facing decisions regarding contemporary topics

ALPHA SIGMA PHI COMMITMENT

The following expenses will have to be incurred by Alpha Sigma Phi to implement such a program:

1. Salary - to entice individuals with the qualities indicated we will have to be competitive with other nonprofit organizations employing specially trained and highly motivated individuals willing to adopt and implement new programs
2. Costs for all materials, including pamphlets/brochures, handbooks, videos, and travel expenses necessary to disseminate the educational information
3. Office space and materials for the Coordinator

IMPACT ON THE FRATERNAL COMMUNITY

After some investigation, it appears that no other national fraternity has implemented such a program. Therefore, if Alpha Sigma Phi approves of this program, we will establish our fraternity as a leader on current fraternal issues. The Kappa Sigma fraternity does have periodic articles appearing in their national magazine concerning such issues and many national fraternities have their Collegiate Affairs Coordinator pursue such issues. Due to the importance of such topics, however, an effective educational program cannot be implemented on an ad hoc basis. In order to provide our collegians with usable information, it appears that the full concentration and commitment from one individual is needed in order to reach as many people and to fully cover such topics with as such

breadth and specificity as possible. It should be noted that after the program is implemented, the position can then begin to tackle issues that face alumni groups so that Alpha Sigma Phi will develop programs capable to be used by each and every member throughout their entire lifetime.

Ad Hoc Scholarship Committee

Mark Williams, Chairman

Al Sternbergh

Jim McMahon

Scott Deutchman

John Drummond

Bob Wade

Skip Parks, ex-officio

**Ad Hoc Committee on Province and Volunteer Programs
Staff Comments**

SIGNIFICANT ACTIONS ALREADY UNDERWAY

- No realignment of current province structure/\$6750
- Rewrite To Better The Man to include expanded Grand Province Chief duties

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Establish position of Director of Provinces/\$35,000
- Delineate duties of Grand Province Chiefs training
- Establish new Province Programming concept and budget/\$50,000

CAPITAL CAMPAIGN

- Create additional endowment to support annual province activities

RECOMMENDATION FOR AD HOC COMMITTEE

- Change ad hoc committee to a standing committee on province activities

845 Brookmere Drive
Edmonds, WA. 98020
June 15, 1991

RECEIVED

JUN 21 1991

Alpha Sigma Phi Fraternity, Inc.

Mr. John Chaney
Executive Vice-President
Alpha Sigma Phi Fraternity
24 West William St.
Delaware, Ohio 43015

Dear Brother Chaney:

Attached is the final report of the Ad Hoc Committee on Province Program. The committee is making specific recommendations to the Grand Council as follows:

- ** No re-alignment of the presently structured Provinces.
- ** Re-establishment of the office of Director of Provinces to whom all Province Chiefs would report on a timely basis.
- ** Establishment of an entirely new concept for the major purpose of the Province Program, and funding to the extent necessary to carry out the expected results.
- ** Delineating the duties of the Grand Province Chiefs, their training, their provisions for presenting positive programs to all Chapters within their designated Province.
- ** Reimbursement on a line-item basis for expenses incurred by Grand Province Chiefs and also those of the Province Presidents in the discharge of their official duties.
- ** "To Better The Man" has about 5 lines in it about the Province Program, and this needs to be expanded and rewritten.
- ** As has been recommended elsewhere, if 1½ new positions are established at National Headquarters, then a portion of their duties would include the establishment of a line of communication between the Province Chiefs.
- ** Establishment of a fund of \$50,000.00 initially, to be allocated to the ten (10) provinces on a line item basis for implementation of the new concept of training. This fund can either be increased or decreased as the needs indicate in the future.

- ** If the Grand Council agrees that there should be a new concept in the purpose of the Province Program, there should be appointed a standing committee to research bibliography, appropriate training aids, manuals, etc., for use by the Province Chiefs in order that there may be continuity in the training in all of the provinces through the Chapters.

Respectfully submitted,

Jack M. Merrill
Jack M. Merrill, Chairman

AD HOC COMMITTEE
ON
PROVINCE PROGRAM

In a letter from Grand Senior President Charles Vohs, the mission of this Committee was to determine the future of the presently established Province Program; i.e., is it working, and if not what can be done to make it a viable part of "To Better The Man"; or do we throw it out and implement an entirely new system.

The Ad Hoc Committee met at Columbus, Ohio on January 25-26, 1991. We began this meeting on the premise that the program as presently established had no official guidelines from headquarters, no means of communication between Provinces, and lastly, no money on which to operate. The consensus was that we have an established program in place, and that there was insufficient time to recommend and be ready to put into place an entirely new program prior to the meeting of the Grand Council in August 1991. We do not feel that it would be prudent to make any re-alignment of the Provinces. However, with the availability of money through the generosity of Brother Howard Kleinoeder we did not feel that money should be just thrown into the existing program, but rather that the program should have an entirely new concept, and be funded to the extent necessary to carry out its expected results.

GOALS

In our almost 150 years of existence we have adapted to the changing needs of our chapters and national organization; however, it would seem that our approach has been within the framework of trying to improve the organization. We believe that now is the time to move from thinking about the organization called Alpha Sigma Phi to thinking about the individual Brother and how he can best experience Brotherhood. We have succeeded largely in nurturing a strong fraternal organization, but forgot that Brotherhood was the primary objective and the organization was only a means to that end.

The national conventions and leadership conference have in the past presented some excellent guest speakers covering a wide range of topics all geared "To Better The Man". We have absolutely no quarrel with the calibre of the guest speakers or the workshops at these meetings. It is however, our consensus that fraternities exist to help individuals realize their potential in a group setting. We therefore feel that the organization of the Province Program needs to reflect the needs of its individual members, and not the reverse. A framework then needs to be established to identify our individual members' needs and then the activities and structure to meet those needs will become apparent. These needs can be incorporated into what we feel are the real needs of the individual members.

VALUES: The correct values to fulfill their position in today's more and more complex world. We must help them establish a personal identity which includes placing of proper values upon a good many things, and also teach them the obstacles they will face without good established values, and how to overcome them.

PRIDE: Too often today we find young men who have established very little pride - they live for today without regard for tomorrow and have little or no personal esteem or integrity - there must be a meaning to the word pride as it relates to the individual Brother and his relationship with others, and in his chosen field.

RESPECT & CONSIDERATION: It is an old concept - we do not just get respect - we have to earn it - and we must be able to teach these young men about the social graces; just what consideration for others means; etc., and respect for the probably older fraternity house that has been there for a number of years, and must continue to exist for future generations of Brothers to insure its survival in the future.

ACCOUNTABILITY & RESPONSIBILITY: So many of our young men are coming to us from broken homes, or from homes where in trying to keep pace in the business world, high costs of living, etc., both parents are working. And in this setting we find that these young men have just grown up with no sense of responsibility or accountability. Their attitude seems to be if it doesn't personally interest me, then why should I do anything about a given situation. It would then seem imperative if we are to better the man that we must impress upon him that now and in the future he will be held strictly accountable and responsible for compliance with governing rules, regulations, and laws, and any changes thereto.

Emphasizing the individual over the group is a revolutionary change in fraternal thinking, but wouldn't Alpha Sigma Phi be a better fraternity if we had outstanding individuals in a group rather than having an outstanding group of individuals?

GRAND PROVINCE CHIEFS

All Grand Province Chiefs, and Co-Grand Province Chiefs shall meet each year either at the national convention, or in alternate years at the leadership conference to discuss current issues, and this possible resolution through positive programming, and to review and answer questions that may be in the minds of the Province Chiefs. This yearly review will tend to place more accountability on the Province Chiefs for the operations within their designated Province.

We were furnished a copy of the "Volunteer Network of Alpha Sigma Phi - The Province System" at the January meeting, Page 75 of that document lists the duties of the Grand Province Chiefs. It

is our consensus that this statement does not clearly define just how the Province Chief is to carry out those duties - nor is there a clear cut outline of what might be expected to happen at a Province meeting. Therefore, we make the following recommendations:

1. Normally each Chapter receives a visit from a Chapter Consultant but to our knowledge there has been no copy of recommendations to the Chapter furnished to the Province Chief. This tends to keep the Province Chief in the dark as to what the Consultant found that needed corrective action or improvement and the definitive action that needed to be taken to accomplish these items.
2. It is recommended that the Province Chief should visit each Chapter yearly and more often if indicated and preferably between Chapter Consultant visits to insure that corrective action has been taken and to preserve continuity, and also to observe the Chapter in its true operations. One of these visits should be made at a time when parts or all of the ritual are being used.
3. The Grand Province Chief should be kept aware of any and all interest groups or colonies in his designated Province so that he might assist the alumni and other in attaining Alpha Sigma Phi's goal of creditable expansion.
4. Chapter programming to include rush, social and ritual are all matters of great importance to any Province Chief, and compliance with national headquarters directives, as well as with local, state and university IFC regulations should be routinely monitored.
5. Province Chiefs should be reimbursed on a line-item basis for expenses incurred in discharge of their official duties. This would include reimbursement at 26.5¢ per mile for personal auto expense in travel; hotel or motel room charges if space is not available in the visited chapter house; expenses upon proper receipt for mail and telephone charges. Too often in the past, the Province Chiefs have been out anywhere from \$300 to \$400 or more from personal funds for expenses. Such reimbursement would come from the recommended funding of the Province Program.
6. While the manual states that the office of Province President is the most important office attainable by an undergraduate, in the past there has been no reimbursement for expenses incurred in the performance of his duties. Again, on a line-item basis, such expenses should be fully covered under the Province Program.
7. Where there may be such a large geographic territory in a Province, and it has been determined that such area should be given Co-Grand Province Chiefs, then both of those persons should be funded their expenses in traveling to and from and attendance or registration fees at the convention of leadership conference, as well as all other Province Chiefs.

FINANCIAL AND BUDGETARY CONSIDERATIONS

The Ad Hoc Committee is recommending that the sum of \$50,000.00

be allocated for the funding of the Province Program. However, this does not mean that each Province will necessarily equally receive the sum of \$5000 as all provinces are not the same size, nor is the site selected each year in near proximity to all chapters. From this fund will be the aforementioned reimbursement to the Grand Province Chiefs, and also to the Province Presidents.

In this vein, it may be well for the Grand Council to consider the cost effectiveness of allowing the Province Chief in conjunction with the Province President to have more than one province meeting. More and more we are seeing Chapters sending delegates to the conventions and leadership conferences only to learn later on that what was learned by the delegates was not brought back to the Chapter. It is our opinion, that we are not, therefore, reaching out to these young men in the proper method of teaching them values, respect, consideration, accountability, responsibility, pride, and it may just be that we need to go to the chapters in our Provinces where all members are in attendance in order that the greatest number of our young men can have this needed training in these disciplines.

If the Province Program can motivate these young men into developing values, and of learning the importance and necessity for having pride, respect, consideration and foremost accountability and responsibility then it is our consensus that we will have taken dramatic steps "To Better The Man" as a Man. The end result is that Alpha Sigma Phi will be better prepared for the 90's and into the future. Our future depends upon it.

From a personal standpoint, I would like to paraphrase something that was written not so long ago by Manny Feldman:

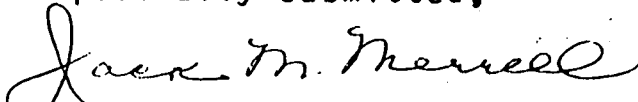
"Brotherhood is where character is formed, values are learned, ethics are created, and the Brotherhood is preserved;

Where all Brothers contribute and share, cooperate and work, and accept their responsibility toward the good of the Brotherhood;

Where each Brother can find solace and comfort in grief, pleasure and laughter in joy, and kindness and encouragement in daily living."

We, the members of the Ad Hoc Committee on the Province Program thank the Grand Council for once again having had the opportunity to serve the "Old Gal".

Respectfully submitted,


Jack M. Merrill, Chairman

RECEIVED

JUL 15 1991

Alpha Sigma Phi Fraternity, Inc

845 Brookmere Drive
Edmonds, WA. 98020-2610
July 12, 1991

Mr. John Chaney
Executive Vice- President
Alpha Sigma Phi Fraternity
24 West William St.
Delaware, Ohio 43015

Dear Brother Chaney:

Reference is made to your letter of May 17, 1991, requesting the submission of the Ad Hoc Committee on Province Programs recommended budgets for the year 1991-1992. You had requested this information by July 1, 1991. However, it was necessary, after having requested this information from the GPCs be in my hands not later than June 22, that I had to telephone all but one GPC and some of them it took two telephone calls.

Province I - University of Washington, Oregon State University, Berkeley, and UCLA.

- | | |
|--|----------------|
| 1. Airfares and/or reimbursement for travel by personal transportation for both GPC Mark Arend and Larry Philippi, two visits each | \$600.00 |
| 2. Outside speaker (s) for conclave | 500.00 |
| 3. Travel, lodging, meals for undergraduates for province conclave - \$50.00 per person for 50 | 2500.00 |
| 4. Phone, postage, supplies | 100.00 |
| Total | <u>3700.00</u> |

This does not include, travel, registration, etc., for both Arend and Philippi for national convention 1992 which should be included in budget

	1500.00
Total	<u>\$5200.00</u>

Province II - Iowa State, Missouri, and Missouri Valley

- | | |
|--|------------------|
| 1. GPC Forney requested travel expenses of only for himself on two visits | 210.00 |
| 2. Meals for two undergraduates from each Chapter @ \$25.00 per person and for himself | 125.00 |
| 3. -Miscellaneous expenses, postage, supplies, telephone | 200.00 |
| Total | <u>\$ 535.00</u> |

This is an inordinately low budget request, and to my way of thinking does not take into consideration the bringing of any outside speakers for conclave, nor provisions for enablement of more than two undergraduates from each chapter. It would be my best estimate that this budget request should have \$1000.00 added to it for a total of \$1535.00

Province III - Illinois, Illinois Tech, Indiana, Loyola, Purdue, and Illinois State

1. Travel expense for Grand Province Chief	\$ 400.00
2. Travel, lodging, meals per undergraduate for conclave, speaker (s), etc.	500.00
3. Funds for possible expansion of Province III	300.00
Total	<u>1200.00</u>

Here again I believe that GPC McCaffrey has under-estimated that cost of bringing more than two or three undergraduates from each chapter to his province conclave, and I believe that another \$1000 should be added to his budget request as it does not include the cost of food, lodging, etc., for attendees. Total budget request would then be \$2200.00

Province IV - Michigan, Toledo, Tri-State, Central Michigan, Colony

GPC Bill Siering submitted the following request for funds:

1. Phone, postage, supplies	\$250.00
2. Conclave speaker (s), expenses	750.00
Total	<u>1000.00</u>

As this request does not consider enablement of more than two or three undergraduates from each of the chapters, that I believe that another should be added to this request - total \$2000.00

Province V - Baldwin-Wallace, Bowling Green, Ohio Northern, Ohio State, and Findlay Interest Group

Income: 1. Conference/meetings, registrations, etc.	\$ 500.00
2. National allocation	3350.00
3. Donations	150.00
Total	<u>4000.00</u>

Disbursements:

1. Administrative expenses Province Chief, Asst Province Chief, Province President	550.00
2. Conference/meeting expenses	3000.00
3. Chapter programming expenses	450.00
Total	<u>4000.00</u>

From this request for budgetary consideration, it would appear that GPC Mark Williams is requesting national allocation of \$3350.00

Province VI - Bethany, Davis & Elkins, Marietta, Marshall, Rio Grande Slippery Rock, Westminster

1. Travel expenses GPC to all chapters	540.00
2. Guest speaker (s)	500.00
3. Phone, postage, motels, etc.,	500.00
4. Spring retreat	1000.00
5. Again, GPC Babb does not include any incentive pay to get as many participants as possible, and I would estimate this should be figured at another \$100.00, making ka total request for	\$3540.00

Province VII - Barton, East Carolina, Longwood, Radford, Wake Forest

Even though telephone requests were made twice to GPC Kahler, to date none has been received. If such request is received after this letter is mailed, it will be forwarded to National headquarters for inclusion in the budgetary recommendations.

Province VIII - Miami, UNCC, Presbyterian, Tulane, Coastal Carolina
Interest Group

1. Telephone, postage, supplies, etc.,	\$400.00
2. Chapter GPC visitations	1200.00
3. Undergraduate travel-lodging for conclave	1600.00
4. Speaker (s) and events for conclave	800.00
5. Conclave banquet	500.00
Total	<u>4500.00</u>

Province IX - American, Delaware, Lehigh, NHIT, Rutgers, Stevens
Tech, Stockton State, Widener, William Paterson

Even though telephone request was made to GPC Luckenbill, to date none has been received. If such request is received after this letter is mailed, it will be forwarded to National headquarters for inclusion in the budgetary recommendations.

Province X - Binghamton, Buffalo, Cornell, Hartwick, Lock Haven,
Lycoming, Penn State, Plattsburgh, RPI, Tufts

1. Travel by undergraduate delegates	1000.00
2. Travel by Province President	400.00
3. Travel by Province Chief	500.00
4. Telephone, postage, supplies for GPC	250.00
5. Food/entertainment, guest speaker (s) to host Chapter for conclave 2 x 900	1800.00
6. Miscellaneous expenses	200.00
Total	<u>4150.00</u>

Respectfully submitted,

Jack M. Merrill

Jack M. Merrill, Chairman
Ad Hoc Committee on Province Program

Ad Hoc Committee on National Alumni Programs
Staff Comments

SIGNIFICANT ACTIONS UNDERWAY/COMPLETED

- Included line item for alumni programming in 1991-92 proposed budget/\$5,000
- Organize alumni presentations (testimonials) to undergraduates and alumni organizations.
- Continue and upgrade recognition benchmarks and make necessary awards.
- Strengthen and expand communications/\$38,000 increase in proposed 1991-92 budgets.

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Focus on a theme "A Brotherhood for Life" in all publications/\$1,000
- Create a volunteer "needs" brochure/\$8,500
- Certificates to recognize transition to alumni status/\$1,000
- Alumni advertising inserts into publication/\$5,000

CAPITAL CAMPAIGN

- Staff resource and volunteer coordinator/\$35,000
- Time and talent survey of alumni/\$40,000

RECOMMENDATION FOR AD HOC COMMITTEE

- Continue ad hoc committee with recommendation to either allow former undergraduate Brothers Holmstrom and Rubadeau to continue to serve or to replace with two undergraduate new subjects to the desires of the committee chairman.

The Grand Council Ad Hoc Committee on Alumni Development worked extremely hard during the weekend in January in Columbus to accurately assess, evaluate and create realistic suggestions and proposals for the enhancement of the fraternity's Alumni Development programs.

Throughout this report and proposal, you will note a definite distinction between the areas of "involvement" and "fundraising". It was the consensus of the committee that fundraising efforts were substantially assessed in the recent Sumnar-Rahr Development Audit, and that fundraising efforts currently conducted by both the Educational Foundation and Fraternity are satisfactory. This proposal concentrates directly on the development and level of active involvement of alumni brothers of Alpha Sigma Phi.

The mission of the committee was established as follows: *"To perpetuate a continued sense of belonging and personal fulfillment (i.e. involvement) for brothers of Alpha Sigma Phi."*

The goals of the committee, working within the mission statement are:

1. To instill in brothers a sense of lifelong commitment to the fraternity
2. To establish and promote opportunities for involvement in the fraternity
3. To strengthen communication within the brotherhood

This proposal will examine the means and strategies in which to achieve the goals set forth by the Ad Hoc committee.

AREA ONE.

To instill in brothers a sense of lifelong commitment, there are three basic types of brothers with which to work. They are the current alumni, current undergraduates, and finally the future (pledge) brothers of Alpha Sigma Phi. The most effective way to promote the theme "A Brotherhood for Life", current undergraduates and alumni need to be captured and captivated through various methods including the publications now utilized by the Fraternity and also the Educational Foundation.

Both alumni and undergraduates must be shown what they have to gain by actively participating in Alpha Sigma Phi. Furthermore, much emphasis should also be placed on educating new initiates on the importance and opportunities of being actively involved in Alpha Sigma Phi throughout their lives.

The committee proposes the following ways in which to further capture (and recapture) the interest of these three groups of brothers and thus instilling, establishing and maintaining interest in Alpha Sigma Phi:

- A. Develop an insert for the *TO BETTER THE MAN* manual. This insert will concentrate on the theme "A Brotherhood for Life", but also show specific ways and levels of involvement in which brothers, pledges and new initiates may be involved throughout their lives.
- B. Organize alumni presentations (basically testimonials) to undergraduate and alumni organizations throughout the country. These presentations will not only help to instill the "lifelong" feeling in current undergraduate brothers, but will also help in recruiting additional alumni volunteers.
- C. Establish benchmarks for recognition of both undergraduate and alumni brothers.
 - 1. recognition of the transition from undergraduate to alumni brother
 - 2. continue and further enhance the recognition of initiation anniversaries - 10 year, 25 year, 50 year, etc.
 - 3. recognition of brother's birthdays and other significant milestones by the national organization.

Educating and recognizing brothers, both undergraduate and alumni, that the fraternity has grown, and will continue to grow due to the continued efforts of volunteers, will continue to pay off as a vital resource to our organization.

AREA TWO.

To establish and promote opportunities for fraternity involvement, there are several areas in which to concentrate. Levels of involvement and commitment are different for each individual brother. By expanding these

opportunities and programs, we are likely to gain more interested and active alumni. Four areas of alumni involvement, as developed by the committee include: general volunteers; alumni who participate in social activities; alumni who participate in career development and networking programs; and alumni who participate financially. There are, naturally, cross-overs in each of these areas, but the general idea is to recognize and expand each overall.

Focusing on areas important to the lives of alumni such as social, job/career, hobbies, and having those alumni share their own experiences and successes, will more likely motivate inactive alumni and future alumni to become involved.

- A. Defining "active volunteers" is relatively easy. Many of these roles are already established within the structure of Alpha Sigma Phi. Some examples of these opportunities include:

- *working with undergraduates on basic chapter management
- *working with chapter alumni corporations and associations
- *working with undergraduates on special projects such as philanthropic events, etc.
- *working with provinces
- *working with colonies

Many other opportunities exist, and yet others need to be established. The committee proposes that a Volunteer "Needs" brochure be created and distributed to all alumni. This brochure would include areas and descriptions of available opportunities.

- B. Brothers who participate in social activities, yet not actively volunteer, must not be overlooked. Active participation in events such as homecoming, founder's day, regional alumni functions, national conferences and initiations all foster and help to instill commitment. Furthermore, this stresses the need to promote, advertise, and consistently communicate these opportunities throughout the Alpha Sigma Phi family. The committee proposes that advertising be created to promote such events, which will benefit both the national and local levels of the organization.

- C. Participation in opportunities such as Career Development/Networking programs has obvious dual benefits. A clearly defined program of this type actively involves alumni and undergraduates. A resource as

simple as cross-referencing alumni employers and/or professions matched geographically, would open entire new avenues of participation. Other programs such as the fraternity sponsoring regional seminars and interest groups are another way in which to involve new faces. Additionally, an Alumni Big Brother program would further support and enhance this concept.

- D. Financially active brothers, those who give money to the Fraternity or the Educational Foundation, are the sum benefit of the above opportunities for involvement. Simply put, active, involved brothers are more likely give money on a regular basis. The committee feels that this is sufficiently covered and evaluated in the Development Audit, and those results and recommendations should not be further revised at this time.

Therefore, the committee recommends that a "Time and Talent" type of survey be compiled of all alumni. The results of the survey would create a wide data base of information for recognizing and creating opportunities for involvement. A staff resource person will be needed to appropriately manage and direct this effort.

AREA THREE.

Strengthening communications within the brotherhood is the key to the success of the two preceding major areas. Three ways in which communication can be strengthened in Alpha Sigma Phi are as follows:

- A. Continually upgrade, expand and evaluate the national publications - *THE TOMOHAWK*, *FOUNDATION FOR EXCELLENCE*, *THE OLD GAL GAZETTE*. Overall appearance and content are vital factors, as well as circulation considerations.
- B. Establish standards to provide consistency of local and chapter publications - individual chapter and alumni association/corporation newsletters, etc.
- C. Actively advertise and promote national and regional conferences, conventions and meetings.

Each above area needs to be critically examined on a regular basis, as well as a determination made to focus particular information to particular alumni (i.e. - who needs what news). Content of these publications must be continually

re-evaluated in order not only to maintain interest, but also to spark new and renewed interest in Alpha Sigma Phi.

STEPS TO ALUMNI INVOLVEMENT.

In each of these three areas, proper steps to create involvement opportunities need to be handled in a uniform manner. Some guidelines established by the committee include:

1. *Identify the tasks* - what is it we want the volunteer to do? A description, giving responsibilities and time commitment is necessary.
2. *Identify potential volunteers.* The results of the "Time & Talent" survey would provide the proper targeting of appropriate individuals. Also, the cultivation of these targeted individuals needs to be established and initiated.
3. *Get the commitment.* ASK.
4. *Follow through with the task.* Make sure it is done, and that individuals are thanked and recognized.
5. *Generate publicity/public relations recognition of the task* (if appropriate).

To create *successful* involvement, these guidelines must be utilized in each area. The guidelines reflect a "full circle" of steps necessary to properly cultivate, involve and recognize alumni.

SPECIFIC NEEDS.

Throughout this proposal, the committee has recommended several specific needs requiring the financial support of the national organization and Educational Foundation. Among those needs are the following, along with approximated costs for fulfilling those needs.

1. *TO BETTER THE MAN* informational insert.
Approximate cost of production - \$800 - \$1,000.
2. Certificate to recognize the transition from undergraduate to alumni status.

Approximate cost of production - \$1,000.00

3. Staff Resource and Volunteer Coordinator. This staff position would be responsible for the following tasks (but not limited to these - depending upon other recommended staffing models by additional Ad Hoc committees):

- organize "Time/Talent" data base
- organize national/regional alumni presentations
- oversee recognition programs (anniversaries, birthdays, etc.)
- create and supervise advertising, coordination and promotion of regional/national events and programs
- coordinate regional alumni groups
- establish standards for publications
- serve as editor of fraternity publications
- oversee establishment of career development/networking data base and programs

*It is important to note that this staff resource position would work closely with and through the proposed enhancement of the Province System, the Director of Chapter Services, and also the Vice President for Development of the Educational Foundation.

Approximate annual salary \$25-30,000.

4. "Time & Talent" Survey of all alumni to create data base.
Approximate cost of production - \$35,000 to \$40,000+-.
*More research is necessary to provide more accurate estimate of cost. Also, with the constant progression of undergraduates to alumni, this needs to be a budgeted annual item for the updating of information.
5. Volunteer Needs Brochure to all alumni specifying available opportunities for involvement.
Approximate cost of production and distribution is \$7,500-8,500.
6. Create advertising for insertion in Alpha Sigma Phi publications to draw and cultivate alumni.
Approximate cost to develop style campaign is \$3,000-\$5,000.

It should also be noted that the Ad Hoc Committee for Alumni Development recommends that the Grand Council should create and maintain annual budgeted amounts for increasing the frequency of publishing all current fraternity and Educational Foundation publications (i.e. *THE TOMOHAWK*, *THE*

OLD GAL GAZETTE, FOUNDATION FOR EXCELLENCE, etc.). In the same respect, it is necessary to provide funds for regular professional assesment of those publications (i.e. content, style, layout and circulation considerations).

FINAL RECOMMENDATIONS AND REMARKS

There are many excellent existing alumni programs already in place within the Alpha Sigma Phi organization. However, it is the feeling of this Ad Hoc committee that serious consideration and implementation of these highly realistic programs and recommendations are necessary, and that they would have a tremendous, long-term and beneficial effect on our fraternity.

Furthermore, many opportunities for involvement still need to be explored, especially those that promote alumni and undergraduate brothers working hand-in-hand to further establish and drive Alpha Sigma Phi into the 21st century. This committee applauds the Grand Council for providing the opportunity to do just that. The structure of the Ad Hoc committees are, perhaps, the model example of the type of goals we wish to see accomplished.

The committee also wishes to express the desire to continue the work we have initiated during the first half of 1991. Many details and much research must still be done if the proposed programs are to be successful, and we look forward to seeing these proposals become realities.

The committee has appreciated the opportunity to be involved! Thanks for asking us!

Respectfully submitted,

Stephen Behrer
T. Gunnar Holmstrom
Nick Rubadeau
Robert Snow
Peter Sylvester
Stuart A. Spisak, Chairman

**Ad Hoc Committee of the Sesquicentennial Anniversary
Staff Comments**

SIGNIFICANT ACTIONS ALREADY ACCOMPLISHED

- Publication of rush oriented brochure

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Produce alumni event planning guide/\$1,500
- Produce the Heritage Video/\$7,500 (portion to be recouped from sales)
- Travel and meeting costs for committee for Summer 1991/
Winter 1992/\$5,000
- Fund for production/publication for 150th history/\$25,000
(portion/all to be recouped)

CAPITAL CAMPAIGN

- None

RECOMMENDATION FOR CONTINUANCE OF AD HOC COMMITTEE

- Absolutely a must!

Committee on the Sesquicentennial
of
Alpha Sigma Phi Fraternity

1990-1991
Final Report

Summary of Budget Requests (1991-92)

- @ A. Cost of the rush oriented brochure (estimated to be \$60.00) is within the sum authorized for 1991-92. If found beneficial by the chapters & colonies, adaptations for subsequent years should be offset by increased pledge fees. The sum would be needed in August 1991.
- @ B. Cost of production of the alumni event planning guide (one per chapter or colony), plus one per Grand Province Chief and Grand Chapter Advisor, at \$10.00 each, \$1,500.00. The sum would be needed in Fall 1991.
- @ C. Cost of the logo prize (cross pens w/ Alpha Sigma Phi Coat of Arms, as quoted in the Balfour Catalogue). The dollar amount will be furnished by July 1, 1991.
- @ D. Cost of production of the Heritage Video, \$7,500.00, with prospect of some and possibly full cost recoupment through sale of copies to chapters, undergraduates, and alumni. The sums will be needed in the Winter of 1991-92.
- @ E. Travel allowance and lodging for successive Committee meetings for Summer 1991 and Winter 1992.

Respectfully submitted



Robert W. Kutz, Nu '67
Chairman

June 13, 1991

1991 Final Report of the Committee on the Sesquicentennial

On January 26, 1991, the following plans for the celebration of the 150th Anniversary were adopted for recommendation to the Grand Council, cost estimates have been obtained since the committee meeting as indicated:

I. The Celebration

- A. The Celebration of the Sesquicentennial will commence with the annual meeting of the Fraternity (National Leadership Conference) in 1995, and run through the annual meeting (National Leadership Conference and Convention) of 1996.
- B. The 1995 annual meeting should be held at a resort location (near New Haven, Connecticut), with particular efforts made to encourage attendance of alumni and families.
- C. The Provinces will be expected to conduct Sesquicentennial celebrations as close as practicable in time before December 6, 1995, at a sight and time to be selected at least two weeks prior to the deadline date for the Spring 1995 Tomahawk.
 - 1. Notice of the regional celebrations will be published in the Spring and Summer issues of the Tomahawk, to official family, Anniversary Club and greater alumni contributors in the Province, undergraduates in the Province and all Alpha Sigma Phis in zip codes in proximity to the celebration.
 - 2. The committee discussed seeking a statement of expectation of participation of Officers of the Fraternity, but adopted no position on the issue.
- D. A Banquet and Black Lantern Processional will be held at New Haven, Connecticut, the weekend of December 8-10, 1995 (Fri-Sun). A site or sites conducive to retreat programs will be sought for use by chapters or others seeking to conduct leadership retreats over that weekend.
- E. The annual alumni gatherings (homecoming, Sig bust, Founders' Day, etc.) of the chapters and alumni councils should continue at the times and places traditionally held, in order to maintain continuity. The chapters and alumni councils will

1991 Final Report of the Committee on the Sesquicentennial

be encouraged to adopt a sesquicentennial theme for a gathering in the 1995-1996 academic year.

- F. The 1996 annual meeting will include video of the celebrations around the country during the sesquicentennial, and will stress a forward looking theme, such as--Upward to the Bicentennial.

II. Publications

- A. A simple brochure will be produced during the Winter of 1991 to call 1991 Rushees' attention to their potential graduation in the class year of Alpha Sigma Phi's sesquicentennial.
1. Production of a printable copy of this brochure was delayed by lack of access to a suitable page-maker program, or other desktop publishing program for page set up and lack of access to appropriate photosetting devices, and a laser printer.
 2. Text of the proposed brochure is appended hereto. I have made arrangements to be in Delaware, Ohio, July 18-23, 1991, and will work with Headquarters resources and staff to prepare the flyer for printing.
- @@ 3. The cost of this project was estimated to be \$60.00. If successful a re-print or amended flyer would be sought for 1992.
- B. A History of Alpha Sigma Phi should be prepared for sale during the Sesquicentennial year.

1. Initial cost estimates to determine present range of costs of publication of a 300 to 400 page standard size volume will indicate that the printing costs for a run of 5,000 copies of a 385 page volume with 100 illustrations would cost \$5.66 per copy \$20,205.00 for printing, and \$8,100.00 for pre-press production), with additional thousands at \$2.66 per copy. Samples provided by Maury Boyd & Associates, Indianapolis, Ind., which prints the magazine editions of the Tomahawk, are of very good quality.

Another response to a request for an estimate, Ovid Bell Press, Fulton, Mo., provided good quality samples and request for specifications beyond present expertise. Its

1991 Final Report of the Committee on the Sesquicentennial

average run per title is 8,500 copies. The apparent problem at this stage is whether, with a likely market of under 50,000 buyers, demand for the publication will be too low to be cost effective.

2. A limited market survey should be undertaken to determine roughly the demand for the work. The committee will need to do some planning on the scope and method for this study. No cost estimates for the market survey have been developed.
- @@

C. Two historical articles should be published through the Tomahawk in 1994-95 to build interest in heritage. No funds are required in fiscal year 1991-92 for this aspect of the program.

D. A folder or packet for chapters--outlining planning instructions for a "Sig Bust" or banquet for the 150th should be prepared and distributed.

1. The Committee felt preparation and distribution should not wait until the 1994-5 year, but should be accomplished as soon as possible as a guide for the H.P. Costs would run to \$10.00 per copy, with one copy to the chapter, one to each Province Chief and Grand Chapter Advisor (150), the cost will be up to \$1,500.00. An appropriation of \$1500.00 for fiscal year 1991-92 is requested.
- @@

2. The folder should have text instructions on arranging an alumni event, and contain sample invitations, a publicity time line, instructions for creating an alumni phone tree, ideas for themes for the celebration, campus and fraternity press announcement materials and samples.

III. Video--the committee felt two video tape productions would be beneficial--

A. A contemporary oriented video on Alpha Sigma Phi heritage for use in rush and alumni development. This need not be sesquicentennial specific, but aimed to strengthen esprit de corps.

1. A cost estimate has been obtained, from Shaw Video Communications, at \$3,000 to \$7,000 per ten minutes of tape time. The lower cost

1991 Final Report of the Committee on the Sesquicentennial

involves a fixed camera location and one taping session from a set script. The higher estimate would include review and assistance with the script, and shooting from more than one location. A program duration of 15 to 25 minutes would seem optimum.

2. The cost of additional copies was not specified, and no market study for resale of copies has been performed. We would estimate that perhaps twenty chapters and twenty alumni would want to purchase a copy, and that we would want to furnish a copy to each chapter consultant and program chief, thus a run of fifty to sixty copies.
3. At this point, a production plan and script, together with enhancement of committee knowledge of what can and cannot be accomplished technically. (eg. can we have a speaker in 1907 garb stand and talk as Wayne Musgrave about the Second Founding and then place the speaker on the steps of a photo of the Alpha Tomb? Can we use 8" x 10" photos as a backdrop or do we need larger blow ups? Should they be half tone? Can we pick up projections screen images of color slides?)

@@

4. An appropriation of \$7,500.00 is sought in the 1991-92 fiscal year budget for development of the contemporary oriented video on Alpha Sigma Phi heritage for use in rush and alumni development. If we were able to develop a sales market for 200 copies, at \$50.00 each, sales should cover production and replication costs. If sales were sixty copies at \$50.00 each, the net cost to the Fraternity would be \$4,500.00. Due to pre-production work needed, the sum appropriated need not be available until December 1991 to February 1992.

- B. A video of the celebrations of the sesquicentennial should be prepared during the 1995-96 year, to be shown at the 1996 Convention, and thereafter for sale as a memento to alumni, undergraduates, or chapters.

IV. Historical Orations

1991 Final Report of the Committee on the Sesquicentennial

- A. Stage skit presentations of moving speeches or articles of fraternity leaders--including Manigault, Follett, Musgrave, Burns, and Varner should be prepared for presentation at annual meetings.

1. No further planning has been done on this aspect of the program, however, if the Grand Council would like to proceed with this for the 1991 NEC, I will work on recruitment of a presenter and get 3 to 5 minute dialogue during my July visit to Delaware.

- @@ 2. Expense in 1991-92 could vary from none to some stipend or expenses to the 1991 NEC or alumni workshop.

- V. The Committee recommends development of an appropriate archival display area for the sesquicentennial and prior year at the National Headquarters.

No 1991-92 costs are requested for this program aspect.

VI. Logo and theme contest

- A. Solicitation for proposed logos and themes for the sesquicentennial year was announced in a February issue of the "Old Gal Gazette", with a due date for submissions of June 1, 1991. One logo was submitted which appears appropriate under the criteria set forth below.

- B. The artist/author of the selected submissions to receive credit for creation, a non-monetary prize [a Cross pen with Alpha Sigma Phi Coat of Arms was suggested], and payment of the winner's registration fee for the 1995 National Leadership Conference and Convention, were suggested prizes.

1. The logo should clearly show that it is for the 150th Anniversary of Alpha Sigma Phi, be suitable for stationary, button, sticker, or silk screen application to tee-shirt or sweatshirt. It may incorporate historic or current heraldic devices or designs of the Fraternity, or rely on novel devices, or adaptations.
2. The theme should be suitable for the theme of the 1995 National Leadership Conference and

1991 Final Report of the Committee on the Sesquicentennial

Convention, and clearly show that it is for the 150th Anniversary of Alpha Sigma Phi. For example: "1845-1995, 150 years of Alpha Sigma Phi partnership with higher education."

- @ 3. The cost of the pen is not known, but is listed in the current Balfour & Co. catalog. An appropriation for that amount, which I will provide by July 1, 1991, is requested for 1991-92.

VII. Suggestion of a presentation of the initiation ceremony of an earlier era was rejected. Best reports of the nature of presentation of aspects of the ceremony are markedly out of step with contemporary standards. Instead, the early role of the Black Lantern might be re-enacted (without the strong drink of 1876!)

VIII. Summary of Budget Requests (1991-92)

- @ A. Cost of the rush oriented brochure (estimated to be \$60.00) is within the sum authorized for 1991-92. If found beneficial by the chapters & colonies, adaptations for subsequent years should be offset by increased pledge fees. The sum would be needed in August 1991.
- @ B. Cost of production of the alumni event planning guide (one per chapter or colony), plus one per Grand Province Chief and Grand Chapter Advisor, at \$10.00 each, \$1,500.00. The sum would be needed in Fall 1991.
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- @ E. Travel allowance and lodging for successive Committee meetings for Summer 1991 and Winter 1992.

Respectfully submitted

Robert W. Kutz

Robert W. Kutz, Nu '67
Chairman

Committee on the Sesquicentennial--Appendix 1.

RUSH FLYER FOR 150TH

Heavyweight grey 8 1/2" by 11" paper with maroon ink (black ink as indicated). The finished flyer to be folded in thirds forming six 8 1/2" by 3 2/3" panels.

Panel 6 (Reverse side, right third) Top: the Oak Wreath Seal (Approximately 1/2" from top, 1 1/4" by 5/8" wide, centered.) At 2 1/8" from top, black block greek letters Alpha Sigma Phi, 1" high.

"A Fraternity to Better the Man
Founded at Yale College, December 6, 1845."
in 12 point type at 3 1/2" to 3 3/4" from the top.

The pledge pin centered on the panel, in black ink.

"An invitation to join our class of 1995 in
bringing the brotherhood to its 150th year."

Photo of undergraduates in a rush or study setting ~2" by ~3"

Panel 1 (Front side, left panel):

"Alpha Sigma Phi was founded nearly a century and a half ago on serious and enduring principles and ideals. It has not forgotten those ideals or purposes--which are timeless, but its programs continually evolve to reflect today's needs and standards."

Mini photos: (Left) Manigault, with his journal of Alpha Sigma Phi; (Center) The Alpha Tomb; (Right) Musgrave

"Our founders were three freshmen with a vision, and our Fraternity has remained under the control of undergraduates who carry out their chapters' programs and through the Convention set national policy and standards. Our chapter is a real living seminar in learning organizational ships, leadership development, financial planning, and human relations assisted by volunteer alumni, and professional staff members.

"We are a fraternity that believes in scholastic achievement, and puts its beliefs into actions. The National Fraternity and most chapter have scholastic awards and prizes for achievement and improvement.

Committee on the Sesquicentennial--Appendix 1.

"Alpha Sigma Phi believes in a well rounded social program [I would like to say free from pressure on its members to smoke or drink, but I am not sure that is more than an aspiration at this point. RWK], and in service to its campus and community."

Photo: 1990 National Convention attendees.

Panel 2 (Front side, Center panel):

"Alpha Sigma Phi has never been easy to characterize with any of the handy stereotype terms. Our individual member retain their diversity while acting together to carry out programs and activities of the chapter.

"We have learned a lot in over 145 years about providing a meaningful lifetime experience, and we retain the support and participation of member initiated over 75 years ago. Our alumni in all professions and vocations throughout the country will tell you they owe more to the "Old Gal" than they can ever repay. These are successful men who have gained skills, abilities, and strength of purpose from their Fraternity experience. The new member can and does see results from his own involvement almost immediately.

"Alpha Sigma Phi is a charter member of the National Interfraternity Council, and a leader in developing national inter-fraternity co-operation. We set the pace in open membership, without restriction race, creed, or national origin.

"Unusual?

"We like to think so. We like to think that alpha Sigma Phi represents a cross-section of America as few organizations do.

"How did this kind of Fraternity evolve?

"It started in 1845, at Yale College, with Louis Manigault, pictured at the upper left, a 17 year old South Carolinian of French descent. He was assisted initially by two friends, one from Pennsylvania, the other from Louisiana. They set in motion a new college fraternity. This year's new members will set the direction to bring us to our Sesquicentennial. ->150 Years<-"

Photos--Rockledge, Iota Chapter house; Alpha Pi Chapter

Committee on the Sesquicentennial--Appendix 1.

house.

Panel 3 (Front side, right panel):

"The ideals, purposes and objectives those founders of 1845 laid out must have been special indeed. Alpha Sigma Phi has initiated over 45,000 members in over 100 college chapters. Alpha Sigma Phi lives despite the fact that an outspoken publication and intense rivalry between societies at Yale almost immediately put the organization under faculty bans. But Alpha Sigma Phi prevailed. It survived operating under an alias for a dozen years; it survived operating from only a single undergraduate chapter for many years; ultimately it grew to become a major force in America's fraternity system.

"Why?

"Because of intangibles like brotherhood, spirit, unity with respect for individual differences, purposes, and ideals. The purpose is best summed up by our motto: 'to Better the Man.' Our members have found involvement in Alpha Sigma Phi worthwhile not only for their undergraduate years, but for a lifetime.

"The hub of fraternity activity is the chapter, and today Alpha Sigma Phi's chapters are on outstanding campuses across America. The chapters are in huge universities, and smaller colleges. Some chapters house members on houses they or their alumni own; others operate from quarters on campus.

Fraternity policy, directed by undergraduate members meeting in Convention, is carried out by a professional staff operating from our headquarters in Delaware, Ohio."

Photo: Ralph Burns, John Cheney, & Rob Sheehan, Jr.; or other group photo of alumni of various ages.

Panel 4 (Reverse side, left panel)

**Ad Hoc Committee on Undergraduate Housing
Staff Comments**

SIGNIFICANT ACTIONS UNDERWAY

- Created a \$2,500 line item for housing in proposed budget

SIGNIFICANT ACTIONS TO BE CONSIDERED/FUTURE FUNDING REQUIRED

- Add a Director of Housing to staff/\$40,000
- Publish national housing resource manual/\$5,000
- Recommend collective approach on housing to NIC

CAPITAL CAMPAIGN

- Endowment for Director of Housing and Risk Management position

RECOMMENDATION OF AD HOC COMMITTEE

- Abolish the ad hoc committee and create a volunteer steering committee on housing.

Mr. John Chaney
Executive Vice President
Alpha Sigma Phi Fraternity
24 W. William Street
Delaware, Ohio 43015

Dear Mr. Chaney,

As chairman of the Undergraduate Housing Ad Hoc Committee, I am please to offer the following report. Our committee hopes that the recommendations we have come up with will be a roadmap for success for all Alpha Sig chapters across the nation.

Quality housing for our undergraduate members should be a national fraternity priority. Our committee observed that the vast majority of our chapters are either without any housing or have housing that is in need of repairs. Many chapters were thought to be in such bad repair that the fraternity's risk of accident is greatly increased. Our committee discussion brought out the following concerns and problems:

- *lack of long term planning both at the chapter and national fraternity level
- *lack of alumni commitment and involvement
- *lack of funding for the up front expense of new housing and for the repair of existing
- *inability for chapters to secure adequate financing in the general market
- *other environmental constraints such as zoning, university rules, etc...

Based on our discussion we feel that the following items need immediate national action:

1. **Hiring of a National Director of Housing to**
 - assist in the start of local corporations
 - develop educational programs
 - offer consultant services as needed
 - develop long range repair plans for chapters
 - look at each chapter to estimate financial need for both new house and for repairs
 - assist in the evaluation and development of a separate corporation to address the long term educational and financial needs of the undergraduate chapters

Funding required:

\$40,000/year

2. Publish a national resource manual that will
 - detail formation of corporations
 - list services available for house management
 - list of builders, banks, etc. that work with fraternities
 - tell how to get a building project going
 - detail how to run and maintain a house
 - recommend special types of equipment, carpet, etc..
 - define role of house manager and corporation

Funding required \$5,000

3. Work on developing collective (NIC) approach on housing

Funding required \$0

4. Steering committee on housing that would
 - consist of various volunteers in special housing fields (ie contractors, bankers)

Funding required \$10,000/year

We feel that these actions will make Alpha Sigma Phi achieve its purpose "to maintain college homes". Action in this area will not only improve the standard of Alpha Sig chapters but should also go a long way in reducing our risk of accident caused by below standard housing.

It was our hope that these immediate actions would allow the National Fraternity to address urgent problems and do further analysis of the housing needs of our chapters. We feel that in the long run a separate organization may be needed to address the financial concerns of chapter housing. A look into other structures, such as the one used by Pi Kappa Phi fraternity, may have merit. The committee felt that without more analysis and without local alumni corporations to manage the process, financing from the National fraternity would only be throwing money at the problem and would not be well spent.

I would like to thank the Grand Council and the National Headquarters Staff for giving us the opportunity to participate in the process. If you have any further questions please do not hesitate to contact me.

Y.I.T.M.C

John Gibson, Indiana '85
Chairman

INTRODUCTION

This booklet contains the final reports from our ad hoc committees. During the course of Grand Council Committee meetings, the chairmen of the various ad hoc committees will be available to discuss their report, if desired.

The following is a listing of the various ad hoc committee assignments to Grand Council committees:

Ad Hoc Committee/Chairman

Scholarship/Mark Williams
Provinces and Volunteers/Jack Merrill
Service/Alan Breedlove
Expansion/Biff Matthews
National Alumni Programs/Stuart Spisak
Sesquicentennial Anniversary/Bob Kutz
Housing/John Gibson

Grand Council Committee/Chairman

Personnel/Dr. Larry Spees
Personnel/Dr. Larry Spees
Undergraduate Operations/Bob Cabello
Undergraduate Operations/Bob Cabello
Alumni Operations/Dr. Dennis Parks
Alumni Operations/Dr. Dennis Parks
Finance/Stan Thurston

As an overview for each individual report, we have included staff comments. These individual comments were then collectively analyzed, costs "normalized" and rolled into the case for support which is also presented herein. This case for support includes additional resources required to fulfill the ad hoc committee goals plus additional items the national headquarters professional staff have identified as requiring additional endowment funding.

Before embarking on any major effort to secure endowment funding for the Alpha Sigma Phi Educational Foundation, two areas of concern will need to be addressed. First, the case for support that would generate giving is essential to making the decision of whether or not to embark on any effort. Second, the preparation of the staff and volunteers to dedicate valuable resources is also an essential requirement.

Once these issues have been addressed, the staff and volunteers will have a better understanding of where we are and where we are going in the area of future endowment funding.

Our current case for support requires the following additional endowment funding as shown.

	<u>Annual Cost</u>	<u>Endowment Needed</u>
Ad Hoc Committees	466,000	9,320,000
Staff identified programs	176,500	5,030,000
TOTAL REQUIRED	\$ 642,500	\$ 14,350,000

DEVELOPING OUR CASE FOR SUPPORT

As part of the development process of any institution seeking support for a cause, the directors should periodically evaluate their case for support. Since the receipt of the Kleinoeder Bequest, the vision of where Alpha Sigma Phi is going has been escalated to greater heights and to an even greater belief in the mission of the organization.

In October of 1991, the Grand Council and Educational Foundation Trustees met to begin the planning process and create a strategic vision for the future. The participants in the work session, having reached consensus on key aspects of the Fraternity's current situation and its desired future, then moved to an identification of the strategic issues that must be managed in order to achieve that vision for the Fraternity. Members participating decided that the following issues represented the Fraternity's strategic issue agenda. The numbers in parentheses following each issue indicate the voting points which were received on that issue and indicate the relative significance of the issue to the future of the Fraternity. The complete strategic management work session report is enclosed in the last section of this booklet. In summary format the issues are:

- 1) Financial viability; success of fundraising efforts—the development of alumni: (25)
 - communication to alumni;
 - need to identify fundraising.
- 2) Number of chapters; growth; critical mass: (21)
 - how much we can manage;
 - how to select options for expansion.
- 3) Chapter services in general. (21)
- 4) The need to strive to give undivided direction to our purpose "To Better The Man:" (18)
 - maintenance of our personality;
 - communicating our purpose to our brothers.
- 5) The need to develop new and refine old types of programs to serve new members: (17)
 - develop programs to address social issues;
 - identification of the desirability of our services as seen by our constituents;
 - scholarships and grants to students.
- 6) Adequate attention to alumni involvement through all programs (fundraising and programmatic efforts): (17)
 - volunteer alumni advisors
- 7) To be seen as a true partner with universities and colleges (responding to the values and beliefs of administrators and institutions). (15)

Other issues receiving votes

- 8) Coming to grips with funding limitations of the Educational Foundation. (11)
- 9) Servicing a social action by developing programs to address social issues. (9)
- 10) Changing demographics of higher education. (9)
- 11) Adequate personnel, staffing and training. (6)
- 12) Marketing the needs and purposes of the endowment. (5)
- 13) Undergraduate involvement. (4)
- 14) Chapter housing. (2)

Issues receiving no votes

- 15) Rate of growth and expansion of Fraternity funded programs.
- 16) Globalizing the Fraternity (e.g. ASP Philippines).
- 17) How do we know we are achieving what we say we are?
- 18) Minimum operating standards for chapters.

Following the October strategic planning retreat, seven ad hoc committees were established to begin more detailed planning for the future of Alpha Sigma Phi. Those committees are:

- * Scholarship
- * Province and Volunteers
- * Service
- * Expansion
- * National Alumni Programs
- * Sesquicentinnial Anniversary
- * Housing

The reports of these committees have been received. Staff has taken the reports and made a summary listing of the desired programs requested by each committee.

	<u>Annual Cost</u>	<u>Endowment Needed</u>
Ad Hoc Committee on Scholarship		
Standing committee on scholarship *oversee program and chapter endowments	\$ 5,000	\$ 100,000
Structured scholarships *to establish annual awards and chapter endowments	\$ 100,000	\$2,000,000
Faculty and community scholarship *participation in NLC and faculty advisor program	\$ 5,000	\$ 100,000
Current issues staff position *salary, benefits, travel	\$ 35,000	\$ 700,000
Participation in NLC *travel expenses for students *enhanced faculty and programs	\$ 50,000	\$1,000,000
	<u>\$ 195,000</u>	<u>\$3,900,000</u>
Ad Hoc Committee on Provinces and Volunteers		
Staff director of provinces *to direct chiefs, presidents, programs and recruit volunteers	\$ 35,000	\$ 700,000
Funding of province meetings *geographically based, high caliber training	\$ 50,000	\$1,000,000
	<u>\$ 85,000</u>	<u>\$1,700,000</u>
Ad Hoc Committee on Service		
Kleinoeder charitable foundation *1/2 to national charity *1/2 as matching support to chapter philanthropy and national philanthropy	\$ 30,000	\$ 600,000
Annual service awards to chapters *based on manhours per person	\$ 1,000	\$ 20,000
	<u>\$ 31,000</u>	<u>\$ 620,000</u>
Ad Hoc Committee on Expansion		
Cost for program of expansion *4 chapters per year *campus development	\$ 18,000	\$ 360,000
Standing expansion committee *to evaluate options	\$ 3,000	\$ 60,000
Director of expansion *staff dedicated person	\$ 35,000	\$ 700,000
	<u>\$ 56,000</u>	<u>\$1,120,000</u>

	<u>Annual Cost</u>	<u>Endowment Needed</u>
Ad Hoc Committee on National Alumni Programs		
Alumni Certificates	\$ 1,000	\$ 20,000
*for graduating seniors		
Volunteer Coordinators	35,000	700,000
*salary, benefits and travel		
Volunteer Resources	18,000	360,000
*"Time & Talent" Survey		
*Volunteer Needs Brochure		
*Volunteer Advertising Campaign		
	<u>\$ 54,000</u>	<u>\$1,080,000</u>
Ad Hoc Committee for the Sesquicentennial Anniversary		
		\$ 0
*This committee's proposed costs would not be endowed costs but rather one time costs.		
Ad Hoc Committee on Housing		
National director of housing	\$ 35,000	\$ 700,000
*salary, benefits, travel		
Standing housing committee	\$ 10,000	\$ 200,000
*to develop a national program with volunteers		
	<u>\$ 45,000</u>	<u>\$ 900,000</u>
TOTAL	<u>\$ 466,000</u>	<u>\$9,320,000</u>

TOTAL ENDOWMENT NEEDED is based upon 5% annual income on endowment: additional annual income and investment growth hedges against inflation.

Other Needs

The staff has outlined below some other program needs that are regarded as advantageous to being America's Premier Fraternity.

	<u>Annual Cost</u>	<u>Endowment Need</u>
New National Headquarters Building		\$1,500,000
Educational resource materials *includes new, updated manuals and continual updating on a revolving basis.	\$ 15,000	\$ 300,000
HSP conclave *Focused, educational meeting *Once each year for all chapter presidents.	\$ 30,000	\$ 600,000
Grand Chapter Advisor Training *Focused, educational meeting *Once each year for all Grand Chapter Advisors	\$ 30,000	\$ 600,000
Staff internships *Provide two summer interns each year for leadership training and future staff prospecting.	\$ 6,500	\$ 130,000
Director of Publications *Salary, benefits, travel *To handle production of The Tomahawk, manuals, and other educational resource materials.	\$ 35,000	\$ 700,000
Director of Alumni Services *Salary, benefits, travel *To work with coordinating services to alumni clubs, and regional alumni gatherings.	\$ 35,000	\$ 700,000
Volunteer Alumni Conference *Travel and program development for all alumni advisors.	\$ 25,000	\$ 500,000
TOTAL	<u>\$ 176,500</u>	<u>\$5,030,000</u>



24 WEST WILLIAM STREET
DELAWARE, OHIO 43015
614-363-1911/FAX: 614-369-1845

TRUSTEES:

DR. ROBERT E. MILLER, Connecticut '49, Chairman
MILTON CERNY, American '54
RICHARD R. GIBBS, Oklahoma '51
ROBERT A. SANDERCOX, Bethany '51
STAN G. THURSTON, Iowa State '66
ALFRED B. WISE, Baldwin-Wallace '43

PRESIDENT:

JOHN R. CHANEY, Indiana '67

SECRETARY:

RALPH F. BURNS, Ohio Wesleyan '32

VICE PRESIDENT FOR DEVELOPMENT:

STEVEN V. ZIZZO, Illinois '84

TO: Members of the Joint Committee on Alumni Operations

FROM: Steven V. Zizzo, Vice President for Development *SVZ*

RE: Follow-up to the 1990 Development Audit

DATE: July 19, 1991

As the next Joint Committee meeting on Alumni Operations is scheduled for 2:30 p.m. on Friday, August 9, 1991, and that date is quickly approaching, I am preceding the meeting with an updated follow-up report to last year's development audit.

As you may recall, the development audit was performed by Sumner Rahr & Company to evaluate the Educational Foundation's development and fundraising programs. This report was presented in October as a part of the Retreat Weekend.

Enclosed for your review are the bulleted items which they have recommended and the action that we have taken thus far.

Any input or questions that you have would be greatly appreciated as we prepare for the upcoming committee meeting. Please feel free to contact me if you would like any further information or have any questions.

SVZ/hmd

Enclosures

cc: Grand Council

Case For Support

- ° Establish the vision goals and objectives of the Fraternity over the next 10-15 years at the fall retreat. Update the vision annually.
 - completed part 1, part 2 is ongoing annually.
- ° Prioritize the programs needed to attain the vision.
 - in the process with Ad Hoc Committees priorities to be established
- ° Confirm vision, program and projected fund raising goals through a feasibility study in anticipation of a capital campaign.
 - no action to date
- ° Have staff prepare a written draft of a case statement. Once established, it should be a working document updated frequently.
 - in the process
- ° Design a standard "advertisement" for the Foundation and use it in all publications relating to the Foundation or fund raising.
 - no action to date
- ° Concentrate on linking the needs of the Fraternity/Foundation to the needs of prospects.
 - ongoing
- ° Avoid the image of being "in the business of raising money" and project the image of serving the needs of all members of the Fraternity.
 - difficult in the past without publication of The Tomahawk Four issues of The Tomahawk are proposed for the future

External-Internal Communications

- ° Develop a comprehensive alumni program including the aggressive expansion of alumni clubs.
 - proposed within 1991-92 budget under alumni development
- ° Print The Tomahawk regularly four times per year and upgrade the publication in the following ways:
 - ° upgrade pictures
 - ° use pictures that are less formal in showing alumni\undergraduate contact
 - ° expand and bring consistency to Foundation portions of the magazine
 - publication of four tabloid issues proposed for 1991-92 upgrading will be addressed for 1992-93
- ° Highlight testimonials of undergraduates/alumni regarding their Alpha Sigma Phi experience. Link that experience to tangible programs.
 - ongoing

- Recruit distinguished alumni to author articles for the Foundation newsletter and The Tomahawk.
- in the process

Methods of Solicitation

- Conduct a more targeted solicitation, complete with special message and case, of the Alpha Kappa Pi and Phi Pi Phi alumni.
- proposed for 1991-92
- Add an Alpha Kappa Pi and Phi Pi Phi component to The Tomahawk. Without detracting from the importance of Alpha Sigma Phi, acknowledge the significance of the contributions made by those two fraternities toward enhancing the brotherhood of all three.
- no action taken to date
- Implement a personal solicitation program of selected prospects to increase the number of donors giving \$1,000 and above.
- proposed for 1991-92
- Secure a challenge gift to be used in stimulating new and lapsed donors and in upgrading current donors through phonothons and direct mail.
- no action taken
- Implement a class agent system to increase the number of donors and gift size to the Loyalty Fund.
- no action taken (difficult to implement)
- Continue conducting phonothons with student callers.
- ongoing
- Continue the recognition solicitation of 50-year and 25-year alumni.
- ongoing
- Conduct a targeted effort to upgrade donors who have been at the same level for two years or longer. Produce a list of those donors from computer records. Include all gift levels from \$10 to \$10,000. In direct mail and phonothons, solicit those individuals for an appropriate increase. Ask for a specific amount. With the higher level donors, a personal solicitation should be conducted to seek a higher gift level.
- attempted in 1990-91 through mail with minimal success
- Include a passive solicitation in every issue of The Tomahawk.
- ongoing
- Include planned giving information, mail-in coupon in every issue of The Tomahawk.
- included in most issues
- Institute a proactive research program to identify major prospects.
- proposed for 1991-92 budget

- Continue soliciting Foundation Trustees in a personalized manner for their annual support.
- ongoing
- Enlist the assistance of a different volunteer annually to solicit the Boards and other members of the "official family."
- ongoing
- Continue to solicit Grand Council members personally for their annual support. This solicitation should come from the Grand Senior President with his gift being solicited by the Foundation Chairman. Since Grand Council members were not selected for their wealth, size of gift is not as important as participation - which is the key.
- adjustment needed in our order of asking
- Begin a senior class gift program. Identify a member of the senior class in each chapter to oversee the class participation by soliciting/encouraging each member's support. Senior class members could be encouraged to give \$1, \$5, \$10, or \$25. The emphasis is on participation, not amount.
- idea to be implemented in 1991-92
- Continue soliciting young alumni every year following their graduation. Do not consider them non-donors in their first years out of school. Young alumni should be targeted in phonothons with a special message unique to their age and financial status. Again, emphasis is on participation, not amount.
- ongoing
- Continue the good work of garnering staff support on an annual basis. Attempt to have 100% of all staff who are Alpha Sigs support the annual fund every year. Inside support is one of the best signals to prospects of the belief and commitment that others have to the Fraternity.
- ongoing

Staffing

- Formal job descriptions should be on file for each position within the office and upgraded on a yearly basis.
- completed
- Priority must be given to the space question since working conditions are cramped, and additional staff person will be needed for support development plans.
- no action taken
- Develop a plan and schedule of cultivational events over the next two years in which the Executive Vice President (and volunteer leadership) plays a major role.
- ongoing

- Centralize the coordination of the development program under the current Director of Development.
 - *completed*
- Relieve the Director of Development of Fraternity responsibilities.
 - *completed*
- Elevate the title of the present Director of Development to reflect added development responsibilities - Foundation Officer or Associate Director of the Foundation are more appropriate.
 - *completed*
- Add a secretary to the Foundation staff.
 - *completed*
- Provide opportunities to increase the development expertise of the professional staff.
 - *ongoing--proposal included in alumni development line item of Foundation*
- Begin to orient the present Director of Development to the role of Campaign Coordinator to gain greater understanding about the function of this particular job. The Campaign Coordinator must be a position separate from the person or persons coordinating the annual fund.
 - *on going and progressing*

Volunteers

- Trustees must participate in the solicitation process.
 - *proposed for 1991-92*
- Trustees should be asked to attend special cultivational events.
 - *proposed 1991-92 budget under Trustee travel*
- Prospect lists should be formalized, qualified and shared with the Trustees and other key volunteers on a regular basis.
 - *no action taken to date--this is a part of previous item*
- Fraternity and Foundation need to establish a system or vehicle to increase volunteer participation at the national level through an additional advisory or associates board.
 - *no action taken to date*
- The Trustees need to formalize their system of identifying and recruiting prospective members of the Board based on expertise, ability to give and geographical considerations.
 - *some action taken with the addition of Milton Cerny as a Trustee*
- Trustees and other volunteers need to test their solicitation skills prior to the next capital campaign.
 - *no action taken--partly included above under personal solicitations*



MEMORANDUM

Resolved, that the income of Alpha Sigma Phi, Inc., received from the Investment Fund (\$1,377.88), parking lot rental (\$1,440.00) and Emergency Fund earnings (\$1,597.54) in the fiscal year from July 1, 1990 to June 30, 1991 in the amount of \$4,415.42 was set aside for and expended for educational and charitable activities of Alpha Sigma Phi Fraternity, Inc., including but not limited to the Chapter Consultants, with full-time duties as educational aides to the various chapters, and the portion of the Executive Vice President's salary and expenses that are devoted to leadership training and education of chapter members, and the expenses of volunteers while involved in leadership training and education of chapter members, as well as the proper overhead cost to support these activities from the national headquarters during the fiscal year from July 1, 1990 to June 30, 1991.

Signed:

A handwritten signature in cursive script, appearing to read "Charles P. Bohs", written over a horizontal line.

Date:



MEMORANDUM

Be it resolved that, in the fiscal year of July 1, 1991 to June 30, 1992, income from the Investment Fund and other non-member income sources shall be, and hereby is, set aside in a separate fund to be expended for scholarships, student loans, loans on local Chapter housing, leadership and citizenship schools and services, and similar activities.

Be it further resolved that the amount is estimated at \$6,440.00.

Signed: _____

Charles Fols

Date: _____

MINUTES OF THE GRAND COUNCIL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

September 29, 1991
Pittsburgh, Pennsylvania

37. CALL TO ORDER

Grand Senior President Vohs called the Grand Council meeting to order at 8:35 a.m. on Sunday, September 29, 1991.

38. ROLL CALL

Members of the Grand Council in attendance: Charles J. Vohs, Grand Senior President; Dr. Dennis R. Parks, Grand Junior President; Stan G. Thurston, Grand Treasurer; Dr. Larry E. Spees, Grand Marshal; Mark D. Still, Grand Councilor; and Kevin J. Garvey, Grand Councilor. Robert G. Cabello, Grand Secretary was unable to attend. The following personnel from the National Headquarters staff were in attendance: John R. Chaney, Executive Vice President; Steven V. Zizzo, Vice President for Development for the Alpha Sigma Phi Educational Foundation and Jeffery S. Owens, Director of Chapter Services/Expansion.

39. EXECUTIVE SESSION

The Grand Council held an Executive Session from 8:40 - 9:20 a.m.

40. ACTION ITEMS: The following were discussed and action taken on items as indicated:

A. It was moved by Brother Garvey, seconded by Brother Parks and unanimously approved that Executive Vice President Chaney immediately pursue renting or leasing suitable interim facilities for the entire staff for a period of 3-5 years and to put a permanent headquarters building requirement into the capital campaign at \$1.5M.

B. National Committee on Alumni Programs: The sense of the Grand Council was to approve an updated alumni section for To Better The Man and issue alumni certificates for graduating seniors. It was also the sense of the Grand Council to recommend that the Educational Foundation move current Vice President for Development Steven V. Zizzo to the anticipated campaign staff and request they hire a Director of Alumni Relations and Annual Giving commencing with the 1992-93 fiscal year and include the added costs as a portion of the capital campaign.

C. National Committee on Scholarship: It was moved by Brother Spees, seconded by Brother Garvey, and approved, that the National Committee on Scholarship be allocated \$500 operating expenses within the current budget's \$15,500 scholarship line item.

D. National Committee on Expansion: The sense of the Grand Council was to approve a full-time Director of Expansion on the staff commencing with the 1993-94 fiscal year and include it as a portion of the capital

GRAND COUNCIL
SEP 29 1991

campaign. It was also the sense of the Grand Council to allocate the National Committee on Expansion \$500 operating expenses within the current budget's \$7,000 expansion program and operating costs line item.

E. National Committee on Provinces and Volunteers: The sense of the Grand Council was to have the advisory chairman, Larry Philippi, and the staff conduct a weekend Grand Province Chief training program within the current province operating budget line item. It was also recommended that the personal growth and development plans plus increased province conclaves should be included in our current year's plans.

F. National Committee on Service: It was moved by Brother Spees, seconded by Brother Thurston and unanimously approved that the National Committee on Service, operating within the current \$2,500 service budget line item, meet, identify and rank three potential national charities by June 1992 for Grand Council consideration and possible convention approval. It was also the sense of the Grand Council, that To Better The Man be updated to include our national service program. It was the sense of the Grand Council that the awards and the service manual proceed as recommended.

G. National Committee on Undergraduate Housing: It was the sense of the Grand Council to allow the National Committee on Undergraduate Housing to propose a \$500 budget within the current \$2,500 housing budget line item. The committee should begin to identify and select key subject matter expert volunteers to form a technical support committee and produce a national housing manual. It was also felt that the committee should deal with risk management topics and be represented at the National Housing Symposium. The Grand Council requested that a Director of Housing be planned for and added to the professional staff in fiscal year 1993-94.

H. 1992-93: The Grand Council consensus was to specifically support the following items in fiscal year 1992-93:

- (1) Director of Alumni Affairs and Annual Giving hired and Alumni Event Planning Guide initiated.
- (2) Time and Talent Survey initiated.
- (3) Volunteer Needs Brochure initiated.
- (4) Advertising Campaign initiated.
- (5) Scholarship Program increased to \$20,000.
- (6) Housing Data Information collected and researched.
- (7) Housing Resource Manual initiated.

I. 1993-Beyond: The Grand Council felt there was a philosophical agreement with the advisory committee recommendations for fiscal year 1993 and beyond.

MINUTES OF GRAND COUNCIL TELEPHONE CONFERENCE CALL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

November 15, 1991
Delaware, Ohio

42. A telephone conference call meeting of the Grand Council was held at 12:00 p.m. on Friday, November 15, 1991. The following participated: Grand Senior President Charles J. Vohs, Grand Secretary Robert G. Cabello, Grand Treasurer Stan G. Thurston, Grand Marshal Dr. Larry G. Spees, and Grand Councilor Mark D. Still. The following personnel from the National Headquarters staff were also involved: Executive Vice President John R. Chaney and Director of Chapter Services/Expansion Jeffery S. Owens. Grand Junior President Dr. Dennis J. Parks and Grand Councilor Kevin J. Garvey were unavailable for the conference call.
43. DISCUSSION ITEMS:
- A. Owen McCulloch's employment will be continued as a special project individual for a six months period. Salary funds from the current operating budgets will support this action. Among the duties will be to initiate a major overhaul of the province program, orient/train Grand Province Chiefs, supervise the annual loyalty fund phonathon operation, write/rewrite manuals and assist with the move of the National Headquarters office.
 - B. Liability Insurance Program. Our present carrier will not be renewing fraternities after December 1, 1991. With a March 12, 1992 renewal date, we must change carriers. After a general discussion of proposals from Harris and Harris, Generali and Reliance it was decided to return the liability insurance to Jim Favor acting as broker for Lloyds of London. Under that proposal we would have the identical coverage as we have now at \$35 per undergraduate with a \$25,000 annual deductible. This deductible would be funded by the surplus charge of \$25 per undergraduate without increasing the current charge. It was moved by Brother Thurston, seconded by Brother Spees and unanimously agreed to proceed with the Lloyds of London liability insurance proposal.
 - C. Various options for the move and lease or purchase of the National Headquarters were discussed.
 - D. The sense of the Grand Council was to continue the policy of considering Delta Beta Xi Award recommendations only once a year.
 - E. The sense of the Grand Council was to have favorable consideration for chartering the Gamma Pi Colony at the University of Findlay with 35 undergraduate members since the institution's administration recommends and supports such a number. The undergraduate operations committee will study and discuss this issue further, make a recommendation for Grand Council adoption through the mail vote process.

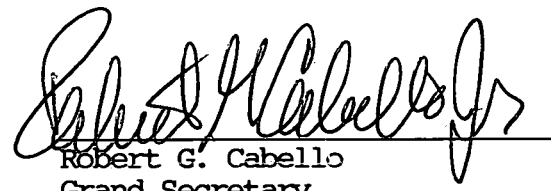
CONFERENCE CALL
NOV 15, 1991

Minutes of Grand Council Telephone Conference Call Meeting
November 15, 1991
Page 2

44. ADJOURNMENT: The conference call was adjourned at 1:00 p.m.



John R. Chaney
Executive Vice President



Robert G. Cabello
Grand Secretary


MINUTES OF GRAND COUNCIL TELEPHONE CONFERENCE CALL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

December 13, 1991
Delaware, Ohio

CONFERENCE CALL
DEC. 13, 1991

45. A telephone conference call meeting of the Grand Council was held at 11:30 a.m. on Friday, December 13, 1991. The following participated: Grand Senior President Charles J. Vohs, Grand Junior President Dr. Dennis R. Parks, Grand Secretary Robert G. Cabello, Grand Treasurer Stan G. Thurston, Grand Marshal Dr. Larry G. Spees, Grand Councilor Mark D. Still and Grand Councilor Kevin J. Garvey. The following personnel from the National Headquarters staff were also involved, Executive Vice President John R. Chaney and Educational Foundation Vice President for Development Steven V. Zizzo.
46. DISCUSSION ITEMS:
- A. The status of the sale of 24 West William Street was discussed. It appeared that an offer to purchase our property was imminent.
 - B. Two interim building opportunities and one purchase option were also discussed. The consensus of the Grand Council was not to pursue the Zeta Tau Alpha purchase option. The Baywood facility was the option of choice. It was stated that the price would be around \$10 per square foot and that we were considering somewhat less than 4,000 square feet for our portion of the building with the Educational Foundation continuing to share and pay for leased Baywood facilities at approximately the same ratio as our current headquarters.
 - C. A private Internal Revenue Service letter ruling might be sought after the sale of 24 West William Street to enable us to either lengthen the normal time period of two to three years to reinvest the sale proceeds in a new permanent facility and avoid capital gain exposure or to use the proceeds of such a sale as lease payments on an interim facility.
 - D. The liability insurance program with James R. Favor and Company was reported to be on track. Two future issues to be discussed concerning our liability insurance program are to make conscious decisions to purchase or decline excess umbrella coverage, and when to require our interest groups to pay for liability coverage.
47. ADJOURNMENT: The conference call was adjourned at 11:50 a.m.


John R. Chaney
Executive Vice President


Robert G. Cabello
Grand Secretary


41. ADJOURNMENT

It was moved by Brother Parks, seconded by Brother Spees and unanimously passed to adjourn the Grand Council meeting. The meeting was adjourned at 10:35 a.m.

Respectfully submitted,



John R. Chaney
Executive Vice President



Robert G. Cabello
Grand Secretary



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

1991-92 Budget
Administrative Coordination Document

This serves as the 1991-92 Budget Administrative Coordination Document between Alpha Sigma Phi Fraternity, Inc. and the Alpha Sigma Phi Educational Foundation.

The Alpha Sigma Phi Fraternity Grand Council and the Educational Foundation Trustees agree that certain shared costs between the two bodies are to be anticipated. After a careful staff study, the calculated personnel costs are determined to be approximately 57 percent Alpha Sigma Phi, Inc. versus 43 percent Educational Foundation. Costs for rent are calculated at that same proportion based against total headquarters building square footage multiplied by a typical Delaware, Ohio rental/utility fee of \$11 per square foot.

Costs previously borne by Alpha Sigma Phi Fraternity such as telephone, postage and office supplies/furnishings and which have previously been captured and reimbursed by the Educational Foundation for administrative expenses will be paid in the amount of \$8,400 for the 1991-92 year as a fair share use of common source office items, services and supplies. It is realized that the previous year's reimbursement was slightly higher.

Alpha Sigma Phi Fraternity, Inc. agrees to pay for computer services to the Educational Foundation. The \$1,225 payment is a calculation of the accountant's computer equipment depreciated figure. As the Alpha Sigma Phi, Inc. undergraduate data base represents six percent of the total data base versus ninety-four percent for alumni records maintained by the Educational Foundation, payment is calculated at that six percent rate.

Handwritten signature of Charles J. Vohs in cursive script.

Charles J. Vohs
Grand Senior President
Alpha Sigma Phi, Inc.

Handwritten signature of Dr. Robert E. Miller in cursive script.

Dr. Robert E. Miller
Chairman
Alpha Sigma Phi Educational Foundation



NATIONAL HEADQUARTERS
24 WEST WILLIAM STREET
DELAWARE, OHIO 43015

614-363-1911

SERVICE AGREEMENT

The Alpha Sigma Phi Educational Foundation agrees to make available its Computer System to Alpha Sigma Phi Fraternity, Inc., during the time period of 7/1/91 to 6/30/92 for the purpose of:

1. Membership record storage and updating
2. Production of membership reports, including labels, printouts and letters
3. Word processing

Alpha Sigma Phi Fraternity, Inc., agrees to pay the Alpha Sigma Phi Educational Foundation a usage fee of \$1,225.00 for these services in equal quarterly installments of \$306.25.

Handwritten signature of Charles J. Vohs in cursive script.

Charles J. Vohs
Grand Senior President
Alpha Sigma Phi Fraternity, Inc.

Handwritten signature of Charles J. Vohs in cursive script.
Date

Sept. 14, 1991

Handwritten signature of Dr. Robert E. Miller in cursive script.

Dr. Robert E. Miller, Chairman
The Alpha Sigma Phi
Educational Foundation

Handwritten signature of Dr. Robert E. Miller in cursive script.
Date

Oct 11, 1991

MINUTES OF GRAND COUNCIL TELEPHONE CONFERENCE CALL MEETING
ALPHA SIGMA PHI FRATERNITY, INC.

December 18, 1991
Delaware, Ohio

48. A telephone conference call meeting of the Grand Council was held at 4:00 p.m. on Wednesday, December 18, 1991. The following participated: Grand Senior President Charles J. Vohs, Grand Treasurer Stan G. Thurston, Grand Councilor Mark D. Still and Grand Councilor Kevin J. Garvey. Executive Vice President John R. Chaney of the National Headquarters professional staff also participated.

49. ACTION ITEMS:

- A. The status of the sale of 24 West William Street as presented in the contract for sale via facsimile transmission in advance of the meeting was discussed. After discussion, the consensus of the Grand Council was to counteroffer to Mr. Morris at \$124,900 and be willing to settle closer to \$120,000. It was also agreed to ask for an increased down payment, not allow occupancy until May 1, 1992 and make the buyer aware that final Grand Council concurrence was essential in any sale. The Grand Council Finance Committee was to approve counter offers prior to forwarding to Mr. Morris. George Lord was stated to be representing us as our legal counsel in this matter. It was moved by Brother Thurston, seconded by Brother Garvey and unanimously approved to allow Executive Vice President John R. Chaney to sign formal sale papers on behalf of the Grand Council for 24 West William Street in Delaware, Ohio to Mr. Morris, subject to prior approval from the Finance Committee.
- B. The Baywood facility interim lease of some three years with a series of one year options was reported to be proceeding on schedule. It was noted that the asking lease price was approximately \$10 per square foot and that we were considering leasing nearly 3,450 square feet. The lease offer will be presented to the Grand Council Finance Committee in the next few weeks for their concurrence. It was moved by Brother Garvey, seconded by Brother Still and unanimously approved to allow Executive Vice President John R. Chaney to proceed with the Baywood lease option, submit for approval to the Grand Council Finance Committee the lease proposals and obtain their approval before signing the lease document.

50. DISCUSSION ITEM:

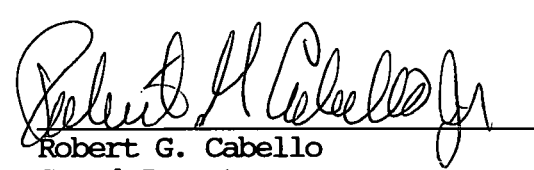
The public relations announcement and explanation concerning the sale of our current building, the interim lease at Baywood and the subsequent plans for the acquisition of a new permanent facility in the future was discussed.

CONFERENCE CALL
DEC 18, 1991

Minutes of Grand Council Telephone Conference Call Meeting
December 18, 1991
Page 2

51. ADJOURNMENT: The conference call was adjourned at 4:40 p.m.


John R. Chaney
Executive Vice President


Robert G. Cabello
Grand Secretary