

ALPHA SIGMA PHI FRATERNITY, INC.  
MINUTES OF THE GRAND COUNCIL MEETING

June 23-24, 1989  
Columbus, Ohio

7. CALL TO ORDER

Grand Senior President Edmund A. Hamburger called the Grand Council Meeting to order at 8:27 p.m. on Friday, June 23, 1989.

8. ROLL CALL

Members of the Grand Council in attendance: Edmund A. Hamburger, Grand Senior President; James V. Fitzpatrick, Grand Junior President; Dr. Dennis R. Parks, Grand Secretary; Charles J. Vohs, Grand Treasurer; Robert G. Cabello, Grand Marshal; Stan G. Thurston, Grand Councilor and Dr. Larry G. Spees, Grand Councilor. Also in attendance were Executive Director, Robert M. Sheehan, Jr., Director of Chapter Services, Randall S. Lewis, Director of Development, Steven V. Zizzo, Chapter Leadership Consultant, Wesley R. Owen, and Executive Secretary Emeritus Ralph F. Burns.

9. APPROVAL OF MINUTES OF MEETINGS OF AUGUST 12, 1988 AND OF AUGUST 14, 1988

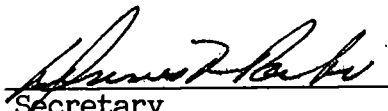
It was moved by Brother Vohs, seconded by Brother Cabello, and passed unanimously to approve the minutes of the August 12 and August 14 Grand Council Meetings.

10. It was moved by Brother Vohs, seconded by Brother Thurston, and passed unanimously to approve the "Projected Budget" as the "Revised Budget" for 1988-89 (Attachment A).
11. It was moved by Brother Vohs, seconded by Brother Spees, and passed unanimously to add rules number 4, 5, 7, 9 and 10 from the Fraternity Insurance Purchasing Group Alcohol Policy (Attachment B) to the current Alpha Sigma Phi Policy for Activities Involving Alcohol (Attachment C) and to apply for FIPG membership.
12. It was moved by Brother Vohs, seconded by Brother Cabello and passed unanimously to commit \$5,209 of the insurance premium surplus to income for 1988-89 and to commit the remaining \$3,092 to an Insurance Reserve Fund.
13. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously to approve the colonization petition of the SUNY-Buffalo interest group.
14. It was moved by Brother Cabello, seconded by Brother Thurston, and passed unanimously to approve the colonization petition of the SUNY-Binghamton interest group.

15. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously to place Beta Theta Chapter, Rutgers University, on administrative suspension and to keep the chapter's activities under close scrutiny for the next twelve months. If the chapter does not follow the conditions set down by the university during the 1989-90 school year the Grand Council may take further disciplinary action.
16. It was moved by Brother Fitzpatrick, seconded by Brother Vohs, and passed unanimously to recess the Grand Council Meeting at 10:37 p.m.
17. Grand Senior President Hamburger reconvened the Grand Council Meeting at 9:10 p.m. on Saturday, June 24, 1989. In addition to those previously in attendance at the meeting, the Grand Council welcomed Craig Snyder, Undergraduate Representative to the Finance Committee, to the meeting.
18. It was moved by Brother Vohs, seconded by Brother Parks, and passed five to two (with Brothers Cabello and Spees voting in the negative) to send back the request by Gamma Chi Chapter, Indiana University that James Robert Worth, Indiana '87, have his membership revoked. This action was taken due to the lack of appropriate documentation of the charges brought by the chapter.
19. It was moved by Brother Parks, seconded by Brother Spees, and passed unanimously to send back to Delta Xi Chapter, SUNY-Plattsburgh, their request for revocation of membership of Jorge Roman, Plattsburgh '88.
20. Grand Senior President Hamburger led a discussion regarding the charge by the National Interfraternity Conference to member fraternities to study alternatives to pledge status. Grand Senior President Hamburger directed the Committee on Undergraduate Operations to devise ways to improve the Fraternity's educational programing and detection and enforcement procedures regarding hazing and alcohol abuse in chapters.
21. Expansion Committee Chairman, James V. Fitzpatrick, discussed with the Grand Council the activities of the Expansion Committee during the past nine months.
22. At this point in the meeting Grand Senior President Hamburger called an Executive Session of the Grand Council during which time the Executive Director transitional process and other matters were discussed.
23. It was moved by Brother Cabello, seconded by Brother Fitzpatrick, and passed unanimously to adjourn the Grand Council Meeting at 12:12 a.m., June 25, 1989.

Respectfully Submitted,

  
Executive Director

  
Secretary

# ALPHA SIGMA PHI FRATERNITY, INC.

## Comparative Operating Statement & Budget Analysis

<u>INCOME</u>	<u>Actual</u> <u>1987-88</u>	<u>7/1/87-</u> <u>5/31/88</u>	<u>7/1/88-</u> <u>5/31/89</u>	<u>Budget</u> <u>1988-89</u>	<u>Projected</u> <u>1988-89</u>	<u>Proposed</u> <u>Budget</u> <u>1989-90</u>
1. Initiation Fees	\$96,400.00	\$93,490.00	\$81,560.00	\$80,000.00	\$81,560.00	\$76,000.00
2. Pledge Fees	34,265.00	34,090.00	38,870.00	35,400.00	38,870.00	36,000.00
3. Chapter Fees	2,295.00	2,295.00	2,340.00	2,340.00	2,295.00	2,385.00
4. Undergrad. Dues	84,410.00	84,410.00	95,315.00	93,500.00	94,500.00	97,000.00
5. Educ. Foundation Grants	72,500.00	47,000.00	60,000.00	91,500.00	67,500.00	73,500.00
6. Merchandise (net)	4,308.50	7,555.97	7,106.66	2,500.00	7,500.00	4,000.00
7. Investments and Interest	4,756.06	4,126.42	5,122.14	5,000.00	5,500.00	5,000.00
8. Parking Rentals	1,784.58	1,549.41	1,590.00	1,800.00	1,800.00	1,800.00
9. Services to Organizations	25,700.00	7,900.00	7,900.00	25,700.00	25,700.00	20,200.00
10. Miscellaneous	<u>2,528.77</u>	<u>2,528.77</u>	<u>3,202.27</u>	<u>2,500.00</u>	<u>3,200.00</u>	<u>2,500.00</u>
TOTAL INCOME:	\$ 328,947.91	\$ 284,945.57	\$ 303,006.07	\$340,240.00	\$328,425.00	\$318,385.00
TOTAL EXPENSES:	<u>376,441.27</u>	<u>342,653.76</u>	<u>305,618.97</u>	<u>335,300.00</u>	<u>331,800.00</u>	<u>338,200.00</u>
GAIN (LOSS):	\$(47,493.36)	\$ (57,708.19)	\$ ( 2,612.90)	\$ 4,940.00	\$ (3,375.00)	\$(19,815.00)

### Special Note

Annual Loyalty Fund (net)	\$107,339.25	\$ 89,608.44	\$ 74,918.04	\$113,500.00	\$90,000.00	\$122,000.00
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# ALPHA SIGMA PHI FRATERNITY, INC.

## Comparative Operating Statement & Budget Analysis

<u>EXPENSES</u>	<u>Actual 1987-88</u>	<u>7/1/87- 5/31/88</u>	<u>7/1/88- 5/31/89</u>	<u>Budget 1988-89</u>	<u>Projected 1988-89</u>	<u>Proposed Budget 1989-90</u>
1. Salaries	\$142,499.88	\$130,892.79	\$132,182.69	\$144,000.00	\$144,700.00	\$145,000.00
2. Unemployment Comp.	1,743.29	1,262.19	1,445.01	2,000.00	1,800.00	2,000.00
3. Employees A & H Ins.	5,747.40	4,868.75	9,122.10	7,500.00	10,600.00	15,000.00
4. Social Security Tax	10,290.13	9,404.94	10,057.80	11,000.00	11,000.00	11,000.00
5. Pension	3,600.00	3,300.00	8,116.31	8,600.00	8,500.00	8,700.00
6. Utilities	2,749.86	2,528.43	2,509.18	3,000.00	3,000.00	3,000.00
7. Bldg, Maint & Prop. Tax	2,646.37	1,750.72	775.64	1,500.00	1,500.00	1,500.00
8. Telephone	10,399.67	8,868.62	8,042.26	11,000.00	10,500.00	10,000.00
9. Postage	12,954.35	11,876.58	10,247.97	14,000.00	11,500.00	12,000.00
10. Office Supplies	12,200.83	11,217.00	11,567.19	11,000.00	13,000.00	13,000.00
11. Computer Service	4,668.05	4,279.31	3,205.96	4,500.00	4,000.00	4,000.00
12. Audit & Legal	3,755.00	3,755.00	4,067.11	4,200.00	4,200.00	4,500.00
13. Insurance	4,751.77	2,897.60	3,703.65	4,000.00	4,000.00	2,800.00
14. Publications						
a. Tomahawk	47,318.33	41,911.48	22,888.93	30,000.00	23,500.00	32,000.00
b. TBTM	19,222.38	19,161.23	3,430.70	4,000.00	3,600.00	7,500.00
15. Chapter Services						
a. Exec. Director travel	3,204.65	2,948.29	1,660.57	3,000.00	2,000.00	2,000.00
b. Dir. Chap. Serv. travel	6,662.37	6,339.48	7,247.86	5,000.00	7,500.00	4,000.00
c. Chapt. Cons. travel	15,728.20	15,154.21	14,221.95	14,000.00	14,700.00	7,000.00
d. Staff Housing	2,250.00	2,050.00	2,150.00	2,400.00	2,400.00	1,200.00
e. G.C., Others travel	990.11	990.11	2,236.29	1,000.00	2,300.00	2,500.00
f. Prog. Costs & Gen. Op.	3,574.81	3,375.47	5,247.47	4,000.00	5,500.00	4,000.00
16. Expansion						
a. Staff travel	1,720.18	1,647.38	698.39	1,000.00	700.00	1,000.00
b. Others travel	558.77	558.77	800.23	500.00	900.00	500.00
c. Prog. Costs & Gen. Op.	5,552.08	5,528.13	3,481.22	1,500.00	3,500.00	500.00

GENERAL FUND

Expenses - Page 2

<u>EXPENSES</u>	<u>Actual 1987-88</u>	<u>7/1/87- 5/31/88</u>	<u>7/1/88- 5/31/89</u>	<u>Budget 1988-89</u>	<u>Projected 1988-89</u>	<u>Proposed Budget 1989-90</u>
17. Interfraternity						
a. Dues	1,854.00	1,854.00	1,950.00	2,000.00	2,000.00	2,000.00
b. Travel & Meetings	4,617.41	4,211.41	5,516.82	5,500.00	5,600.00	5,000.00
18. Chapter Programming						
a. Province System	5,391.77	5,045.04	2,381.91	4,000.00	2,500.00	2,500.00
b. Scholarship	-	-	-	600.00	-	-
19. Conf. & Exec. Meetings	11,789.40	9,838.96	10,542.11	8,500.00	11,000.00	11,000.00
20. Automobile	4,456.58	4,101.21	5,055.84	5,000.00	5,500.00	6,000.00
21. Insignia	13,205.31	11,930.02	10,030.18	11,000.00	11,500.00	11,000.00
22. Newsletter Service	234.95	213.52	4,491.50	1,000.00	1,000.00	1,000.00
23. Doubtful Accounts	1,785.00	-	-	1,000.00	1,000.00	1,000.00
24. Miscellaneous	2,984.65	3,559.40	4,027.37	4,000.00	4,200.00	4,000.00
25. National Meetings (net)	<u>5,333.72</u>	<u>5,333.72</u>	<u>(7,483.24)</u>	<u>-</u>	<u>(7,400.00)</u>	<u>-</u>
TOTAL EXPENSES:	\$ 376,441.27	\$ 342,653.76	\$ 305,618.97	\$335,300.00	\$331,800.00	\$338,200.00

## Fraternity Insurance Purchasing Group

## ALCOHOL AND DRUGS

1. The possession, use and/or consumption of ALCOHOLIC BEVERAGES, while on chapter premises, during an official fraternity event, or in any situation sponsored or endorsed by the chapter, must be in compliance with any and all applicable laws of the state, province, county, city and university.
2. No alcoholic beverages may be purchased through the chapter treasury nor may the purchase of same for members or guests be undertaken or coordinated by any member in the name of or on behalf of the chapter.
3. No chapter members, collectively or individually, shall purchase for, serve to, or sell alcoholic beverages to any minor (i.e., those under legal "drinking age").
4. The possession, sale and/or use of any ILLEGAL DRUGS or CONTROLLED SUBSTANCES at any chapter house, sponsored event or at any event that an observer would associate with the fraternity, is strictly prohibited.
5. No chapter may co-sponsor an event with an alcohol distributor, charitable organization or tavern (tavern defined as an establishment generating more than half of annual gross sales from alcohol) where alcohol is given away, sold or otherwise provided to those present.
6. No chapter may co-sponsor or co-finance a function where alcohol is purchased by any of the host chapters, groups or organizations.
7. All rush activities associated with any chapter will be a DRY rush function.
8. OPEN PARTIES where alcohol is present, meaning those with unrestricted access by non-members of the fraternity, without specific invitation, shall be prohibited.
9. No member shall permit, tolerate, encourage or participate in "drinking games."
10. No alcohol shall be present at any pledge/associate member/novice program or activity of the chapter.

POLICY FOR ACTIVITIES INVOLVING ALCOHOL

Activities engaged in by Alpha Sigma Phi brothers and chapters should always comply with all state, local, and university rules and regulations, including those regarding alcohol and illegal drug usage. The following policy should be followed by every brother and chapter during activities involving the legal use of alcohol:

1. No chapter, colony or group representing Alpha Sigma Phi Fraternity should charge for alcohol in any way, shape or form, (i.e., no cover charge, admittance charge, cups sold, entertainment charge, etc.).

2. Alpha Sigma Phi should sponsor no "open parties" in any form of the phrase. They should be limited to invitation only. Capacity of any event should comply with the Fire Safety Codes set by the proper authority.

3. Alternative beverages (non-alcoholic) should be provided, free of charge, at every Alpha Sigma Phi event where alcohol is served. These should be set in plain sight, labeled as non-alcoholic and free.

4. Food of substantial quality should be made available, free of charge, for everyone attending an event which involves alcohol. (High protein foods, i.e., cheese, meats, unsalted nuts are strongly encouraged.)

5. There should be a bartender serving at every scheduled event which involves alcohol. This person should not be able to drink alcoholic beverages before or during the event.

6. There should always be two sober brothers (party monitors) at every event who will be trained to deal with any situations as they arise.

7. No one who is at an Alpha Sigma Phi event should be served alcohol if he/she is already intoxicated, appears to be drunk, or arrives at the fraternity function intoxicated.

8. Rides or escorts should be provided for those unable to drive or walk themselves home.

9. Chapters should not hold events at which persons are asked to "bring their own" alcohol.

10. No chapter may co-sponsor an event with an alcohol distributor, charitable organization or tavern (tavern defined as an establishment generating more than half of annual gross sales from alcohol) where alcohol is given away, sold or otherwise provided to those present.

11. All rush activities associated with any chapter will be a Dry rush function.

12. No member shall permit, tolerate, encourage or participate in "drinking games."

ALPHA SIGMA PHI FRATERNITY, INC.  
MINUTES OF THE GRAND COUNCIL MEETING

August 3-5, 1989  
Bloomington, Indiana

24. CALL TO ORDER

Grand Senior President Edmund A. Hamburger called the Grand Council Meeting to order at 10:36 a.m. on Thursday, August 3, 1989.

25. ROLL CALL

Members of the Grand Council in attendance: Edmund A. Hamburger, Grand Senior President; Dr. Dennis R. Parks, Grand Secretary; Charles J. Vohs, Grand Treasurer; Robert G. Cabello, Grand Marshal; Stan G. Thurston, Grand Councilor and Dr. Larry G. Spees, Grand Councilor. Grand Junior President James V. Fitzpatrick called to inform the Grand Council he would be unable to attend due to conflict with business concerns. Also in attendance was Executive Director, Robert M. Sheehan, Jr.

26. After considerable discussion, it was moved by Brother Spees, seconded by Brother Thurston, and passed five to zero, with Brother Cabello abstaining, that Alpha Sigma Phi adopt the following Risk Management policy for activities involving alcohol:

ACTIVITIES INVOLVING ALCOHOL

Activities engaged in by Alpha Sigma Phi chapters and chapter members should always comply with all state, local, and university rules and regulations, including those regarding alcohol and illegal drug usage. The following policy should be followed by every chapter and chapter member regarding the legal use of alcohol:

1. Alcoholic beverages shall not be purchased through the chapter treasury nor shall the purchase of same for members or guests be undertaken or coordinated by any member in the name of or on behalf of the chapter.
2. If a chapter allows members and guests to "bring their own" alcohol to chapter functions, then:
  - A. The Chapter is responsible for assuring that the alcohol is consumed legally and responsibly.
  - B. Alternative beverages (non-alcoholic) shall be provided. These should be set in plain sight, labeled as non-alcoholic.
  - C. Food of substantial quality shall be made available, free of charge for everyone. (High protein foods, i.e., cheese, meats, unsalted nuts are strongly encouraged.)
  - D. Rides or escorts shall be provided for those unable to drive or walk themselves home.



3. Alpha Sigma Phi chapters shall not sponsor "open parties" in any form. All parties shall be limited to chapter members and invitees. Capacity of any event should comply with the Fire Safety Codes set by the proper authority.
4. There shall always be two sober party monitors at every event who will be trained to deal with any situations as they arise.
5. Chapters shall not co-sponsor an event with an alcohol distributor, charitable organization or tavern (tavern defined as an establishment generating more than half of annual gross sales from alcohol) where alcohol is given away, sold or otherwise provided to those present.
6. All rush and pledging activities associated with any chapter shall be non-alcoholic, Dry functions.
7. Chapter members shall not permit, tolerate, encourage or participate in "drinking games."
8. Anyone arriving at an Alpha Sigma Phi event already intoxicated shall not be admitted to the function.
9. Chapters shall not co-sponsor or co-finance a function where alcohol is purchased by any of the host chapters, groups or organizations.
27. Grand Senior President Hamburger recessed the Grand Council meeting at 12:15 p.m.
28. Grand Senior President Hamburger reconvened the Grand Council meeting at 9:33 a.m. on Friday, August 4, 1989.
29. APPROVAL OF MINUTES OF MEETING OF JUNE 23-24, 1989

It was moved by Brother Vohs, seconded by Brother Spees and passed unanimously to approve the minutes of the June 23-24, 1989 meeting.
30. MAIL VOTES

It was moved by Brother Vohs, seconded by Brother Parks and passed unanimously to approve the mail votes numbers 210, 211, and 212 (Attachment A).
31. The Grand Council discussed at length the reports from the Committee on Undergraduate Operations (Attachment B), the Committee on Finance (Attachment C), and the Committee on Alumni Operations (Attachment D). Following discussion, it was moved by Brother Thurston, seconded by Brother Vohs, and passed unanimously to accept the reports from these committees.
32. Grand Senior President Hamburger recessed the Grand Council at 11:53 a.m.
33. Grand Senior President Hamburger reconvened the Grand Council at 2:31 p.m.
34. At this point in the meeting, the Grand Council discussed the various attributes and characteristics they would like to have in their next Executive Director. It was the general consensus of the Grand Council members that the Grand Council and the Educational Foundation Trustees

should do all within their power to find the best possible person, who is a brother of Alpha Sigma Phi, to fill the soon to be vacated position. It was the consensus of the Grand Council members that a joint search-recruitment committee should be formed, made up of the Grand Senior President, one Grand Council member and one Educational Foundation Trustee, with the Executive Director serving as an ex-officio member of that committee. The responsibilities of the committee will be to recruit and screen prospective candidates for the position.

35. It was moved by Brother Cabello, seconded by Brother Parks, and passed unanimously to inform the National Interfraternity Conference that Alpha Sigma Phi Fraternity intends to continue the process of "pledging" and will attempt to continue to use education and enforcement of rules to eliminate hazing. It is the feeling of the Fraternity that the elimination of pledgeship would only be an elimination of a formal status.
36. Grand Senior President Hamburger recessed the Grand Council meeting at 5:34 p.m.
37. Grand Senior President Hamburger reconvened the Grand Council meeting at 9:02 a.m. on Saturday, August 5, 1989.
38. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously to support the request of the Gamma Chi Chapter, Indiana University to initiate expulsion proceedings for Brother James Robert Worth, Indiana '87 (Attachment E).
39. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously to begin expulsion proceedings for Jorge Roman, Plattsburgh '88 as requested by the Delta Xi Chapter, Plattsburgh University (Attachment F).
40. It was moved by Brother Vohs, seconded by Brother Spees and passed unanimously to waive the 1988 National Leadership Conference & Convention fine levied against Delta Delta Chapter, Slippery Rock University.
41. NEW BUSINESS: UNDERGRADUATE OPERATIONS
  - A. It was moved by Brother Cabello, seconded by Brother Parks, and passed unanimously to approve the awards recommendations by Dr. Robert Gill, Chairman of the Awards Committee.
  - B. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously to approve American University as the site for the 1990 National Leadership Conference & Convention to be held August 8-12, 1990.
  - C. It was moved by Brother Cabello, seconded by Brother Parks, and passed unanimously that whereas negative stereotypes about fraternities and fraternity life abound in modern American society, and, whereas many collegiate administrations have responded with a variety of sanctions, and whereas the administration of one college has responded to the serious housing problem of our Chapter at that College with generosity, cooperation, and haste, be it therefore resolved that the Grand Council of Alpha Sigma Phi Fraternity expresses the profound gratitude of the Fraternity to Hartwick College, Oneonta, New York, for the support, confidence, and financial assistance which it is providing to Beta Xi Chapter at Hartwick.

D. It was moved by Brother Cabello, seconded by Brother Vohs, and passed unanimously to place Beta Theta Chapter, Rutgers University on administrative suspension until 1. the chapter justifies their absence from the 1989 National Leadership Conference, 2. the chapter has employed a live-in advisor, and 3. that a report has been received at the National Headquarters explaining the membership review process and the results of those actions.

42. NEW BUSINESS: FINANCE

A. It was moved by Brother Vohs, seconded by Brother Cabello, and passed unanimously that a \$3.00 per man administrative fee, and \$2.00 per man reserve fund fee be set for the 1990 Liability Insurance Program.

B. It was moved by Brother Vohs, seconded by Brother Thurston, and passed five to one with Brother Cabello voting in the negative, to approve the proposed 1989-90 Budget.

C. It was moved by Brother Vohs, seconded by Brother Thurston, and passed five to one with Brother Cabello voting in the negative, to approve the proposed 1989-90 Educational Foundation Grant Requests.

D. It was moved by Brother Vohs, seconded by Brother Thurston, and passed unanimously to accept the auditor's financial statement report.

E. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously that, be it resolved that, in the fiscal year of July 1, 1989 to June 30, 1990, income from the Investment Fund and other non-member income sources shall be, and hereby is, set aside in a separate fund to be expended for scholarships, student loans, loans on local Chapter housing, leadership and citizenship schools and services, and similar activities. Be it further resolved that the amount is estimated at \$6,800.00.

F. It was moved by Brother Cabello, seconded by Brother Spees, and passed unanimously resolved, that the income of Alpha Sigma Phi, Inc., received from the Investment Fund (\$3,060.02), parking lot rental (\$1,710.00) and Emergency Fund earnings (\$1,658.77) in the fiscal year from July 1, 1988 to June 30, 1989 in the amount of \$6,428.79 was set aside for and expended for educational and charitable activities of Alpha Sigma Phi Fraternity, Inc., including but not limited to the Chapter Consultants, with full-time duties as educational aides to the various chapters, and the portion of the Executive Director's salary and expenses that are devoted to leadership training and education of chapter members, and the expenses of volunteers while involved in leadership training and education of chapter members, as well as the proper overhead cost to support these activities from the National Headquarters during the fiscal year from July 1, 1988 to June 30, 1989.

43. NEW BUSINESS: ALUMNI OPERATIONS

A. It was moved by Brother Parks, seconded by Brother Vohs, and passed five to one, with Brother Cabello voting in the negative, that two tabloid issues of the The Tomahawk, and two issues of Foundation for Excellence be printed during the 1989-90 fiscal year.

B. It was moved by Brother Spees, seconded by Brother Parks and passed unanimously to express the sincere support of the 1989-90 Annual Loyalty Fund Campaign.

44. ANNUAL MEETING OF THE A-S-P CORPORATION

It was moved by Brother Cabello, seconded by Brother Spees and passed unanimously that the directors for the A-S-P Corporation for 1989-90 shall be Brothers Hamburger, Vohs, and Sheehan.

45. MEETING OF THE DIRECTORS OF THE A-S-P CORPORATION

It was moved by Director Hamburger, seconded by Director Sheehan, and passed unanimously that officers for 1989-90 for the A-S-P Corporation shall be as follows: Chairman, Edmund A. Hamburger; Vice President, Charles J. Vohs; Secretary, Robert M. Sheehan, Jr.

46. At this point in the meeting the Grand Council reviewed various nominations for the Delta Beta Xi Award and directed the Executive Director to send a mail vote out on the award nominations with additional information during the next forty-five days.

47. Grand Senior President Hamburger recessed the Grand Council meeting at 11:11 a.m.

48. Grand Senior President Hamburger reconvened the Grand Council meeting at 3:12 p.m.

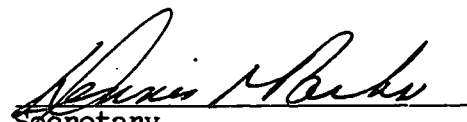
49. COMMENTS FOR THE GOOD OF THE SOCIETY

Executive Director Sheehan expressed gratitude to the Grand Council for approving the initiation of his father the evening before. Brother Thurston commented that he thought the National Leadership Conference had been a great success and other Grand Council members echoed his feelings. Brother Parks commented that through all our planning in the coming months it would be important for the Grand Council to keep in mind a capital campaign in the Fraternity's future.

50. It was moved by Brother Parks, seconded by Brother Spees and passed unanimously to adjourn the Grand Council meeting at 3:42 p.m. on August 5, 1989.

Respectfully Submitted,

  
Executive Director

  
Secretary

Approval of Mail Votes 210, 211, and 212

- Mail Vote 210: Approval that Edmund A. Hamburger, Charles J. Vohs, Robert M. Sheehan, Jr., and Alfred B. Wise be authorized signators on all Alpha Sigma Phi Fraternity, Inc, banking accounts for the disbursement and exchange of funds as authorized by The Grand Council.
- Mail Vote 211: Approval that Dr. William B. Allen, Bethany '59, receive the 1988 Delta Beta Xi Award.
- Mail Vote 212: Approval that John H. Luckenbill, Stockton State '84 receive the 1988 Delta Beta Xi Award.

REPORT BY THE  
COMMITTEE ON UNDERGRADUATE OPERATIONS

A. Membership Strength and Status

1988-89 Status:   53 Chapters  
                      2 Colonies  
                      2 Interest groups

Number of Chapters/Colonies Previous Years:

1983-84	56
1984-85	54
1985-86	58
1986-87	57
1987-88	56

Pledges:

Per Existing Chapter/Colony:

1981-82	791	13.41
1982-83	759 ( -4%)	13.24 ( -1%)
1983-84	906 (+20%)	16.18 (+22%)
1984-85	855 ( -6%)	15.83 ( -2%)
1985-86	1,049 (+23%)	17.08 ( +8%)
1986-87	969 ( -8%)	16.77 ( -2%)
1987-88	994 ( +3%)	18.40 (+10%)
1988-89	981 ( -1%)	17.65 ( -4%)

Initiates:

Per Existing Chapter:

1981-82	722	12.10
1982-83	673 ( -7%)	11.85 ( -2%)
1983-84	703 ( +5%)	13.26 (+12%)
1984-85	719 ( +2%)	12.65 ( -5%)
1985-86	810 (+13%)	15.28 (+21%)
1986-87	751 ( -7%)	13.78 (-10%)
1987-88	904 (+21%)	14.49 ( +5%)
1988-89	749 (-17%)	13.77 ( -5%)

Average Chapter/Colony Size:

Total Number Initiates/Pledges  
Returning:

1983-84	38	1983-84	1485
1984-85	40	1984-85	1603
1985-86	43	1985-86	1585
1986-87	43	1986-87	1690
1987-88	44	1987-88	1733
1988-89	44	1988-89	1765 (projected)

# CHAPTER CHECK LIST

August, 1989

<u>CHAPTERS</u>	<u>Year-End Size</u>			<u>Returning 1989-90</u>
	<u>1986-87</u>	<u>1987-88</u>	<u>1988-89</u>	
<u>Province I</u>				
Univ. of California, Berkeley Nu	38 Average	27 Weak	10 -	10
Univ. of California, Los Angeles Alpha Zeta	33 Weak	27 Weak	35 Average	32
Oregon State University Psi	75 Very Str.	74 Strong	65 Strong	48
Univ. of Washington Mu	84 Very Str.	71 Very Str.	74 Very Str.	67
<u>Province II</u>				
Iowa State University Phi	55 Strong	44 Average	36 Average	31
Univ. of Missouri Alpha Theta	44 Weak	22 Very Weak	24 Weak	22
Missouri Valley College Alpha Omicron	30 Weak	42 Average	53 Weak	43
<u>Province III</u>				
Univ. of Illinois Eta	91 Very Str.	103 Very Str.	93 Very Str.	78
Illinois Inst. of Tech. Alpha Xi	41 Average	36 Average	52 Strong	45
Indiana University Gamma Chi	59 Average	59 Average	67 Strong	61
Loyola University Delta Alpha	25 Weak	28 Average	21 Weak	15
Purdue University Alpha Pi	99 Very Str.	75 Strong	77 Very Str.	53
Illinois State Univ. Delta Omicro n	-	42 New	47 Average	35

## Chapter Check List - Page 2

	Year-End Size			Returning
	<u>1986-87</u>	<u>1987-88</u>	<u>1988-89</u>	<u>1989-90</u>
<u>Province IV</u>				
Lawrence Inst. of Tech. Gamma Psi	20 Average	18 Weak	9 Very Weak	8
Univ. of Michigan Theta	34 Weak	31 Weak	26 Very Weak	20
Univ. of Toledo Beta Rho	53 Average	43 Weak	53 Average	51
Tri-State Univ. Beta Omicron	23 Weak	14 Weak	16 Very Weak	14
<u>Province V</u>				
Baldwin-Wallace College Alpha Mu	26 Weak	25 Average	35 Strong	31
Bowling Green St. Univ. Gamma Zeta	24 Average	36 Average	32 Strong	31
Ohio Northern Univ. Gamma Alpha	45 Average	50 Average	56 Average	43
Ohio State Univ. Zeta	23 Average	17 Average	17 Weak	10
<u>Province VI</u>				
Bethany College Beta Gamma	55 Very Str.	55 Strong	54 Strong	43
Davis & Elkins College Gamma Delta	23 Very Weak	- Not Rated	16 Very Weak	15
Marietta College Delta	45 Average	31 Very Weak	37 Very Weak	31
Marshall University Beta Delta	74 Very Str.	89 Very Str.	86 Very Str.	75
Rio Grande College Delta Epsilon	24 Average	36 Average	25 Average	18



Chapter Check List - Page 3

	Year-End Size			Returning
	<u>1986-87</u>	<u>1987-88</u>	<u>1988-89</u>	<u>1989-90</u>
<u>Province VI (Cont'd)</u>				
Slippery Rock Univ. Delta Delta	31 Average	40 Weak	49 Weak	44
Westminster College Alpha Nu	52 Average	47 Strong	52 Strong	45
<u>Province VII</u>				
Atlantic Christian College Gamma Lambda	21 Weak	17 Very Weak	14 Weak	13
East Carolina Univ. Delta Eta	63 Strong	44 Average	45 Average	41
Longwood College Delta Iota	47 Strong	75 Strong	55 Average	47
Radford University Delta Theta	51 Average	48 Strong	53 Average	50
Wake Forest University Beta Mu	75 Strong	74 Average	63 Very Weak	48
<u>Province VIII</u>				
Univ. of Miami Gamma Theta	39 Average	41 Average	40 Average	36
UNC-Charlotte Delta Zeta	38 Average	37 Very Weak	43 Very Weak	37
Presbyterian College Alpha Psi	25 Average	23 Weak	21 Very Weak	16
Tulane University Gamma Omicron	15 Very Weak	10 Very Weak	11 Very Weak	6

## Chapter Check List - Page 4

	Year-End Size			Returning
	<u>1986-87</u>	<u>1987-88</u>	<u>1988-89</u>	<u>1989-90</u>
<u>Province IX</u>				
American University Beta Chi	55 Average	64 Strong	77 Very Str.	69
Lehigh University Beta Epsilon	55 Strong	51 Very Str.	53 Strong	43
New Jersey Inst. of Tech. Alpha Rho	30 Average	46 Average	40 Average	36
Rutgers University Beta Theta	47 Weak	53 Average	75 Average	58
Stevens Inst. of Tech. Alpha Tau	46 Average	32 Weak	38 Average	22
Stockton State College Delta Lambda	41 Average	50 Average	40 Average	36
Widener University Gamma Xi	41 Average	49 Average	51 Average	40
William Paterson College Delta Mu	56 Average	60 Weak	41 Weak	29
<u>Province X</u>				
Cornell University Iota	76 Strong	73 Strong	79 Average	55
Hartwick College Beta Xi	57 Average	52 Average	68 Average	43
Lycoming College Gamma Rho	40 Weak	47 Very Weak	30 Average	27
Pennsylvania State Univ. Upsilon	60 Strong	69 Very Str.	67 Strong	53
R. P. I. Beta Psi	32 Weak	33 Weak	36 Weak	30
Lock Haven University Delta Nu	-	50 New	53 Strong	43
Plattsburgh State Delta Xi	-	62 New	48 Average	42
Tufts University Beta Iota	23	32	26 Weak	22

Chapter Check List - Page 5

<u>COLONIES</u>	Year-End Size			Returning <u>1989-90</u>
	<u>1986-87</u>	<u>1987-88</u>	<u>1988-89</u>	
Binghamton	-	-	28	28
Phi Theta Colony				
Buffalo	-	-	25	22
Gamma Epsilon Colony				
 <u>INTEREST GROUPS</u>				
Concord College	-	-	26	26
University of Delaware	-	-	16	16

## **B. Chapter Visit Priorities**

We are very proud to welcome a very talented brother to the Chapter Services staff. Wesley R. Owen, Iowa State '87 is adding great depth to the program and will be traveling extensively to our colonies and chapters to help improve chapter operations and programs.

Every chapter's needs were reviewed during the summer staff retreat. As a part of the review an evaluation was made on the probable effect a staff visit would have on a chapter. By factoring needs and effectiveness together, travel priorities were set. This approach is used to assure that we utilize our staff resources in an efficient and productive manner.

Our top priority group for visits are:

- |                 |                      |
|-----------------|----------------------|
| - Cal-Berkeley  | - Iowa State         |
| - Rutgers       | - Illinois State     |
| - Michigan      | - Loyola             |
| - Presbyterian  | - Davis & Elkins     |
| - Ohio State    | - Atlantic Christian |
| - UNC-Charlotte | - Tri-State          |
| - Lawrence Tech | - William Paterson   |
| - Stevens Tech  | - Marshall           |
| - Rio Grande    |                      |

The second priority level chapters, those targeted for substantial growth, are:

- |                 |                   |
|-----------------|-------------------|
| - Illinois Tech | - Oregon State    |
| - Miami         | - U.C.L.A.        |
| - Indiana       | - Missouri        |
| - Bowling Green | - Tufts           |
| - Plattsburgh   | - Lock Haven      |
| - NJIT          | - RPI             |
| - East Carolina | - Marietta        |
| - Lycoming      | - Stockton State  |
| - Wake Forest   | - Baldwin-Wallace |

These priorities are not necessarily critical chapters. We will not waste time on chapters without potential. Some priorities are set to figure out the future of the group.

## **C. Chapters of Concern**

Rutgers - On March 26, 1989, the pledge class of Beta Theta Chapter went to "kidnap" a brother on Cook Campus of Rutgers. A student who witnessed the event suspected foul play and contacted a security guard who in turn contacted the Rutgers' Police Department since an automobile was involved. Four pledges and the brother were arrested for disorderly conduct and for minors in possession of alcohol.

Five brothers were also subpoenaed by the police for charges of hazing: the president, treasurer, pledge educator, and the two other members on the pledge committee. The alumni have rallied to the crisis to advise the group and get involved, which is new for Beta Theta Chapter.

The University has passed down its verdict which was somewhat expected, although a little stiff (Attachment A). The administration was very unreliable and uncooperative during the whole situation. We found it difficult to get a straight answer from them and the chapter found it almost impossible. The chapter has all but given up on the university for support or understanding.

The future looks good because the officers seem dedicated to reform. They will be meeting with the committee on Thursday afternoon.

Cal-Berkeley - The reorganization that started in November has not proven to be as fruitful as we expected, but the future is encouraging. We have a good nucleus to start the year and are working with them to have a successful summer rush. We have asked for the assistance of the other chapters in Province I for Cal's formal rush. Building their numbers and enthusiasm could be very beneficial for this group. They currently have 13 men to return next fall. They should have two to three representatives at the NLC.

Lawrence Tech - This chapter has developed an in depth report on their chapter operations after a strong indication of hazing was found during a consultant visit. This was sent to the committee prior to their June meeting and discussed that the chapter has made great strides in righting their wrong. They will meet with the committee on Thursday.

Michigan - A membership review was conducted on the drug issue that was found at Theta Chapter. Grand Chapter Advisor Bob Reel, Grand Marshal Bob Cabello, and Director of Chapter Services Randy Lewis conducted the interviews and found that most of the "problems" were graduating. One young man was questionable, but it was left to Bob Reel to put down specific rules to him and make sure he fulfilled them.

The new pledges that they had recruited were of high quality. They seem to be the saving force for the chapter and could be the key to Theta's future success. The Alumni Corporation is seriously considering leasing the chapter house or remortgaging to renovate the house. Theta will also have a Thursday meeting with the committee.

Iowa State - Phi Chapter felt very strongly that their condition was deteriorating and their future survival was in jeopardy, so they sent a letter to their alumni. This condition was then brought to our attention by Grand Councilor Stan Thurston, as we had no knowledge of the letter.

Since that time we have been in touch with the Greek Advisor at Iowa State, the HSP, and rush chairman. Steve Zizzo has been working with these young men throughout the summer and an early fall visit will be scheduled.

Ohio State - This chapter is coming back with eight men next fall. Although being small is nothing new for Zeta Chapter, this is extremely small for them. Wes Owen will be working with them over the summer, along with the entire staff, to help with their summer rush events. They have a new advisor, Bob Wade, Bowling Green '83, who has been helping them. He is a great guy and will be able to assist them greatly in their operations. We will have to become more involved with this chapter to help them through this period.

Presbyterian - We received a call in April from the Dean of Students at Presbyterian regarding an incident that happened this past fall. They sent their pledge class on a scavenger hunt and listed several items that they needed to acquire. One of these items was a hood ornament from a Jaguar. One of the pledges was arrested for this which was handled by the police. The Dean of Students got the police report in April and felt like something needed to happen.

Tulane - Tulane is another small chapter returning with six members. This is a chapter that may die out within the year. They have been struggling since they rechartered in 1979. We don't currently feel that there is much else we can do for them.

Davis & Elkins, Hartwick and the University of North Carolina-Charlotte - These three chapters will report to the committee this Thursday as was directed during the February meeting.

#### **D. Colony/Interest Group Update:**

University of Iowa - We received a call from the president of our Alpha Beta Colony shortly after the last committee meeting stating that the interest and desire was gone. The colony felt that it was in everyone's best interest to stop their efforts.

State University of New York at Buffalo - The Gamma Epsilon Colony was colonized on April 8th. The group is 26 members strong with an active alumni group in support of the men. They hope to charter by next spring.

The State University of New York at Binghamton - The Phi Theta Colony was colonized May 4th. They are 28 strong and we are currently getting alumni involved. They will be striving for the IFC's approval next fall. They hope to charter by next spring.

University of Delaware - An Interest Group of about 17 men has contacted us, and Randy went to meet with them about becoming a colony of the Fraternity. He also made a presentation to the IFC seeking their permission to colonize. They have recommended that we be allowed to charter after Sigma Chi's interest group does. (This would be October 1990 at the earliest.) The University will allow us to work with the group in the interim.

Concord College - Toward the end of the year, we received a packet of information from an interest group of 26 men at Concord. Randy went to meet with them and the administration regarding our chartering process. This would be a reactivation of Gamma Phi Chapter. They will petition the Grand Council to be officially recognized as a colony of Alpha Sigma Phi.

Indiana University of Pennsylvania - A group of about 15 men has contacted us about starting a chapter at IUP. The Greek Advisor isn't too excited about this because of a bad colonization recently. We are working with him to proceed with caution.

Bemidji, Bryant and James Madison - These three prospects have all fallen through. The men at Bemidji and James Madison seem to have become disinterested. Bryant did not choose to go with us after our presentation to them.

## **E. Expansion**

Some possibilities for expansion if time and resources were to allow include:

Ohio Wesleyan  
University of Denver  
University of Oklahoma  
North Carolina State

Of course there are other possibilities that may exist, but the search for these is a low priority at this time.

## **F. Province System**

Grand Province Chiefs during 1988-89 were:

Province I	Co-Chief Robert Strecker, Washington '78
	Co-Chief Larry Philippi, Bowling Green '76
Province II	Capt. Mark Still, Washington '75
Province III	Charles McCaffrey, Illinois '82
Province IV	William Siering, Toledo '80
Province V	Christopher Koch, Indiana '79
Province VI	Dr. Daniel P. Babb, Marshall '80
Province VII	Richard Kahler, Radford '82
Province VIII	Co-Chief Donald Goforth, UNCC '78
	Co-Chief John Chaney, Indiana '67
Province IX	John Luckenbill, Stockton State '84
Province X	Otto Sonder, American '47

## **G. Standards of Excellence**

Although the response has been pretty good for the program there are a few revisions that will need to occur to make sure that it's as effective as it should be.

A participation award is being created for those involved in the first year of its existence. Hopefully this will encourage more chapters to participate.

## **H. NLC&C '90**

It is the recommendation of the Grand Council Committee on Undergraduate Operations and the staff that the 1990 National Leadership Conference & Convention be held at American University in Washington, D.C. The location, costs and facilities have all played an important role in making a decision that will prove to accommodate a great convention!

## **I. Volunteer Alumni Task Force**

Since the financial resources were not available to hold another meeting of the Task Force we have concentrated our efforts on developing a manual for alumni groups. The first step was to contact several Fraternity Headquarters inquiring about their alumni programs. Next we are compiling this information

to send out to the Task Force members for their comments. Also to be included is an outline for the manual. This will be put together on a needs basis to help alumni groups develop into a functional organization covering all of the requirements of the chapter. The Task Force will be asked to review the material and to provide comments and direction for the project.

#### J. Future Items

The committee has directed the staff to work on Position Statements for AIDS, Human Dignity, Racism, Illegal Substance Use, and Housemothers/Resident Advisors. These statements will provide a direction for our brotherhood to follow as well as enhance the public image of our fraternity as being a positive and progressive force in society.

The committee also discussed ways in which the staff recruitment can be enhanced. Also discussed was the expansion to campuses where campus recognition was not extended. It was agreed to continue with our current policy and if any conflicts came up they would be discussed on a case by case basis.

#### K. Key Success Stories

During a committee report such as this, one can become discouraged with all of the problems we discuss. We do have some success stories too!

Illinois Institute of Technology came back in the fall with 30 men and pledged 25 this year. East Carolina started with 26 men and have pledged 35 men this year. Hartwick has had the first dry rush for their campus and pledged more men than any other fraternity with a higher cumulative GPA than the other pledge classes.

Lycoming has paid off their debt of over \$2,000 within the last year. Slippery Rock paid off a \$3,900 debt. Radford raised \$20,000 on their dime roll for the March of Dimes, their most ever. Penn State has topped all charts raising over \$112,000 with Alpha Sigma Alpha for the IFC Dance Marathon, benefiting the Children's Cancer Fund at Hershey Medical Center.

We have several IFC presidents, as well as other IFC officers, some student senators (one chapter even has six senators), a few student government presidents, not to mention a lot of involvement in campus activities and honor societies.

Two chapters have announced major capital campaigns for housing improvements by their alumni this year. Both campaigns are for over \$200,000 and have met with success to date.





NATIONAL HEADQUARTERS  
24 WEST WILLIAM STREET  
DELAWARE, OHIO 43015

614-363-1911

REPORT ON AWARDS

TO: The Grand Council  
FROM: Dr. Robert M. Gill, Radford '83, Awards Chairman  
RE: Award Recommendations  
DATE: August 3, 1989

Award for Service. . . . . Delta Theta, Radford University  
Honorable Mention - Beta Delta, Marshall  
The Tomahawk Award . . . . . Alpha Mu, Baldwin-Wallace College  
Chapter Newsletter Award . . . . . Upsilon, Pennsylvania State University  
Photography. . . . . None  
Old Gal Gazette. . . . . Delta Lambda, Stockton State College  
Philanthropy Award . . . . . Upsilon, Pennsylvania State University  
Honorable Mention - Delta Theta, Radford  
Frank F. Hargear Memorial Award. . . . . John Lyon, Radford '87  
Gary A. Anderson Award . . . . . Gamma Zeta, Bowling Green State University  
Honorable Mention - Phi, Iowa State  
Alumni Newsletter Award . . . . . Beta Omicron, Tri-State University

Rutgers College • Office of the Dean of Students • New Brunswick • New Jersey 08903

May 17, 1989

**RECEIVED**

MAY 22 1989

Mr. Steve Cafiero  
145 Eileen Drive  
Cedar Grove, NJ 07009

Alpha Sigma Phi Fraternity, Inc.

Dear Mr. Cafiero:

I appreciate very much the information provided to my office by the alumni of Alpha Sigma Phi. It has been extremely helpful to me as I considered the decision regarding the future of the group. I also appreciate the chance to meet with you and others from the alumni corporation and to discuss personally your commitment to the organization. It is encouraging after so many years of neglect to hear that the alumni have an interest in working with the undergraduates..

I have carefully reviewed and considered the status of Alpha Sigma Phi in view of its recent involvement in serving alcohol to pledges during dry rush, its apparent attempt to pledge ineligible students during rush and its involvement in a hazing incident subsequent to the rush period. As you know the house is already under a social probation sanction for the earlier violations. Based on the record of the house over the past few years, I find little to recommend it as a contributing member of our fraternity system. I am of the opinion that there are members of the house that have little regard for the fraternity system or for the chapter itself. While there are individuals with leadership ability and potential, they have had virtually no support from the alumni or the national to provide them with badly needed direction. Consequently, the chapter has deteriorated into a state that is embarrassing and unacceptable for a fraternity on this campus. The recent incidents simply reflect a deeper malaise that afflicts the house. I have severe doubts that the chapter can recover.

Notwithstanding, I am prepared to impose the following conditions on the chapter for continuation on the Rutgers campus:

1. Alpha Sigma Phi will operate until September 1, 1991, under a full charter probation status. This sanction means that the chapter may participate in rush activities without alcohol in any form at any time including alumni activities and that:
  - a. the chapter may conduct two social events to be approved by the Dean of Fraternity Affairs during each semester beginning during the fall semester of 1990

- b. the chapter may participate in Keller sports competition beginning in the fall of 1990
  - c. the chapter must provide a monthly report to the Dean of Fraternity Affairs describing the activities of the group and steps taken to improve chapter affairs
  - d. the chapter must employ at its own expense but with the approval of the Dean of Fraternity Affairs a full time, live-in residence counselor to afford adult supervision in the house
  - e. the chapter must adhere to all university regulations regarding rush and social events and must attend without fail every meeting of the RFC during the probation period
2. The alumni corporation in collaboration with the Dean of Fraternity Affairs must conduct a complete membership review and must demonstrate that it has removed problem members from the chapter. This must be completed prior to September 1, 1989.
3. The chapter must file an authentic, honest and achievable pledge program with the Dean of Fraternity Affairs prior to September 1, 1989. This plan must receive university approval and the terms must be met without exception.
4. The chapter must understand that any violation of any rule without exception over the two year probationary period will result in immediate removal from the campus without further steps being necessary.

I applaud your group for the steps in addition to those above that have been suggested. It is my expectation that each of them will be implemented independently of these requirements. You have along road to cover over the next two years. I wish you well.

Sincerely,



Stayton Wood  
Dean of Students

cc: Dr. John Creeden  
Dean Loser  
National Office ✓  
Local Chapter

# ALPHA SIGMA PHI FRATERNITY, INC.

## Comparative Operating Statement & Budget Analysis

<u>INCOME</u>	<u>Actual</u> <u>1987-88</u>	<u>Actual</u> <u>1988-89</u>	<u>Budget</u> <u>1988-89</u>	<u>Proposed</u> <u>Budget</u> <u>1989-90</u>
1. Initiation Fees	\$96,400.00	\$81,560.00	\$80,000.00	\$76,000.00
2. Pledge Fees	34,265.00	38,790.00	35,400.00	36,000.00
3. Chapter Fees	2,295.00	2,306.25	2,340.00	2,385.00
4. Undergrad. Dues	84,410.00	94,531.00	93,500.00	97,000.00
5. Educ. Foundation Grants	72,500.00	72,000.00	91,500.00	73,500.00
6. Merchandise (net)	4,308.50	6,095.46	2,500.00	4,000.00
7. Investments and Interest	4,756.06	5,943.92	5,000.00	5,000.00
8. Parking Rentals	1,784.58	1,710.00	1,800.00	1,800.00
9. Services to Organizations	25,700.00	30,738.00	25,700.00	24,700.00
10. Miscellaneous	<u>2,528.77</u>	<u>4,321.77</u>	<u>2,500.00</u>	<u>2,500.00</u>
TOTAL INCOME:	\$ 328,947.91	\$ 337,996.40	\$ 340,240.00	\$322,885.00
TOTAL EXPENSES:	<u>376,441.27</u>	<u>332,796.32</u>	<u>335,300.00</u>	<u>341,400.00</u>
GAIN (LOSS):	\$(47,493.36)	\$ 5,200.08	\$ 4,940.00	\$(18,515.00)

### Special Note

Annual Loyalty Fund (net)	\$107,339.25	\$ 88,934.28	\$113,500.00	\$122,000.00
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# ALPHA SIGMA PHI FRATERNITY, INC.

## Comparative Operating Statement & Budget Analysis

<u>EXPENSES</u>	<u>Actual</u> <u>1987-88</u>	<u>Actual</u> <u>1988-89</u>	<u>Budget</u> <u>1988-89</u>	<u>Proposed</u> <u>Budget</u> <u>1989-90</u>
1. Salaries	\$142,499.88	\$145,303.19	\$144,000.00	\$145,000.00
2. Unemployment Comp.	1,743.29	1,541.71	2,000.00	2,000.00
3. Employees A & H Ins.	5,747.40	10,475.97	7,500.00	20,700.00
4. Social Security Tax	10,290.13	11,049.46	11,000.00	11,000.00
5. Pension	3,600.00	8,416.31	8,600.00	8,700.00
6. Utilities	2,749.86	2,731.83	3,000.00	3,000.00
7. Bldg, Maint & Prop. Tax	2,646.37	1,630.70	1,500.00	1,500.00
8. Telephone	10,399.67	9,881.04	11,000.00	10,000.00
9. Postage	12,954.35	11,138.72	14,000.00	12,000.00
10. Office Supplies	12,200.83	12,597.82	11,000.00	13,000.00
11. Computer Service	4,668.05	3,762.36	4,500.00	4,000.00
12. Audit & Legal	3,755.00	4,196.07	4,200.00	4,500.00
13. Insurance	4,751.77	3,817.50	4,000.00	2,800.00
14. Publications				
a. Tomahawk	47,318.33	23,614.46	30,000.00	25,000.00
b. TBTM	19,222.38	3,540.48	4,000.00	3,000.00
c. Found. Excell.	-	-	-	5,000.00
15. Chapter Services				
a. Exec. Director travel	3,204.65	1,822.81	3,000.00	2,000.00
b. Dir. Chap. Serv. travel	6,662.37	7,263.64	5,000.00	4,000.00
c. Chapt. Cons. travel	15,728.20	14,661.90	14,000.00	7,000.00
d. Staff Housing	2,250.00	2,250.00	2,400.00	1,200.00
e. G.C., Others travel	990.11	2,236.29	1,000.00	2,500.00
f. Prog. Costs & Gen. Op.	3,574.81	5,249.86	4,000.00	4,000.00
16. Expansion				
a. Staff travel	1,720.18	711.26	1,000.00	1,000.00
b. Others travel	558.77	868.58	500.00	500.00
c. Prog. Costs & Gen. Op.	5,552.08	3,481.22	1,500.00	500.00

GENERAL FUND

Expenses - Page 2

<u>EXPENSES</u>	<u>Actual</u> <u>1986-87</u>	<u>7/1/87</u> <u>6/30/88</u>	<u>Budget</u> <u>1987-88 1988-89</u>	<u>Proposed</u> <u>Budget</u> <u>1988-89 1989-90</u>
17. Interfraternity				
a. Dues	1,854.00	1,950.00	2,000.00	2,000.00
b. Travel & Meetings	4,617.41	5,664.82	5,500.00	5,000.00
18. Chapter Programming				
a. Province System	5,391.77	2,481.91	4,000.00	2,500.00
b. Scholarship	-	-	600.00	-
19. Conf. & Exec. Meetings	11,789.40	13,281.33	8,500.00	9,000.00
20. Automobile	4,456.58	5,629.94	5,000.00	6,000.00
21. Insignia	13,205.31	12,091.07	11,000.00	11,000.00
22. Newsletter Service	234.95	1,676.07	1,000.00	1,000.00
23. Doubtful Accounts	1,785.00	1,235.16	1,000.00	1,000.00
24. Miscellaneous	2,984.65	4,026.08	4,000.00	4,000.00
25. National Meetings (net)	5,333.72	(7,483.24)	-	-
26. Exec. Dir. Search	-	-	-	6,000.00
<b>TOTAL EXPENSES:</b>	<b>\$ 376,441.27</b>	<b>\$ 332,796.32</b>	<b>\$ 335,300.00</b>	<b>\$341,400.00</b>

# ALPHA SIGMA PHI EDUCATIONAL FOUNDATION

## BUDGET REPORT

<u>REVENUES</u>	Actual <u>1987-88</u>	Actual <u>1988-89</u>	Budget <u>1988-89</u>	Proposed Budget <u>1989-90</u>
1. Endowment Gifts	\$123,556	\$ 23,898	\$ 40,000	\$ 16,000
2. Annual Loyalty Fund	136,561	134,745	148,500	162,000
3. Investment Income (net)	35,149	44,862	37,000	40,000
4. Investment Sale Gain (loss)	5,505	(3,734)	-	-
5. Computer Fees	22,500	22,740	22,500	22,500
6. Expendable Fund Transfer	<u>2,488</u>	<u>6,334</u>	<u>1,334</u>	<u>-</u>
TOTAL REVENUES	\$325,759	\$228,845	\$249,334	\$240,500
NON-EXPENDABLE REVENUES/ENDOWMENT	<u>123,556</u>	<u>23,898</u>	<u>40,000</u>	<u>16,000</u>
TOTAL EXPENDABLE REVENUES	\$202,203	\$204,947	\$209,334	\$224,500
TOTAL EXPENDITURES	<u>195,869</u>	<u>204,324</u>	<u>208,950</u>	<u>224,450</u>
GAIN/(LOSS)	\$ 6,334	\$ 623	\$ 384	\$ 50

# ALPHA SIGMA PHI EDUCATIONAL FOUNDATION

## BUDGET REPORT

### EXPENDITURES

	Actual <u>1987-88</u>	Actual <u>1988-89</u>	Budget <u>1988-89</u>	Proposed Budget <u>1989-90</u>
1. Annual Loyalty Fund	\$ 29,222	\$ 45,810	\$ 35,000	\$ 40,000
2. Personnel	18,966	19,256	20,000	48,000
3. Dir. of Development	10,520	-	-	-
4. Alumni Development	1,692	4,146	3,000	6,000
5. Planned Giving	3,050	-	250	-
6. Bookkeeping	3,000	3,000	3,000	3,000
7. Audit and Legal	2,250	2,625	2,250	3,000
8. General Admin.	10,000	10,000	10,000	10,000
9. Third Founding Admin.	5,500	5,500	5,500	-
10. Equipment Depreciation	16,304	16,650	16,300	16,300
11. Equipment Maintenance	4,078	4,768	4,500	4,500
12. Miscellaneous	4,637	6,140	3,500	6,000

### Grants

1. Scholarships/Awards	\$ 1,650	\$ 1,650	\$ 1,650	\$ 1,650
2. Nat'l Leadership Conference	12,500	12,500	12,500	12,500
3. Educational Grants	72,500	72,000	91,500	73,500
4. Chapter Funds Grants	-	279	-	-

TOTAL EXPENDITURES	\$195,869	\$204,324	\$208,950	\$224,450
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WOLF, ROGERS, DICKEY & Co.

CERTIFIED PUBLIC ACCOUNTANTS  
107 WEST WILLIAM STREET  
DELAWARE, OHIO 43015

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614-362-9031

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614-548-4566

Grand Council  
Alpha Sigma Phi Fraternity, Inc.  
Delaware, Ohio:

We have audited the accompanying balance sheets of Alpha Sigma Phi Fraternity, Inc. as of June 30, 1989 and 1988 and the related statements of revenues, expenses, reimbursements and changes in fund balances for the years then ended. We have also audited the accompanying balance sheets of A-S-P Corporation as of June 30, 1989 and 1988 and the related statements of earnings and retained earnings for the years then ended. These financial statements are the responsibility of the Fraternity's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Alpha Sigma Phi Fraternity, Inc. and A-S-P Corporation at June 30, 1989 and 1988 and the results of their operations and changes in fund balances or retained earnings for the years then ended, all in conformity with generally accepted accounting principles.

*Wolf, Rogers, Dickey & Co.*  
Certified Public Accountants

July 17, 1989

Alpha Sigma Phi Fraternity, Inc.  
Balance Sheets  
June 30, 1989 and 1988

ASSETS

	<u>1989</u>	<u>1988</u>
Cash, including savings and other interest bearing accounts	\$ 48,380	54,729
Certificates of deposits and money market funds	58,846	30,786
Notes and accounts receivable:		
Notes receivable	19,134	20,398
Notes receivable from A-S-P Corporation	31,241	21,120
Accounts receivable	27,896	34,350
National insurance premium receivable	<u>29,857</u>	<u>41,066</u>
	108,128	116,934
Less allowance for doubtful receivables	<u>(4,400)</u>	<u>(6,185)</u>
Total notes and accounts receivables	<u>103,728</u>	<u>110,749</u>
Publication and jewelry inventories, at cost	1,847	3,454
Investment in A-S-P Corporation, at cost (Note 5)	51,500	51,500
Property and equipment, at cost:		
Land	14,294	14,294
Building	14,812	14,812
Equipment and vehicle	<u>51,368</u>	<u>48,830</u>
	80,474	77,936
Less accumulated depreciation	<u>47,470</u>	<u>50,055</u>
Net property and equipment	<u>33,004</u>	<u>27,881</u>
	\$ <u>297,305</u>	<u>279,099</u>

See accompanying notes to financial statements

Alpha Sigma Phi Fraternity, Inc.  
Balance Sheets  
June 30, 1989 and 1988

LIABILITIES AND FUND BALANCES

	<u>1989</u>	<u>1988</u>
Installment notes payable	\$ 17,219	12,711
National Insurance payable	12,834	-
Accounts payable	3,675	4,408
Deposits, refundable and non-refundable	12,076	14,325
Accrued expenses	917	2,802
Deferred income	<u>14,774</u>	<u>14,990</u>
Total liabilities	<u>61,495</u>	<u>49,236</u>
Fund balances (Note 2):		
Designated by the Grand Council for:		
Alabama Alumni escrow	38,678	36,661
Investment in A-S-P Corporation	51,500	51,500
Emergency loans to chapters	20,000	21,000
National Insurance reserve	506	-
Property and equipment	15,785	15,169
Total	<u>126,469</u>	<u>124,330</u>
Undesignated	109,341	105,533
Total fund balances	<u>235,810</u>	<u>229,863</u>
	<u>\$ 297,305</u>	<u>279,099</u>

Alpha Sigma Phi Fraternity, Inc.  
Statements of Revenues, Expenses, Reimbursements  
and Changes in Fund Balances  
Years Ended June 30, 1989 and 1988

	<u>1989</u>	<u>1988</u>
Revenues and grants:		
Annual dues	\$ 94,531	84,410
Initiation fees	81,560	96,400
Pledge fees	38,790	34,265
Chapter fees	2,307	2,295
Educational grants (Note 4)	72,000	72,500
Service fee (Note 4)	30,738	25,700
Gross profit on sale of merchandise and jewelry	6,095	4,308
Profit on National Insurance Program (Note 3)	506	3,252
Interest and dividend income	5,944	4,756
Profit (loss) on National conference	7,483	(5,334)
Other	5,526	4,313
Total revenues	<u>345,480</u>	<u>326,865</u>
Expenses:		
Salaries and employee benefits	180,865	168,410
Facilities and equipment, including depreciation	13,223	18,160
Telephone	9,881	10,400
Postage	11,139	12,954
Office supplies and expense	14,233	15,104
Professional	4,196	3,755
New member jewelry	12,091	13,205
Tomahawk publication	23,614	47,313
Interest expense	1,859	898
Bad debts, net of recoveries	1,235	1,785
Chapter services	30,985	28,117
Expansion	5,061	7,831
Interfraternity	7,615	6,471
Grand Council meetings and conferences	13,281	11,789
Province program	2,482	5,392
"To Better The Man" project	3,540	19,222
Miscellaneous	4,233	2,814
Total expenses	<u>339,533</u>	<u>373,625</u>
Excess (deficit) of revenues and grants over expenses	5,947	(46,760)
Fund balance:		
Beginning of fiscal year	<u>229,863</u>	<u>276,623</u>
End of fiscal year	\$ <u><u>235,810</u></u>	<u><u>229,863</u></u>

See accompanying notes to financial statements

Alpha Sigma Phi Fraternity, Inc.  
Notes to Financial Statements  
June 30, 1989 and 1988

(1) Summary of Significant Accounting Policies

Investments

Investments in corporate bonds and stocks are carried at cost. Gains or losses on the sale of investments are recognized upon realization. Gifts of investment securities are recorded at the market value on the date the gift was received. Investments in A-S-P Corporation, a wholly owned subsidiary of Alpha Sigma Phi Fraternity, Inc., are carried at cost. (See Note 5)

Depreciation

Depreciation of property and equipment is provided over the estimated useful lives of the respective assets on the straight-line basis.

Federal Income Taxes

The Fraternity is a non-profit social fraternity exempt from Federal income tax under the provisions of Section 501(c)(7) of the Internal Revenue Code.

Revenues

The principal revenues of the Alpha Sigma Phi Fraternity, Inc. are fees from member chapters and their member brothers, initiates and pledges.

(2) Fund Balances

Funds are currently expendable and have not been restricted by donors in any manner. Convention and educational conference fees are billed to member chapters each year. .

(3) National Insurance Program

The Fraternity is engaged in a national liability and property insurance program. Member chapters are required to purchase liability insurance and are billed on a per member basis. Property insurance is optional. Property insurance premiums are based on specifics of the separate houses. The insurance brokers are currently James R. Favor and Company and Insurance Coverages Limited who place coverage through various insurance companies. Premiums are billed annually. Revenue and cost of insurance is recognized based on expired portion of policy term.

The following is a summary of the insurance program operations:

	<u>1989</u>	<u>1988</u>
Revenue	\$ 144,051	154,031
Cost of insurance	(135,920)	(150,779)
Bad debt expense	(2,587)	-
Administrative service fee	<u>(5,038)</u>	<u>-</u>
Profit from program	\$ <u>===506</u>	<u>==3,252</u>

Alpha Sigma Phi Fraternity, Inc.  
Notes to Financial Statements, Continued  
June 30, 1989 and 1988

(4) Related Party Transactions

The Fraternity and the Alpha Sigma Phi Educational Foundation share common management and facilities. The Fraternity's personnel provides bookkeeping services and conducts fund-raising on behalf of the Educational Foundation.

The following amounts received from the Educational Foundation are included in Fraternity revenue or offset against the Fraternity's expenses:

<u>Fraternity revenue category</u>	<u>1989</u>	<u>1988</u>
Educational grants	\$ 72,000	72,500
Service fee	<u>18,500</u>	<u>18,500</u>
	<u>90,500</u>	<u>91,000</u>
 <u>Fraternity expense category</u>		
Loyalty Fund campaign	4,677	3,251
Leadership conference	<u>12,500</u>	<u>12,500</u>
	<u>17,177</u>	<u>15,751</u>
Total revenue and reimbursements	\$ <u>107,677</u>	<u>106,751</u>

The Fraternity's expenses include \$12,240 in 1989 and \$12,000 in 1988 paid to the Educational Foundation for computer use.

Alpha Sigma Phi Fraternity, Inc.  
Notes to Financial Statements, Continued  
June 30, 1989 and 1988

(5) Investment in A-S-P Corporation

A-S-P Corporation is a Delaware corporation formed for the purpose of aiding chapters of the Fraternity in providing housing and housing facilities by making second mortgage loans. The corporation acquired ownership of one property in 1981 which is leased to a chapter of the Fraternity.

The separate financial statements of A-S-P Corporation at June 30, 1989 and 1988 and for the years then ended are as follows:

A-S-P Corporation  
Balance Sheets  
June 30, 1989 and 1988

<u>ASSETS</u>		<u>1989</u>	<u>1988</u>
Mortgages and bonds receivable (secured)		\$ 26,646	26,645
Less allowance for doubtful accounts		<u>10,000</u>	<u>10,000</u>
Net receivables		16,646	16,645
Rental property, at cost (pledged) net of accumulated depreciation of \$20,625 in 1989 and \$18,333 in 1988		53,870	56,162
Cash in interest bearing accounts		<u>5,381</u>	<u>6,534</u>
		\$ <u>75,897</u>	<u>79,341</u>
 <u>LIABILITIES AND STOCKHOLDERS' EQUITY</u>			
11.75% Mortgage note payable (secured) due September, 1990	\$ 12,160		21,010
4% Debenture bonds, due September, 1986	-		100
Note payable Alpha Sigma Phi Fraternity	<u>31,241</u>		<u>21,120</u>
Total liabilities	43,401		42,230
Stockholder's equity:			
Common stock, without par value.			
Authorized 5,000 shares; issued and outstanding 515 shares	51,500		51,500
Retained earnings (accumulated deficit)	<u>(19,004)</u>		<u>(14,389)</u>
Total stockholder's equity	32,496		37,111
	\$ <u>75,897</u>		<u>79,341</u>

Alpha Sigma Phi Fraternity, Inc.  
Notes to Financial Statements, Continued  
June 30, 1989 and 1988

A-S-P Corporation  
Statements of Earnings and Retained Earnings  
Years Ended June 30, 1989 and 1988

	<u>1989</u>	<u>1988</u>
Revenues:		
Rent	\$ 8,000	8,894
Interest on mortgages and bonds	-	26
Interest on savings accounts	<u>390</u>	<u>341</u>
Total revenues	8,390	9,261
Expenses:		
Interest	2,032	3,031
Administrative services	7,206	7,236
Depreciation of rental properties	2,292	2,792
Professional	1,435	1,075
Loss on disposal of leasehold interest at Miami	-	11,000
Taxes	<u>40</u>	<u>82</u>
Total expenses	<u>13,005</u>	<u>25,216</u>
Net income (loss)	(4,615)	(15,955)
Retained earnings (accumulated deficit):		
Beginning of fiscal year	(14,389)	<u>1,566</u>
End of fiscal year	\$ ( <u>19,004</u> )	( <u>14,389</u> )

A-S-P Corporation  
Notes to Financial Statements  
June 30, 1989 and 1988

(1) Summary of Significant Accounting Policies

Rent and Interest on Mortgages and Bonds

Rent income on properties and interest income on mortgages and bonds are recorded as received.

Depreciation of Rental Properties

Depreciation is provided over the estimated useful lives of the assets on the straight-line basis.

Federal Income Taxes

The Corporation is a tax paying entity. Deferred income taxes will be provided on any differences between income for tax and accounting purposes when such differences occur. The Corporation has net operating loss carryforwards of \$35,972 to offset future taxable income. Carryforwards start to expire in 1998.



WOLF, ROGERS, DICKEY & Co.

CERTIFIED PUBLIC ACCOUNTANTS

107 WEST WILLIAM STREET

DELAWARE, OHIO 43015

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614-548-4566

Board of Trustees  
Alpha Sigma Phi Educational Foundation  
Delaware, Ohio:

We have audited the accompanying balance sheets of Alpha Sigma Phi Educational Foundation as of June 30, 1989 and 1988 and the related statements of revenues, expenses, reimbursements and changes in fund balances for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Alpha Sigma Phi Educational Foundation at June 30, 1989 and 1988 and the results of their operations and changes in fund balances for the years then ended, in conformity with generally accepted accounting principles applied on a consistent basis. The supplementary data included in Schedule I has been subjected to the same auditing procedures and, in our opinion, is stated fairly in all material respects when considered in conjunction with the financial statements taken as a whole.

*Wolf, Rogers, Dickey & Co.*  
Certified Public Accountants

July 17, 1989

Alpha Sigma Phi Educational Foundation  
Balance Sheets  
June 30, 1989 and 1988

ASSETS

	<u>1989</u>	<u>1988</u>
Cash in interest bearing accounts	\$ 72,852	89,951
Certificates of deposit and money market funds	115,750	146,199
Interest and note receivable	7,995	500
Investment securities, at cost (market \$482,982 in 1989 and \$385,186 in 1988)	466,473	393,751
Equipment, at cost	83,888	82,613
Less accumulated depreciation	<u>43,798</u>	<u>27,147</u>
Net equipment	40,090	55,466
	\$ <u>703,160</u>	<u>685,867</u>

LIABILITIES AND FUND BALANCES

Accrued payroll taxes, fees and accounts payable	\$ 358	1,531
Accrued grants on Chapter funds	279	-
Restricted Fund balances (Note 2):		
Non-expendable:		
Third Founding	380,615	359,567
John P. Commons Fund	58,412	58,412
Warren A. Hanna Fund	51,001	51,001
John A. Selig Fund	50,000	50,000
Ralph F. Burns Fund	30,208	30,208
Evin C. Varner Fund	23,677	23,677
Carnegie-Mellon Fund	13,000	13,000
Minnesota Fund	3,000	3,000
Chapter funds:		
Penn State Fund	3,225	3,225
California Fund	1,500	-
Oregon State Fund	1,350	-
Total	<u>615,988</u>	<u>592,090</u>
Expendable:		
Undesignated	623	6,334
Designated (investment)	85,912	85,912
Total	<u>86,535</u>	<u>92,246</u>
Total fund balances	<u>702,523</u>	<u>684,336</u>
	\$ <u>703,160</u>	<u>685,867</u>

See accompanying notes to financial statements

Alpha Sigma Phi Educational Foundation  
Statements of Revenues, Expenses, Reimbursements  
and Changes in Fund Balances  
Years Ended June 30, 1989 and 1988

	<u>1989</u>	<u>1988</u>
Revenues:		
Loyalty Fund campaign contributions	\$ 134,746	136,561
Interest and dividend income	48,961	38,338
Fees received computer & solicitation	22,740	22,500
Third Founding contributions	21,048	42,434
California Fund	1,500	-
Oregon State Fund	1,350	-
Bequests	-	80,912
Evin C. Varner Fund	-	110
Penn State Fund	-	100
Gain (loss) on sale of securities	<u>(3,734)</u>	<u>5,505</u>
Total revenues	<u>226,611</u>	<u>326,460</u>
Operating expenses (Note 3):		
Third Founding campaign	5,500	5,500
Loyalty Fund campaign	45,810	29,222
Administration:		
Salaries and employee benefits	19,256	18,967
Alumni development	4,146	1,691
Planned giving	-	3,050
Director development	-	10,520
Investment fees	4,099	3,189
Audit and legal	2,625	2,250
Administration	13,000	13,000
Travel, registrations, etc.	6,140	4,637
Depreciation	16,650	16,304
Equipment maintenance	4,769	4,078
Total administration expenses	<u>70,685</u>	<u>77,686</u>
Total operating expenses	<u>121,995</u>	<u>112,408</u>
Grants and awards:		
Educational grants	84,500	85,000
Scholarship awards	1,650	1,650
Chapter funds grants	279	-
Total grants and awards	<u>86,429</u>	<u>86,650</u>
Excess of revenues over expenses, grants and awards	18,187	127,402
Fund balance:		
Beginning of fiscal year	<u>684,336</u>	<u>556,924</u>
End of fiscal year	\$ <u><u>702,523</u></u>	<u><u>684,336</u></u>

See accompanying notes to financial statements

Alpha Sigma Phi Educational Foundation  
Investment Securities  
June 30, 1989

Schedule I

	<u>Shares/ Face</u>	<u>Cost</u>	<u>Market Value</u>
Huntington Bank Multiple Manager Investment Account:			
Equity funds:			
Income	1461	\$ 45,188	46,416
Capital appreciation	3955	83,596	89,225
Core	782	<u>14,991</u>	<u>16,117</u>
		143,775	151,758
U. S. Treasury Bills	25,000	22,864	22,864
U. S. Treasury Notes	175,000	174,678	180,172
Government Agencies (FHLB,FFCB)	100,000	100,133	101,961
Government Backed Mortgages (FNMA)	25,000	25,023	26,227
		<u>          </u>	<u>          </u>
Total investment securities		\$ <u>466,473</u>	<u>482,982</u>

See accompanying notes to financial statements

Alpha Sigma Phi Educational Foundation  
Notes to Financial Statements  
June 30, 1989 and 1988

(1) Summary of Significant Accounting Policies

Investments

Investment securities are carried at cost. Gains or losses on the sale of investments are recognized upon realization. Gifts of investment securities are recorded at the market value on the date the gift was received.

Depreciation

Depreciation of property and equipment is provided over the estimated useful lives of the respective assets on the straight-line basis.

Federal Income Taxes

The Educational Foundation is a non-profit public charity exempt from Federal income tax under the provisions of Section 501(c)(3) of the Internal Revenue Code.

(2) Restricted Fund Balances

Contributions to the Alpha Sigma Phi Educational Foundation are restricted by donors for use only for educational purposes including scholarships, scholarship awards, and support of the educational programs of the Fraternity including support of the annual educational conference. Special restrictions placed on the use of funds contributed to the Third Founding Campaign, the John P. Commons Fund, Warren A. Hanna Fund, the John A. Selig Fund, the Ralph F. Burns Fund, the Evin C. Varner Fund, the Carnegie-Mellon Fund and the Minnesota Fund have been recognized by the segregation of the fund balances. Separate funds have been established for each chapter. Income attributable to chapter funds is currently expendable at the chapter levels for purposes that meet the requirements for grants from a public foundation.

(3) Related party transactions

The Educational Foundation and Alpha Sigma Phi Fraternity, Inc. share common management and facilities. The Fraternity's personnel provides bookkeeping services and conducts certain fund-raising on behalf of the Educational Foundation.

Educational Foundation expenses and grants include the following amounts paid to the Fraternity:

	<u>1989</u>	<u>1988</u>
Third Founding campaign expenses	\$ 5,500	5,500
Loyalty Fund campaign expenses	4,677	3,251
Administration	13,000	13,000
Educational grants	<u>84,500</u>	<u>85,000</u>
	\$ <u><u>107,677</u></u>	<u><u>106,751</u></u>

Educational Foundation revenues include \$12,240 in 1989 and \$12,000 in 1988 received from the Fraternity for computer usage.

### E. 1988-89 Budget Review

A net gain of \$5,200 was produced during 1988-89. Two decisions made during our fourth quarter, delaying the spring issue of The Tomahawk until the 1989-90 fiscal year and charging the Insurance Program \$5,038 for administrative services helped make this possible. Otherwise, a \$10,000 loss would have been produced. A substantial decrease in the Annual Loyalty Fund net, \$24,566 below budget and \$18,405 below the 1987-88 net, is the major factor which negatively impacted the budget.

The committee's approach to the budget last year was to conservatively project income and allocate service expenses just below that projection to produce a budgeted surplus. The approach worked well for income areas other than Foundation Grants (which are mostly reliant on the Annual Loyalty Fund) as \$10,000 more than budgeted was received from these areas, which cushioned the drop in the Annual Loyalty Fund. The flaw in our projection, as it turns out, was to expect a 6% net increase in the Annual Loyalty Fund.

The Annual Loyalty Fund net decrease is the first in the past eight years, during which time annual increases have averaged 27.5%. Those results have been as follows:

	<u># of donors</u>	<u>Gross Receipts</u>	<u>Net Receipts</u>	<u>Average Gift</u>
1981-81	1,574	\$ 43,024	\$ 26,607	\$27.33
1982-83	1,716 (+ 9%)	51,696 (+ 20%)	40,085 (+ 51%)	30.13 (+ 10%)
1983-84	2,116 (+ 23%)	83,435 (+ 61%)	59,228 (+ 48%)	39.43 (+ 31%)
1984-85	2,147 (+ 1%)	89,091 (+ 7%)	66,003 (+ 11%)	41.50 (+ 5%)
1985-86	3,101 (+ 44%)	136,936 (+ 54%)	90,389 (+ 37%)	44.16 (+ 6%)
1986-87	2,373 (- 31%)	128,238 (- 7%)	96,165 (+ 6%)	54.04 (+ 22%)
1987-88	2,766 (+ 17%)	136,561 (+ 7%)	107,339 (+ 12%)	49.37 (- 9%)
1988-89	2,390 (- 14%)	134,745 (- 1%)	88,934 (- 17%)	56.38 (+ 14%)

### F. 1989-90 Proposed Fraternity Budget

Annual net increases in the Annual Loyalty Fund have become part of our expectations and our "reality." We have made plans and assumptions based upon this "reality," but now we have a "new reality" with which to deal. A change in the rate of the increase of the Annual Loyalty Fund net was not unexpected, and the need to further encourage this pace provided the basis for hiring a Director of Development. However, we did not expect an actual decline in the net amount raised. While additional staffing will help increase the Annual Loyalty Fund net and therefore the amount of Foundation Grants in the future, we will likely not reach the level of grants we had hoped for even for this year for a few years more. Stated more simply, we previously thought we would have more money from the Annual Loyalty Fund this year and in the next few years to continue enhancing our services to the brotherhood. We have to now readjust our time frame and deal with the issue of lower than expected income as it affects our operation for the next few years. We need "new thinking" to deal with our "new reality."

Income projections for 1989-90 initiation fees and pledge fees are based on a 5% decrease from the 1988-89 rate for existing chapters and colonies. The undergraduate dues projection is based upon our census of members. Income from merchandise assumes lower VISA card residuals and no residuals from a potential new sportswear contract. Projections from these areas are conservative and hopefully will exceed budget.

The Annual Loyalty Fund net projection is not conservative, but given the aggressive plans being put in place utilizing our new Director of Development's time, talent, and enthusiasm, it is realistic. For more detailed explanations, please see the Report on the Annual Loyalty Fund from the Committee on Alumni Operations.

Expense allocations in the proposed 1989-90 Budget are projected to exceed those in 1988-89 even though we have one less Chapter Leadership Consultant in 1989-90. This is due, in part, to inflationary pressures (particularly in health insurance) and to an increase of \$6,000 in the Publications area. A three year supply of To Better The Man manuals will be printed this year, but the cost will be spread over their full usage period. A \$5,000 allocation has been made to revive the Foundation For Excellence newsletter (sample, page 31), which is to be sent out twice this year to potential donor groups. Only one issue of The Tomahawk was printed in 1988-89, in magazine format. Two issues are budgeted for in 1989-90, both in tabloid format.

The proposed budget projects a nearly \$13,000 deficit. If initiation and pledge fees increase rather than decrease, as projected; and if our merchandise net is even higher than last year; and if the Annual Loyalty Fund net outperforms its goal; and if we are, again, able to hold expenses below budget, then we could balance the 1989-90 budget. However, it is a bit unrealistic to assume all of these "ifs," and perhaps even irresponsible for us to do so. Even if fortune smiles upon us and these "ifs" do come true, we are faced with the following issues:

1. We will still be producing only two issues of The Tomahawk per year, both in tabloid format, rather than our traditional four.
2. We have one less Chapter Leadership Consultant than in 1988-89.
3. We all have many ideas for new programs and services we would like to provide for our brotherhood.

After breaking the cycle of years of deficits by producing surpluses in 1984-85 and 1985-86, we fell back into the deficit pattern again from 1986-88. We produced a 1988-89 surplus, but budget a deficit again in 1989-90. We need to work creatively to stay out of another deficit cycle.

## G. Accounts Receivable

Insurance. Oklahoma (\$11,724) and Missouri (\$10,036) owe the bulk of our \$26,697 in insurance receivables (down from \$73,000 - 7/87; \$40,000 - 7/88). The other \$5,000 is owed by four chapters who are each paying their balance on promissory notes. Missouri and Oklahoma are discussed separately below.

Chapter Dues and Fees. Nineteen chapters owe \$25,000 in fees and dues to the Fraternity, down from twenty-six chapters and \$35,000 in 1987-88. New follow-up procedures instituted under the staff leadership of Director of Administrative Services Bev Moody are credited with reducing receivables. A majority of chapters will have their debts paid by the end of September, or are on promissory notes.

Missouri. Jim Reid and his father, the general partner owners of the Alpha Theta chapter house, have fronted money personally to the house so that bills could be paid this year while it was not full. The chapter has had a good year in rush and seems to be doing well in summer rush. Jim feels that the house will be full in the fall, at which time he can begin paying back the \$10,036 owed to the Fraternity for insurance. He plans to be able to propose a payment schedule by September.

Oklahoma. The A-S-P Corporation's second mortgage loan made to the chapter's Alumni Corporation in 1978 remains delinquent (\$26,645 principal balance; \$32,510 including delinquent interest) and they owe \$12,000 from previous insurance bills. The chapter closed in 1987 and the house is rented to students. Due to the glut of campus rental property and the economic problems of the region, the property only produces enough income to pay basic expenses and the interest on the first mortgage loan. The alumni feel that no market for the sale of the house exists at this time--and the bank (first mortgagee) seems to agree. It is impossible to determine, due to the uncertainty of the housing market, whether or not the property currently has enough equity to cover the \$44,500 of combined debts owed the fraternity. We expect the bank to force the sale of the property once the market turns around. The situation remains "on hold" until that point in time.

Widener. Cumulative rent receivables from the Widener Alumni Corporation to the A-S-P Corporation for the house there remained at \$29,500 through 1988-89. While the debt was not paid down, current payments were received and the alumni were able to pay off their tax debts of \$6,000 during the year. This marks the first time since 1982 that the corporation has not been delinquent on taxes. The alumni estimate that they will pay down their rent receivables by at least \$6,000 during 1989-90.

The A-S-P Corporation has been trying to sell the house to the alumni at a \$67,500 purchase price (a \$98,000 appraisal was made last summer). Unfortunately, a \$10,000 roof repair had to be made to the house this year--work is nearly completed. The final cost for this capital improvement for which we have not yet been billed, should probably be added to the offered purchase price--the alumni expect this. State inspectors are now asking that a fire wall be installed in the house. The alumni are gathering information on this, but we are looking at an additional expense of about \$2,000. These costs all raise the price at which we may offer the house for sale.



Our hope has been that the university will, sooner or later, agree to co-sign a loan for the alumni corporation to purchase the house. Last summer they expressed concern over the level of debt the alumni corporation carried. The university should feel better now that no tax liens exist, and the house improvements will help our case. The alumni corporation officers plan to meet with the university in July to update them on their progress. The chapter has been a very positive influence on the situation. They have filled the house and have a waiting list. This fall they plan to do a lot of painting and general improvements on the grounds. An alumnus has volunteered to replace the front porch. Things are looking up, but we are probably at least a year from a possible sale of the house.

ALPHA SIGMA PHI FRATERNITY



NATIONAL HEADQUARTERS  
24 WEST WILLIAM STREET  
DELAWARE, OHIO 43015

614-363-1911

TO: The Alpha Sigma Phi Educational Foundation Trustees

FROM: Robert M. Sheehan, Jr., Executive Director

RE: 1989-90 Requests for Educational Grants

DATE: July 1, 1989

Alpha Sigma Phi Fraternity, Inc. respectfully requests the following grants from the Alpha Sigma Phi Educational Foundation for the 1989-90 fiscal year.

- |  |           |
|--|-----------|
| 1. Support for a Chapter Leadership Consultant ( <u>Foundations of Excellence</u> , p. 6.) | 15,000.00 |
| 2. Support of Staff and Volunteers performing Chapter Leadership Consultant activities.    | 29,000.00 |
| 3. Partial publication costs of <u>The Tomahawk</u> , an Educational Journal               | 20,000.00 |
| 4. Educational Materials and Manuals ( <u>Foundations of Excellence</u> , p. 10.)          | 4,000.00  |
| 5. The Province Program ( <u>Foundations of Excellence</u> , p. 10)                        | 2,500.00  |
| 6. Publication costs, storage, and distribution of the manual <u>To Better The Man</u> .   | 12,500.00 |

TOTAL

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\$ 83,000.00

**REPORT BY THE COMMITTEE  
ON ALUMNI OPERATIONS**

**A. Annual Loyalty Fund**

	<u># of donors</u>	<u>Gross Receipts</u>	<u>Net Receipts</u>	<u>Average Gift</u>
1981-81	1,574	\$ 43,024	\$ 26,607	\$27.33
1982-83	1,716 (+ 9%)	51,696 (+ 20%)	40,085 (+ 51%)	30.13 (+ 10%)
1983-84	2,116 (+ 23%)	83,435 (+ 61%)	59,228 (+ 48%)	39.43 (+ 31%)
1984-85	2,147 (+ 1%)	89,091 (+ 7%)	66,003 (+ 11%)	41.50 (+ 5%)
1985-86	3,101 (+ 44%)	136,936 (+ 54%)	90,389 (+ 37%)	44.16 (+ 6%)
1986-87	2,373 (- 31%)	128,238 (- 7%)	96,165 (+ 6%)	54.04 (+ 22%)
1987-88	2,766 (+ 17%)	136,561 (+ 7%)	107,339 (+ 12%)	49.37 (- 9%)
1988-89	2,390 (- 14%)	134,745 (- 1%)	88,934 (- 17%)	56.38 (+ 14%)

Results. A net loss of \$8,300 from our telemarketing test case for non-donors in the fall is a major factor contributing to a \$18,405 drop in the Annual Loyalty Fund net for 1988-89. Our expectation was for at least a small net gain on the program, or at worst, to break-even. Several factors created the loss: 1. lower than projected response rates, 2. lower than expected average gifts, and 3. a very low "fulfillment" rate. Concerning this last point, only \$6,250 (48%) of the \$12,908 pledged has ever been received from the program. A 75% fulfillment rate is considered "minimum" for such projects, and we fell far below that.

Discounting the non-donor test case, the net still dropped \$10,000 in 1988-89. Without the non-donor test, we would have 2,243 (-19%) donors, \$128,495 (-6%) gross receipts, \$97,234 (-9%) net receipts, at an average gift size of \$57.28 (+16%). The healthy increase in the average gift size saved what would have been an even more dismal performance, given the 19% drop in donors. It is very difficult to "scientifically" determine the reasons for the significant drop in donors, but several factors have likely contributed to the loss:

1. Natural Attrition--this is nothing new. We plan on donors "lapsing" from year to year, but have typically been able to replace them with donors lapsed from previous years. If donor attrition is going to continue to increase, then the need to attract new donors becomes even greater.
2. General Climate of Philanthropy. While overall charitable giving increased by 6.7% in America in 1988, giving to Education--the area with which we are most closely aligned--dropped for the first time in thirteen years by .6%.
3. Increasing Competition. More and more non-profit organizations are competing more aggressively for the charitable dollar. "The competition for the philanthropic dollar is no longer gentlemanly," says George Brakely, III, president of the American Association of Fund Raising Counsel and of his own

consulting firm, in a recent issue of The Chronicle of Philanthropy. "Institutions that are sixth or seventh on a donor's list of priorities are really going to be hit."

4. The Tomahawk. Printing only one issue during 1988-89 compared with four issues in 1987-88 probably had some affect on giving as "non-fundraising contact" with alumni dropped significantly.

1989-90 Plans. We plan to utilize the time, talent, and enthusiasm of our new Director of Development to more aggressively compete for the charitable dollar in 1989-90. Even though the randomly selected donor test case from last fall was a financial failure, the donor data we received will be very helpful in targeting groups most likely to contribute. Results from initial tests of some of these groups from phonothons this spring are encouraging. More phonothons to these groups are planned for October and, so long as they produce adequate net gains, will be continued through the year.

The next area of emphasis will be to establish a comprehensive "research" program so that we can identify more brothers who may have the capacity to make \$1,000 annual gifts--and perhaps much larger gifts to the endowment in the future. Our information on our alumni is inadequate at this point in time.

Finally, we will continue to emphasize the importance of leadership in Annual Loyalty Fund participation among our "Official Family." Alpha Sigma Phi's "Official Family" includes the Grand Council, Educational Foundation Trustees, Staff, Grand Province Chiefs, Grand Chapter Advisors, and other alumni corporation/association volunteers. Giving by these brothers is very important to the Annual Loyalty Fund's success. When the donor list is printed in the Annual Report every year, alumni pay very close attention to it. They know who the top volunteer leaders are nationally and from their chapter. If they see those names on the donor list and in high giving "clubs," it sends a very positive message: those closest and most familiar with the Fraternity feel it is worthy of their financial investment. That leadership sets the example for others to "jump on the band wagon." Alternatively, if those closest to Alpha Sigma Phi don't make their own generous gifts to the Annual Loyalty Fund, then the unspoken message to others is that they don't feel the Fraternity is worthy of support--and their peers follow the same "non-giving" example.

Trustee Richard Gibbs has provided exemplary volunteer leadership again this year by serving as Chairman of the Grand Senior President's Club and writing all Official Family members, encouraging them to participate in the Annual Loyalty Fund. Of the 140 Official Family alumni, 81 (58%) have made gifts in 1988-89. Our goal for 1989-90 is to reach 75% participation. As always, the enthusiastic support of The Grand Council and Trustees will be a key to our success and greatly appreciated.

#### **B. Educational Foundation Endowment**

The Endowment has received gifts of just over \$21,000 during 1988-89, bringing the total to \$695,825. These gifts were payments on Third Founding Campaign pledges. As was reported in February, many brothers are delinquent on their pledges. Brother Ralph Burns helped us this winter with a letter-

writing campaign which has reduced the delinquent amount. However, we have just recently "written off" \$31,500 in delinquent pledges from 120 brothers who have made no payments on their pledges in four years. Still, 182 brothers remain in arrears for pledges on which they have at least made some payment. This past due amount totals \$51,209. In addition to the "past due" amount, we have \$45,965 in "current" outstanding pledges which are scheduled to be paid off by the end of 1991. Past due and current outstanding pledges total \$97,174.

Endowment

Cash Pledges

Verified Bequests

\$695,825

\$97,174

\$408,000

**C. The Next Capital Campaign**

A great deal of interest was expressed at the last committee meeting in developing plans for a capital funds drive to increase the Educational Foundation Endowment. A successful plan would provide increased stable funding for the many additional programs we all feel our Fraternity needs. Brothers Parks, Sandercox, and Sheehan are to meet to draft a calendar of events leading to and through a capital campaign. This draft may be available for review at the meeting.

**D. Planned Giving**

Membership in "The Manigault Society," our recognition "club" for brothers who have made planned gifts (bequest, insurance policy, or trust) to the Educational Foundation, now stands at 53 brothers. Twenty-one brothers have listed the amounts of these provisions which total \$408,000. The others have either left percentages of their estates without estimate, or declined to list an amount.

We promoted "The Manigault Society" in the fall issue of The Tomahawk and will continue to do so in future issues. Every brother who made a gift of \$50.00 or more to the Annual Loyalty Fund this year received a thank-you letter with a "Tomahawk News Cards" which gives them an opportunity to express an interest in "The Manigault Society." Research on these brothers will be integrated into the overall research program on which the Director of Development will work.

**E. The Tomahawk**

While the response received by staff from alumni and undergraduate brothers to the new magazine format in which the fall issue of The Tomahawk appeared was very favorable, it was the only issue printed during the 1988-89 fiscal year. The previously planned spring issue, which was to appear in the tabloid format, was postponed due to budget pressures.

The committee recommended funding for two issues of The Tomahawk to the Finance Committee for 1989-90. Plans included a summer issue in tabloid format which was mailed in July, and a fall issue in magazine format in November. However, the Finance Committee's recommended budget includes only enough funding for the fall issue in tabloid format (a \$7,000 savings).

The committee also recommended that \$5,000 be added to the budget to send two issues of the Foundation For Excellence newsletter to smaller select groups of alumni who may be most inclined to donate. The Finance Committee's recommended budget does include this funding.



# Multiple Manager Investment Account

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

## INVESTMENT TRANSACTIONS

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
05/16	SOLD 24,923 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 5/16/89 BATCH NO. ZY000079 TRANS NO. 105	24,923.00
05/16	CASH RECEIPT OF INCOME EARNED ON MM CAPITAL APPRECIATION FUND \$0.032862/UNIT ON 3,955 UNITS DUE 5/15/89 DIST TO PARTICIPANTS FOR 5/15/89 BATCH NO. ZY000081 TRANS NO. 869	129.97
05/16	CASH RECEIPT OF INCOME EARNED ON MM CORE EQUITY FUND \$0.035142/UNIT ON 782 UNITS DUE 5/15/89 DIST TO PARTICIPANTS FOR 5/15/89 BATCH NO. ZY000081 TRANS NO. 1532	27.48
05/16	CASH RECEIPT OF INCOME EARNED ON MM INCOME EQUITY FUND \$0.060088/UNIT ON 1,461 UNITS DUE 5/15/89 DIST TO PARTICIPANTS FOR 5/15/89 BATCH NO. ZY000081 TRANS NO. 2453	87.79
05/17	PURCHASED 246 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 5/17/89 BATCH NO. ZY000087 TRANS NO. 973	246.00-
05/19	HUNTINGTON INVESTMENT MGMT FEE COLLECTED FEES UP TO: 5/31/89 NET PRINCIPAL FEE: \$346.98 FROM \$610,621.56 IN MARKET VALUE COLLECTED BY DIRECT CHARGE BATCH NO. XA000070 TRANS NO. 01	346.98-
05/19	BASIC ANNUAL PRINCIPAL FEE: \$4,163.73**** BASED ON MKT OF \$610,621.56 CALCULATION BREAKDOWN: \$7.0000/\$1000 ON \$500,000.00 = \$3,500.00 BATCH NO. XA000070 TRANS NO. 02 \$6.0000/\$1000 ON \$110,621.56 = \$663.73 TAKEN EVERY 1 MONTHS MEANS 8.3333% OF PRORATED AMOUNT IS NOW DUE OR: \$346.98 BATCH NO. XA000070 TRANS NO. 03 **** TOTAL PRINCIPAL FEE CHARGED *** \$346.98	0.00
05/19	\$346.98 CHARGED TO PRINCIPAL BATCH NO. XA000070 TRANS NO. 04	0.00
05/22	SOLD 347 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 5/22/89 BATCH NO. ZY000109 TRANS NO. 303	347.00

**Multiple Manager Investment Account**

ALPHA SIGMA PHI ED. FOUNDATION  
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24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

**INVESTMENT TRANSACTIONS**

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
06/01	CASH RECEIPT OF INTEREST EARNED ON MONITOR MONEY MKT 2 INTEREST FROM 5/1/89 TO 5/31/89 BATCH NO. ZY000003 TRANS NO. 12209	1,206.33
06/02	PURCHASED 1,206 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 6/2/89 BATCH NO. ZY000009 TRANS NO. 1275	1,206.00-
06/02	CASH RECEIPT OF INCOME EARNED ON MM CAPITAL APPRECIATION FUND \$0.032701/UNIT ON 3,955 UNITS DUE 6/1/89 DIST TO PARTICIPANTS FOR 6/1/89 BATCH NO. ZY000010 TRANS NO. 1936	129.33
06/02	CASH RECEIPT OF INCOME EARNED ON MM CORE EQUITY FUND \$0.02493/UNIT ON 782 UNITS DUE 6/1/89 DIST TO PARTICIPANTS FOR 6/1/89 BATCH NO. ZY000010 TRANS NO. 2596	19.50
06/02	CASH RECEIPT OF INCOME EARNED ON MM INCOME EQUITY FUND \$0.049846/UNIT ON 1,461 UNITS DUE 6/1/89 DIST TO PARTICIPANTS FOR 6/1/89 BATCH NO. ZY000010 TRANS NO. 3508	72.82
06/05	PURCHASED 222 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 6/5/89 BATCH NO. ZY000016 TRANS NO. 1615	222.00-
06/15	HUNTINGTON INVESTMENT MGMT FEE COLLECTED FEES UP TO: 6/30/89 NET PRINCIPAL FEE: \$350.77 FROM \$618,208.63 IN MARKET VALUE COLLECTED BY DIRECT CHARGE BATCH NO. XE000149 TRANS NO. 133	350.77-
06/15	BASIC ANNUAL PRINCIPAL FEE: \$4,209.25**** BASED ON MKT OF \$618,208.63 CALCULATION BREAKDOWN: \$7.0000/\$1000 ON \$500,000.00 = \$3,500.00 BATCH NO. XE000149 TRANS NO. 134 \$6.0000/\$1000 ON \$118,208.63 = \$709.25	0.00
06/15	TAKEN EVERY 1 MONTHS MEANS 8.3333% OF PRORATED AMOUNT IS NOW DUE OR: \$350.77 BATCH NO. XE000149 TRANS NO. 135	0.00
06/15	**** TOTAL PRINCIPAL FEE CHARGED *** \$350.77 \$350.77 CHARGED TO PRINCIPAL BATCH NO. XE000149 TRANS NO. 136	0.00



**Multiple Manager Investment Account**

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STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

**INVESTMENT TRANSACTIONS**

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
06/15	CASH RECEIPT OF INCOME EARNED ON MM CAPITAL APPRECIATION FUND \$0.042585/UNIT ON 3,955 UNITS DUE 6/15/89 DIST TO PARTICIPANTS FOR 6/15/89 BATCH NO. ZY000073 TRANS NO. 2413	168.42
06/15	CASH RECEIPT OF INCOME EARNED ON MM CORE EQUITY FUND \$0.034932/UNIT ON 782 UNITS DUE 6/15/89 DIST TO PARTICIPANTS FOR 6/15/89 BATCH NO. ZY000073 TRANS NO. 3069	27.32
06/15	CASH RECEIPT OF INCOME EARNED ON MM INCOME EQUITY FUND \$0.079459/UNIT ON 1,461 UNITS DUE 6/15/89 DIST TO PARTICIPANTS FOR 6/15/89 BATCH NO. ZY000073 TRANS NO. 3966	116.09
06/16	SOLD 39 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 6/16/89 BATCH NO. ZY000079 TRANS NO. 196	39.00
06/30	CASH DISBURSEMENT PAID TO HUNTINGTON NATIONAL BANK MAIN OFFICE ATTN MAIL TELLER PO BOX 1558 COLUMBUS, OH 43216 DEPOSIT TO CHECKING ACCOUNT WIRE FUNDS TO DELAWARE COUNTY BANK AS DIRECTED BATCH NO. XA000051 TRANS NO. 12	20,163.39-
06/30	CASH RECEIPT OF INTEREST EARNED ON U S TREASURY NOTES 9.125% 12/31/90 \$0.044868/PV ON 25,000 PV DUE 6/30/89 BATCH NO. ZY000150 TRANS NO. 1209	1,121.70
06/30	ENDING CASH BALANCE	19,041.49-



# Multiple Manager Investment Account

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

## INVESTMENT SUMMARY

DESCRIPTION	TAX COST	MARKET VALUE	% HOLDING	ANNUAL INCOME
CASH EQUIVALENTS	22,864.00	23,929.75	3.97	0.00
CASH EQUIVALENT FUNDS	134,791.00	134,791.00	22.38	13,155.60
GOVERNMENT AND AGENCIES	299,834.24	308,359.75	51.19	28,587.50
MULTIPLE MANAGER FUNDS -EQUITY	143,774.48	154,285.84	25.62	5,194.99
TOTAL INVESTMENTS	601,263.72	621,366.34	103.16	46,938.09
CASH	19,041.49	19,041.49	3.16	
TOTAL	582,222.23	602,324.85	100.00	46,938.09

## INVESTMENT HOLDINGS

PAR VALUE/ SHARES	DESCRIPTION	TAX COST	MARKET VALUE	YIELD AT MARKET
CASH EQUIVALENTS				
25000	U S TREASURY BILLS 1/18/90	22,864.00	23,929.75	0.00
TOTAL CASH EQUIVALENTS		22,864.00	23,929.75	0.00
CASH EQUIVALENT FUNDS				
134791	MONITOR MONEY MKT 2	134,791.00	134,791.00	9.76
TOTAL CASH EQUIVALENT FUNDS		134,791.00	134,791.00	9.76
GOVERNMENT AND AGENCIES				
25000	U S TREASURY NOTES 9.125% 12/31/90	24,953.25	25,304.75	9.02
25000	U S TREASURY NOTES 9.375% 2/28/91	24,948.75	25,429.75	9.22
25000	U S TREASURY NOTES 9.500% 5/15/94	24,988.75	26,382.75	9.00
25000	FHLB 10.100% 3/26/90	25,000.00	25,226.50	10.01
50000	U S TREASURY NOTES 9.625% 3/31/93	49,878.00	52,422.00	9.18



# Multiple Manager Investment Account

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

## INVESTMENT HOLDINGS

PAR VALUE/ SHARES	DESCRIPTION	TAX COST	MARKET VALUE	YIELD AT MARKET
25000	FFCB 10.250% 4/02/90	25,000.00	25,265.75	10.14
25000	FHLB 10.000% 3/25/92	25,132.81	25,976.50	9.62
25000	FNMA 9.600% 4/11/94	25,023.43	26,226.50	9.15
25000	FHLB 9.650% 4/25/91	25,000.00	25,492.25	9.46
25000	U S TREASURY NOTES 9.000% 5/15/92	24,922.75	25,586.00	8.79
25000	U S TREASURY NOTES 8.500% 9/30/90	24,986.50	25,047.00	8.48
TOTAL GOVERNMENT AND AGENCIES		299,834.24	308,359.75	9.27
MULTIPLE MANAGER FUNDS -EQUITY				
3955	MM CAPITAL APPRECIATION FUND	83,596.01	90,688.15	3.01
1461	MM INCOME EQUITY FUND	45,187.53	47,175.69	4.15
782	MM CORE EQUITY FUND	14,990.94	16,422.00	3.10
TOTAL MULTIPLE MANAGER FUNDS -EQUITY		143,774.48	154,285.84	3.37
TOTAL INVESTMENTS		601,263.72	621,366.34	7.55

MARKET VALUE AND ESTIMATED INCOME FIGURES ARE NOT GUARANTEED,  
BUT COME FROM RELIABLE SOURCES.

MULTIPLE MANAGER FUNDS ARE PRICED AS OF THE 25TH OF THE MONTH.

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

**INVESTMENT TRANSACTIONS**

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
04/05	PURCHASED 2,275 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/5/89 BATCH NO. ZY000016 TRANS NO. 2245	2,275.00-
04/06	PURCHASED 433 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/6/89 BATCH NO. ZY000023 TRANS NO. 409	433.00-
04/06	PURCHASED 25,000 PV OF FHLB 10.000% 3/25/92 TRADE DATE 4/5/89 PURCHASED THROUGH BROKER NOT LISTED PURCHASED ON THE OVER-THE-COUNTER 25,000 PV AT 100.531% BATCH NO. ZY000026 TRANS NO. 527 BROKER NAME: H TRUST	25,132.81-
04/06	BATCH NO. ZY000026 TRANS NO. 528	0.00
04/06	PAID ACCRUED INTEREST ON PURCHASE OF FHLB 10.000% 3/25/92 BATCH NO. ZY000026 TRANS NO. 529	62.50-
04/06	SOLD 7,201 UNITS OF MM INTER FIXED FUND TRADE DATE 4/1/89 7,201 UNITS AT \$19.10 BATCH NO. ZY000026 TRANS NO. 1250	137,539.10
04/06	BATCH NO. ZY000026 TRANS NO. 1251	0.00
04/07	SOLD 25,196 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/7/89 BATCH NO. ZY000030 TRANS NO. 257	25,196.00
04/10	PURCHASED 25,000 PV OF FNMA 9.600% 4/11/94 TRADE DATE 4/5/89 PURCHASED THROUGH BROKER NOT LISTED PURCHASED ON THE OVER-THE-COUNTER 25,000 PV AT 100.094% BATCH NO. ZY000040 TRANS NO. 210 BROKER NAME: H. TRUST	25,023.43-
04/10	BATCH NO. ZY000040 TRANS NO. 211	0.00
04/11	SOLD 25,023 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/11/89 BATCH NO. ZY000044 TRANS NO. 128	25,023.00



# Multiple Manager Investment Account

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

## INVESTMENT TRANSACTIONS

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
04/17	CASH RECEIPT OF INCOME EARNED ON MM INCOME EQUITY FUND \$0.040257/UNIT ON 1,461 UNITS DUE 4/15/89 DIST TO PARTICIPANTS FOR 4/15/89 BATCH NO. ZY000073 TRANS NO. 2367	58.82
04/17	CASH RECEIPT OF INCOME EARNED ON MM CAPITAL APPRECIATION FUND \$0.013051/UNIT ON 3,955 UNITS DUE 4/15/89 DIST TO PARTICIPANTS FOR 4/15/89 BATCH NO. ZY000073 TRANS NO. 3837	51.62
04/17	CASH RECEIPT OF INCOME EARNED ON MM CORE EQUITY FUND \$0.015104/UNIT ON 782 UNITS DUE 4/15/89 DIST TO PARTICIPANTS FOR 4/15/89 BATCH NO. ZY000073 TRANS NO. 5484	11.81
04/18	PURCHASED 122 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/18/89 BATCH NO. ZY000079 TRANS NO. 1229	122.00-
04/20	HUNTINGTON INVESTMENT MGMT FEE COLLECTED FEES UP TO: 4/30/89 NET PRINCIPAL FEE: \$341.34 FROM \$599,339.15 IN MARKET VALUE COLLECTED BY DIRECT CHARGE BATCH NO. X1000248 TRANS NO. 01 BASIC ANNUAL PRINCIPAL FEE: \$4,096.03****	341.34-
04/20	BASED ON MKT OF \$599,339.15 CALCULATION BREAKDOWN: \$7.0000/\$1000 ON \$500,000.00 = \$3,500.00 BATCH NO. X1000248 TRANS NO. 02 \$6.0000/\$1000 ON \$99,339.15 = \$596.03	0.00
04/20	TAKEN EVERY 1 MONTHS MEANS 8.3333% OF PRORATED AMOUNT IS NOW DUE OR: \$341.34 BATCH NO. X1000248 TRANS NO. 03 **** TOTAL PRINCIPAL FEE CHARGED *** \$341.34	0.00
04/20	\$341.34 CHARGED TO PRINCIPAL BATCH NO. X1000248 TRANS NO. 04	0.00
04/21	SOLD 341 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/21/89 BATCH NO. ZY000101 TRANS NO. 208	341.00

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

**INVESTMENT TRANSACTIONS**

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
04/25	PURCHASED 25,000 PV OF FHLB 9.650% 4/25/91 TRADE DATE 4/18/89 PURCHASED THROUGH BROKER NOT LISTED PURCHASED ON THE OVER-THE-COUNTER 25,000 PV AT 100% BATCH NO. ZY000118 TRANS NO. 181 BROKER NAME: THOMPSON MCKINNON	25,000.00-
04/25	BATCH NO. ZY000118 TRANS NO. 182	0.00
04/26	SOLD 25,000 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/26/89 BATCH NO. ZY000122 TRANS NO. 102	25,000.00
05/01	CASH RECEIPT OF INCOME EARNED ON MM INCOME EQUITY FUND \$0.030101/UNIT ON 1,461 UNITS DUE 5/1/89 DIST TO PARTICIPANTS FOR 5/1/89 BATCH NO. ZY000003 TRANS NO. 8516	43.98
05/01	CASH RECEIPT OF INCOME EARNED ON MM CAPITAL APPRECIATION FUND \$0.012927/UNIT ON 3,955 UNITS DUE 5/1/89 DIST TO PARTICIPANTS FOR 5/1/89 BATCH NO. ZY000003 TRANS NO. 9988	51.13
05/01	CASH RECEIPT OF INTEREST EARNED ON MONITOR MONEY MKT 2 INTEREST FROM 4/1/89 TO 4/30/89 BATCH NO. ZY000003 TRANS NO. 12168	1,496.54
05/01	CASH RECEIPT OF INCOME EARNED ON MM CORE EQUITY FUND \$0.015118/UNIT ON 782 UNITS DUE 5/1/89 DIST TO PARTICIPANTS FOR 5/1/89 BATCH NO. ZY000003 TRANS NO. 15036	11.82
05/02	PURCHASED 1,603 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 5/2/89 BATCH NO. ZY000009 TRANS NO. 2200	1,603.00-
05/15	PURCHASED 25,000 PV OF U S TREASURY NOTES 9.000% 5/15/92 TRADE DATE 5/9/89 PURCHASED THROUGH FED -TI PURCHASED ON THE OVER-THE-COUNTER 25,000 PV AT 99.691% BATCH NO. ZY000075 TRANS NO. 179	24,922.75-

ALPHA SIGMA PHI ED. FOUNDATION  
ATTN: ROBERT SHEEHAN, EXEC. DIRECTOR  
24 W. WILLIAM STREET  
DELAWARE, OH 43015

STATEMENT PERIOD  
03/31/89 THRU 06/30/89

ACCOUNT: 063013  
ALPHA SIGMA PHI ED FOUNDATION

**INVESTMENT TRANSACTIONS**

POSTING DATE	DESCRIPTION	TRANSACTION AMOUNT
03/31	BEGINNING CASH BALANCE-----	48,814.74-
04/03	PURCHASED 88,292 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/3/89 BATCH NO. ZY000002 TRANS NO. 1082	88,292.00-
04/03	PURCHASED 25,000 PV OF FFCB 10.250% 4/02/90 TRADE DATE 3/29/89 PURCHASED THROUGH BROKER NOT LISTED PURCHASED ON THE OVER-THE-COUNTER 25,000 PV AT 100% BATCH NO. ZY000005 TRANS NO. 265	25,000.00-
04/04	SOLD 25,000 UNITS OF MONITOR MONEY MKT 2 TRADE DATE 4/4/89 BATCH NO. ZY000009 TRANS NO. 194	25,000.00.
04/04	CASH RECEIPT OF INCOME EARNED ON MM INCOME EQUITY FUND \$0.050378/UNIT ON 1,461 UNITS DUE 4/1/89 DIST TO PARTICIPANTS FOR 4/1/89 BATCH NO. ZY000010 TRANS NO. 5357	73.60
04/04	CASH RECEIPT OF INCOME EARNED ON MM CAPITAL APPRECIATION FUND \$0.033134/UNIT ON 3,955 UNITS DUE 4/1/89 DIST TO PARTICIPANTS FOR 4/1/89 BATCH NO. ZY000010 TRANS NO. 6867	131.04
04/04	CASH RECEIPT OF INTEREST EARNED ON MONITOR MONEY MKT 2 INTEREST FROM 3/1/89 TO 3/31/89 BATCH NO. ZY000010 TRANS NO. 9063	1,581.02
04/04	CASH RECEIPT OF INCOME EARNED ON MM INTER FIXED FUND \$0.06638/UNIT ON 7,201 UNITS DUE 4/1/89 DIST TO PARTICIPANTS FOR 4/1/89 BATCH NO. ZY000010 TRANS NO. 10848	478.00
04/04	CASH RECEIPT OF INCOME EARNED ON MM CORE EQUITY FUND \$0.015326/UNIT ON 782 UNITS DUE 4/1/89 DIST TO PARTICIPANTS FOR 4/1/89 BATCH NO. ZY000010 TRANS NO. 11803	11.99

Grand Council Members,

The following is an account of the charges brought against James Robert Worth in the decision as to whether or not he should be in good standing as a brother of Alpha Sigma Phi.

In regard to the charge of embezzlement of house funds, I was treasurer during this time. As social chairman, James Worth was in charge of all social functions and the equipment used in entertainment. He had proposed to the Prudential Board that there was a need for the purchase of new speakers for the house stereo system, and it was approved. We were told that we would rent-to-own the speakers for \$50 per month. At the end of the 87-88 school year, Mr. Worth told me that approximately \$250 would pay off the remaining balance for the speakers. This was the last I heard of the matter until late fall of the 88-89 school year. Apparently Mr. Worth had used Brother Brad Wilkinson as a reference in the contracted agreement. The shop had contacted Brother Wilkinson desiring to know the where-about of Mr. Worth since he was default on paying for his account with them. I advised Brad to ask for a copy of the contract which is now in the possession of the Council members.

As you can see, the contract is not a rent-to-own contract as was stated by Mr. Worth. One can also see that the speakers alone did not cost us the \$50 per month as Mr. Worth had said. He was providing himself with a guitar and amplifier at the cost of the house plus pocketing a little spending money for himself it appears. What did the remaining \$250 provide? Granted, perhaps the contract should have been produced before the chapter agreed to pay for all of this, but are we not a brotherhood? Is trust not involved in this somewhere? Mr. Worth admitted in his letter that he deliberately misdirected funds under the advisement of senior members. This is not our policy, and I don't think these other members would be in good standing if their identities were known. In lying to me and the rest of the brotherhood (stealing from the brotherhood), this is convincing enough for me to remove Mr. Worth from our ranks.

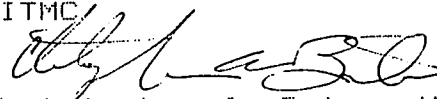
The second accusation against Mr. Worth involves the theft and illegal use of Brother Cameron Carter's credit card. He was brought to trial on this charge, but no solid evidence was brought forth to convict him of this felony. He was, however, convicted of conversion, a misdemeanor. Apparently he returned a phone to Target for more money than he originally paid for it. It was believed, however, that Brother Carter's credit card was used in the purchase of the phone. Brother Carter was on an internship in Washington D.C. at the time and had no knowledge that his credit card existed. He was only receiving the bills. I was told that in the trial Mr. Worth plea bargained to a charge of conversion. Whether he actually stole the card or not I can not definitely say. I can say, however, that he was hauled out of the house on the last day of the 87-88 school year in



handcuffs into the back seat of a police car. It's amazing what this can do to a fraternity's image on campus. The fact still remains that he was convicted of a crime. You have to ask yourself what kind of brothers would you like to see in your chapters across the nation.

This is a fairly detailed list of the accusations brought against Mr. Worth, and I hope they are taken with serious thought. I realize that this is a difficult decision which you must make, and we are both relatively new to this sort of thing. This is hopefully the information you were seeking. I know that I am speaking for the entire undergraduate membership of Gamma Chi when I ask for the retraction of James Worth's membership in Alpha Sigma Phi. Thank you.

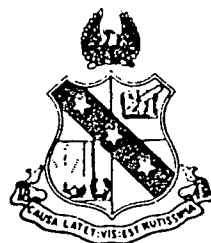
YITMC



Christopher A. Bates, HSP

P.S. ~~But~~ Mr. Worth owes Gamma Chi \$1100  
for past due rent.

Alpha Sigma Phi



Indiana University


Gamma Chi Chapter  
1415 NORTH JORDAN AVENUE  
BLOOMINGTON, INDIANA 47401  
(812) 332-7976

Members of the Grand Council,

On March 26, 1989, the members of the Gamma Chi chapter voted in favor of suspending the pin of James Robert Worth. Enclosed is the notice letter to Mr. Worth, his hand-written response to the allegations, and the evidence against him.

Although we could not prove his guilt in the theft of Brother Carter's credit card, we did link him to the embezzlement of house funds. He acknowledges this in his letter. This fact alone shows us that he is not a true brother in the Mystic Circle. We present this before you with a recommendation for the expulsion of James Robert Worth from Alpha Sigma Phi. Thank you very much for accepting this motion.

YITMC

  
Christopher A. Bates, HSP

Alpha Sigma Phi



Indiana University

Gamma Chi Chapter  
1415 NORTH JORDAN AVENUE  
BLOOMINGTON, INDIANA 47401  
(812) 332-7976

Feb. 12, 1989

James Worth,

I am writing you concerning a vote to suspend your pin on March 5, 1989. The allegations levied against you are as follows:

- 1) The alleged theft and use of Brother Cameron Carter's VISA card which resulted in a charge of conversion in Monroe County's court system.
- 2) The embezzlement of house funds. Said money was to be solely applied to the rental of house speakers. Evidence indicates you also rented a guitar and amp with this money.

You have the right through the laws of Alpha Sigma Phi to address these charges on the fifth. You may do this through a personal appearance, a personal representative, or a hand-written letter. Once again this is your notice to which you are entitled.

Sincerely,

# RENTAL

## DOO WOP SHOP

1587 Bardstown Road  
Louisville Kentucky 40205

89 Doo Wop Shop  
1587 Bardstown Road  
Louisville, KY 40205  
(502) 468-8250

636-0668 1235  
2505 456-5250 423-7976 331-8982  
9122 Rindow Springs #1 40217  
FIRST NAME James LAST NAME Worth  
ADDRESS 2001 Tahiti Louisville Ky ZIP CODE 40218 HOW LONG AT ADDRESS 1 1/2 yrs  
PREVIOUS ADDRESS 1415 N Jordan Bloomington TN SOCIAL SECURITY NUMBER 310-64-3483 DATE OF BIRTH 6/5/67  
CREDIT CARD OR REFERENCE (INCLUDE NUMBER) Citibank Viss 4128 112 373 919 CAR LICENSE PLATE NO: 5310-64-3483 AGE 20  
SCHOOL OR EMPLOYER Indiana University / Louisville HOW LONG AT EMPLOYER 2 BUSINESS PHONE 800  
EMPLOYER ADDRESS school - Bloomington TN 1415 N Jordan 47405  
NAME OF FRIEND Paul Quay ADDRESS 1415 N Jordan PHONE NO. 332 7976  
NAME OF FRIEND Brad Wilkinson ADDRESS 1415 N Jordan PHONE NO. 332 7976  
PARENTS June + George Stahl ADDRESS 2001 Tahiti CITY Louisville Ky PHONE NO. 468-8250

I HAVE RENTED AND RECEIVED FROM DOO WOP SHOP AS CATALOGUED

CONTRACT CREDIT APPROVAL RDR VALUE Disc

CRATES \$5 ea.	25' SPEAKER CORDS \$11 ea.	50' SPEAKER CORDS \$14 ea.
25' MIC CORDS \$21 ea.	GUITARS CORDS \$10 ea.	PATCH CORD \$5 ea.
MIC STANDS \$21 ea.	MIC HOLDER \$4 ea.	

MAKE	MODEL NO.	FULL DESCRIPTION	SERIAL NO.	VALUE
Onaion	CC57	Surv Guest from guitar case	138 400	336.00 18
Rayor	CS105H	(2) SPKRS	6082444 / 5100150	328.00 12

WHICH I HAVE EXAMINED AND FIND TO BE IN GOOD WORKING CONDITION, THE VALUE OF WHICH IS \$664.00 I AM RENTING THIS FOR A PERIOD OF 1 month AT THE RATE OF \$40.00 FOR THIS PERIOD I HAVE PAID A DEPOSIT OF \$10.00 WHICH WILL BE RETURNED TO ME WITHOUT INTEREST IF THE ITEMS RENTED ARE RETURNED TO DOO WOP SHOP IN GOOD CONDITION ON OR BEFORE THE DATE SPECIFIED. OVERDUE RENT WILL BE DEDUCTED FROM THE DEPOSIT AT DOUBLE THE PRO RATA DAILY RATE. I WILL BE RESPONSIBLE FOR THE FULL VALUE OF THE ITEMS, IF FOR ANY REASON THEY ARE NOT RETURNED TO DOO WOP SHOP. I WILL ALSO BE RESPONSIBLE FOR ANY DAMAGE TO THESE ITEMS BEYOND NORMAL EXPECTED WEAR. I WILL PAY ANY FEES OR COSTS INCURRED BY THE OWNER IN REPOSSESSING THE ITEMS OR COLLECTING THE RENTALS DUE.

RENTAL EQUIPMENT IS NOT COVERED BY DOO WOP INSURANCE.  
RENTAL PAYMENTS DO NOT APPLY TO PURCHASE OF INSTRUMENTS.

I ACCEPT TOTAL RESPONSIBILITY FOR THIS EQUIPMENT.  
I UNDERSTAND IT IS NOT RENT TO OWN!

Kate Doo WOP SHOP SIGNATURE

James R. Worth SIGNATURE - CUSTOMER

DATE	PAID	TAX	DUE DATE	REMARKS	MONTHLY RATE
8-22-87	40.00	2.00	9-22-87		\$42.00
9-21-87	40.00	2.00	10-22-87		
11-18-87	40.00	2.00	11-22-87	pd. 1.00	
12-22-87	40.00	2.00	12-22-87	pd. 1.00	
1-12-88	40.00	2.00	1-22-88	pd. 1.00	
2-9-88	40.00	2.00	2-22-88	pd. 1.00	
4-9-88	40.00	2.00	3-22-88	pd. 1.00	
7-1-88	40.00	2.00	4-22-88	pd. 1.00	
7-8-88	40.00	2.00	5-22-88	pd. 1.00	
7-8-88	dot. 46		7-22-88		

Alpha Sigma Phi - Gamma Chi Chapter  
Informal Chapter 26 March 1989

Old Business

Bates moves that Brother James Worth's pin be suspended with recommendation to Grand Council for expulsion from Alpha Sigma Phi Fraternity.

Motion Passes      22 Black Votes  
                                 2 White Votes

New Business

Bates moves that mandatory study tables be imposed on brothers whose current GPA (reflected by end of semester or mid-term grades) is below a 2.3. Study tables will be held in the dining room on Sunday, Tuesday, and Wednesday.

Motion tabled, then withdrawn.

Alpha Sig till the day I die. then I will stand before God and the Founders, as I do now, in confidence of my actions. In nothing discussed herein am I ashamed because I know that I have done nothing to compromise myself, Alpha Sigma Phi or the mystic Circle. I alone in the fraternity was investigated for Brother Carters stolen credit card but was never charged with a crime against him or in connection with him. The second allegation is simply a ridiculous accusation based on misunderstood information by an individual too cognitively fundamental to comprehend a situation he was not involved in.

The vote is now yours and I only hope you can remain objective to this situation especially those of you who are new and only know of me through rumors. I hope that your deliberations will be of an empirical mode and of legal reasoning. By not being a court of law and the obvious bias of certain participants the decision will undoubtedly be difficult. I will not profess my innocence to these charges any longer because more credit has been given them than warrants. Yours and my commitment to Alpha Sigma Phi are such as to bind us to her for the rest of our lives and no one has the right to charge what we've all worked hard to obtain. You must ask yourself if you understand what happened, can you be objective, can I understand what happened without being there and up until now only hearing one side of the story and can I live with my decision.

The following is in response to allegations levied against me. I realize that much of what I say here will fall on deaf ears but to those who still reserve the right to objectivity and to those new brothers who have never met my acquaintance I hope you have the presence of mind to maintain an unbiased decision despite the negative propaganda you have undoubtedly received. To those of free mind and conscience I offer the following response.

ALLEGATION I: My plea of guilty to conversion was in response to a crime against Target. I returned a telephone to Target that was purchased by John Snyder and I received 20% more for that item than I was supposed to, this is my crime. I do not know, was not involved in, nor do I care at this point who stole Brother Carters credit card. I was charged with a crime against target and on the plea agreement papers the victim is stated as such. Contrary to popular slander I was never charged with a crime against Brother Carter. I was accused and investigated for such by the Monroe County police department but evidence to arrest and charge me with a crime against Brother Carter could not be done. Had I been arrested for a crime against Brother Carter the charges would

have amounted to no less than six (6) counts of forgery, a federal felony charge for mail violation along with at least four (4) other felony and two (2) misdemeanor charges. the reality is however an arrest for one class D felony against Target that was reduced to a class A misdemeanor and the right to have the entire incident cleared from my record after a year. My plea was in no way an admission of guilt for a crime against Brother Carter and in court was stressed and agreed by all parties that my plea was only for that which I was charged against Target. To those who are seemingly convinced of my guilt of a crime against Brother Carter, take your evidence to the Monroe county prosecutors office and present your case. I was never charged with a crime against Brother Carter, and if you can do a better job than the police I can still be charged if you can create evidence that shows I committed a crime that you allege. My only crime has been against Target and I have no reason to be ashamed of my conduct or to accept blame for something I haven't done.

ALLEGATION II: This is a perposterous accusation to which deserves even less attention than the latter. Money was given to me as Social Chairman through then treasure Chris Bates for the speakers, the speakers belong to the House-period. All other monies given to me from the social fund went for the purchase of



alcohol and party supplies (i.e. cups, ice, chips, etc...) At my time of tenure as social chairman I had appropriate receipts for every dime of the Social budget that was given to me. After a year and a half I don't have these receipts any longer to prove where every dollar went. My only fault here or in my time as social chairman was that, as suggested by then Seniors and a few alumni, to tell the treasure that the money being given was for one thing and turning around and spending it on another. In this case that meant using money that I told him was going for speakers and spending it on extra beer for a party that I knew could use it but he was unwilling to let me have money for. Simple politics gentleman, tell the treasurer the money's for something he's willing to spend, for something else that I know a party needed and the house would benefit from socially. I don't regret doing this because it was necessary in order to get enough beer for the amount of guest at our parties. To suggest that I used House money for myself is simply not true and quite frankly "Bullshit."

I made a commitment, and took an oath to Alpha Sigma Phi Fraternity for life. An oath that held certain values and goals that I still hold dear to my heart. The commitment I made ~~was~~ was, and is, one that no man can ever change or take away for I will be an

**Alpha Sigma Phi Fraternity**

Delta Xi Chapter  
c/o Bob Silverman  
Box 42  
Peru, NY 12972

**RECEIVED****TO:** National Headquarters**FROM:** Delta Xi Chapter

MAY 25 1989

**DATE:** April 16, 1989

Alpha Sigma Phi Fraternity, Inc.

The Brothers of the Delta Xi Chapter of Alpha Sigma Phi are submitting this letter in reference to the suspension/expulsion of Jorge Roman. The following enumerated allegations are being submitted under Article XVIII, Section 1, of the Alpha Sigma Phi Fraternity, Inc. Constitution:

- I. Lack of attendance at Brotherhood activities and functions:
  - A. Business meetings;
    1. Sunday mandatory meetings.
    2. Thursday voluntary meetings.
  - B. Mandatory pledging activities.
  - C. Mandatory rushing activities.
  - D. Mandatory ceremonies.
  - E. Chapter rituals.
  - F. Mandatory fundraising and service projects.
- II. On a number of occasions Jorge Roman verbally disassociated himself from Alpha Sigma Phi.
- III. Consistently Jorge Roman has displayed an apathetic attitude toward Alpha Sigma Phi and the Delta Xi chapter.
- IV. Many Brothers have made concerted efforts to encourage Jorge Roman's participation in every aspect of Alpha Sigma Phi, however each Brother's efforts have been futile.
- V. Jorge Roman has proven to be delinquent in his sworn vow to honor and obey the decisions of the Prudential Committee and other authoritative positions in Alpha Sigma Phi.
- VI. Jorge Roman has further proven disrespect to Delta Xi in a campus related altercation, by threatening a fellow student with an all too realistic weapon. His actions resulted in the filing of formal judicial charges. The weapon was confiscated, campus security and city police were notified, all of which resulted in Jorge Roman having to serve community service hours as a form of reprimand.

All of these allegations have brought dishonor to our Delta Xi chapter and to Alpha Sigma Phi Fraternity. Most importantly for this reason the Brothers Of Delta Xi move for Jorge Roman's suspension/expulsion.

Fraternally,

A handwritten signature in black ink, appearing to read "Philip Sorette", with a long horizontal flourish extending to the right.

Philip Sorette, HSP  
Delta Xi Chapter

Alpha Sigma Phi Fraternity

Delta Xi Chapter  
c/o Bob Silverman  
Box 42  
Peru, NY 12972

**RECEIVED**

TO: The Grand Council of Alpha Sigma Phi

FROM: Philip Sorette, HSP

MAY 25 1989

DATE: May 16, 1989

Alpha Sigma Phi Fraternity, Inc.

The Brotherhood of the Delta Xi Chapter of Alpha Sigma Phi Fraternity are submitting this letter to The Grand Council of Alpha Sigma Phi Fraternity to recommend the expulsion of Jorge Roman. As stated in Article XVIII section 1 of the Fraternity's Constitution:

A Chapter shall have the power to discipline its own members. Any member subject to disciplinary action must receive a five-day advance, written notice of the action, a list of allegations as to why the action is being taken, and must be present wherein the action is to be voted upon. At that meeting the allegations will be read to the Chapter by the Presiding Officer and the accused member shall respond to each.

On Sunday April 16<sup>th</sup> after Delta Xi's weekly business meeting, I presented Jorge Roman with the list of allegations (identical to those enclosed) and asked him to attend the following Sunday's business meeting so as he could respond to each. Jorge failed to attend that meeting, the allegations were read and the motion to vote was tabled for he was not present. I spoke with Jorge later that week, trying to impress upon him the severity of what was taking place. He simply responded, "I can not believe my brothers would do this to me." We spoke for a while longer and he again stated that he would attend the next Sunday business meeting. Jorge again failed to attend. With no other recourse available the brotherhood decided (by consensus) to proceed with Article XVIII section 2, where as:

Following the reading of and response to the allegations, a secret ballot vote will be taken and said vote must favor discipline by a three-fourths majority before discipline can be imposed. The chapter may impose either suspension (which must be confirmed by the Grand Council) or recommend expulsion which can be imposed by the Grand Council only. All Chapter proceedings must be forwarded to the Fraternity Headquarters so that the Grand Council can confirm or reverse a Chapter's suspension or act upon a recommendation for expulsion.

On Sunday April 30<sup>th</sup>, under the realization that Jorge had no comment regarding any of the allegations, they were read again and a vote by secret ballot was taken. With thirty-eight (38) members present, there was a unanimous decision to recommend the expulsion of Jorge Roman to The Grand Council of Alpha Sigma Phi Fraternity.

Gentlemen, we of Delta Xi Chapter understand the ramifications of the actions we are taking and ask that you act upon our recommendation for expulsion.

Fraternally,

A handwritten signature in black ink, appearing to read "Philip Sorette", with a long horizontal flourish extending to the right.

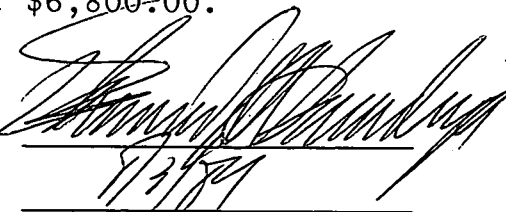
Philip Sorette, HSP  
Delta Xi Chapter



## MEMORANDUM

Be it resolved that, in the fiscal year of July 1, 1989 to June 30, 1990, income from the Investment Fund and other non-member income sources shall be, and hereby is, set aside in a separate fund to be expended for scholarships, student loans, loans on local Chapter housing, leadership and citizenship schools and services, and similar activities.

Be it further resolved that the amount is estimated at \$6,800.00.

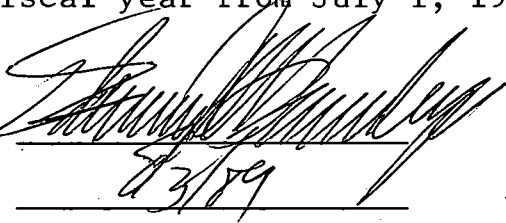
Signed: 

Date: 11/3/89



## MEMORANDUM

Resolved, that the income of Alpha Sigma Phi, Inc., received from the Investment Fund (\$3,060.02), parking lot rental (\$1,710.00) and Emergency Fund earnings (\$1,658.77) in the fiscal year from July 1, 1988 to June 30, 1989 in the amount of \$6,428.79 was set aside for and expended for educational and charitable activities of Alpha Sigma Phi Fraternity, Inc., including but not limited to the Chapter Consultants, with full-time duties as educational aides to the various chapters, and the portion of the Executive Director's salary and expenses that are devoted to leadership training and education of chapter members, and the expenses of volunteers while involved in leadership training and education of chapter members, as well as the proper overhead cost to support these activities from the national headquarters during the fiscal year from July 1, 1988 to June 30, 1989.

Signed: Date: 8/3/89

ALPHA SIGMA PHI EDUCATIONAL FOUNDATION



24 WEST WILLIAM STREET  
DELAWARE, OHIO 43015  
614-363-1911

TRUSTEES:

DR. ROBERT E. MILLER, Connecticut '49, Chairman  
JAMES V. FITZPATRICK, Illinois Tech '47  
RICHARD R. GIBBS, Oklahoma '51  
ROBERT A. SANDERCOX, Bethany '51  
ALFRED B. WISE, Baldwin-Wallace '43

EXECUTIVE DIRECTOR:

ROBERT M. SHEEHAN, JR., Westminster '76

SECRETARY:


RALPH F. BURNS, Ohio Wesleyan '32

SERVICE AGREEMENT

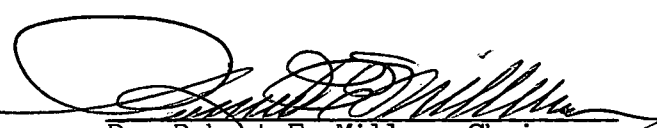
The Alpha Sigma Phi Educational Foundation agrees to make available its Computer System to Alpha Sigma Phi Fraternity, Inc., during the time period of 7/01/89 to 6/30/90 for the purposes of:

1. Membership record storage and updating
2. Production of membership reports, including labels, printouts, and letters.
3. Word Processing
4. Bookkeeping

Alpha Sigma Phi Fraternity, Inc., agrees to pay the Alpha Sigma Phi Educational Foundation a usage fee of \$12,360.00 for these services available in equal monthly installments of \$1,030.00 and agrees to pay for and purchase any and all materials, paper, labels, etc. necessary for using the system.

  
Edmund A. Hamburger  
Grand Senior President  
Alpha Sigma Phi Fraternity, Inc.

  
Date

  
Dr. Robert E. Miller, Chairman  
The Alpha Sigma Phi  
Educational Foundation

  
Date